

Effect of Gemini Surfactants on Amyloid Beta  
Aggregation

by

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## **AUTHOR'S DECLARATION**

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

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## Abstract

Alzheimer's disease (AD) is a progressive dementia affecting cognition, behavior, and functional status and there is no cure which exists for it. In AD, Amyloid Beta ( $A\beta$ ) peptides form aggregates that are neurotoxic in the brain. Hence, molecules that are able to prevent  $A\beta$  aggregation could be effective in AD treatment. Gemini surfactant (GS) molecules consist of two hydrophilic heads separated by a covalently bound spacer and two hydrophobic tails. Their structure gives rise to a number of unique properties, including low critical micelle concentrations, the ability to form multiple types of aggregates (governed primarily by the nature of the spacer group) and enhanced ability to bind to polymers. These properties make gemini surfactant a good choice for solubilizing very hydrophobic materials such as  $A\beta$ . The aim of this study was to examine various GS structures to help us to understand their interaction with  $A\beta$  and the influence of spacer group in  $A\beta$  disassembly.

We employed 12-carbon tail GS with varying spacer groups of different hydrophilicities, such as:  $(-\text{CH}_2-\text{CH}_2-\text{O})_m$ ,  $(-\text{CH}_2)_m$ ,  $\text{N}(\text{CH}_2)_m$ ,  $\text{OH}(\text{CH}_2)_4$  and  $(\text{OH})_2(\text{CH}_2)_4$ . Surface tension measurement, isothermal titration calorimetry (ITC) and dynamic light scattering (DLS) have been employed to observe the gemini- $A\beta$  interaction.

Surface tension measurements did not show a typical surfactant-polymer interaction; rather, the presence of  $A\beta$  induced aggregate formation at concentrations well below the cmc. Headgroup areas were observed to decrease for some of the surfactants in the presence of  $A\beta$ , which may result from partial neutralization of the surfactant headgroups and a relaxation of electrostatic repulsion resulting in decreased head group areas. ITC results suggest substantial

reorganization of A $\beta$ /gemini surfactant aggregates, with distinct difference seen depending upon the nature of the headgroup. It was observed that in 12-(CH<sub>2</sub>)<sub>n</sub>-12 (n=2,3,4,7) shorter spacer gemini surfactants have stronger interaction with A $\beta$  than the ones with longer spacers. In the 12-4(OH)<sub>n</sub>-12 series, a stronger interaction was observed in the GS with 2 hydroxyl groups compared to one hydroxyl group GS. For 12-(EO)<sub>n</sub>-12 GS, a stronger interaction was observed in that GS with two ethoxy groups. In the 12-XN-12 series, although the 8N spacer is more hydrophilic than 5N, the interaction of 12-5N-12 with A $\beta$  was stronger than that of 12-8N-12. The particle size data also revealed that there is an interaction between gemini surfactant and A $\beta$ . It appeared that mixed micelles formed when the surfactant concentration increased in the A $\beta$  solution. Overall, it was observed that changes in the length and hydrophilic character of the gemini surfactant spacer influenced the type of interaction and gemini-A $\beta$  conformation.

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## **Dedication**

I lovingly dedicate this thesis to my husband, Mehdi.

## Table of Contents

|                                                                               |     |
|-------------------------------------------------------------------------------|-----|
| Author's Declaration                                                          | ii  |
| Abstract                                                                      | iii |
| Acknowledgements                                                              | v   |
| Dedication                                                                    | vi  |
| Table of Contents                                                             | vii |
| List of Figures                                                               | ix  |
| List of Tables                                                                | xi  |
| List of Abbreviations.                                                        | xii |
| Chapter 1: Introduction                                                       | 1   |
| 1.1 Introduction and Review of Prior Knowledge about Alzheimer's disease (AD) | 1   |
| 1.2 Pathophysiology of AD                                                     | 1   |
| 1.2.1 Cholinergic hypothesis of AD                                            | 1   |
| 1.2.2 Neurofibrillary Tangles                                                 | 2   |
| 1.2.3 Amyloid Beta Peptide (A $\beta$ ) formation                             | 4   |
| 1.2.4 A $\beta$ assembly                                                      | 6   |
| 1.2.5 Anti amyloid therapy                                                    | 7   |
| 1.2.5.1 Decreasing A $\beta$ production                                       | 8   |
| 1.2.5.2 Increasing A $\beta$ clearance                                        | 9   |
| 1.2.5.3 Influencing blood-brain barrier (BBB) transport                       | 10  |
| 1.3 Polymer-surfactant system                                                 | 11  |
| 1.3.1 Application of polymer-surfactant system                                | 11  |
| 1.3.2 Polymer- Surfactant Interaction                                         | 11  |
| 1.3.3 Protein-Surfactant Interactions                                         | 14  |
| 1.4 Gemini surfactant                                                         | 16  |
| 1.4.1 Gemini surfactant structure and aggregation properties                  | 17  |
| 1.4.1.1 Effect of the spacer                                                  | 18  |

|                                                                                                                  |    |
|------------------------------------------------------------------------------------------------------------------|----|
| 1.4.1.2 Effect of the alkyl tail group                                                                           | 18 |
| 1.4.2 Gemini surfactant interaction with biomacromolecules                                                       | 19 |
| 1.4.3 A $\beta$ and surfactant interaction                                                                       | 20 |
| 1.4.4 A $\beta$ and gemini surfactant interaction                                                                | 21 |
| 1.5 Objectives of the proposal research                                                                          | 24 |
| Chapter 2 : Experimental procedures                                                                              | 25 |
| 2.1 Materials                                                                                                    | 25 |
| 2.2 Method                                                                                                       | 26 |
| 2.2.1 Surface tension measurements                                                                               | 26 |
| 2.2.2 Isothermal titration calorimetry and particle size measurement                                             | 27 |
| 2.2.3 Particle size measurement                                                                                  | 29 |
| Chapter 3 : Result and Discussion                                                                                | 30 |
| 3.1 Surface tension studies of the gemini surfactants / A $\beta$ systems                                        | 30 |
| 3.2 Gemini surfactant-A $\beta$ interaction: ITC and particle size measurement                                   | 34 |
| 3.2.1 Interactions between the un-substituted (12-s-12) gemini surfactants and A $\beta$                         | 39 |
| 3.2.2 Interactions between the hydroxyl-substituted (12-4(OH) <sub>x</sub> -12) gemini surfactants and A $\beta$ | 45 |
| 3.2.3 Interactions between ethoxy-substituted (12-EO <sub>x</sub> -12) gemini surfactants and A $\beta$          | 50 |
| 3.2.4 Interactions between aza-substituted (12-XN-12) gemini surfactants and A $\beta$                           | 53 |
| 3.2.5 Summary of ITC and DLS results                                                                             | 57 |
| Chapter 4: Conclusions                                                                                           | 59 |
| Appendix 1                                                                                                       | 61 |
| References                                                                                                       | 64 |

## List of Figures

|                                                                                                                                                                                                |    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Figure 1.2.2.1: Tau binding to microtubules                                                                                                                                                    | 3  |
| Figure 1.2.3. 1: The amino acids in A $\beta$ (1-42)                                                                                                                                           | 5  |
| Figure 1.2.3. 2: <i>In vivo</i> synthesis of A $\beta$                                                                                                                                         | 5  |
| Figure 1.2.4.1: A $\beta$ aggregation                                                                                                                                                          | 6  |
| Figure 1.2.5.1: The amyloid cascade and the potential therapeutic interventions mixture which also interacts at the surface                                                                    | 7  |
| Figure 1.3.2.1: Idealised surface tension of a weakly interacting polymer/surfactant                                                                                                           | 14 |
| Figure 1.4.1: Gemini surfactant structure                                                                                                                                                      | 17 |
| Figure 1.4.1.2.1: The correlation between the odd numbered alkyl chain enthalpy changes, and even numbered alkyl chain and enthalpy changes                                                    | 19 |
| Figure 1.4.4. 1: Mechanism of the C12C6C12Br2 micelles disassembling the A $\beta$ (1-40) fibril.                                                                                              | 23 |
| Figure 2.1.1: Structure of the amine-substituted Gemini Surfactants.                                                                                                                           | 26 |
| Figure 2.2.2.1: Schematic diagram of an ITC instrument                                                                                                                                         | 28 |
| Figure 3.1. 1. Surface tension plot for the titration of the 12-8N-12 gemini surfactant (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 $\mu$ g/mL solution of A $\beta$ in water | 32 |
| Figure 3.2.1 Observed enthalpies for the addition of un-substituted gemini surfactants in water (◆), and in A $\beta$ (1 $\mu$ g/ml) solution(■)                                               | 35 |
| Figure 3.2.2 Observed enthalpies for the addition of hydroxyl-substituted gemini surfactants in water (◆), and in A $\beta$ (1 $\mu$ g/ml) solution(■)                                         | 36 |
| Figure 3.2.3: Observed enthalpies for the addition of ethoxyl-substituted gemini surfactants in water(◆), and in A $\beta$ (1 $\mu$ g/ml) solution(■)                                          | 36 |
| Figure 3.2.4: Observed enthalpies for the addition of amine-substituted gemini surfactants in water(◆), and in A $\beta$ (1 $\mu$ g/ml) solution(■)                                            | 37 |
| Figure 3.2.1 .1. A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-2-12 (◆), 12-3-12(■), 12-4-12 (▲) and 12-7-12 (○) into A $\beta$ (1 $\mu$ g/ml) solution        | 40 |

|                                                                                                                                                                                                                                                                             |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Figure 3.2.1.2 Expanded enthalpy profile for the the addition of 12-2-12 (◆), 12-3-12(■), 12-4-12 (▲) and 12-7-12 (○) into Aβ (1μg/ml) solution                                                                                                                             | 43 |
| Figure 3.2.2.1. A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-4(OH)-12 (◆), and 12-4(OH) <sub>2</sub> -12 (■) into Aβ (1μg/ml) solution                                                                                                     | 47 |
| Figure 3.2.2.2. 12-4(OH) <sub>2</sub> -12/Aβ conformation: Aβ aggregate (A), disrupted Aβ (B), disrupted Aβ while surfactant make each particle smaller than part B (C). Aβ (◆), Surfactant (◆), unavailable spaces with a potential to interact with gemini surfactant (◆) | 48 |
| Figure 3.2.2.3: 12-4(OH)-12/Aβ conformation (A), and 12-4(OH) <sub>2</sub> -12/Aβ conformation (B). Aβ (◆), gemini surfactant (◆), hydroxyl group (◆)                                                                                                                       | 50 |
| Figure 3.2.3.1. A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-EO <sub>1</sub> -12 (◆),12-EO <sub>2</sub> -12 (■), and 12-EO <sub>3</sub> -12 (▲)into Aβ (1μg/ml) solution                                                                   | 52 |
| Figure 3.2.4.1 A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-5N-12 (◆), and 12-8N-12 (■) into Aβ (1μg/ml) solution                                                                                                                          | 55 |
| Figure 3.2.4.2. 12-5N-12/Aβ conformation (A), 12-8N-12/Aβ conformation (B): Aβ (◆),Carbon(●), Nitrogen (◆), Methyl group (■)                                                                                                                                                | 56 |
| Figure A.1.1: Surface tension plot for the titration of the 12-s-12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water                                                                                           | 70 |
| Figure A1.2: Surface tension plot for the titration of the 12-4(OH) <sub>x</sub> -12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water                                                                          | 71 |
| Figure A1.3: Surface tension plot for the titration of the 12-EO <sub>x</sub> -12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water                                                                             | 71 |
| Figure A1.4: Surface tension plot for the titration of the 12-XN-12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water                                                                                           | 72 |

## List of Tables

|                                                                                                                                                                                                                               |    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Table 3.1.1: Critical micelle concentrations (cmc) and head group areas ( $a_0$ ) obtained from surface tension measurements for the gemini surfactants in water and in 1 $\mu\text{g/mL}$ $A\beta$ in water solutions        | 32 |
| Table 3.2.1: Critical micelle concentrations (CMC) and enthalpies of micellization ( $\Delta H_{\text{mic}}$ ) obtained from ITC measurements for the gemini surfactants in water and in 1 $\mu\text{g/mL}$ $A\beta$ in water | 38 |

## List of Abbreviations

|               |                                                      |
|---------------|------------------------------------------------------|
| $a_0$         | head group areas                                     |
| A $\beta$     | Amyloid Beta                                         |
| AChEI         | Acetylcholinesterase Inhibitors                      |
| ACE           | Angiotension Converting Enzyme                       |
| AD            | Alzheimer's Disease                                  |
| AFM           | Atomic Force Microscopy                              |
| AICD          | APP intracellular domain                             |
| APP           | Amyloid Precursor Protein                            |
| BACE1         | Beta Amyloid Precursor Protein-Cleaving Enzyme 1     |
| BBB           | Blood-Brain Barrier                                  |
| CAC           | Critical Aggregation Concentration                   |
| CMC           | Critical Micelle Concentration                       |
| CTF99         | C-terminal fragment                                  |
| DTAB          | dodecyl trimethyl ammonium bromide                   |
| ECE           | Endothelin converting enzyme                         |
| EM            | Electron microscope                                  |
| GS            | Gemini surfactants                                   |
| HFIP          | Hexafluoroisopropanal                                |
| HMP           | Hexadecyl-N-methylpiperidinium                       |
| IDE           | Insulin Degrading Enzyme                             |
| ITC           | Isothermal titration calorimetry                     |
| Lenti-Nep     | lentiviral vector expressing human neprilysin        |
| LRP-1         | lipoprotein receptor related protein                 |
| NEP           | Neprilysin                                           |
| NFTs          | Neuritic plaques and neurofibrillary tangles         |
| NNLS          | non-negative least squares                           |
| NSAIDs        | Non-Steroidal Anti-Inflammatory Drugs                |
| PPAR $\gamma$ | peroxisome proliferator activated receptor- $\gamma$ |
| R             | Gas constant                                         |
| RAGE          | receptor for advanced glycation end products         |

|                                 |                                                                                     |
|---------------------------------|-------------------------------------------------------------------------------------|
| $X_{\text{cmc}}$                | surfactant mole fraction at cmc                                                     |
| $\Delta G^{\circ}_{\text{mic}}$ | free energy of micellization                                                        |
| $\Delta G$                      | Gibbs free energy per mole of surfactant aggregation to a polymer                   |
| $\Delta G^{\circ}_{\text{PS}}$  | Gibbs free energy per mole of surfactant involved in polymer/surfactant interaction |
| sAPPB                           | N-terminal fragment                                                                 |
| SDS                             | sodium dodecyl sulfate                                                              |
| T                               | absolute temperature                                                                |
| $\Delta S$                      | entropy                                                                             |
| $\Gamma$                        | Gibbs surface excess concentration for the surfactant at the air water interface    |

## **Chapter 1: Introduction**

### **1.1 Introduction and Review of Prior Knowledge about Alzheimer's disease (AD)**

Alzheimer's disease (AD) is the most prevalent type of dementia affecting cognition, behavior, and functional status (1). The clinical presentations of Alzheimer's disease are: 1) memory loss (2), 2) gradual cognitive decline (3), 3) behavioral and psychological disturbances at moderate (4), 4) frequent loss of daily function (5-8). According to a census in 2009 (9) the number of people suffering from dementia in the world is about 35.6 million and this number is predicted to increase to 115.4 million by the year 2050. AD is the fifth cause of death in United States for people above age 65 and is the main cause of dementia in late-life dysfunction. Approximately 5.3 million Americans have AD; this number is predicted to increase to 13.2 million by the year 2050 (7). There is currently no cure and the pathophysiologic mechanisms leading to Alzheimer's disease are not completely known (7).

### **1.2 Pathophysiology of AD**

#### **1.2.1 Cholinergic hypothesis of AD**

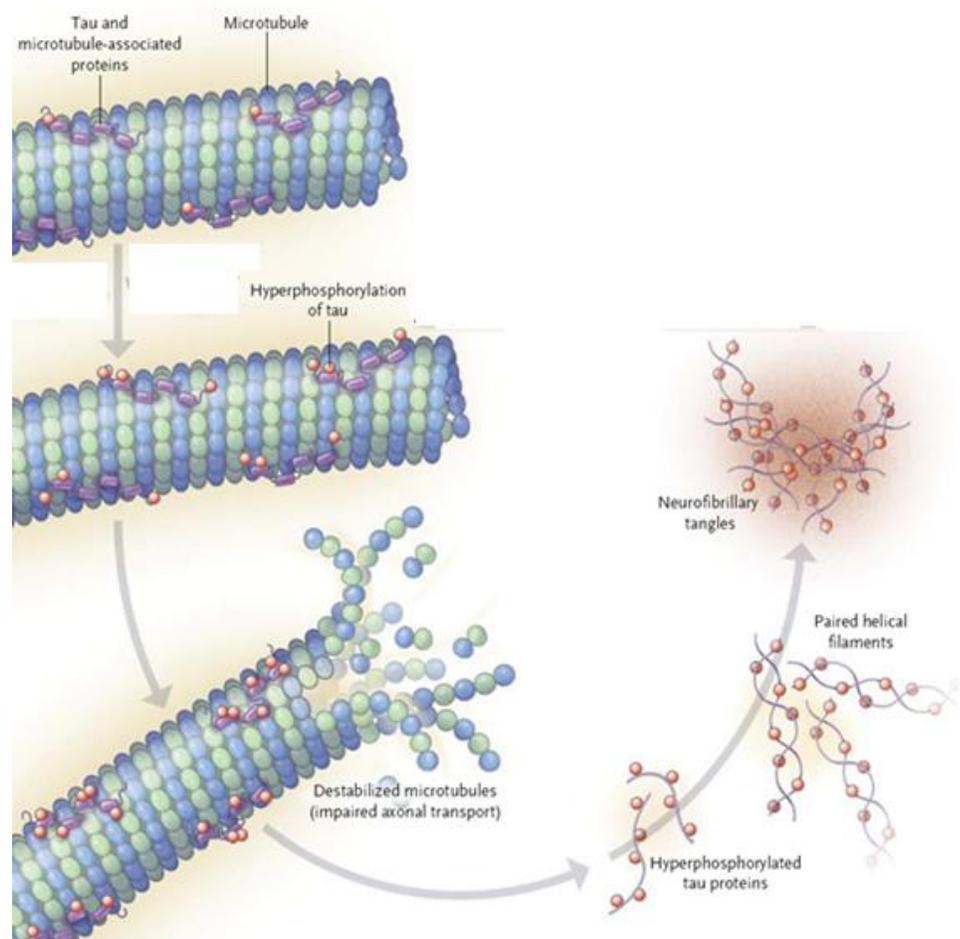
In the early 1970s, the pathology of AD was explained as a neurochemical abnormality. Different studies with brains of AD patients have shown the relationship between acetylcholine and memory and confirmed that there is a reduction in choline uptake and acetylcholine (ACh)

release. These findings have led to the cholinergic-deficit hypothesis (10,11). Blocking the central cholinergic activity resulted in memory impairment, whereas using cholinergic agonists reversed the effect (12,13). The acetylcholine receptors are integral membrane proteins to which acetylcholine binds. These receptors consist of nicotinic and muscarinic receptors (14). Various studies have observed a correlation between declining acetylcholine receptors in the brain and AD (15,16). Activation of acetylcholine receptors leads to the elevation of the cytoplasmic calcium level that mediates calcium-dependent intracellular processes responsible for learning and memory (17). The lack of cholinergic function is related to cognitive dysfunction. Therapeutic interventions for treatment of cholinergic dysfunctions include cholinesterase inhibition, choline precursors, postsynaptic cholinergic (18) stimulation and presynaptic cholinergic stimulation. These strategies are not effective in treating AD since they cannot prevent neuronal degeneration; however, they can stabilize cognitive decline for about 6 months (19,20,21).

### **1.2.2 Neurofibrillary Tangles**

Two pathophysiological hallmarks of Alzheimer's disease are senile plaques (consisting of amyloid  $\beta$  peptide) and neurofibrillary tangles (22). Neurofibrillary tangles are comprised mainly of the hyperphosphorylated tau protein (23) which, in normal neurons, is involved in the assembly and stability of microtubules as well as in axonal transport (24,25). Destabilization of microtubules, which form the neuronal cytoskeleton, can be an important factor in the pathogenesis of AD (26) (Figure 1.2.2.1). Protein kinases and protein phosphatases are

responsible for phosphorylation and dephosphorylation of tau protein, respectively (27). The consequence of imbalance in the enzyme activities is hyperphosphorylation and neurofibrillary tangle formation which cause neuronal cell death (11,28,29).



**Figure 1.2.2.1: Tau binding to the microtubules.** Tau binding promotes microtubule assembly and stability. Excessive kinase, reduced phosphatase activities, or both, cause hyperphosphorylated tau to detach and self-aggregate, and microtubules to destabilize. Adapted from (30).

### 1.2.3 Amyloid Beta Peptide (A $\beta$ ) formation

In healthy people, the rate of A $\beta$  production equals the clearance rate; whereas in Alzheimer patients the A $\beta$  production rate is significantly greater than the clearance rate. It has been shown that in the brain of AD patients, there are amyloid plaques that consist of A $\beta$  fibrils. In fact, A $\beta$  protein aggregates as toxic oligomers that induce local inflammation, neurotoxicity, and cell death (11).

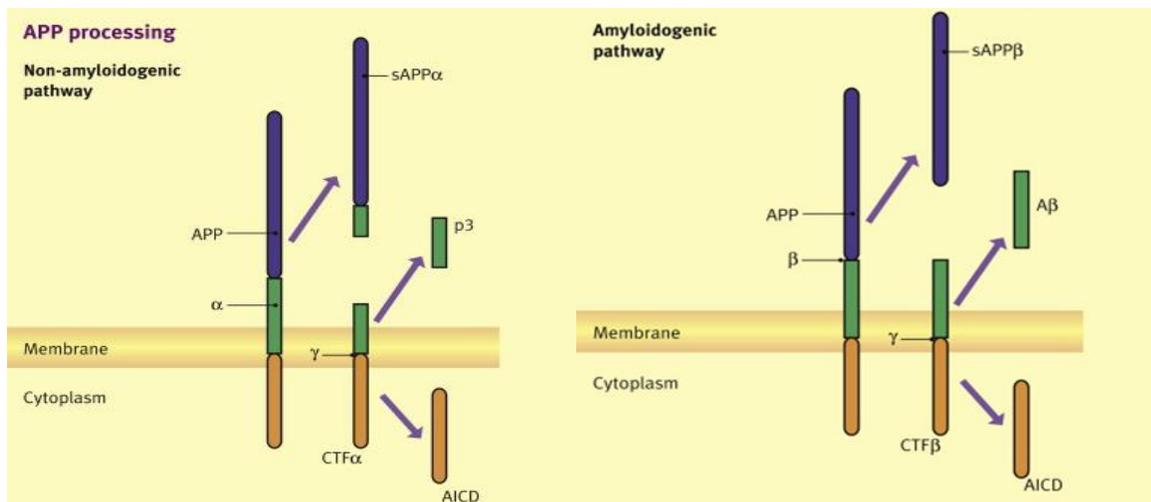
A $\beta$  is a peptide consisting of 36 to 43 amino acids (Figure 1.2.3.1). It is synthesized from amyloid precursor protein (APP) by the action of beta amyloid precursor protein-cleaving enzyme 1 (BACE1),  $\alpha$  secretase and  $\gamma$ -secretase. According to the amino acid sequence of A $\beta$  (Figure 1.2.3.1), 25 hydrophobic amino acids in A $\beta$  form a hydrophobic core. Previous research indicates that the region between amino acid 17 and 21 of A $\beta$  has high hydrophobicity. The probability of  $\beta$  sheet formation is high in residues 6 and 8 as well as residues 23 and 27. The residues 34-42 are very hydrophobic and highly insoluble, and have been implicated in nucleating amyloid fibril formation (31).

APP is a glycosylated transmembrane protein, consisting 695 of amino acids, that has extracellular and intracellular domains. Three proteinase enzymes named  $\alpha$ ,  $\beta$  and  $\gamma$  secretase can cut APP at specific sites.  $\alpha$  secretase cleaves APP and generates neuroprotective sAPP $\alpha$ .  $\beta$  secretase is responsible for the amyloidogenic process, BACE1 cuts the APP to the N-terminal fragment (sAPP $\beta$ ) and a C-terminal fragment (CTF99) that has 99 amino acids in its structure. Then a second BACE1 cleavage site in APP is cut by BACE1 and yield CTF89. Subsequently,

the  $\gamma$  secretase complex cleaves the CTF89 and yields A $\beta$  and APP intracellular domain (AICD) (Figure 1.2.3.2) (32).

*A $\beta$ 42* Aspartic acid<sup>1</sup>- **Alanine**<sup>2</sup>- Glutamic acid<sup>3</sup>- **Phenylalanine**<sup>4</sup>- Arginine<sup>5</sup>- Histidine<sup>6</sup>- Aspartic acid<sup>7</sup>- Serine<sup>8</sup>- **Glycine**<sup>9</sup>- Tyrosine<sup>10</sup>- Glutamic acid<sup>11</sup>- **Valine**<sup>12</sup>- Histidine<sup>13</sup>- Histidine<sup>14</sup>- Glutamine<sup>15</sup>- Lysine<sup>16</sup>-**Leucine**<sup>17</sup>- **Valine**<sup>18</sup>- **Phenylalanine**<sup>19</sup>- **Phenylalanine**<sup>20</sup>-**Alanine**<sup>21</sup>- Glutamic acid<sup>22</sup>- Aspartic acid<sup>23</sup>- **Valine**<sup>24</sup>- **Glycine**<sup>25</sup>- Serine<sup>26</sup>- Asparagine<sup>27</sup>- Lysine<sup>28</sup>- **Glycine**<sup>29</sup>-**alanine**<sup>30</sup>- **Isoleucine**<sup>31</sup>- **Isoleucine**<sup>32</sup>- **Glycine**<sup>33</sup> **Leucine**<sup>34</sup>- **Methionine**<sup>35</sup>- **Valine**<sup>36</sup>- **Glycine**<sup>37</sup>- **Glycine**<sup>38</sup>- **Valine**<sup>39</sup>- **Valine**<sup>40</sup>- **Isoleucine**<sup>41</sup>- **Alanine**<sup>42</sup>-

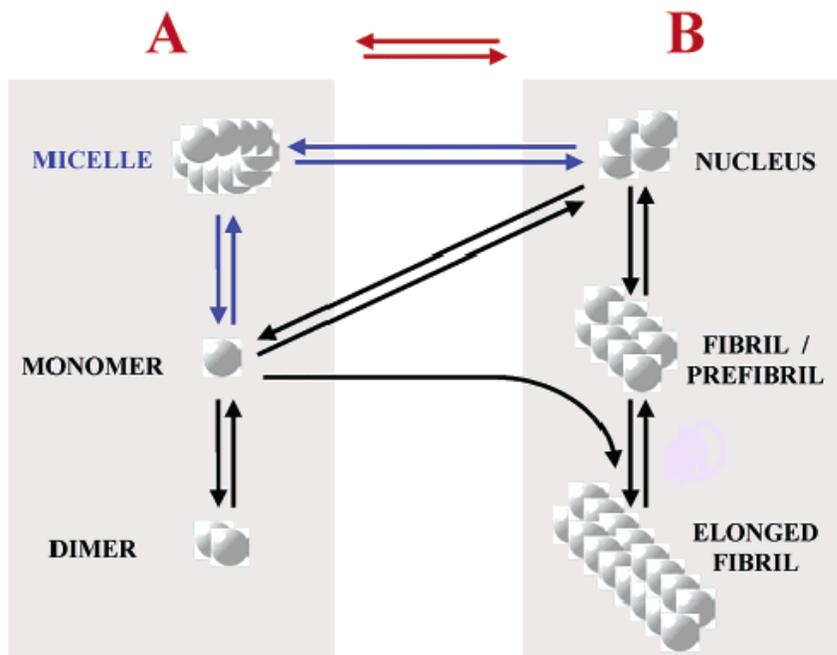
**Figure 1.2.3. 1:** The amino acids in AB(1-42). The amino acids in bold are hydrophobic (33).



**Figure 1.2.3. 2: *In vivo* synthesis of A $\beta$ .** The APP is metabolized throughout the non-amyloidogenic pathway. The action of  $\alpha$  and  $\beta$  secretases is producing sAPP  $\alpha$  and AICD. In The amyloidogenic pathway  $\beta$  secretase is involved in releasing sAPP  $\beta$  and then  $\gamma$ -secretase responsible for the production of AICD and A $\beta$ . Adapted from (34).

### 1.2.4 A $\beta$ assembly

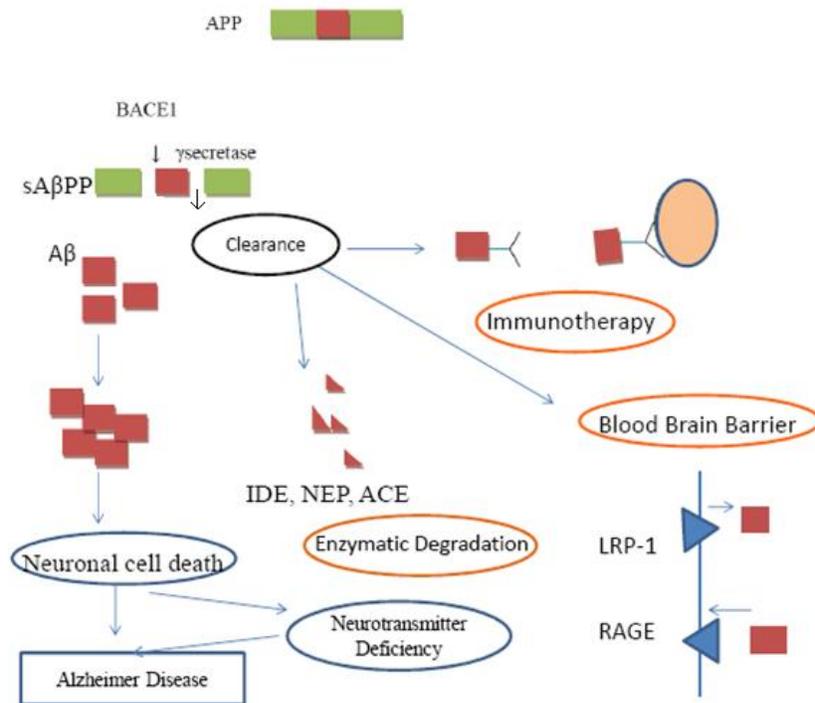
Two stages of A $\beta$  fibrillogenesis are nucleation and fiber formation. A $\beta$  monomers first produce micelles, and then the micelles turn into an ordered nucleus that has specific sites for the addition of monomers. The formation of A $\beta$  protofibrils is the consequence of adding more monomers to the nucleus, and then these protofibrils turn into mature fibrils (Figure 1.2.4.1) (35). Also, there is equilibrium between particles in part A and B (Figure 1.2.4.1). When the peptide concentration reaches the critical point, micelles form. The transition of part A to part B includes two processes, new fibril formation and elongation of existence fibrils. In fact, nucleus can grow by the addition of dimers, monomers or micelles (36).



**Figure 1.2.4.1. A $\beta$  aggregation.** Native A $\beta$  turns to fibril through fibrillogenesis process. Adapted from (36)

### 1.2.5 Anti amyloid therapy

A $\beta$  fibril plays an important role in AD pathophysiology. The relationship between A $\beta$  fibril and AD is called amyloid hypothesis (37). According to this hypothesis the consequences of A $\beta$  fibrils accumulation in the brain are oxidative stress, neuronal destruction, synaptic dysfunction and appearance of signs and symptoms of AD. Formation of amyloid oligomers causes local inflammation and neurotoxicity in the brain. A $\beta$  formation leads to the tau protein folding in the neuronal cells and cell death. Neuronal cell death leads to neurotransmitter imbalance in the brain and cognitive deficiencies in AD. According to the above pathways leading to AD, there are three strategies which can be used in AD treatment (Figure 1.2.5) (11).



**Figure 1.2.5.1 The amyloid cascade and the potential therapeutic interventions.** Pharmacotherapy for AD can be aimed at decreasing A $\beta$  generation, stimulating A $\beta$  clearance or preventing aggregation of A $\beta$ . Adapted from (11).

### 1.2.5.1 Decreasing A $\beta$ production

As A $\beta$  is the product of APP cleavage by  $\beta$  and  $\gamma$  secretase, one strategy in AD treatment can be the inhibition of the enzyme activity (Figure 1.2.5). BACE1 mRNA increases by proinflammatory mediators. Therefore, non-steroidal anti-inflammatory drugs (NSAID) have been suggested to be effective in BACE1 inhibition; due to their ability to control the production of the nuclear transcriptional regulator peroxisome proliferator activated receptor- $\gamma$  (PPAR  $\gamma$ ). The presence of PPAR  $\gamma$  leads to the deactivation of the BACE1 gene promoter and decreases  $\beta$  secretase mRNA levels. However, experimental data in this area is inconclusive (38).

Prevention of  $\gamma$  secretase activity can also be used to inhibit A $\beta$  production. According to a 6 week trial in AD patients, the  $\gamma$  secretase inhibitor LY450139, showed reduction in A $\beta$ -40 level in serum.(39). As  $\gamma$  secretase has various substrates, inhibition of this enzyme leads to some adverse effects such as gastrointestinal problems and neurodegeneration (11).

Increasing  $\alpha$  secretase activity can be helpful in prevention of A $\beta$  production as well as the generation of neuroprotective substance sAPP $\alpha$  (11).  $\alpha$  secretase is a member of the ADAM-10 family of protease. It seems that overexpression of ADAM-10 reduces amyloid plaque formation as well as alleviating synaptic plasticity and deficits in spatial learning in animals. Therefore,  $\alpha$  secretase activation can be helpful in improving cognitive status in human. The other way to increase  $\alpha$  secretase activity is stimulation of muscarine-1 receptor with M1-agonists (e.g. talsaclidine). These agonists are shown to decrease  $\gamma$ -secretase and BACE1 activity as well (11).

### 1.2.5.2 Increasing A $\beta$ clearance

Clearance of A $\beta$  from the brain through immunotherapy and enzymatic degradation is another strategy in AD treatment (Figure 1.2.5). Immunotherapy targeting A $\beta$  has been tried using both active and passive immunization. The first trial was active vaccination using a vaccine that contained full length A $\beta_{42}$  peptide. Unfortunately, this vaccine caused severe adverse effect (meningoencephalitis), however long term follow up of the patients who received vaccine showed that there was a reduction in cerebrospinal fluid tau compared to the placebo group(40,41). The second active immunization that has been studied was active” Humoral only” immunization. This vaccine contained small peptide sequences of A $\beta$  conjugated to a carrier. The study showed that the antibodies to A $\beta$  were produced in 80% of patients who received the vaccine (42). In the studies using passive immunotherapy the humanized Anti A $\beta$  monoclonal antibodies were used. Some monoclonal antibodies that have been used were bapineuzumab, gantenerumab and solanezumab (43,44).

The mechanism of clearance of A $\beta$  by anti-A $\beta$  antibody is still unknown (45). However there are some hypotheses that explain it. It has been shown that a class of anti-A $\beta$  binds to A $\beta$  aggregates and microglial phagocytotic system clears A $\beta$  plaques by phagocytosis of the anti- A $\beta$  attached to the A $\beta$  plaques (46). The second proposed mechanism is that anti-A $\beta$  attaches to soluble A $\beta$  in the peripheral circulation and makes a peripheral sink condition, resulting in a decrease in the amount of soluble A $\beta$  in the bloodstream which therefore causes an efflux of A $\beta$  from the brain back into the peripheral blood stream (47).

Enzymatic degradation is another strategy to increase A $\beta$  clearance. Neprilysin (NEP) is a degrading enzyme that has a catalytic site and can cleave regulatory peptides (with up to 50 amino acids) on the N-terminal side of hydrophobic amino acid residues (48), making A $\beta$  a substrate for NEP. It was shown that NEP localizes in the pre and post synaptic area. Hence, different studies were conducted to assess the physiological and pathophysiological importance of NEP in nervous system in animal model. In one study, which assessed the effect of NEP on the amyloidogenesis process, a lentiviral vector expressing human neprilysin (Lenti-Nep) was produced and tested in transgenic models of amyloidosis. In that study, to evaluate the anti-amyloidogenic effects of NEP in vivo, lentiviral virus expression NEP gene was injected into the CNS of transgenic mice which develop high level of amyloid deposition. It was observed that amyloid plaques on the Lenti-NEP injected hemispheres were smaller compared to the contralateral side (49).

### **1.2.5.3 Influencing blood-brain barrier (BBB) transport**

There are two enzymes that are responsible in A $\beta$  transportation across the BBB; the receptor for advanced glycation end products (RAGE), and the low density lipoprotein receptor related protein (LRP-1). RAGE transports A $\beta$  from the systemic circulation across the BBB. LRP-1 is responsible for transporting A $\beta$  out of the brain. In AD, RAGE is upregulated and LRP-1 is downregulated, resulting in increased A $\beta$  concentration in the brain. As such, any molecule that affects LRP-1 and RAGE activities (i.e., upregulating LRP-1 and downregulating RAGE) may be efficient in AD treatment (Figure 1.2.5) (11).

## **1.3 Polymer-surfactant system**

### **1.3.1 Application of polymer-surfactant system**

Polymer and surfactant are commonly found in cosmeceutical products. When these two materials are used together in the same product, the possibility of interaction between their molecules increases. This interaction alters the physicochemical properties of the products. Having knowledge about the physicochemical properties of surfactant-polymer system can be helpful in determining how this system works and also give worthwhile insights to scientists who desire to design the surfactant-polymer mixture with some specific properties (50). A $\beta$  is a biomacromolecule (biopolymer) and its structure and aggregation can be affected by surfactants. Different studies show the effectiveness of surfactant molecules in disaggregation of A $\beta$  aggregates (51,52).

### **1.3.2 Polymer- Surfactant Interaction**

The polymer-surfactant interaction has been investigated in different studies (53,54). There are nine possible interactions according to the charge of the polymer and surfactant molecules:

- positive charged polymer-positive charged surfactant,
- positive charged polymer- negative charged surfactant,
- positive charged polymer- uncharged surfactant,
- negative charged polymer- negative charged surfactant,
- negative charged polymer- positive charged surfactant,

- negative charged polymer- uncharged surfactant,
- uncharged polymer- positive charged surfactant,
- uncharged polymer- negative charged surfactant,
- uncharged polymer- uncharged surfactant

If the two components have the same charge, a strong interaction is unlikely due to repulsive interactions. Also, the interactions between nonionic components are unable cause strong interactions between the surfactant and the polymer. The only strong interactions that exist between polymer and surfactant molecules are those within ionic surfactant-neutral polymer systems or ionic surfactant- opposite charged polymer systems (55).

In polymer- surfactant solution, the surfactant interaction with polymer is controlled by Van de Waals, hydrophobic effect, dipolar, acid-base and electrostatic interactions (56). When a surfactant is added to a solution containing polymer molecules, micellization occurs at lower concentration than the surfactant's "critical micelle concentration" (cmc). Surfactant and polymer molecules begin to form complex aggregate structure that looks like a "string of pearls" at a certain concentration that is called "critical aggregation concentration" (cac) which is lower than cmc. Polymer units make surfactant aggregates more stable. In fact, it is assumed that the polymer molecules act as a seed for surfactant molecules (56). The following equation shows the free energy of micellization of a surfactant:

$$\Delta G_{mic}^{\circ} = RT \ln X_{cmc} \quad (\text{Equation 1.1})$$

Where  $X_{cmc}$  is the surfactant mole fraction at cmc,  $\Delta G_{mi}^{\circ}$  is the free energy of micellization, R is the Gas constant and T is the absolute temperature.

However, the polymer-surfactant interaction is more complicated than formation of free surfactant micelles. In polymer-surfactant interaction, the surfactant forms both aggregates and micelles. Assuming that the driving force for surfactant attaching onto polymers is the same as the one in a normal free surfactant micellization process, the free energy per mole of surfactant aggregation to a polymer can be calculated by the following equation:

$$\Delta G_b^\circ = RT \ln cac \quad (\text{Equation 1.2})$$

Where  $\Delta G$  is Gibbs free energy per mole of surfactant aggregation to a polymer,  $R$  is the gas constant, and  $T$  is the absolute temperature.

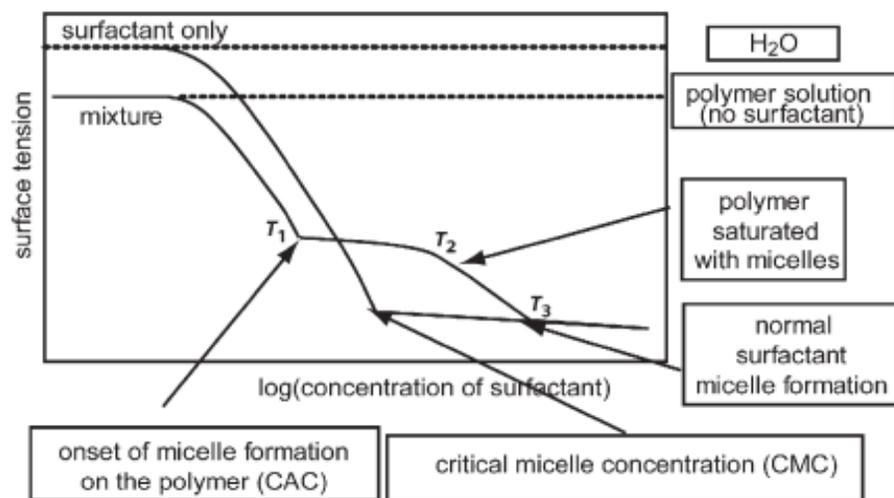
Now by having equation 1.1 and 1.2, we can calculate the free energy per mole of surfactant involved in polymer-surfactant interaction:

$$\Delta G_{PS}^\circ = \Delta G_b^\circ - \Delta G_{mic}^\circ = RT \ln \frac{cac}{cmc}$$

where  $\Delta G_{PS}^\circ$  is Gibbs free energy per mole of surfactant involved in polymer/surfactant interaction. It is important to note that the ratio  $\frac{cac}{cmc}$  should be less than 1 (which means  $cac$  should be less than  $cmc$ ), otherwise if  $cmc$  is less than  $cac$ , it shows that surfactant molecules have more tendency to make surfactant micelles rather than interacting with polymer molecules (56,57).

Surface tension changes are different in a solution that contains surfactant and a solution that comprises a polymer and surfactant together (Figure 1.3.2.1). In the surfactant plot, there is only one break that represents the  $cmc$ . However, in the surfactant-polymer plot, the first break ( $T_1$ ) represents the onset of aggregate formation on the polymer ( $cac$ ).  $T_2$  is related to the point at

which polymer is saturated with surfactant molecules. In the region between  $T_1$  and  $T_2$ , the surfactant molecules attach to the polymer. Therefore, not much change is observed in the surface tension of the surfactant-polymer solution. After polymer saturation with surfactant molecules, addition of more surfactant into the solution causes reduction in the solution's surface tension until it reaches the second break ( $T_3$ ) which is called the cmc. At this point the surface tension of the surfactant-polymer solution does not change significantly (58).



**Figure 1.3.2.1: Idealised surface tension of a weakly interacting polymer/surfactant mixture which also interacts at the surface.** The surface tension for the surfactant on its own is also shown. Adapted from (58).

### 1.3.3 Protein-Surfactant Interactions

Proteins are biological heterogeneous polymers which consist of amino acids as their building blocks. Amino acids may have a polar or non polar, ionic, or nonionic side chain. Therefore, proteins can be amphoteric polyelectrolytes or amphiphilic polymers bearing charge

density. Structurally speaking, the difference between polymers and proteins is that proteins have less flexibility in their conformation and have much more structural stability than polymers (59).

The interaction between surfactant and protein molecules is divided into two categories:

1- below the cmc 2- above the cmc (60). Below the cmc, protein molecules play an important role in forming micelle-like surfactant clusters. As the surfactant concentration increases, the binding sites in protein become saturated and surfactant molecules tend to become micelles. At this point the protein starts to become unfolded which means the secondary and tertiary structure of a protein will be affected by the addition of surfactant (60).

In protein-surfactant interaction, surfactant causes protein unfolding, refolding (i.e., after the native protein structure is disturbed by surfactant molecules, it can be refolded by the force of surfactant molecules; however, the refolded structure is not the same as the original native conformation), enzyme activation and protein solubilization. In addition, surfactant molecules can affect the protein stability or enzyme activity. The mechanisms that are involved in these interactions are important to analyze and optimize the protein-surfactant interaction (61).

The surfactant can be found in various states in the solution: monomeric, shared micelle and regular micelle. If the surfactant is ionic, its shape and ionic strength make the surfactant-protein interaction more complex. Anionic surfactants attach to the cationic part of the protein and cationic surfactants bind to the anionic region of the protein. The hydrophobic areas in surfactant and protein bind together (60).

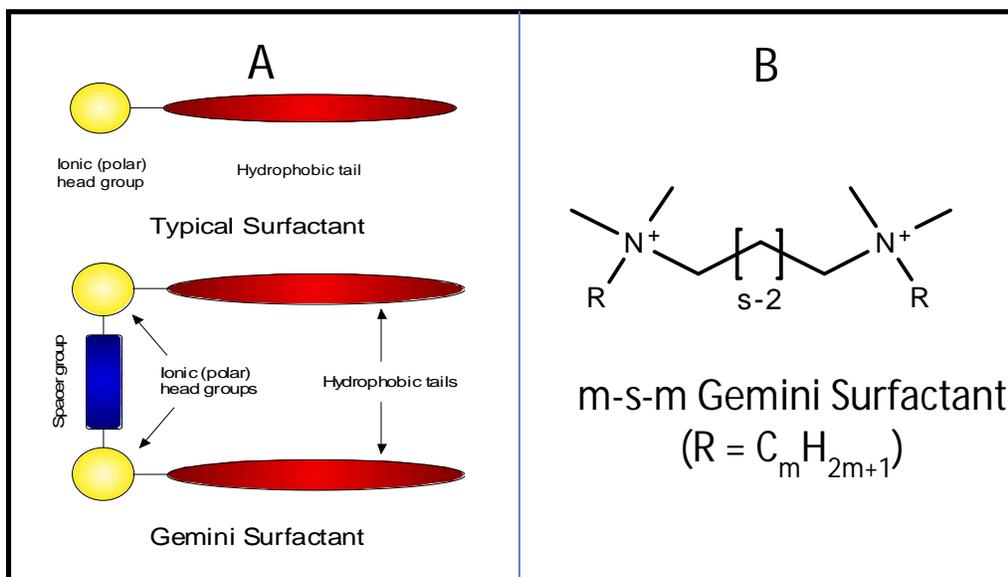
There are various ways for denaturing protein molecules, such as heating or adding surfactant in certain concentration. As the surfactant and protein molecules are both amphiphilic,

the possibility of interaction between them is high. The surfactant molecule binds to protein in a stage that the conformation of protein will completely change, and this change is irreversible because the secondary and tertiary structure of protein will be destructed. In fact, if the surfactant molecule is removed from the protein solution, the protein molecule cannot conform to its original structure (59).

#### **1.4 Gemini surfactant**

The structure of the gemini surfactant (GS) contains two polar head groups and two non-polar tails, with a spacer covalently linking the two polar groups (Figure 1.4.1). Their structure can be thought of as essentially a dimer of two traditional surfactant molecules. The spacer can be hydrophobic, hydrophilic, short or long, rigid or flexible (62). The most widely investigated GS are the quaternary ammonium *m-s-m* type in which *m* represents the number of carbon atoms in the alky tail groups and *s* is the number of carbon atoms in a polymethylene spacer. There are numerous other examples of GS including anionic, cationic, zwitterionic, and non-ionic, with a wide variation in the type of head group, the length and composition of the spacer group, and the length of the alkyl tail groups (62). As the structure of GS is flexible, it is easy to choose two identical or different amphiphilic molecules and link them with a desired spacer group.

Since gemini surfactants have specific self-assembly abilities, they have much more important characteristics than conventional monomeric surfactants. Some distinctive features of gemini surfactants are: considerably low cmc values compared to monomeric surfactant, high surface activity, low Kraft temperature, ability to form a wide range of aggregate structures, and better wetting ability (50).



**Figure 1.4.1:** Gemini surfactant structure. A) Structural similarity and contrast between typical surfactant monomer and Gemini surfactant monomer. B) General structure of m-s-m Gemini surfactant. Adapted from (63).

### 1.4.1 Gemini surfactant structure and aggregation properties

Changes in gemini surfactant structure can affect their behaviour in solution and interactions with polymers and biomacromolecules. For instance, the addition of hydrophilic groups in the spacer increases the hydrophilicity of the molecules, or gemini surfactants can become more water soluble if their alkyl chain carbon number decreases (62).

As A $\beta$  is a peptide containing various amino acids, its structure can be affected by gemini surfactants. Diversity in the gemini surfactants' structure is helpful in determining the nature of interactions between gemini surfactants' different parts and A $\beta$ . If it is observed that the hydrophobic part of gemini surfactant is more important in interaction with A $\beta$  than the spacer

group, the attempts will be focused on the alkyl tail group and its hydrophobic properties in interaction with A $\beta$ . Thus, variation in gemini surfactant structure can be helpful in leading researchers to the optimum structures in interaction with A $\beta$  and preventing A $\beta$  aggregate formation.

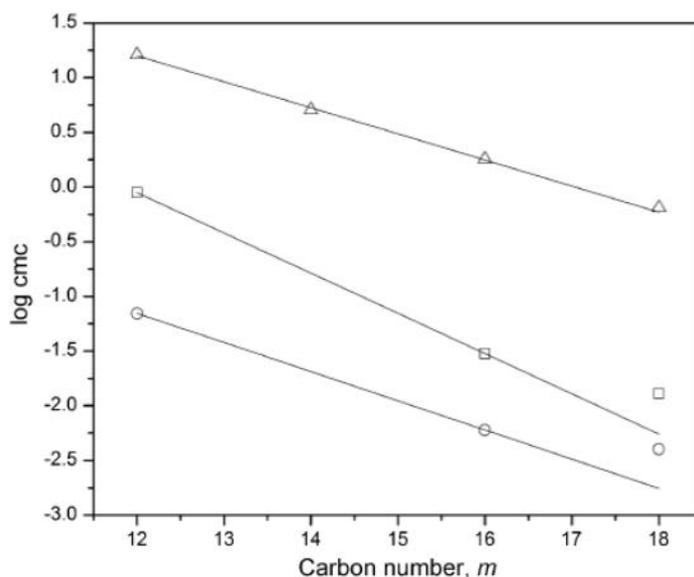
#### **1.4.1.1 Effect of the spacer**

The aggregation properties of gemini surfactant are affected by inter-molecular and intramolecular interaction of gemini surfactant molecules as well as their interaction with solvents. As it was mentioned in the previous section, gemini surfactant has a spacer which plays a critical role in gemini surfactant's characteristics. The spacer can be used to control the hydrophobic interactions. The spacer group can also be effective in manipulating the electrostatic repulsion between gemini surfactant's charged head groups (50). The nature of the spacer does not have a significant impact on the cmc of gemini surfactant. Hence, based on the expected physical or biological properties, the spacer can be changed without a substantial change in the cmc of gemini surfactant (64).

#### **1.4.1.2 Effect of the alkyl tail group**

The intra and inter molecular hydrophobic interactions between alkyl chains of gemini surfactants play an important role in gemini surfactant's characteristic (50). The length of the tail group affects cmc (Figure 1.4.1.2.1). There is a linear correlation between the number of carbon in the alkyl chain (up to m=16) and  $\ln(\text{cmc})$  for m-2-m, m-3-m, m-5-m, m-6-m. Linear correlation between alkyl tail carbon number and  $\ln(\text{cmc})$  can be observed in m-4-m up to m=18.

It was also observed that for  $m$ -6- $m$  ( $m=7,8,9,10,11,12,16$ ) the enthalpy of micellization for even numbered alkyl chain have different exothermic and endothermic values whereas for odd numbered alkyl chain the enthalpy of micellization values are all endothermic. The difference in the enthalpies arises from the various conformations of gemini surfactant molecules with even and odd alkyl chains (65).



**Figure 1.4.1.2.1. Variation of the logarithm cmc as a function of alkyl tail length for the gemini surfactants:  $m$ -3- $m$  (□); phy-3- $m$  (○);  $m$ -6-6 (△). Adapted from (66).**

### 1.4.2 Gemini surfactant interaction with biomacromolecules

As mentioned earlier, gemini surfactants' specific properties make them a target for biological and biomedical applications. In biology, the safety of compounds has a critical importance. The lower cmc of gemini surfactants compared to conventional ones permit usage in lower quantities than conventional surfactants. Thus, the amount of surfactant used in biological

research is low enough to meet the safety issues (50). The complexity of gemini surfactant interaction with biomacromolecules are more than gemini surfactant/polymer system. The reason is related to the different possible interactions between gemini surfactant and biomacromolecules (electrostatic, hydrophobic and hydrophilic interaction, hydrogen bonding). The interaction between gemini surfactant and biomacromolecules may cause change in aggregation properties, morphology and structure of biomacromolecules. The bioactivity of biomacromolecules can be affected by the gemini surfactant as well. Therefore, better understanding of gemini surfactant-biomacromolecule interaction can be helpful in optimizing the surfactant-biomacromolecule systems (50).

Among the biomacromolecules, DNA is of interest for the purpose of gene therapy. Different studies have been done to evaluate the effect of the different gemini surfactants in compacting DNA and non-viral delivery systems (67-69). Another important macromolecule is A $\beta$ . Different research focused on the effect of surfactants on disaggregation of A $\beta$  aggregates (70-72).

### **1.4.3 A $\beta$ and surfactant interaction**

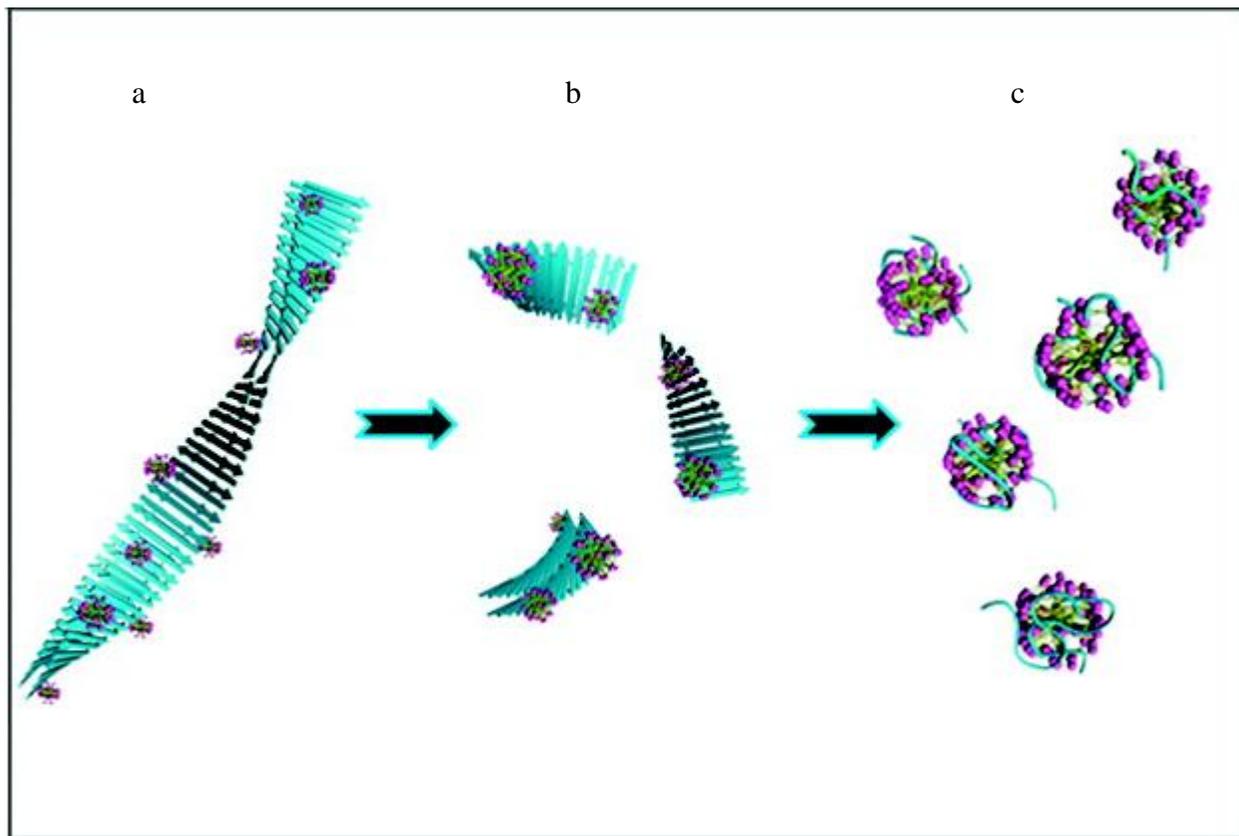
Surfactants are the effective reagents that can be used in disaggregation of A $\beta$  aggregates and in preventing A $\beta$  fibrillogenesis. It has been observed that sodium dodecyl sulfate (SDS) micelles prevent A $\beta$ (1-40) monomers aggregation and thus amyloid fibril formation stopped (73,74). Hexadecyl-N-methylpiperidinium (HMP) bromide is the other surfactant that has inhibitory effect on A $\beta$  peptide aggregation (75). The inhibitory effect of surfactants depends on their concentration. Sabaté et al. compared the effect of alkyl bromides in different

concentrations. They observed that in concentrations lower than alkyl bromides cmc, the A $\beta$  fibril formation was promoted whereas in concentration above cmc, the micelles form and cause prevention of A $\beta$  aggregate formation (36).

#### **1.4.4 A $\beta$ and gemini surfactant interaction**

Gemini surfactants can affect A $\beta$  aggregation because of their specific structure. The effect of gemini surfactants on A $\beta$  aggregation was studied by Wang et al. (2006). They used gemini surfactant 12-6-12 and compared its effect with DTAB (dodecyl trimethyl ammonium bromide). According to their research, both 12-6-12 and DTAB caused rapid aggregation of A $\beta$  at first. Then the surfactant's head groups repulsive force causes A $\beta$  fibril disruption. 12-6-12 has stronger effect on AB dissociation in lower concentration than DTAB (76). In a solution containing A $\beta$  aggregates, addition of gemini surfactant results in attachment of these molecules to A $\beta$  aggregates. In this stage dehydration of A $\beta$  aggregates occurs that leads to the formation of condensed A $\beta$  aggregates. The A $\beta$  molecule has slightly negative charge in pH=7.4 because of having Asp, Glu in its side chain. Electrostatic interactions and neutralization occur between the cationic gemini surfactant and the negatively charged A $\beta$  aggregates. All these circumstances lead to the formation of more condensed A $\beta$  aggregates. With the addition of more surfactant, A $\beta$  dehydration takes place, which leads to A $\beta$  condensation. This dehydration occurs due to neutralization of A $\beta$  aggregates by cationic surfactant molecules, which results in displacement of water molecules (that were previously shielding the repulsive force between same-charged chemical groups in A $\beta$ ). In fact, the surfactant molecules on the A $\beta$  aggregates affect the intra-peptide interactions between A $\beta$  aggregates. The hydrophobic alkyl tail of the surfactant can

interact with the hydrophobic portion of A $\beta$  amino acids. These hydrophobic interactions also contribute to the formation of surfactant-A $\beta$  aggregates. The formation of gemini surfactant-A $\beta$  aggregates is faster and stronger than conventional surfactant-A $\beta$  aggregates since gemini surfactants have two charged head groups and two hydrophobic tail groups, which are important in interaction with A $\beta$  aggregates (76). After reaching this point, the addition of more surfactant molecules causes an increase in the positive charge on A $\beta$  aggregates. Then the repulsive force between the surfactant's head groups increases and the A $\beta$  aggregates are disrupted (76). After A $\beta$  aggregate dissociation, the A $\beta$  and gemini surfactants reassemble to make spherical mixed micelles (Figure 1.4.4.1).



**Figure 1.4.4. 1: Mechanism of the 12-6-12 micelles disassembling the A $\beta$ (1–40) fibril.** (a) Binding of the C12C6C12Br2 micelles onto the fibril surface. (b) Breaking down of a long fibril into short pieces. (c) Complete disassembly of fibrils and the formation of mixed aggregates (72).

## 1.5 Objectives of the proposal research

**Hypothesis statement:** *the spacer structure of a gemini surfactant, including its hydrophilicity, will increase the disruption of amyloid  $\beta$  peptide aggregates.*

### **Short term objective:**

1-To evaluate the cmc and cac of the gemini surfactant with A $\beta$  peptide using the surface tensiometry technique.

2-To determine the possible interactions of gemini surfactants with different spacer groups with amyloid  $\beta$  aggregates, by using isothermal titration calorimetry.

3- To use dynamic light scattering to evaluate the change in particle size of A $\beta$  in different surfactant concentrations.

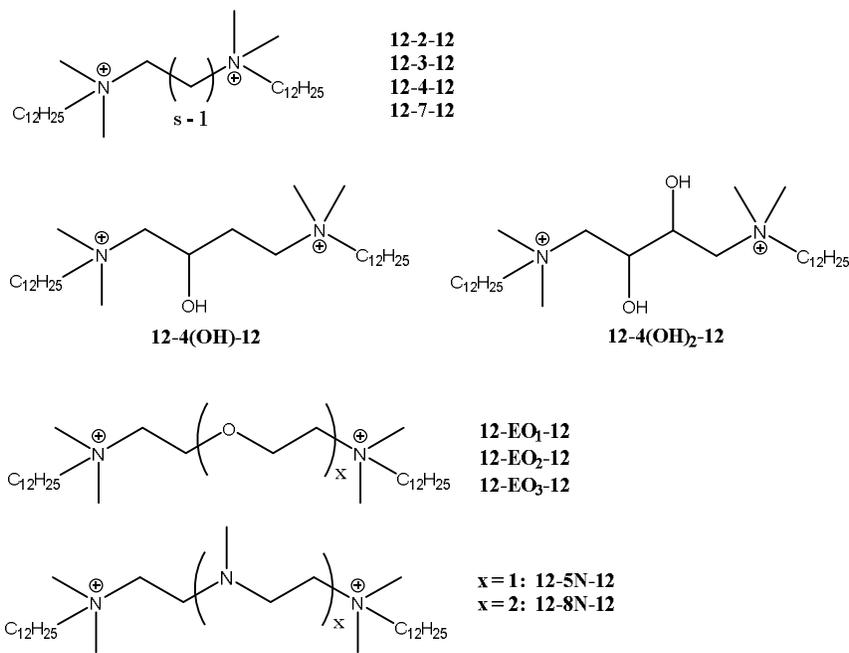
**Significance of the work:** Gemini surfactants may be useful as drug delivery systems for the delivery of drug's in Alzheimer's disease. My work also suggests that gemini surfactants may disaggregate A $\beta$  peptide clusters and may directly help in the treatment of Alzheimer's disease.

## Chapter 2: Experimental procedures

### 2.1 Materials

The Gemini surfactant that has been previously examined with respect to A $\beta$  aggregation is the 12-6-12 surfactant (72, 76, 77). There are various GS that can be used to determine their effect on A $\beta$  aggregation. We expanded this work using our library of GS previously synthesized (78-81) to determine structure-activity relationship(s) for A $\beta$  fibril disintegration. GS that were used: 12-2-12 (82), 12-3-12, 12-4-12, 12-7-12 (82), (12-5N-12, 12-8N-12,)(83), (12-4(OH)-12, 12-4(OH)<sub>2</sub>-12)(81), 12-EO-12, 12-EO<sub>2</sub>-12, 12-EO<sub>3</sub>-12(80) (Figure 2.1.1). All GS solutions were prepared in Milli-Q ultrapure water and then filtered through 0.22  $\mu$ m filters.

Amyloid beta peptide (1-42) was obtained from rPeptide (Bogart, Georgia, USA). The peptide purity was > 97%. Preparation of A $\beta$  (1-42) is by addition of hexafluoroisopropanol (HFIP) to the A $\beta$ (1-42) stock, then the solution of A $\beta$  and HFIP was aliquoted into microcentrifuge tubes. Then the microcentrifuge tubes containing HFIP and A $\beta$  were left in room temperature until HFIP evaporated completely. After that, the tubes containing peptide were stored at -20°C. Thirty minutes before each experiment, fresh milli Q water was added to the centrifuge tubes to make A $\beta$  (1 $\mu$ g/ml) solution. Milli-Q water obtained for this study was from Millipore synergy purification system.



**Figure 2.1.1: Structure of the amine-substituted Gemini Surfactants. Adapted from (81).**

## 2.2 Method

### 2.2.1 Surface tension measurements:

For determining cac and cmc of surfactant-A $\beta$  solutions, surface tension was measured using a Lauda model TE3 automated tensiometer (Lauda, Germany) by the due Nouy ring method. The surface tension was measured after each titration of gemini surfactant 10 mM and 1 mM solutions in A $\beta$  (1 $\mu$ g/ml) solution at 25.0°C.

## 2.2.2 Isothermal titration calorimetry

Isothermal titration calorimetry (ITC) is a useful technique for evaluating the binding energetic of biological processes such as protein-protein binding, protein-carbohydrate binding, protein-lipid binding, antigen-antibody binding, DNA-protein binding and A $\beta$ -surfactant binding.

ITC method can be used to determine the stability constants, stoichiometry, interaction enthalpies, entropies, Gibbs free energies and heat capacity changes. Therefore, it can be a method of choice in different areas of study such as biological and bio-molecular interactions, ligand binding, enzyme activity, biotechnology, drug discovery, protein-protein interaction, etc (84).

The advantage of titration calorimetry is that binding isotherms determine the heats of reaction that can estimate the enthalpy changes and heat association constant. As such, using calorimetric titration defines the characterization of the energetic of binding. In an ITC experiment the titrant is added to a sample solution at a constant temperature. The heat released or absorbed in each addition can be provided by ITC (84).

In polymer surfactant interaction, ITC is one of the most sensitive methods that can measure thermodynamic changes. In charged polymers and charged surfactants category, the electrostatic forces play a critical role. When polymer and surfactant molecules in opposite charges are neutralized, the excess surfactant can re-solubilize the precipitated polymer-surfactant aggregates. Calorimetry can be used to measure the heat of interaction (or molar enthalpy  $\Delta H$ ) directly. When two molecules bind, heat can be released or absorbed. Isothermal

microcalorimetry is a technique that can be used for measurement of heat released or absorbed in biomolecular interactions.

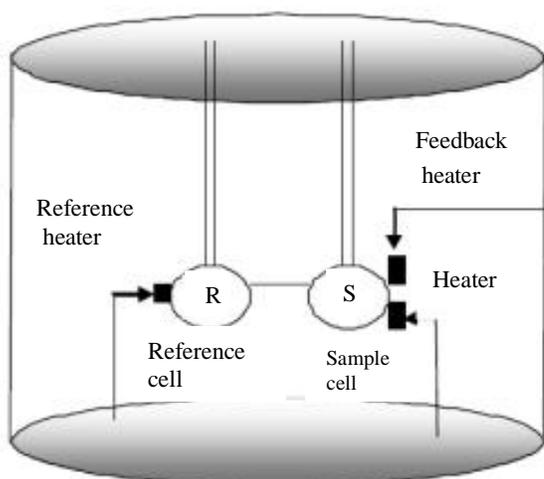
An ITC instrument consists of two cells (sample cell and reference cell) that are made from highly efficient thermal conducting material (Figure 2.2.2.1). The cells are surrounded by an adiabatic jacket. A circulating water bath can be used to cool down the jacket.

The molecular interaction between two molecules is defined by the following equation:

$$\Delta G = -RT \ln K_A = \Delta H - T\Delta S \quad (2.1)$$

a                      b

In part a, Gibbs free energy ( $\Delta G$ ) correlates with association constant  $K_A$ , since R (gas constant), and T (absolute temperature), are constant. Part b in equation 2.1 shows the sum of enthalpy ( $\Delta H$ ) and entropy ( $\Delta S$ ) changes that explain the free energy ( $\Delta G$ ) and tendency of molecules for interaction. In ITC experiment, both  $K_A$  and  $\Delta H$  can be measured. Therefore, the other parts ( $\Delta G$  and  $\Delta S$ ) of equation 2.1 can be derived from  $K_A$  and  $\Delta H$  (85).



**Figure 2.2.2.1:** Schematic diagram of an ITC instrument

ITC is sensitive to thermodynamic change in polymer- surfactant interaction. The non covalent force between the surfactant and polymer helps us to understand the interaction mechanism between polymer and surfactant molecules (61).

ITC measurements were carried out using a MicroCal VP-ITC calorimeter. Aliquots of gemini surfactant (10mM) were injected from a Hamilton syringe into the sample cell containing A $\beta$ ( 1 $\mu$ g/ml). For each gemini surfactant two experiments were performed, the same procedure was performed with water in the sample cell as a reference. For each ITC experiment the injection parameters were as follows: initial delay 60 sec, duration of each injection 4 sec, interval between two injections 240 sec, filter period 2 sec. A combination of 5  $\mu$ L, at low surfactant concentrations, and 10  $\mu$ L, at higher concentrations, were used for the titration of concentrated surfactant solution into the titration cell. The experimental temperature was at 25°C $\pm$  0.05°C. The results of ITC experiments were analyzed using Origin<sup>®</sup> scientific plotting software, version 7.0, and excel Microsoft, version 2007 software.

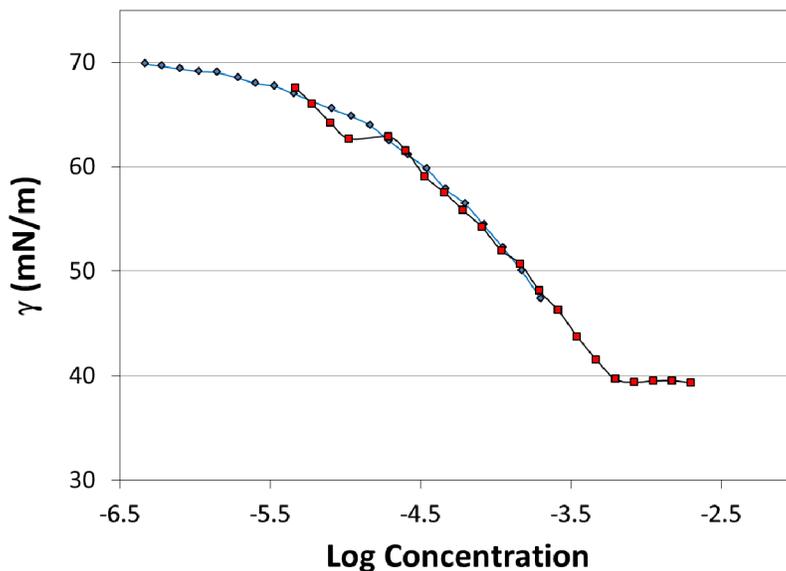
### **2.2.3. Particle size measurement**

Particle size measurements were performed on a Malvern Zetasizer Nano ZS instrument (Malvern Instruments, Worcestershire, UK) in a cell connected to a titration module. In forward titrations, 10 mM gemini surfactant was titrated into A $\beta$  (1 $\mu$ g/ml) solution. Individual particle size measurements were performed using quartz cells. To determine the size distribution non-negative least squares (NNLS) analysis method was used (scattering was determined at  $\theta = 173^\circ$ ). The intensity and volume of particles were reported. For each data, particle size measurement was repeated five times and the average is reported.

## Chapter 3: Results and Discussion

### 3.1 Surface tension studies of the gemini surfactants / A $\beta$ systems.

Surface tensiometry is a powerful tool for the study of the aggregation behavior of surfactants in the presence of additives, particularly additives such as polymers or peptides. As introduced in Section 1.3.2, the addition of a polymer to a surfactant solution is expected to result in aggregation of the surfactant at a critical aggregation concentration (cac; equivalent to T<sub>1</sub> in Figure 1.3.2.1). The aggregation of surfactant and A $\beta$  is due to the favorable interactions between the polymer and surfactant. The cac is typically at some concentration lower than the cmc for the surfactant in water alone. This is followed by saturation of the polymer with surfactant and eventually any added surfactant will form regular surfactant micelles (T<sub>2</sub> and T<sub>3</sub> in Figure 1.3.2.1, respectively).



**Figure 3.1. 1.** Surface tension plot for the titration of the 12-8N-12 gemini surfactant (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water.

A representative example of the surface tension behavior for the gemini surfactants in the presence of Aβ, is presented in Figure 3.1.1. Plots of the surface tension for the remaining surfactants with Aβ are provided in Appendix 1. In Figure 3.1.1, it is observed that at low surfactant concentrations, the surface tension is approximately equal to 70 mN/m, equivalent to that of pure water at 25.0 °C. As the concentration of surfactant is increased, we see no evidence of the expected  $cac$ ,  $T_2$  or  $T_3$  critical concentrations. Instead, a reduction in the critical micelle concentration from 1.10 mM in water to 0.62 mM in the presence of Aβ is observed (see Table 3.1.1). This suggests that Aβ is not behaving as a neutral polymer as expected, but rather as a hydrophobic solute that induces micelle formation at a substantially lower concentration as a

means of removing itself from unfavorable contacts with water, and forms mixed micelles with the gemini surfactants.

The above results are consistent with the observations of Li et al. (76) who observed the formation of small, globular aggregates of approximately 10 – 15 nm in size for the 12-6-12/ A $\beta$  system using AFM. It is worth mentioning that the concentrations of A $\beta$  used in their study were significantly higher than those used in this work. Nevertheless, our interpretation of the formation of mixed micelles of gemini surfactant and A $\beta$  is consistent with their results.

**Table 3.1.1:** Critical micelle concentrations (cmc) and head group areas ( $a_0$ ) obtained from surface tension measurements for the gemini surfactants in water and in 1  $\mu\text{g/mL}$  A $\beta$  in water solutions. Values in water are from literature sources.

| Surfactant                | cmc <sub>water</sub> (mM)    | cmc <sub>A<math>\beta</math></sub> (mM) | $a_{0,\text{water}}$ (nm <sup>2</sup> ) | $a_{0,A\beta}$ (nm <sup>2</sup> ) |
|---------------------------|------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------|
| 12-2-12                   | 0.86 $\pm$ 0.08 <sup>a</sup> | 0.66 $\pm$ 0.06                         | 0.86 $\pm$ 0.05 <sup>a</sup>            | 0.97 $\pm$ 0.02                   |
| 12-3-12                   | 0.89 $\pm$ 0.13 <sup>a</sup> | 0.86 $\pm$ 0.13                         | 1.11 $\pm$ 0.04 <sup>a</sup>            | 1.06 $\pm$ 0.11                   |
| 12-4-12                   | 1.1 $\pm$ 0.1 <sup>a</sup>   | 1.08 $\pm$ 0.04                         | 1.15 $\pm$ 0.09 <sup>a</sup>            | 1.36 $\pm$ 0.03                   |
| 12-7-12                   | 0.85 $\pm$ 0.07 <sup>b</sup> | 0.70 $\pm$ 0.07                         | 1.24 $\pm$ 0.06 <sup>b</sup>            | 1.85 $\pm$ 0.08                   |
| 12-4(OH)-12               | 0.85 $\pm$ 0.18 <sup>c</sup> | 0.83 $\pm$ 0.04                         | 1.19 $\pm$ 0.08 <sup>c</sup>            | 1.22 $\pm$ 0.02                   |
| 12-4(OH) <sub>2</sub> -12 | 0.75 $\pm$ 0.15 <sup>c</sup> | 0.41 $\pm$ 0.04                         | 1.15 $\pm$ 0.04 <sup>c</sup>            | 0.99 $\pm$ 0.05                   |
| 12-EO <sub>1</sub> -12    | 0.84 $\pm$ 0.04 <sup>d</sup> | 0.75 $\pm$ 0.14                         | 1.12 $\pm$ 0.03 <sup>d</sup>            | 0.73 $\pm$ 0.08                   |
| 12-EO <sub>2</sub> -12    | 0.86 $\pm$ 0.04 <sup>d</sup> | 0.74 $\pm$ 0.14                         | 1.33 $\pm$ 0.08 <sup>d</sup>            | 2.02 $\pm$ 0.07                   |
| 12-EO <sub>3</sub> -12    | 1.10 $\pm$ 0.05 <sup>d</sup> | 0.75 $\pm$ 0.19                         | 1.75 $\pm$ 0.09 <sup>d</sup>            | 2.39 $\pm$ 0.08                   |
| 12-5N-12                  | 1.14 $\pm$ 0.04 <sup>e</sup> | 0.68 $\pm$ 0.10                         | 1.30 $\pm$ 0.03 <sup>e</sup>            | 1.01 $\pm$ 0.02                   |
| 12-8N-12                  | 1.10 $\pm$ 0.10 <sup>e</sup> | 0.62 $\pm$ 0.03                         | 1.95 $\pm$ 0.13 <sup>e</sup>            | 1.74 $\pm$ 0.04                   |

<sup>a</sup> From reference (82)

<sup>b</sup> From reference (86)

<sup>c</sup> From reference (81)

<sup>d</sup> From reference (80)

<sup>e</sup> From reference (83)

The head group areas ( $a_0$ ), (Table 3.1.1) for the gemini surfactants were calculated according to Equation 3.1:

$$a_0 = (N_A \Gamma)^{-1} \quad 3.1$$

where  $\Gamma$  is the Gibbs surface excess concentration for the surfactant at the air water interface, which is calculated from the slope of the surface tension curve ( $\delta\gamma/\delta\log C$ ), just prior to the cmc, according to Equation 3.2:

$$\Gamma = -\frac{1}{2.303nRT} \left( \frac{d\gamma}{d\log C} \right)_T \quad 3.2$$

where R and T have their usual meaning, and n is a constant accounting for the dissociation of ionic surfactants; for the gemini surfactants  $n = 3$  (81). Comparing  $a_0$  for the 12-s-12 surfactants in the presence of  $A\beta$  to those obtained in water we see a decrease in  $a_0$  of some of the GS in the presence of  $A\beta$ . This likely results from a relaxation of the electrostatic repulsion between adjacent surfactant molecules as a result of the incorporation of  $A\beta$  chains. It may also be the case that the amino acid residues within the  $A\beta$  peptide chains partially neutralize the charge on the gemini surfactant molecules themselves, through electrostatic interactions with the surfactant head groups, further relaxing electrostatic repulsion. No clear pattern is observed for the substituted gemini surfactants, regardless of the nature of the substituent group. However, the addition of substituent groups within the spacer of the gemini surfactants affects their ability to interact with  $A\beta$ , which means that the head group structure is important in surfactant/ $A\beta$  interaction. It is worthy to note that some of the GS showed an increase in the  $a_0$  in the presence of  $A\beta$  which might be due to other interactions rather than the electrostatic interaction. To obtain

a better understanding of how surfactant structure impacts these interactions we undertook a titration calorimetry and dynamic light scattering study, described in Section 3.2 below.

### **3.2 Gemini surfactant-A $\beta$ interaction: ITC and particle size measurement**

The interaction between the gemini surfactants and A $\beta$  has been examined in more details using ITC and particle size measurements. For better understanding of the results obtained, and the importance of changes within the molecular structure of the surfactants, the type of interactions that may occur between the surfactant and the A $\beta$  peptides should be considered.

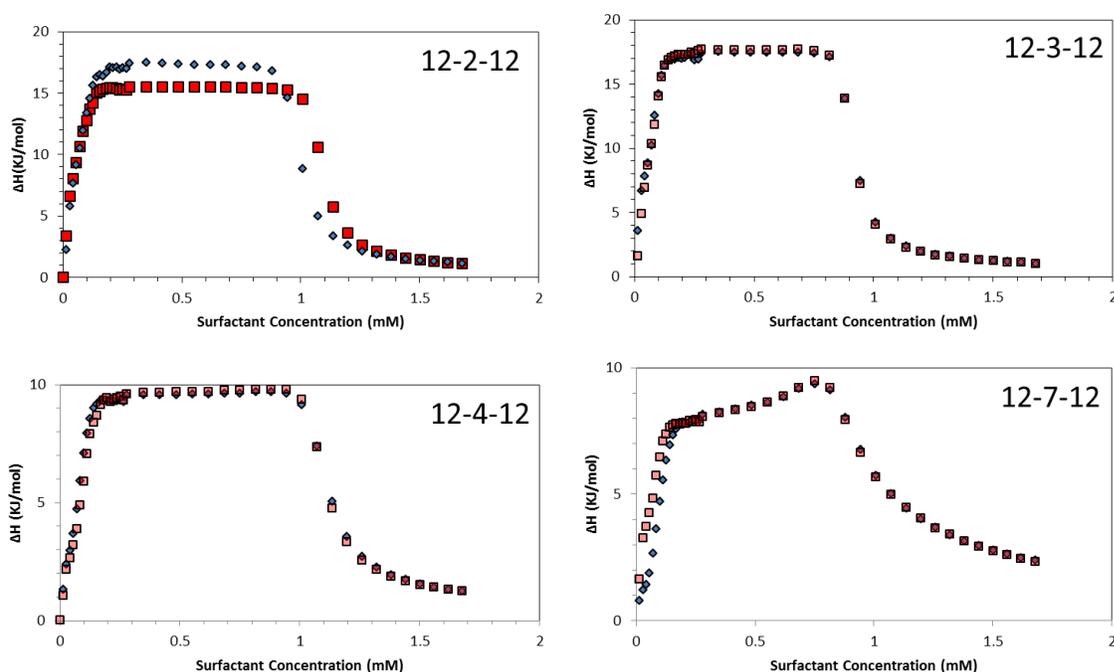
The possible interactions that can occur include:

1. Hydrophobic interactions between peptide and surfactant molecules
2. Hydrophobic interactions between surfactant molecules
3. Hydrophobic interactions between peptide molecules
4. Electrostatic interactions between peptide and surfactant molecules (may be attractive OR repulsive)
5. Electrostatic interactions between surfactant molecules (Repulsive)
6. Electrostatic interactions between peptide molecules (may be attractive or repulsive depending upon pH, amino acid sequence, etc.) (87).

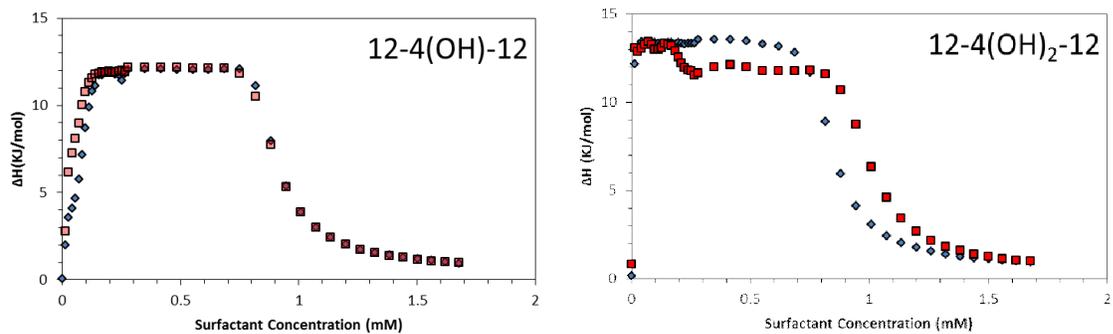
In this work, we decided to focus on variations in the structure of the head group of the surfactant, the rationale for which was the idea that electrostatic interactions between the surfactant head group and the amino acids in the A $\beta$  peptide are potentially more important in the interaction as compared to hydrophobic interactions (76,81). Nevertheless, the observed

differences in binding, described below, will involve changes in electrostatic interactions, weaker interactions arising from hydrogen bonding, and changes in the hydrophobic nature of the spacer group of the GS. As such, with the help of particle size data also presented below, we will interpret the binding observed in our calorimetric studies on the basis of the above contributions to the complete binding interaction.

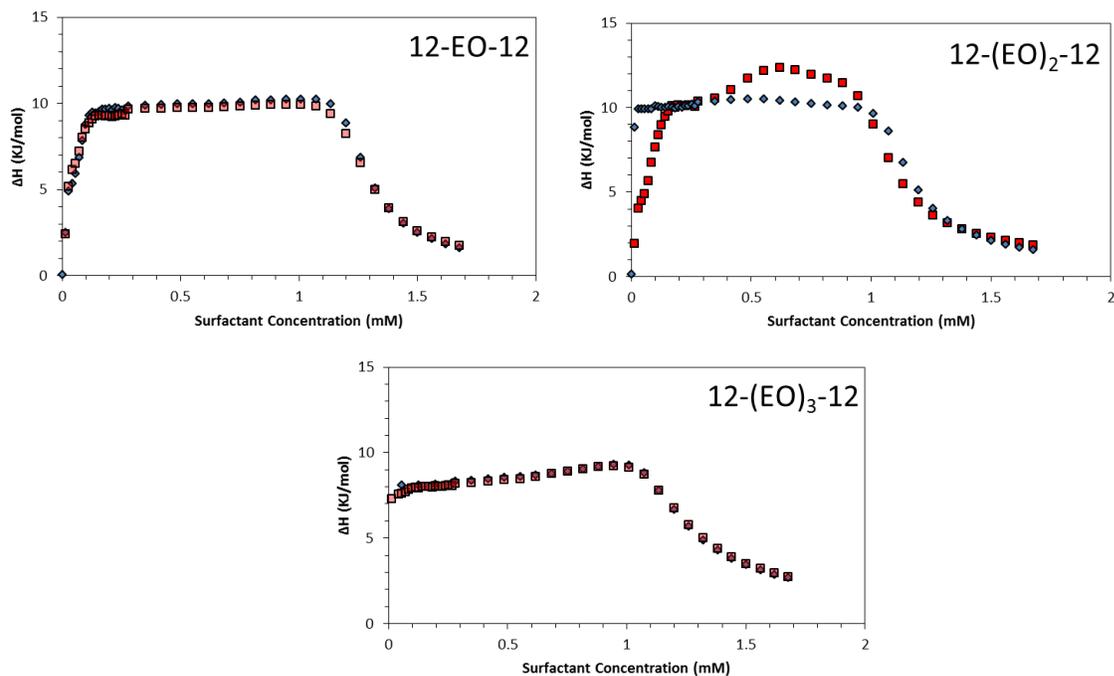
The enthalpograms for the titration of each gemini surfactant into water, and into a  $1\mu\text{g/ml}$  solution of A $\beta$  are shown in Figures 3.2.1 to 3.2.4. From these figures, it is apparent that marked differences occur for some of the surfactants, suggesting stronger interactions with A $\beta$ , depending upon head group structure. As observed in Section 3.1 in our surface tension



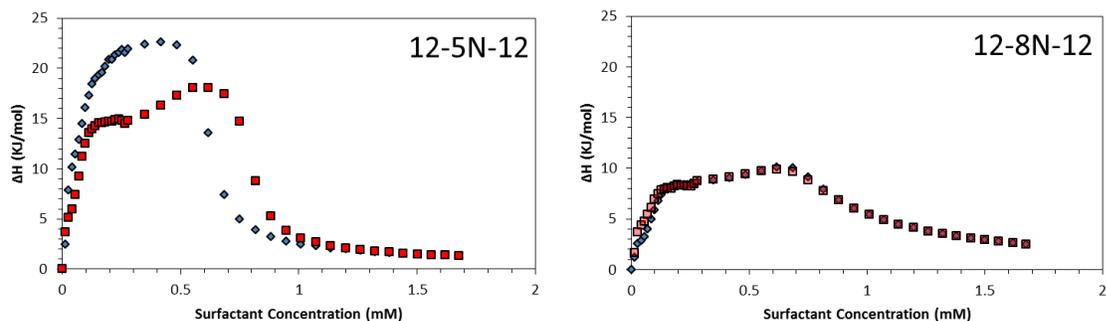
**Figure 3.2.1:** Observed enthalpies for the addition of un-substituted gemini surfactants in water (◆), and in A $\beta$  ( $1\mu\text{g/ml}$ ) solution(■).



**Figure 3.2.2:** Observed enthalpies for the addition of hydroxyl-substituted gemini surfactants in water (◆), and in A $\beta$  (1 $\mu$ g/ml) solution(■).



**Figure 3.2.3:** Observed enthalpies for the addition of ethoxyl-substituted gemini surfactants in water (◆), and in A $\beta$  (1 $\mu$ g/ml) solution(■).



**Figure 3.2.4:** Observed enthalpies for the addition of amine-substituted gemini surfactants in water (◆), and in A $\beta$  (1  $\mu$ g/ml) solution(■).

measurements, little difference is observed in the enthalpograms, generally, when A $\beta$  is added to the system. Then enthalpograms look very similar to those obtained for the titration of each surfactant into water alone, and the data supports the interpretation that A $\beta$  is behaving as a hydrophobic solute and the interaction of the surfactant is one of mixed-micelle formation, rather than a “typical” surfactant polymer/peptide interaction (as such interactions were introduced in Chapter 1). CMC values and enthalpies of micellization ( $\Delta H_{mic}$ ) have been determined for each surfactant for the titration into water ( $CMC_{aq}$ ,  $\Delta H_{mic, aq}$ ) and into A $\beta$  solution ( $CMC_{A\beta}$ ,  $\Delta H_{mic, A\beta}$ ) and are listed in Table 3.2.1.

**Table 3.2.1:** Critical micelle concentrations (CMC) and enthalpies of micellization ( $\Delta H_{mic}$ ) obtained from ITC measurements for the gemini surfactants in water and in 1  $\mu\text{g/mL}$  A $\beta$  in water. Values in parentheses are literature values.

| Surfactant                | CMC <sub>aq</sub> (mM) | CMC <sub>A<math>\beta</math></sub> (mM) | $\Delta H_{mic, aq}$<br>(kJ mol <sup>-1</sup> ) | $\Delta H_{mic, A\beta}$<br>(kJ mol <sup>-1</sup> ) |
|---------------------------|------------------------|-----------------------------------------|-------------------------------------------------|-----------------------------------------------------|
| 12-2-12                   | 1.09                   | 1.18                                    | -15.5 (-22 <sup>a</sup> )                       | -14.1                                               |
| 12-3-12                   | 1.03                   | 1.03                                    | -16.0                                           | -16.1                                               |
| 12-4-12                   | 1.23                   | 1.21                                    | -8.3 (-9.3 <sup>d</sup> )                       | -8.5                                                |
| 12-7-12                   | 1.12                   | 1.04                                    | -6.9                                            | -7.0                                                |
| 12-4(OH)-12               | 1.02                   | 1.03                                    | -11.0 (-12.1 <sup>b</sup> )                     | -10.8                                               |
| 12-4(OH) <sub>2</sub> -12 | 0.98                   | 1.14                                    | -11.8 (-11.5 <sup>b</sup> )                     | -10.5                                               |
| 12-EO <sub>1</sub> -12    | 1.38                   | 1.39                                    | -8.4 (-13.3 <sup>c</sup> )                      | -7.9                                                |
| 12-EO <sub>2</sub> -12    | 1.26                   | 1.18                                    | -8.3 (-9.9 <sup>c</sup> )                       | -9.5                                                |
| 12-EO <sub>3</sub> -12    | 1.34                   | 1.34                                    | -6.4 (-9.2 <sup>c</sup> )                       | -6.4                                                |
| 12-5N-12                  | 0.73                   | 0.92                                    | -20.9 (-11.9 <sup>c</sup> )                     | -16.7                                               |
| 12-8N-12                  | 1.09                   | 1.18                                    | -15.5 (-3.0)                                    | -14.1                                               |

<sup>a</sup> From reference (88)

<sup>b</sup> From reference (81)

<sup>c</sup> From reference (80)

<sup>d</sup> From reference (83)

Very good agreement is seen between  $\Delta H_{mic, aq}$  obtained in this work, and those reported in the literature, as well as between aqueous CMC values obtained from ITC and surface tension measurements; observed differences are typical of those seen for measurements made using different instruments (ITC values) or different methods (i.e., surface tension vs ITC). The differences observed for the titration of surfactant into water as compared to A $\beta$  solution are small, and the interpretation is not as clear as for the surface tension study. Nevertheless, the magnitudes of the CMCs and enthalpies of micellization are very similar, and support a solubilization process as compared to a binding interaction for the mixed surfactant/A $\beta$  system.

That said, any differences in the enthalpograms are difficult to interpret as presented in Figures 3.2.1 to 3.2.4. In order to provide a better discussion, the differences in observed enthalpy ( $\Delta H$ ) were determined, and these results are described in further detail in the following sections.

### 3.2.1 Interactions between the un-substituted (12-s-12) gemini surfactants and A $\beta$

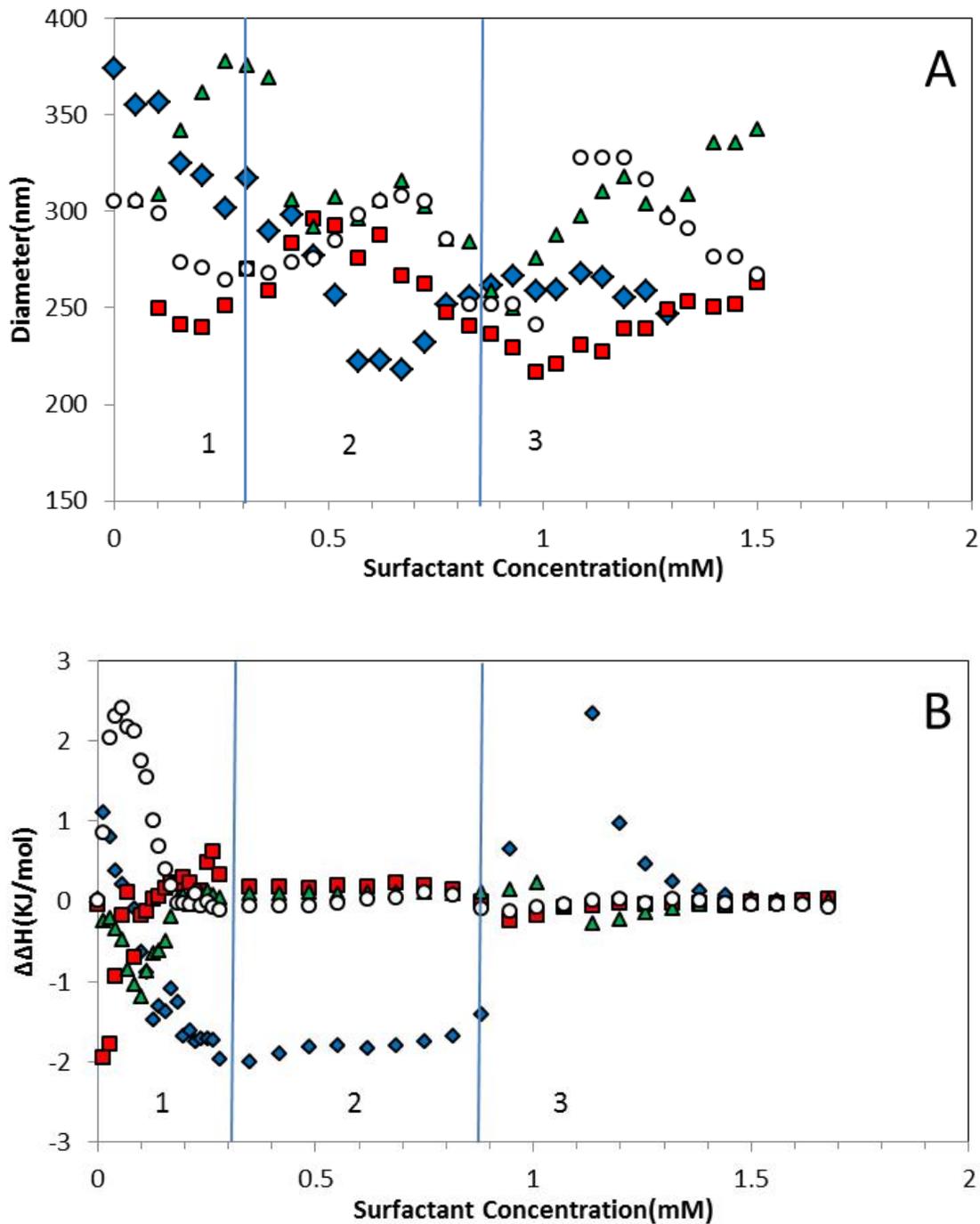
Figure 3.2.1.1 shows subtracted enthalpies ( $\Delta H$ ) and the particle sizes (diameter) as a function of surfactant concentration for the 12-s-12 / A $\beta$  systems. The subtracted enthalpy profiles are obtained by subtracting the observed enthalpies for the titration of the surfactant into water ( $\Delta H_{obs, water}$ ) from the observed enthalpies for the titration of the surfactant into A $\beta$  solution ( $\Delta H_{obs, A\beta}$ ), i.e.:

$$\Delta H = \Delta H_{obs, A\beta} - \Delta H_{obs, water} \quad \text{Eqn. 3.1}$$

where  $\Delta H_{obs}$  is the sum of the enthalpy of dilution of gemini surfactant solution in A $\beta$  solution, dilution of gemini surfactant micellar solution, dehydration of A $\beta$  peptide aggregates, reformation of A $\beta$  aggregates and A $\beta$ /gemini surfactant interaction; i.e.,

$$\Delta H_{obs} = \Delta H_{demicellization} + \Delta H_{dilution} + \Delta H_{dehydration A\beta} + \Delta H_{A\beta \text{ aggregate reformation}} + \Delta H_{surfactant-A\beta}. \quad (\text{Eqn. 3.2})$$

Subtracted enthalpies are useful to observe the small differences between the enthalpies and provide better details to discuss about the possible interaction between gemini surfactants and A $\beta$ . Different behavior is observed for these surfactants, depending upon the length of the spacer group,  $s = 2, 3, 4, \text{ or } 7$ . These 4 surfactants were chosen because of their relation to the various substituted surfactants: 12-2-12 corresponds to 12-EO<sub>0</sub>-12 and the parent surfactant for the 12-5N-12 and 12-8N-12 surfactants; 12-4-12 is the parent surfactant for the 12-4(OH)<sub>x</sub>-12, and 12-



**Figure 3.2.1.1.** A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-2-12 ( $\blacklozenge$ ), 12-3-12( $\blacksquare$ ), 12-4-12 ( $\blacktriangle$ ) and 12-7-12 ( $\circ$ ) into A $\beta$  ( $1\mu\text{g/ml}$ ) solution.

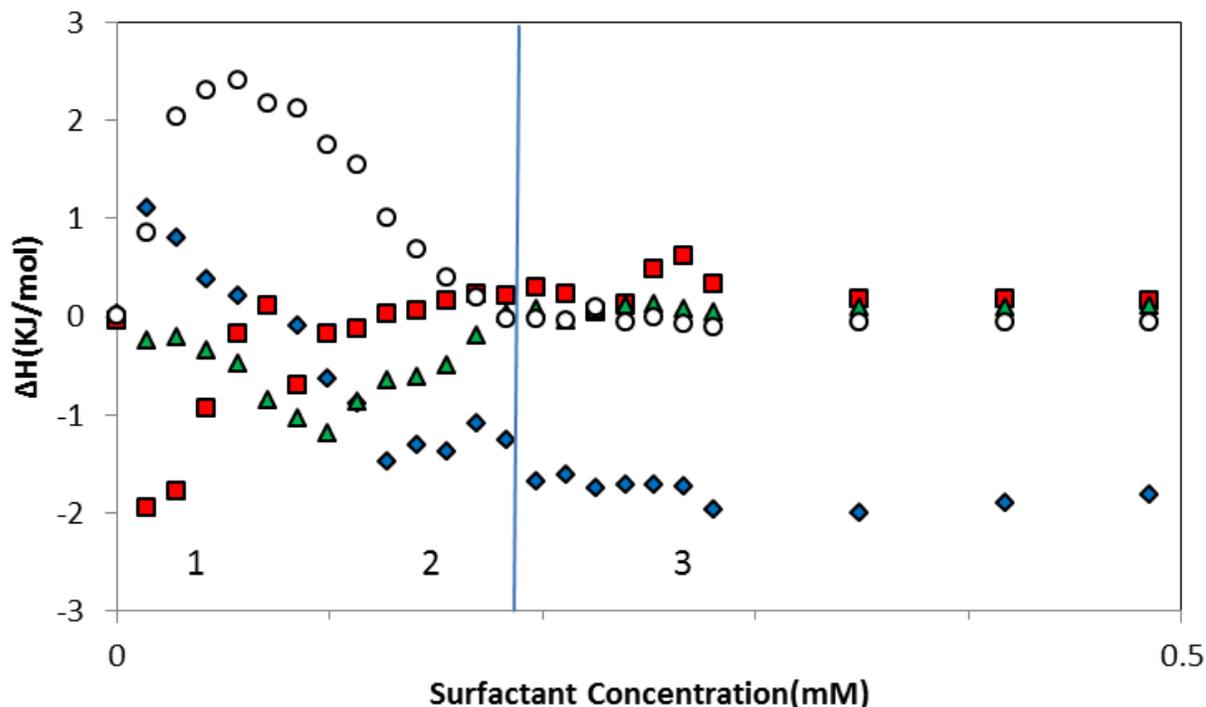
3-12 and 12-7-12 provide a more complete series of the 12-s-12 surfactants.

Examining the particle size and enthalpy data, we can define 3 distinct regions to the interaction(s), as a function of surfactant concentration. In the absence of added surfactant, the A $\beta$  peptide has an average particle size of ~300 nm, with a large degree of variability (see the 0 added surfactant data points in Figures 3.2.1.1 A). Upon addition of surfactant the average particle sizes are, generally, observed to decrease with the exception of 12-4-12 surfactant, which is observed to increase in particle size, initially. At the same time the enthalpies are observed to generally decrease (i.e. an exothermic transition), this time with the exception of the 12-3-12 surfactant (initial endothermic increase in enthalpy is observed for 12-3-12). The addition of the gemini surfactants to water initially results in a demicellization of the concentrated surfactant, which causes an increase in enthalpy that is, for 12-s-12 surfactant series, observed to be strongly endothermic in nature. This is in agreement with the observations made by Li et al (76) for the 12-6-12 surfactant, although it should be noted that their study was carried out at a significantly larger A $\beta$  concentration (0.116 mM) which may account for the much larger changes observed in their study.

The addition of the gemini surfactant to A $\beta$  results in a neutralization of the overall negative charge carried by A $\beta$  at neutral pH (approximately 6 at neutral pH (76)). This results in a relaxation of the peptide, promoting further association of the surfactant with A $\beta$  and reducing unfavorable interactions with water and the neutralized, hydrophobic, A $\beta$ . This results in a dehydration of both A $\beta$  and the gemini surfactant, and a substantial exothermic contribution to the enthalpy, as observed in Figure 3.2.1.1 B.

It is interesting to note, that very different behavior can be observed in both the particle size and enthalpy profiles (Figures 3.2.1.1 A and B, respectively) depending upon the length of the polymethylene spacer, i.e.,  $s = 2, 3, 4,$  or  $7$ . If we expand Region 1 in Figure 3.2.1.1 B (see now Figure 3.2.1.2) we can observe that for both the 12-3-12 and 12-7-12 surfactants, the first additions of surfactant result in an **increase** in enthalpy (endothermic), and then decrease in enthalpy back to approximately zero. This is in contrast with the 12-2-12 and 12-4-12 surfactants for which the enthalpy decreases immediately upon addition of surfactant (i.e. exothermic). This difference is attributable to the differences in the ability of the hydrophobic tails to rotate around the spacer group, specifically for short spacer groups, as a result of steric hindrance (88).

The particle size data generally supports the above interpretation, as  $A\beta$  is dehydrated, it can be compacted by the added surfactant, resulting in an overall decrease in particle size, as seen in Figure 3.2.1.1 A. Unfortunately, because of a great fluctuation in size data (resulting from the large heterogeneity observed in measured particle sizes) making any additional conclusions about binding between the 12-2-12/ $A\beta$ , 12-3-12/ $A\beta$ , 12-4-12/ $A\beta$ , and 12-7-12/ $A\beta$  surfactants systems are difficult. AFM and EM are useful techniques to assess the size and shape of the surfactant/ $A\beta$  particles to confirm our interpretation.



**Figure 3.2.1.2.** Expanded enthalpy profile for the the addition of 12-2-12 (◆), 12-3-12(■), 12-4-12 (▲) and 12-7-12 (○) into A $\beta$  (1 $\mu$ g/ml) solution.

Looking now at region 2, for all 3 surfactants there is a flattening of the enthalpy profile (Figure 3.2.1.1 B), with the observed enthalpy being approximately zero for the 12-3-12, 12-4-12, and 12-7-12 surfactants, and a weakly exothermic, but constant enthalpy of  $\sim 1.5$  to  $2 \text{ kJ mol}^{-1}$  for the 12-2-12 surfactant. It is worthy to mention again that data in Figure 3.2.1.1 B is the subtracted data, i.e. the enthalpy profile obtained for the titration of the surfactant into A $\beta$  minus the enthalpy profile obtained for the titration of surfactant into water. This means that there is NO difference in enthalpy for the addition of surfactant to A $\beta$  compared to the addition of surfactant to water. Such an observation does not necessarily imply no additional interaction

between the surfactant molecules and A $\beta$ , but rather that the binding interactions observed are similar to those for regular micelle formation; i.e., growth of A $\beta$  bound surfactant aggregates is likely occurring. The weak increase in particle size observed in region 2 (Figure 3.2.1.1 A) , generally, is consistent with this, particularly if one considers that growth of A $\beta$  bound aggregates likely involves some reorganization as well, resulting in only modest changes in particle size throughout region 2. The lack of significant enthalpy change can be rationalized in terms of no significant change in hydration of the surfactant monomers in going from the micellized state (the initial state of the surfactant in the titrant syringe of the calorimeter) to the A $\beta$ -bound state in the titration cell.

Finally, a second peak is observed in the subtracted enthalpy profiles (Region 3 in Figure 3.2.1.1 B), one that is very weakly exothermic in nature for the 12-3-12 and 12-7-12 surfactants, weakly endothermic for the 12-4-12 surfactant, and strongly endothermic for the 12-2-12 surfactant. This transition corresponds to the saturation of the A $\beta$  peptide chains, and the onset of free micelle formation for the surfactants. In Figure 3.2.1.1 A, changes in particle size continue to be observed; however there is no clear trend observed, with both increase and decrease in particle size for all 4 surfactants. At this point added surfactant is presumed to form free micelles; however, it is important to keep in mind that there will be an on-going equilibrium between mixed gemini surfactant- A $\beta$  aggregates, and free surfactant micelles. It should also be remembered that the aggregation of A $\beta$  itself into pure A $\beta$  aggregates (be they micelles, oligomers, fibrilles, etc.) is a highly time dependent process, and growth of the mixed surfactant-

A $\beta$  aggregates may also take place. As indicated above, AFM or EM studies give us better images about the exact nature of the mixed aggregates.

Given the above discussion, it is clear that significant interaction between the gemini surfactants and A $\beta$  do occur, and that the nature of these interactions depends on the length of the spacer group within the surfactant structure. Generally, stronger interactions appear to occur for surfactants with shorter spacer groups; however, the interpretation of the results is confounded by an apparent odd-even dependence on the number of methylene units within the spacer group (65).

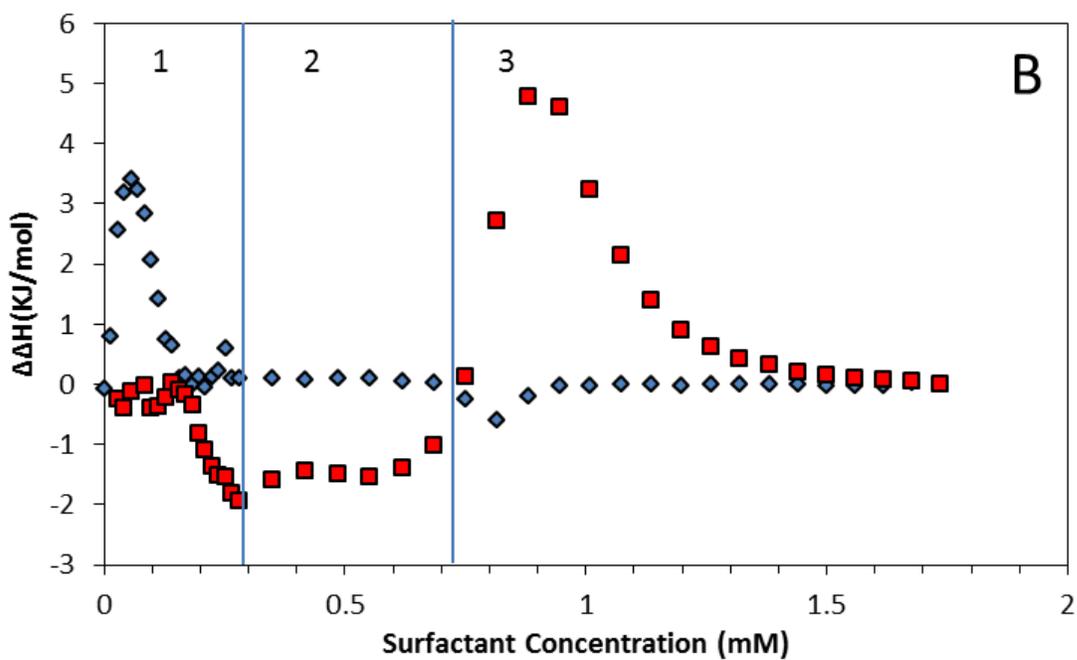
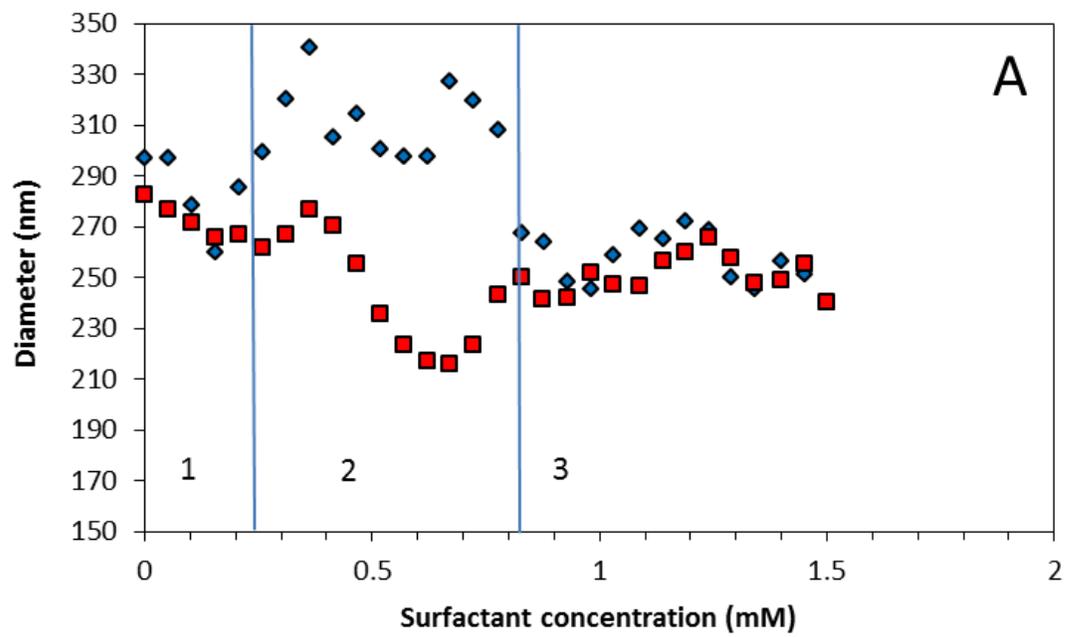
### **3.2.2 Interactions between 12-4(OH)<sub>x</sub>-12 gemini surfactants and A $\beta$**

In the absence of surfactant, the average particle size of A $\beta$  peptide is ~300 nm (Figures 3.2.2.1 A). Addition of surfactant results initially in a decrease in the average particle size. At the same time the enthalpies for 12-4(OH)-12 are observed to first increase (i.e. an endothermic transition) and then decrease (i.e. an exothermic transition) whereas the enthalpies for 12-4(OH)<sub>2</sub>-12 initially do not change but then show an exothermic transition. As mentioned in section 3.2.1, demicellization of gemini surfactant occurs (endothermic) when concentrated surfactant is injected into the reaction cell (76); however, for 12-4(OH)<sub>2</sub>-12 this endothermic demicellization may be cancelled out through increased hydrogen bonding (exothermic in nature (89)) that could occur between the head groups of 12-4(OH)<sub>2</sub>-12 molecules. 12-4(OH)<sub>2</sub>-12 surfactant has one extra hydroxyl group as compared to 12-4(OH)-12, and the extra hydroxyl group appears to make significant difference in the enthalpy profiles of 12-4(OH)<sub>2</sub>-12.

The particle size data reveals decreases in particle size, which means that A $\beta$  is dehydrated, and compacted by the added surfactant, resulting in an overall decrease in particle size, as seen in Figure 3.2.2.1 A. This interpretation could be confirmed by using AFM and EM methods in different surfactant concentrations in A $\beta$  solution.

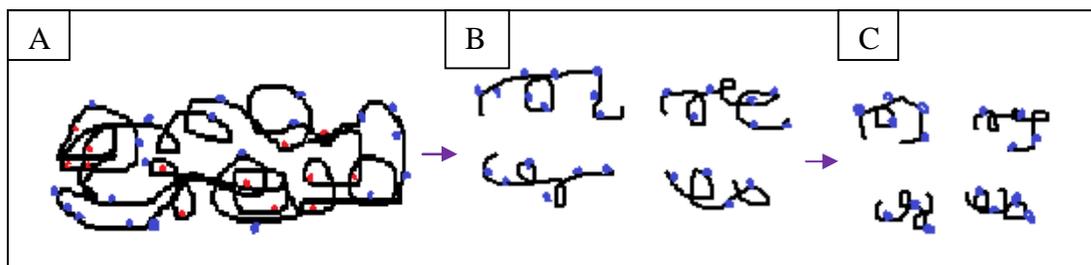
The difference in the mono and di-substituted hydroxyl gemini surfactants enthalpy profiles can be attributed to their difference in the number of hydroxyl groups in the spacers. Therefore, OH groups in the di-substituted spacer have to occupy a gauche or eclipsed conformation, that results in enhanced steric hindrance between alkyl chains when they are in the cis or eclipsed position; that results changing in 12-4(OH)<sub>2</sub>-12 conformation (81,88).

Looking now at region 2, for both surfactants there is a flattening of the enthalpy profile (Figure 3.2.2.1 B) we observed that one hydroxyl group cannot be more effective than 12-s-12 surfactants in interaction with A $\beta$ . Moreover, we conclude that the effect of one additional hydroxyl group is not substantial enough to make the expected difference compare to 12-s-12 series. For 12-4(OH)<sub>2</sub>-12 in region 2 (Figure 3.2.2.1 B) we can see the flattening exothermic enthalpy. Also, in region 2, in DLS data (Figure 3.2.2.1 A) we see a substantial reduction in particle size. The ITC and DLS data together show that the interaction between a surfactant with two hydroxyl group in the spacer is different from 12-s-12 in Figure 3.2.1.1, region 2. It seems that the two hydroxyl groups in the spacer can be effective enough in changing A $\beta$  conformation and particle size compare to that of 12-4(OH)-12. As it is observed in Figure 3.2.2.1, region 2, significant reduction in particle size and exothermic enthalpy can be attributed to the hydrogen bonding between 12-4(OH)<sub>2</sub>-12 and A $\beta$ . In addition, we conclude that 12-4(OH)<sub>2</sub>-12 is strong



**Figure 3.2.2.1.** A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-4(OH)-12 ( $\blacklozenge$ ), and 12-4(OH)<sub>2</sub>-12 ( $\blacksquare$ ) into A $\beta$  (1 $\mu$ g/ml) solution.

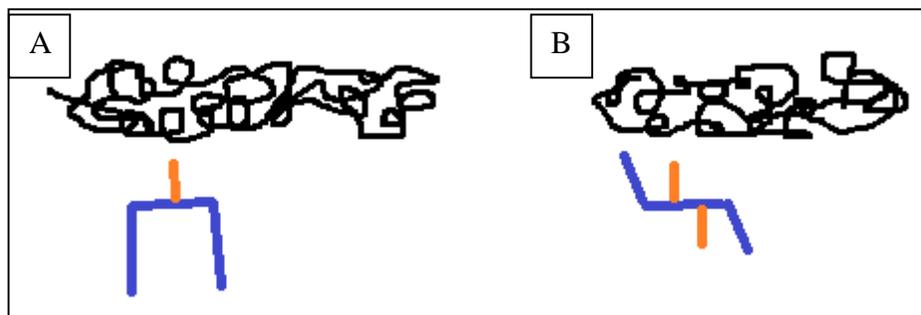
enough to change A $\beta$  conformation: 12-4(OH)<sub>2</sub>-12 attaches to A $\beta$  by hydrogen bonding. Then the addition of more surfactant molecules causes repulsion force between surfactants' head group, which leads to the dissociation of A $\beta$  aggregates (we denote this by process 1). Hence, more free spaces on A $\beta$  will be available to interact with 12-4(OH)<sub>2</sub>-12. Therefore, process 1 will be repeated and the size of A $\beta$  aggregate which was produced in process 1 (now A $\beta$  aggregate has smaller size) is reduced again (Figure 3.2.2.2). Although some endothermic interactions occur which affect the exothermic interactions, all these interactions are exothermic overall.



**Figure 3.2.2.2.** 12-4(OH)<sub>2</sub>-12/A $\beta$  conformation: A $\beta$  aggregate (A), disrupted A $\beta$  (B), disrupted A $\beta$  while surfactant makes each particle smaller than part B (C). A $\beta$  (◆), Surfactant (◆), unavailable spaces with a potential to interact with gemini surfactant (◆).

Within region 3 a second peak is observed in the subtracted enthalpy profiles (Region 3 in Figure 3.2.2.1 B), and after that the enthalpies and particle sizes for both surfactants reach the point that do not change significantly (micelles consist of surfactant and A $\beta$  are forming in this region) (Figure 3.2.2.1). The peaks in Region 3 for 12-4(OH)-12 is not significant compare to that of 12-4(OH)<sub>2</sub>-12, which has a significant endothermic peak in region 3. As there is no reference (to our knowledge) related to 12-4(OH)<sub>n</sub>-12 interaction with polymers, the following is our own interpretation about the possible structure changes in 12-4(OH)<sub>2</sub>-12 and 12-4(OH)-12

molecules in interaction with A $\beta$ . In region 3, the saturation of A $\beta$  occurs. It means that the gemini surfactant's micellar shapes are formed, which attach to A $\beta$ . The ITC data for 12-4(OH)-12 in this region shows a weak exothermic enthalpy profile. This exothermic enthalpy can be attributed to one hydroxyl group in the spacer; this hydroxyl group is in a direct contact with A $\beta$ , and based on 12-4(OH)-12 structure, there is no factor (e.g. steric hindrance) that pushes one hydroxyl group between two tail groups (inside the molecule structure). Moreover, the steric hindrance between two alkyl tails is strong enough to prevent the hydroxyl group to be placed between two alkyl tail groups (Figure 3.2.2.3). Therefore, the hydroxyl group position is outside of the spacer, which facilitates the interaction of gemini surfactant with A $\beta$ . In 12-4(OH)<sub>2</sub>-12, we can see the endothermic peak in region 3. As we mentioned, there are two hydroxyl groups in the carbon number 2 and 3 in the spacer. In region 3, A $\beta$  is saturated with gemini surfactant molecules. Therefore, a surfactant micelle is formed and attached to A $\beta$ . As two hydroxyl groups in the spacer are close to each other, the steric hindrance prevents them to be in the same position. Hence, two hydroxyl groups will be in the gauche or eclipsed conformation (Figure 3.2.2.3B), which changes the whole molecule configuration. This configuration change affects the way that two hydroxyl groups interact with A $\beta$ . In addition, changing in the molecular configuration can be endothermic enough to cancel out the exothermic enthalpy that results from hydrogen bonding. Therefore, the different conformation of 12-4(OH)<sub>2</sub>-12 in interaction with A $\beta$  causes a substantial difference in  $\Delta H$  in region 3 compared to that of 12-4(OH)-12.



**Figure 3.2.2.3.** 12-4(OH)-12/A $\beta$  conformation (A), and 12-4(OH)<sub>2</sub>-12/A $\beta$  conformation (B). A $\beta$  (◆), gemini surfactant (◆), hydroxyl group (◆).

In Figure 3.2.2.1 A, we can see the changes in particle size (not significant changes). However in Figure 3.2.2.1 B, the ITC data shows flattening of enthalpy at the points close to the end of the plot. It can be concluded that the surfactant molecules attach to A $\beta$  and make micelles consisting of surfactant and A $\beta$ . These micelles are in equilibrium with the surfactant molecules in their environment.

Comparing the effect of 12-4(OH)-12 and 12-4(OH)<sub>2</sub>-12 on A $\beta$  aggregates, it can be concluded that the interaction between hydroxyl-substituted gemini surfactant and A $\beta$  depends on the number of OH substitutes in the spacer. Generally, stronger interactions appear to occur for the surfactant with two OH in the spacer group as compared to the surfactant with a single OH group.

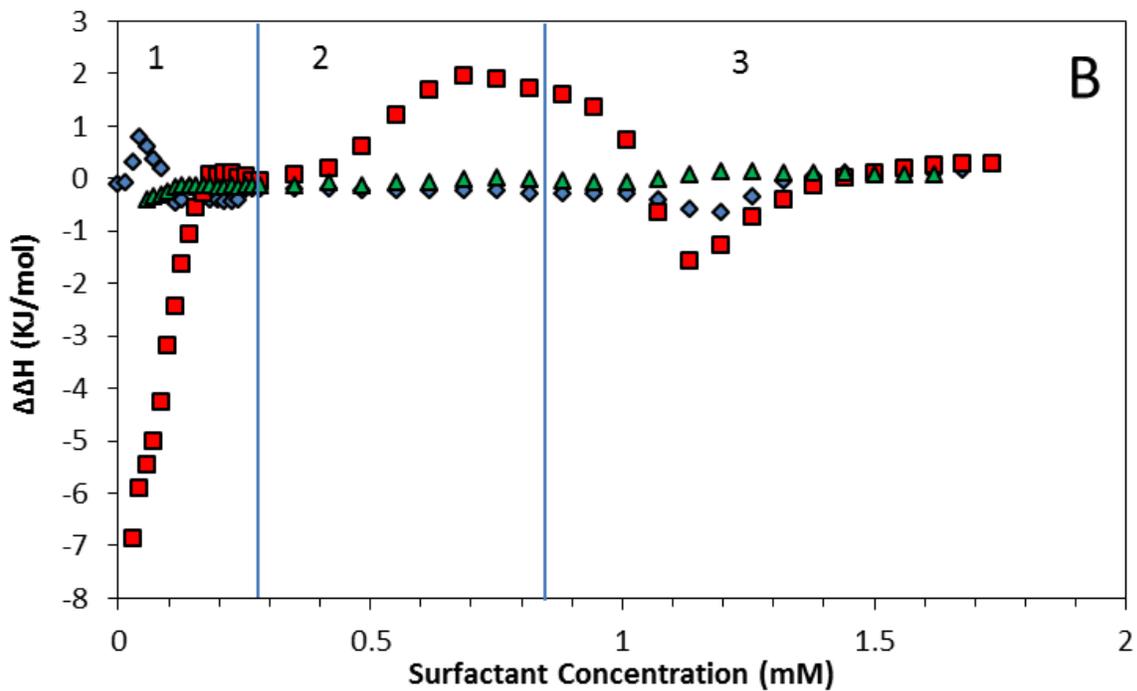
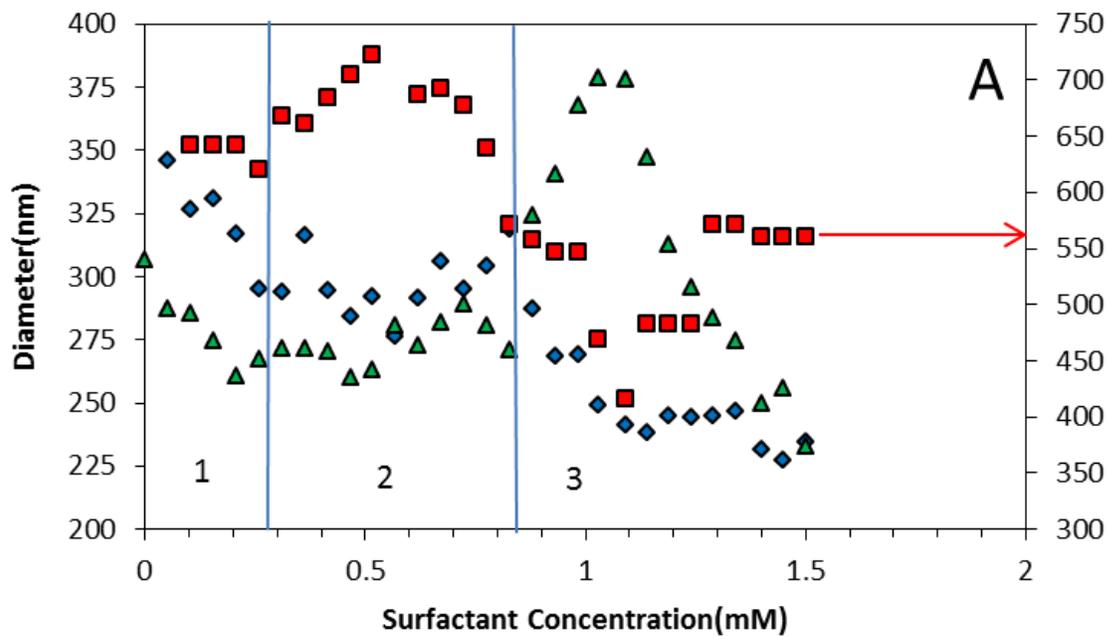
### 3.2.3 Interactions between 12-EO<sub>x</sub>-12 gemini surfactants and A $\beta$

In Figures 3.2.3.1 A and B, the particle sizes (diameter) and subtracted enthalpies ( $\Delta H$ ) as a function of surfactant concentration for the 12-(EO)<sub>x</sub>-12 /A $\beta$  systems are observed. As can be seen, the behavior of surfactants with different number of ethoxy groups varies due to the

different interactions that occur between surfactant and A $\beta$ . As observed in Figure 3.2.3.1 there is not a significant interaction between 12-(EO)<sub>1</sub>-12 and A $\beta$ , and between 12-(EO)<sub>3</sub>-12 and A $\beta$ . For 12-(EO)<sub>2</sub>-12 the enthalpy profiles show significant interaction with A $\beta$  compared to those in 12-(EO)<sub>n</sub>-12 series. Looking at the enthalpy profiles for all three surfactants, it is observed that 12-(EO)<sub>2</sub>-12 has stronger interaction compared to the other two surfactants. As hydrophilicity of 12-(EO)<sub>2</sub>-12 is more than that of 12-(EO)-12, it is expected that 12-(EO)<sub>2</sub>-12/A $\beta$  interaction is stronger than 12-(EO)-12/A $\beta$ . Although 12-(EO)<sub>3</sub>-12 is more hydrophilic than 12-(EO)-12 and 12-(EO)<sub>2</sub>-12, yet the 12-(EO)<sub>3</sub>-12/A $\beta$  interaction is not stronger than that of 12-(EO)-12/A $\beta$  and 12-(EO)<sub>2</sub>-12/A $\beta$ . Using ITC and DLS techniques are not enough to help us to explain the molecular conformation changes in 12-(EO)<sub>3</sub>-12 in interaction with A $\beta$ . We can propose that the conformational changes in the spacer could be responsible for decrease in 12-(EO)<sub>3</sub>-12/A $\beta$  interaction.

Looking now at region 2, the enthalpies for 12-(EO)<sub>1</sub>-12 and 12-(EO)<sub>3</sub>-12 are approximately 0 kJ mol<sup>-1</sup>. In the areas which enthalpy profiles are zero, it seems that the surfactant interaction with A $\beta$  is the same as the interaction at the end of the enthalpy plot (Figure 3.2.3.1 B) in region 3 (in region 3, the surfactant/A $\beta$  interaction causes formation of micelles consisting of A $\beta$  and surfactant).

For 12-(EO)<sub>2</sub>-12 in region 2, we see endothermic enthalpy in contrast to 12-(EO)<sub>1</sub>-12 and 12-(EO)<sub>3</sub>-12. We conclude that since 12-(EO)<sub>2</sub>-12 is more hydrophilic than 12-(EO)-12, and has a straight spacer (compared to the spacer of 12-(EO)<sub>3</sub>-12 that, as we already proposed, it's spacer could have a different conformation rather than being straight) it can interact better with A $\beta$  than



**Figure 3.2.3.1.** A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-EO<sub>1</sub>-12 (◆), 12-EO<sub>2</sub>-12 (■), and 12-EO<sub>3</sub>-12 (▲) into A $\beta$  (1  $\mu$ g/ml) solution.

those with one and three ethoxy groups in the spacer. 12-(EO)<sub>2</sub>-12 can have the same interaction pattern as 12-4(OH)<sub>2</sub>-12 in section 3.2.2 (Figure 3.2.2.1 B, region 2).

Finally, a second peak is observed for 12-(EO)<sub>2</sub>-12 in the subtracted enthalpy profiles (Region 3 in Figure 3.2.3.1 B), for 12-(EO)-12 and 12-(EO)<sub>3</sub>-12, the ITC data does not show a significant changes in enthalpy profile for both of them. As mentioned in section 3.2.1, in this region, surfactant monomers are likely to form free micelles; also the monomers can transfer from the micelles to mixed gemini surfactant-A $\beta$  aggregates since there is an equilibrium between these two structures (surfactant free micelles and surfactant-A $\beta$  aggregates). To confirm these interpretations, AFM and EM studies are needed.

Based on the above discussion the chemical groups in the spacer and the length of the spacer affect the interaction between gemini surfactant and A $\beta$ . Generally, stronger interactions are seen in 12-(EO)<sub>2</sub>-12/A $\beta$  than those of 12-(EO)-12/A $\beta$  and 12-(EO)<sub>3</sub>-12/A $\beta$ .

### **3.2.4 Interactions between 12-XN-12 gemini surfactants and A $\beta$**

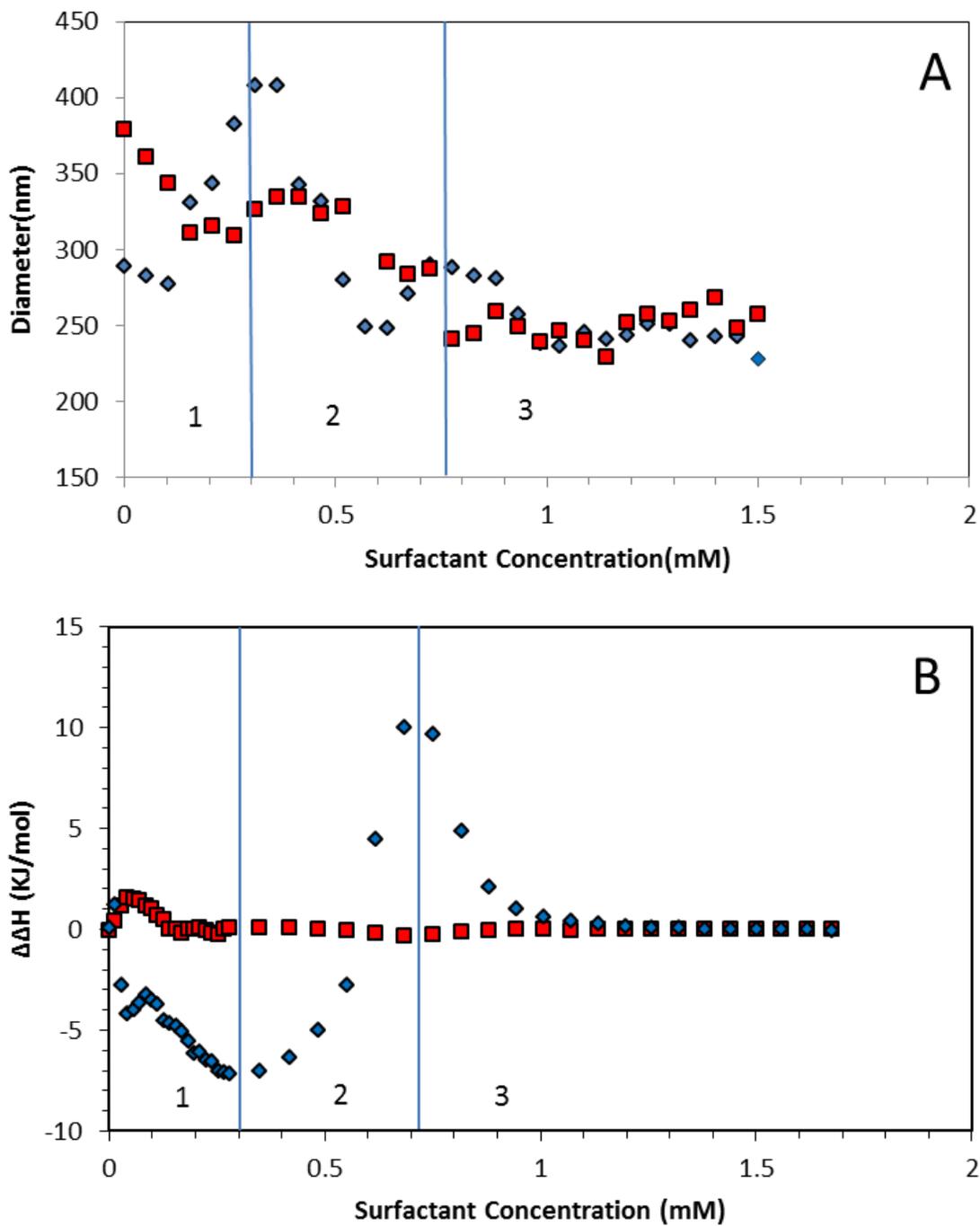
As shown in Figure 3.2.4.1 the particle sizes (diameter) and subtracted enthalpies ( $\Delta H$ ) as a function of surfactant concentration for the 12-5N-12/A $\beta$  and 12-8N-12/A $\beta$  systems are assessed. Various behaviours in a surfactant with one aza group compared to that with two aza groups in the spacers are observed.

Before the addition of 12-5N-12 surfactant, the A $\beta$  peptide has an average particle size of ~300 nm, and in the absence of added 12-8N-12, the A $\beta$  peptide has an average particle size of ~370. After the surfactant is added, the average particle sizes are observed to decrease for both

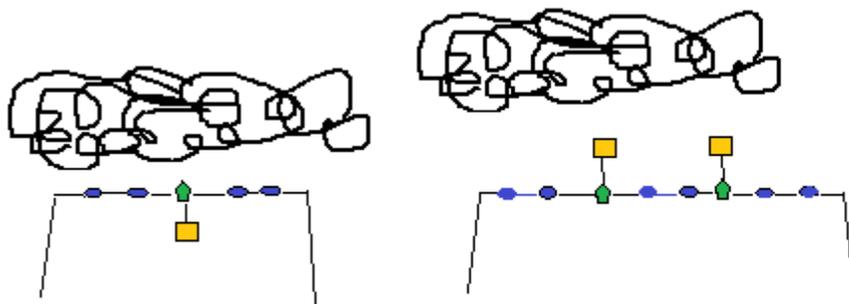
systems, but then an increase in particle size was observed for 12-5N-12 in region 1. At the same time the enthalpies for 12-5N-12/A $\beta$  are observed to change significantly whereas there is not substantial change in the 12-8N-12/A $\beta$  enthalpy.

In our opinion, the exothermic enthalpy in this region is attributed to the hydrophilic interaction between the spacers and A $\beta$ . Donkuru (86) et al. assessed the efficacy of aza substituted gemini surfactants in increasing transfection efficacy. They mentioned the effect of methyl groups in increasing steric hindrance between gemini surfactants and DNA molecules. We can use a similar interpretation in rationalizing the difference in the behavior of 12-5N-12/A $\beta$  and 12-8N-12/A $\beta$ . As it is shown in Figure 3.2.4.2, the methyl group in 12-5N-12 is not as effective as two methyl groups in 12-8N-12 in reducing the surfactant interactions with A $\beta$ . Hence, the significantly higher exothermic transition of 12-5N-12/A $\beta$  in region 1 compared to that of 12-8N-12/A $\beta$  (Figure 3.2.4.1 B) can be explained by the higher hydrophilic interaction between 12-5N-12 and A $\beta$  versus that between 12-8N-12 and A $\beta$ .

The particle size data in region 1 (Figure 3.2.4.1 A) shows first a slight decrease and then significant increase in 12-5N-12/A $\beta$  particle size. 12-5N-12 molecules tend to attach to A $\beta$  and unfold the A $\beta$  aggregates. This creates availability for more parts of the A $\beta$  aggregate to accept 12-5N-12 molecules (Figure 3.2.4.3). Hence, unfolded A $\beta$  can bind more surfactant molecules and this process is responsible for an increased particle size in region 1 for 12-5N-12. AFM or EM methods can give us better images of gemini surfactant/A $\beta$  interaction, which would help us to confirm our interpretation of their interaction.



**Figure 3.2.4.1.** A) Dynamic light scattering and B) Observed enthalpies for the addition of 12-5N-12 (◆), and 12-8N-12 (■) into Aβ (1 μg/ml) solution.



**Figure 3.2.4.2.** 12-5N-12/A $\beta$  conformation (A), 12-8N-12/A $\beta$  conformation (B): A $\beta$  (◆), Carbon (●), Nitrogen (◆), Methyl group (■).

Looking now at region 2, for 12-5N-12 there is an endothermic enthalpy profile (an endothermic transition) (Figure 3.2.4.1 B), and for 12-8N-12 the enthalpy profiles being approximately zero, and weakly exothermic. The flattening enthalpy reveals that there is no difference in enthalpy for the addition of surfactant to A $\beta$  compared to the addition of surfactant to water. Thus, one can assume that 12-8N-12 molecules are added to A $\beta$  in this region are forming micelles. The increase in particle size observed in region 2 (Figure 3.2.4.1 A), is consistent with the above interpretation, and also the fact that reorganization of A $\beta$  could occur in this region that results in the increasing in particle size.

In 12-5N-12, interactions with A $\beta$  are different in region 2 from 12-8N-12/A $\beta$  interactions. In region 2 in DLS data (3.2.4.1 B) after the increasing of particle size, we see a significant reduction in particle size for 12-5N-12. The enthalpy change for 12-5N-12 is significant in this region, which can be related to the high endothermic interactions between surfactant and A $\beta$ . Based on DLS and ITC data in region 2, we conclude that the attachment of

12-5N-12 to A $\beta$  causes unfolding of the aggregate. The difference in the enthalpy profiles for 12-5N-12 and 12-8N-12 in region 2 is attributed to an extra aza group in 12-8N-12, which was previously discussed in this section that how one extra methyl group in the spacer could affect the interaction between aza substituted surfactant and A $\beta$ .

Region 3 corresponds to the saturation of A $\beta$  peptide, and the onset of free micelle formation for the surfactants. In Figure 3.2.4.1 A, a change in particle size is observed. At this point, added surfactant forms free micelles. There is also equilibrium between mixed gemini surfactant- A $\beta$  aggregates and free surfactant micelles.

Based on above discussion, it can be concluded that the number of aza groups in the spacer has a significant impact on surfactant/A $\beta$  interaction. Generally, stronger interactions appear to occur for 12-5N-12; although two aza groups seems to have more hydrophilic interaction with A $\beta$  than that of 12-5N-12, the steric hindrance caused by two methyl groups in the spacer reduces the interaction of 12-8N-12 and A $\beta$ .

### **3.2.5 Summary of ITC and DLS results**

To our knowledge, none of the articles about gemini surfactant/A $\beta$  interactions (for example (50,72,76,77,90)) mentioned a detailed or properly supported explanation about the interaction between gemini surfactant and A $\beta$ . In this work, we have the data for different surfactants with different spacer structures. This gives us a substantial amount of data, compared to most of the papers in the literature, and helps us to present stronger interpretations by comparison between the data of different surfactants.

Among eleven surfactants, the strongest interactions are seen in 12-(EO)<sub>2</sub>-12/A $\beta$ , 12-4(OH)<sub>2</sub>-12/A $\beta$  and 12-5N-12/A $\beta$  systems. To confirm our work, other techniques such as NMR, AFM and dialysis-based binding studies can be used.

## Chapter 4: Conclusions

Within this work, the physicochemical properties of the interaction between gemini surfactants with different spacer groups and A $\beta$  peptide were assessed. First, we evaluated the interactions by surface tension measurements. We observed changes in cmc and head group area of our gemini surfactants in A $\beta$  solution. It was obvious that gemini surfactants with different spacer length and various spacer substitutes can interact with A $\beta$ , and we conclude this interaction is through a solubilization process, rather than a more typical surfactant/polymer or surfactant/protein interaction as introduced in Chapter 1. Our surface tension results do not show the cac and C<sub>2</sub> critical concentrations expected for surfactant/polymer systems and instead clearly show a reduction in cmc for most surfactants, as expected for micelle formation in the presence of a hydrophobic solute. From variations in the head group areas for the surfactants it is also clear that there is substantial interaction occurring between the surfactants and A $\beta$ ; however the nature of this interaction (i.e. arrangement of surfactant and peptide within each aggregate) remains unclear.

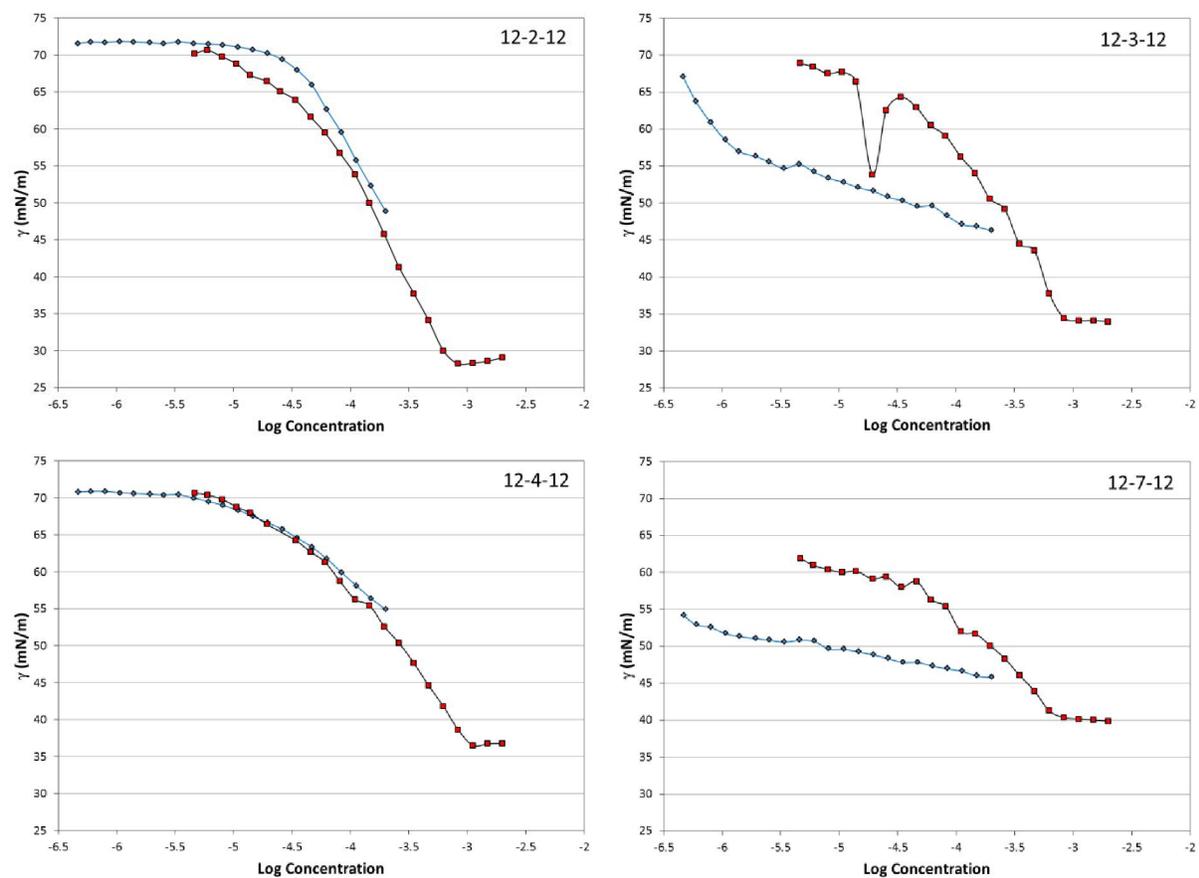
As the surface tension data did not give us enough information about the gemini surfactant/A $\beta$  interaction, we decided to use ITC and dynamic light scattering techniques to confirm our results in surface tension part and also get a better idea about the possible interactions occurring between gemini surfactant and A $\beta$ . The ITC results reveal that gemini surfactant with different spacer groups do not follow the same trend in interaction with A $\beta$ . For example, it was observed that gemini surfactant with hydroxyl group in the spacer interacts with A $\beta$  differently from those with ethoxy or aza groups. The hydrogen bond between 12-4(OH)<sub>n</sub>-12

and A $\beta$  is a factor that affects the whole molecule interaction with A $\beta$ . In 12-(EO)<sub>n</sub>-12 gemini surfactants, there is still hydrophilic interaction that can affect whole molecule configuration. In gemini surfactants with aza groups in their spacer the hydrophilicity of the spacer plays an important role in 12-XN-12 interaction with A $\beta$ . The DLS experiments help us to get better idea about the particle size changes in different gemini surfactant concentration in interaction with A $\beta$ . Overall, it was observed that the particle size decrease at the end of the experiment. These results reveal that gemini surfactant molecules with various spacers are able to disrupt A $\beta$  aggregates and turn them into smaller particles.

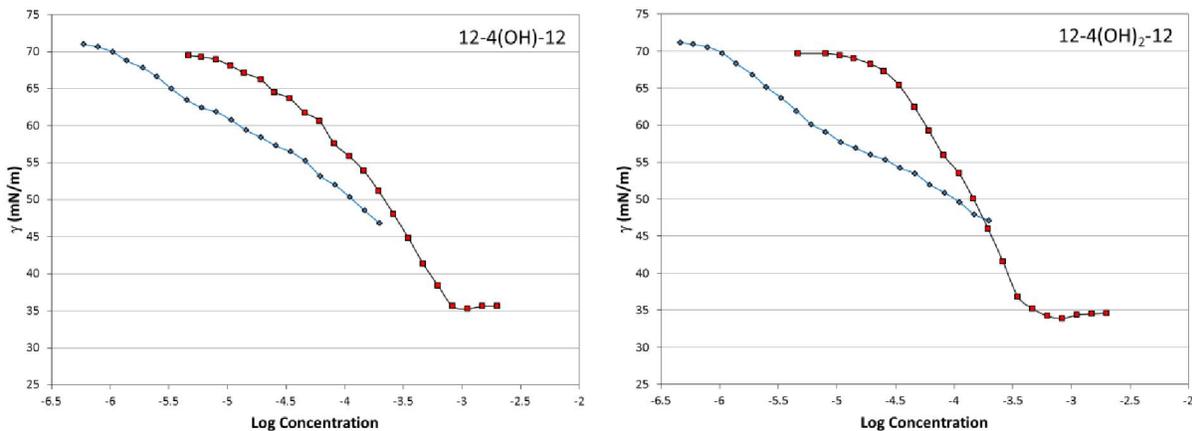
### **Future directions of research**

A $\beta$  plays an important role in the pathophysiology of Alzheimer's disease. In this research we tried to investigate the effect of gemini surfactants' spacer on A $\beta$  aggregation and formation. AFM studies can be helpful in confirming what we proposed in our research about the A $\beta$ /gemini surfactant configuration. It can reveal the spacer's structure effects on the overall configuration of gemini surfactant/A $\beta$  interaction. Aggregation assay is another experiment that can be helpful in checking the aggregation status of A $\beta$  before and after adding gemini surfactant. Testing the neuronal cell toxicity of gemini surfactant and also gemini surfactant's efficacy in reducing A $\beta$  toxicity for neuronal cells is another experiment that can be conducted. The MTT assay is the experiment that can be used in testing the neuronal cell toxicity of molecules.

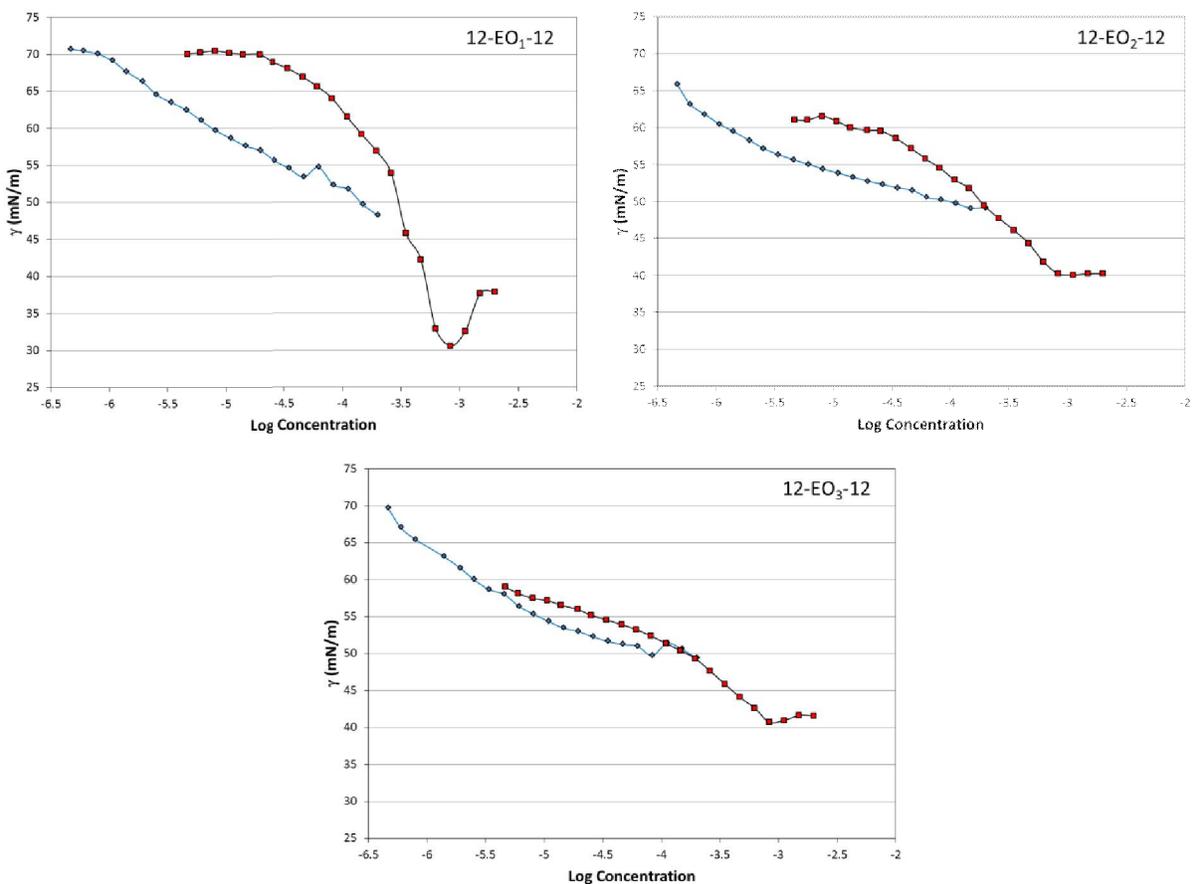
## Appendix 1



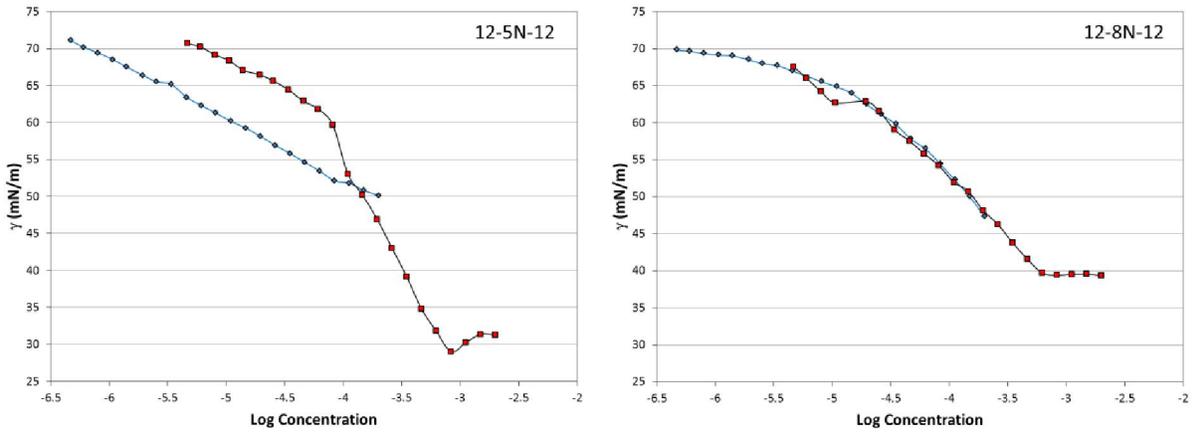
**Figure A.1.1:** Surface tension plot for the titration of the 12-s-12 gemini surfactants ( $\blacklozenge$  = 1 mM stock solution;  $\blacksquare$  = 10 mM stock solution) into a 1  $\mu\text{g/mL}$  solution of A $\beta$  in water.



**Figure A1.2:** Surface tension plot for the titration of the 12-4(OH)<sub>x</sub>-12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water.



**Figure A1.3:** Surface tension plot for the titration of the 12-EO<sub>x</sub>-12 gemini surfactants (◆ = 1 mM stock solution; ■ = 10 mM stock solution) into a 1 μg/mL solution of Aβ in water.



**Figure A1.4:** Surface tension plot for the titration of the 12-XN-12 gemini surfactants ( $\blacklozenge = 1$  mM stock solution;  $\blacksquare = 10$  mM stock solution) into a  $1 \mu\text{g/mL}$  solution of  $A\beta$  in water.

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