

The Examination of Hemispherical Photography as a means of obtaining  
*In Situ* Remotely Sensed Sky Gap Estimates in Snow-Covered Coniferous Environments

by

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## **AUTHOR'S DECLARATION**

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

I understand that my thesis may be made electronically available to the public.

## ABSTRACT

In remote sensing, the application determines the type of platform and scale used during air or space –borne data collection as the pixel size of the collected data varies depending on the sensor or platform used. Applications involving some cryospheric environments require the use of the microwave band of the electromagnetic spectrum, with snow water equivalent (SWE) studies making use of passively emitted microwave radiation.

A key issue in the use of passive microwave remotely sensed data is its spatial resolution, which ranges from 10 to 25 kilometres. The Climate Research Branch division of the Meteorological Service Canada is using passive microwave remote sensing as a means to monitor and obtain SWE values for Canada’s varying land-cover regions for use in climate change studies. Canada’s diverse landscape necessitated the creation of a snow water equivalent retrieval algorithm suite comprised of four different algorithms; all reflecting different vegetative covers. The spatial resolution of small scale remotely sensed data does provide a means for monitoring Canada’s large landmass, but it does, however, result in generalizations of land-cover, and in particular, vegetative structure, which is shown to influence both snow cover and algorithm performance.

The Climate Research Branch is currently developing its SWE algorithm for Canada’s boreal forest region. This thesis presents a means of successfully and easily collecting *in situ* remotely sensed data in the form of hemispherical photographs for gathering vegetative structure data to ground-truth remotely sensed data. This thesis also demonstrates that the Gap Light Analyzer software suite used for analyzing hemispherical photographs of mainly deciduous environments during the spring-fall months can be successfully applied towards cryospheric studies of predominantly coniferous environments.

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“We are the music makers, and we are the dreamers of dreams”

- Willy Wonky
- Arthur O'Shaughnessy

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## CHAPTER ONE: Introduction

### 1.1 Monitoring Cryospheric Environments

In 2001, Canada experienced a national drought, with the situation compounded in the Prairie region during a warmer and drier than normal 2001-2002 winter. Low snow accumulation in the Prairies meant minimal runoff during the spring of 2002, which left that region with water shortages, in addition to low soil moisture levels. Impacts from low snow accumulation in Canada's Prairie region include lower annual crop yields and unreliable and uncertain industry (Agriculture and Agri-Food Canada [agr.gc.ca/pfra/drought02sum\_e.htm] October, 2006).

The Prairie region drought of 2001-2002 highlights how Canada is increasingly experiencing negative impact associated with changes in its climate, especially in its cryospheric environments. The *cryosphere* refers to frozen natural phenomena within the world's climate system. These phenomena include river, sea and lake ice, seasonal snow cover, glaciers, and permafrost (SOCC [socc.ca], October 2006; LeDrew, 2002). Large cryosphere environments are degrading from climate change and industrialization, resulting in ecosystem diversity being threatened, physical features being altered, and industry being on alert. Canada is at the forefront of this issue because of its variety of cryospheric environments, significant Arctic region, and resource-based economy (LeDrew *et al.*, 1995). Seasonal snow cover is one component of the cryosphere that is impacted by climate change, and Canada's increasingly variable snow accumulation is resulting in negative conditions similar to those experienced during the 2001-2002 Prairie region drought.

Canada has six main snow cover regions: tundra, taiga (boreal forest), Prairie, maritime, alpine and ephemeral. These regions are based on climate and snow characteristics, including: snow extent; depth; water equivalent; structure; wetness; and surface albedo/reflectivity (Barry *et*



*al.*, 1993; Barry *et al.*, 1994; Goodison, 1995; Sturm *et al.*, 1995 in Ross, 1996).

Snow cover, a component of the cryosphere, has a snow water equivalent (SWE<sup>1</sup>) characteristic that is determined from snow depth and density and represents the depth of water that would result if snow were melted (SOCC [socc.ca], October 2006). SWE is currently being used by the Meteorological Service of Canada's Climate Research Branch to identify snow accumulation and depth. Derksen *et al.* (2002, pg. 203) describe SWE as an important component of climate models because of the,

“climatological impacts of snow distribution on local, regional, and hemispheric energy exchange and the hydrological significant of snowpack water storage.”

SWE provides crucial information concerning water available for irrigation, hydroelectric power generation, industry and human consumption (Barry *et al.*, 1993; Derksen, 2001; LeDrew, 2002).

SWE has played an important role in Canadian water issues; most recently, it was used in 2002 to identify low snow accumulation in the Prairies, which subsequently resulted in crop failure brought on by insufficient soil moisture levels (LeDrew, 2002). That project highlights the utility of SWE in forecasting and modeling Canadian cryosphere and water issues. This example utilized a prairie SWE retrieval algorithm, developed by Environment Canada's Meteorological Service of Canada (MSC), and made use of data collected by satellite remote sensing<sup>2</sup> to obtain SWE surface measurements.

## **1.2 Overview of Research Problem**

Remote sensing, a method of collecting information about the earth's surface without physical contact, is a valuable tool for obtaining snow cover data. This technology has the capability to provide “quantitative, repetitive and spatially continuous observations” over large

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<sup>1</sup> All acronyms are summarized at the end of the thesis in Appendix 2.1.

<sup>2</sup> In this thesis, ‘satellite remote sensing’ refers to space-based platforms.

areas (Derksen *et al.*, 2002a, pg. 1). Obtaining comparable snow cover data, like SWE measurements, through terrestrial sampling is difficult. Extreme climate and terrain conditions can make it near impossible.

The MSC has developed a suite of operational, passive microwave SWE retrieval algorithms that makes use of data derived from both terrestrial and remotely sensed sources (using airborne and satellite acquisition programs) for the different snow cover and terrestrial regions of central Canada, beginning in the 1980s with the development of a SWE algorithm for Canada's prairie region (see Goodison *et al.*, 1995; Goita *et al.*, 1997 in Derksen *et al.*, 2002; Derksen *et al.*, 2002a). Derksen (2001; 2002) applied the prairie SWE algorithm to other Canadian snow cover regions, but determined that standing vegetation structure, characteristics of the remotely sensed data including pixel size, and variable physical properties of the snow cover negatively impact the accuracy of the prairie SWE algorithm in non-prairie environments. Standing vegetation structure, for instance, results in the absorption, emission and scattering of microwave energy, and interception of falling snow (Foster *et al.*, 1991 in Derksen *et al.*, 2000).

Remotely sensed passive microwave data has a spatial resolution that ranges from 10 to 25 kilometres. Obtaining SWE derived measurements using passive microwave data with a spatial resolution of 25 kilometres will retrieve only one SWE value for 625 kilometres<sup>2</sup>. This consequently assumes spatial homogeneity with regards to the local land-cover and snow cover. Land-cover and snow cover, particularly in regions outside of the prairies are, however, heterogeneous, and are difficult to accurately account for with the small scale of passive microwave derived data because all features in a pixel area are generalized to obtain one pixel value. Derksen (2001; 2002) concluded that the influence of these outside factors do not allow the prairie SWE algorithm to be effectively implemented in non-prairie environments.

Consequently, research at the MSC has since focused on land-cover specific SWE retrieval algorithms, including one for the boreal snow cover region of central Canada, to augment the algorithm suite (Derksen *et al.*, 2002a).

The MSC is focusing on developing the boreal SWE algorithm, and Canada's heterogeneous landscape and snow cover requires collecting several types of auxiliary data, including *in situ* snow cover data (depth, density, SWE) and second order land-cover variables (vegetative structure characteristics and canopy closure, etc.) (IGBP, 1992; and Houghton *et al.*, 1996 in Curran *et al.*, 1998; Derksen, 2002). Hemispherical photography is considered a suitable ground-based (*in situ*) remote sensing tool for indirectly measuring forest canopy architecture and light interception (Fournier *et al.*, 1996; 1997; 2003).

Hemispherical photography assesses variation in vegetation on a large scale, making this tool sensitive to local scale variations in forest canopy structure. The collection of hemispherical photography makes use of a sensor (the camera with a hemispherical lens) facing skyward from beneath the forest canopy to measure light transmission and forest canopy structure (Fournier *et al.*, 2003; Frazer *et al.*, 1999). The open area, or gaps, within the forest canopy is referred to as sky gap, and sky gap values can help describe the density of the neighbouring forest canopy.

### **1.3 Research Objectives**

The objective of this thesis is to examine and test the use of hemispherical photography as a means of obtaining *in situ* remotely sensed sky gap estimates in snow-covered predominantly coniferous environments. Two field programs were designed around the collection of *in situ*, airborne and remotely sensed snow water equivalent measurements, which also incorporated the collection of hemispherical photography of the vegetative canopy to attain this objective. By varying the conditions by which the hemispherical photographs of the forest

canopy were taken, including angle from horizontal, cloud cover, and height above the ground, in this thesis we will determine if hemispherical photography can easily and successfully be used as a means for obtaining *in situ* sky gap values for snow-covered predominantly coniferous environments.

The motivation behind this research is to find a correction factor for the passive microwave sensor in order to be able to interpret and better understand all surface land-cover types and obtain more representative remotely sensed SWE values (Derksen *et al.*, 2000). Once the utility of hemispherical photography and its use as a correction factor is determined and understood, this information can be applied to MSC's remaining SWE passive microwave algorithms by incorporating forest canopy research structure in studies concerning cryospheric environments.

#### **1.4 Thesis Organization**

Themes covered in the first chapter included cryospheric environments; SWE algorithm suite development; land-cover variability; *in situ* and satellite remote sensing; hemispherical photography; sky gap; measurement sensitivity and the application of *in situ* land-cover measurement to remotely sensed SWE data.

In chapter two, a brief overview of the historical context of this thesis' research application is provided. This includes a description of SWE algorithm suite development, how both snow and vegetative structure impact remotely sensed data and its collection, and to a discussion concerning the current state of research and application for *in situ* remote sensing of forested environments. An introduction to two different software suites that analyze hemispherical photography is also provided.

In chapter three, the methodology, various study sites and collection of hemispherical photography are described. Data analysis and results are described in chapter four, with chapter five containing major findings, conclusions and suggestions for research. Suggestions for future research focus on extending the thesis findings, exploring hemispherical photography applications in coniferous environments and cryospheric environments, and improving the accuracy of the SWE algorithm suite.

## CHAPTER TWO: Literature Review

### 2.1 Basic Principles of Passive Microwave Remote Sensing of SWE

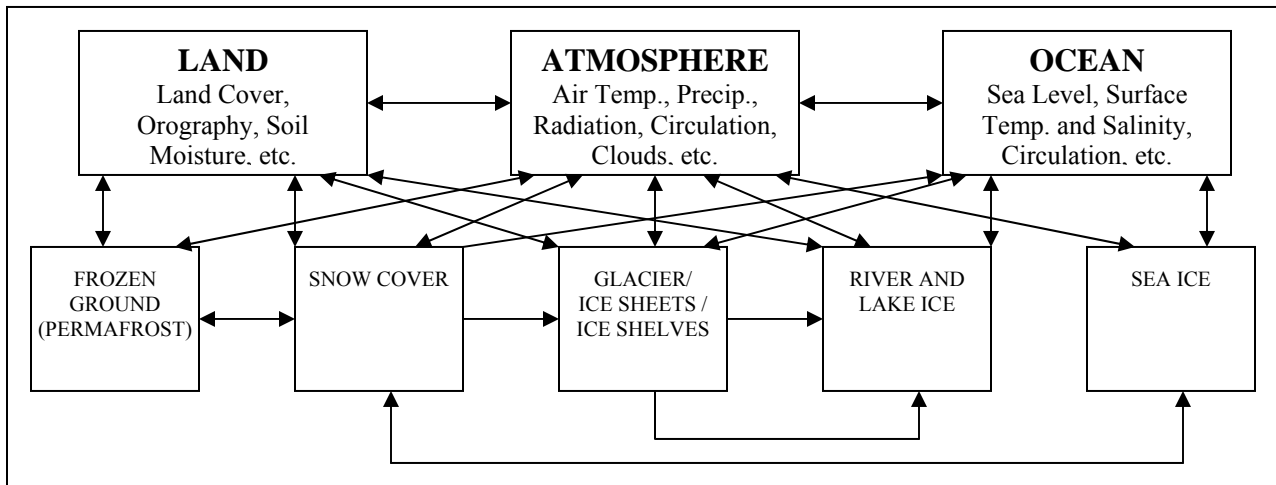
Remote sensing involves acquiring imagery or data with varying spatial and radiometric resolutions about the earth's surface from airborne or satellite platforms (Richards and Jia, 1999). Remotely sensed digital images contain data in the form of two-dimensional pixels that reflect the spatial resolution of the sensor, and this data has varying brightness values based on its radiometric resolution (Avery and Berlin, 1985). The microwave band of the electromagnetic spectrum has a wavelength between 0.1 and 30 centimetres. There are two methods of remotely sensing microwave radiation; passive or active. Passive remote sensing involves detecting microwave radiation that is naturally emitted from the earth's surface, whereas active remote sensing utilizes energy transmitted from the remote sensing platform itself (Richards and Jia, 1999; Avery and Berlin, 1985). Small scale remotely sensed imagery has the benefit of covering a large area, but in the case of a passive microwave pixel its spatial resolution, ranging from 10 to 25 kilometres, can negatively influence the accuracy of intra-pixel variability of land cover characteristics, including vegetation structure (Derksen, 2002). Large spatial resolutions such as those experienced in passive microwave derived-SWE data result in questions of scale and variability, which will be addressed later in the chapter. In the context of this thesis, small scale remotely sensed data refers to passive microwave data, whereas *in situ* hemispherical photography refers to large scale data.

The use of satellite and airborne remote sensing can be an effective tool for use in land cover monitoring for scientific studies, resource management and human activities; such as monitoring the clean-up of the 9/11 terrorist attacks on New York's World Trade Center twin-towers (Cihlar, 2000, National Park Service [[home.nps.gov/gis/applications/wtcgis.html](http://home.nps.gov/gis/applications/wtcgis.html)] April, 2008).

## 2.2 Factors that influence Passive Microwave emissions/SWE estimates

Canada's research and development into SWE monitoring is out of necessity as the country hosts a variety of cryospheric components over large geographical areas, including snow and ice. In a show of national and global responsibility towards cryospheric research, the Canadian government is an invested stakeholder into furthering the measuring, modeling, and understanding of relationships between the cryosphere surface and atmosphere energy exchanges (Piwowar *et al.*, 2002; Brown, 1996; Barry *et al.*, 1994). Research examining changes in snow cover has identified that these alterations, such as the breaking-up of glaciers, can be compounded by changes in atmospheric conditions, including air temperature and radiation (World Glacier Monitoring Service [geo.unizh.ch/wgms/monitoring.html] April, 2008). As a result, changes in major components of the Earth's climate system should recognize the influence of climate system factors (Figure 2.1).

**Figure 2.1: Cryosphere-Climate Interactions**



(CRYSYS [msc-smc.ec.gc.ca/crysys/overview/crysys\_overview\_2002\_e.pdf] February, 2007) Snow cover physical properties also play an important role in SWE algorithm performance. Derksen *et al.* (2000) describe parameters, listed in Table 2.1, that influence interactions between

microwave radiation and snow cover. For example, snow surface conditions, such as the presence of ice crusts, may influence microwave energy surface reflectivity, and impact SWE algorithm performance (Barry *et al.*, 1995).

**Table 2.1: Snow cover Parameters and their Influence on SWE Algorithm Performance**

<b>Parameter</b>	<b>Influence on Microwave Energy</b>
Snow wetness	Wet snow approaches ‘black body’ behaviour; between-channel brightness temperature gradient degrades; snow cover becomes ‘invisible’
Ice crusts	Alters absorption and emission characteristics; increases emissivity at high frequencies relative to low frequencies
Depth hoar & crystal structure	Large crystals increase snowpack scatter, artificially increasing retrieved SWE
Snow depth	At a maximum of approximately 1 m depth, relationship between brightness temperature and SWE weakens
Temperature	Large temperature gradients contribute to depth hoar formation; temperature physically associated with snow wetness
Soil conditions	Soil type and wetness can influence emissivity
Vegetative/Land-cover	Wide-ranging effects: contributes to scatter, absorption and emission

*In situ* snow cover data is important when examining the influence of scale in passive microwave derived-SWE measurements because snow cover variables, combined with vegetative structure, affect the amount of snow that accumulates on the earth’s surface. Snow accumulation varies at the micro-, meso- and macro- scales (Pomeroy *et al.*, 2002). Microscale (10-100m) snow accumulation is the result of snow redistribution along “airflow patterns and interception” (McKay and Gray, 1981 in Pomeroy *et al.*, 2002, pg. 93). Mesoscale (100m-10km) accumulation variation results from differences in terrain and vegetation, while macroscale (10-1000km) variation is driven by “latitude, elevation, orography and water bodies” (Pomeroy *et al.*, 2002, pg. 93). Snow accumulation can vary at the within-stand scale (i.e., within/across a



specific stand of trees) because of the stand's characteristics: disturbed, which is the case in a commercial forestry zone, or in its natural state, such as in protected wilderness areas (Pomeroy *et al.*, 2002). Snow accumulation is typically greater in forest clearings, but the difference in snow accumulation between disturbed and natural forests is related to the size of the clearing (Golding *et al.*, 1978 in Pomeroy *et al.*, 2002). Smaller clearings tend to have accumulations affected by, for example, neighbouring forest canopy, whereas larger clearings can lose accumulation via wind transport (i.e., blowing snow). Variations in snow accumulation in Saskatchewan's boreal forest region are influenced by many factors that help prevent snow loss, and maintain snow accumulation brought by winter snow (Pomeroy *et al.*, 1997 in Pomeroy *et al.*, 2002). The factors include conditions characterized by a relatively low wind speed in the winter, and treefellings and trimmings left at the site of a clear-cut. The treefellings and trimmings act as natural snow fences and wind-breakers, while the low wind speed helps to prevent snow from being blown away.

Vegetative cover in the boreal forest contains both deciduous and coniferous trees, and although this thesis examines the sky gap of a predominantly coniferous forest stand, deciduous canopy cover is also examined as there were deciduous trees present in the study site. Forest canopy is defined by Howard (1991, pg. 303) as, "the proportion per unit area of the ground covered by the vertical projection on to it of the overall tree crowns". In this thesis we examine forest canopy vegetative structure for obtaining sky gap measurements using *in situ* remote sensing. Sky gap (also known as gap fraction) is defined by Inoue *et al.* (2004a, pg. 92) as, "the total amount of sky visible as a proportion of the whole hemisphere when viewed from a point".

Vegetative structure and sky gap are of concern in SWE studies as they can both impact snow cover characteristics and cause variations in forest canopy light conditions. Vegetative

structure is of particular concern to studies using passive microwave data as the sensor's spatial resolution cannot take into account local changes in vegetative structure.

A strategy derived from Fournier *et al.* (2003) to compensate for issues of scale with standing forest data is used to obtain data from each of the three scale levels: ground plot, stand and regional/national (Table 2.2). Questions of scale are frequently the basis of research problems in remote sensing and data analysis, and information about a forest canopy, such as that found in Canada's boreal region, should be collected at the scale required to answer the problem (Fournier *et al.*, 1997).

**Table 2.2: Strategies for Compensating for Issues of Scale in Forest Stand Research**

<b>Spatial Scale of Interpretation</b>	<b>Parameter Measured</b>	<b>Methodology</b>
Regional	Climate, ecosystem, landscape parameters	Climate statistics; maps (topography, soil, etc.); interpretation of aerial photographs
Stand	Hemispherical views of canopy architecture	Catalog of hemispherical photographs
Stand	Tree location; understory mapping	Site characterization
Individual crown	Height of tree, live crown, trunk diameter at breast height; crown horizontal extent	Site characterization
Trunk and branch units	Trunk inventory; branch segments geometry and position; branch segments topology	Vectorization
Foliage	Geometric description of foliage	Vectorization

(Fournier *et al.*, 1997)

Forest stand canopies create two major concerns for geographers: the canopy modifies incoming solar radiation and reflects radiation, as well as creating a microclimate that impacts the exchange of heat, water and carbon during ecophysiological processes (Fournier *et al.*, 1997).

The amount of light reaching the forest floor is determined by many factors, and Gendron *et al.* (1998) and Hardy *et al.* (2004) summarize these factors as relating to the “position of the solar track, location within gaps, gap size, canopy height, loudness (spectral resolution of the data), leaf phenology, and foliage movement due to wind”. These factors also vary based on where *in* the canopy the sky gaps are measured. The spatial variability of incoming solar radiation increases if measured within discontinuous canopies. Tree species, tree size, and solar incidence angle also influence incoming solar radiation under a canopy, while light quantity and intensity help to determine physiological and ecological processes (Engelbrecht and Herz, 2001). Light does, however, vary in different forest conditions and climatic gradients. Accurate modeling of solar radiation underneath forest canopies is important for understanding energy transfer models and snow cover below a canopy (Hardy *et al.*, 2004).

Energy transfer models manage the complexity of canopy radiative transfer by applying a variation of the Beer’s law (also known as the Beer-Lambert law) to examine transmissivity. Transmissivity is defined as, “the dimensionless ratio of radiation transmitted through the canopy to that incident upon it” (Hardy *et al.*, 2004, pg 258). Beer’s law, in its original form, is a linear equation examining the relationship between absorbance and material concentration used to describe atmospheric attenuation (Sheffield Hallam University [teaching.shu.ac.uk/hwb/chemistry/tutorials/molspec/beers1.htm], August 2008). Beer’s law is frequently used to describe attenuation in optical materials and water environments (Friedman and Miller, 2003). A variation of the Beer’s law is utilized to examine the probability of a photon reaching the ground below a horizontal, uniform canopy, with a correction factor introduced to the energy transfer algorithm to account for snow surface albedo. Tarboton and

Luce (1996) in Hardy *et al.* (2004) reduced net radiation fluxes in their Utah Energy Balance Forest Canopy closure (UEB-FC) snow model algorithm, by incorporating linear relationships,

$$K^* = (1 - FC)K_{\downarrow above} (1 - \alpha_s)$$

Net solar radiation below the canopy is defined as  $K^*$ , whereas  $FC$  is defined as the forest canopy closure.  $K_{\downarrow above}$  refers to the incoming solar radiation above the canopy, and  $\alpha_s$  is the snow surface albedo. The research of Beer's law by Tarboton and Luce (1996) has been further developed by Hellstrom (2000) to assume random distribution of canopy branches and leaves. The modified UEB model proved best in coniferous environments, whereas the original UEB performed best in deciduous environments (Hellstrom, 2000).

There are many other variants to Beer's law that have been applied to analyze transmissivity through a forest canopy. These algorithms do not, however, accurately describe how light passes through more realistic discontinuous canopies (Hardy *et al.*, 2004). Li *et al.* (1995 in Hardy *et al.*, 2004) developed the geometric-optical radiative-transfer (GORT) model that considers three-dimensional geometry in canopies, as well as variable gap sizes and locations associated with discontinuous canopies. The GORT algorithm does require many *in situ* measurements, such as crown geometry and foliage density that are difficult to obtain. As such, this thesis focuses on the use of hemispherical photographs with basic ground sampling data as a means for assessing forest canopy sky gap analysis.

Accurate solar radiation and energy transfer modeling of below forest canopy environments requires examination of seasonal variations, such as asynchronous leaf flushing (Inoue *et al.*, 2004a). Kato and Koniya (2002) determined that deciduous trees experience direct photon flux spatial variation during late spring, which is a result of trees experiencing asynchronous leaf flushing. Asynchronous leaf flushing occurs because of early to mid-spring

flushing trees nearing the end of their flushing stage, while late-spring flushing trees having just begun their leaf flushing (Kato and Koniya, 2002).

Understory trees frequently leaf flush before overstory trees, which may negatively influence sky gap values derived from *in situ* remote sensing. Deciduous broad-leaved trees also experience significant leaf phenology variation, as this forest characteristic is tree and tree-species specific. This too can influence sky gap results, especially during the spring and fall seasons.

Data collection involving forest components must then include any and all observed changes to and within a forest and its canopy during data measurement. Forests are constantly evolving at different spatial scales, and these changes vary based on environmental conditions, human disturbance, physical appearance, individual tree type, forest type, forest size and forest canopy (Gong and Xu, 2003). Forests at the stand scale experience changes to their canopies in terms of both horizontal and vertical components. Horizontal components of a forest canopy include changes in canopy closure, gap size and shape, whereas vertical components include the number of layers and height of each layer in the understory.

MSC's algorithm suite involves the collection of passive microwave remotely sensed data. This type of remotely sensed data can be collected through both airborne and space-borne platforms, and the use of data derived from passive microwave remote sensing is prone to issues of scale. The issues and the research involved to correct it will be discussed.

### **2.3 Current State of Research for Passive Microwave Remote Sensing of Prairie SWE**

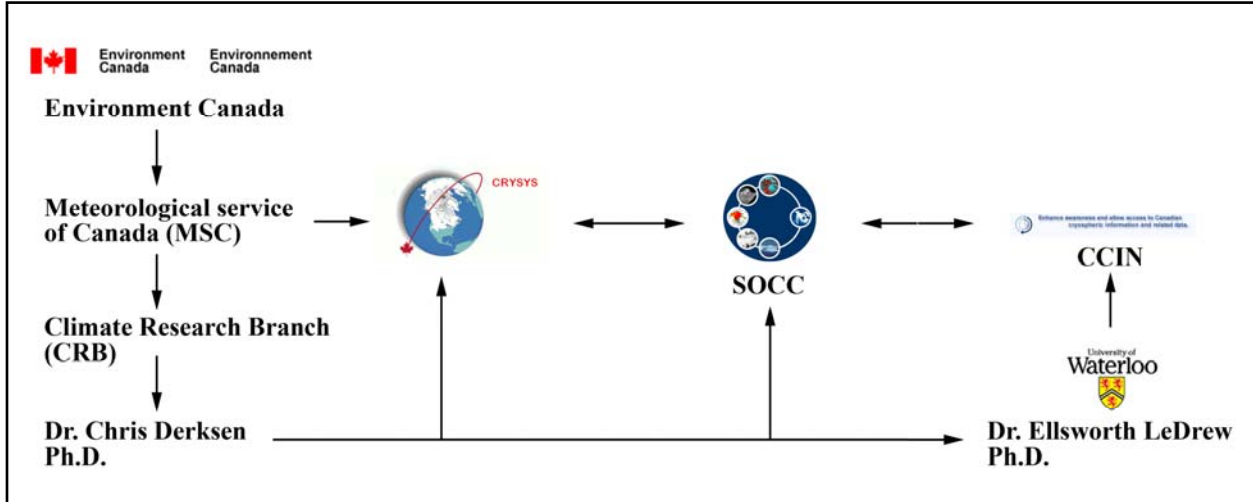
Monitoring snow accumulation and depth in Canada can help prepare different stakeholders about foreseeable droughts or flooding (Rango *et al.*, 1983). Snow accumulation and depth is important in monitoring water levels for many water-reliant activities because

snowmelt contributes in excess of 50% of the total annual discharge in many mountainous regions, including Canada's Rocky Mountains. Snow depth has two main purposes during the winter months; (i) it acts as a source of stored water come spring runoff, and (ii) it acts as an insulator protecting the soil and vegetation from winter elements. Monitoring SWE is important for understanding the relationship between global climate change and Canada's variable snow accumulation, as well as helping to improve water conservation and preparedness practices (Rango *et al.*, 1983).

There are many Canadian organizations involved in climate change research and in the development of functional remote sensing tools that can be applied to SWE data retrieval. The SWE algorithm suite is one major Canadian contribution to understanding and monitoring variable snow accumulation and depth in the form of SWE. The SWE algorithm suite is an intensive passive microwave algorithm suite that makes use of passive microwave and *in situ* data to obtain estimated SWE values for different vegetative structure regions in Canada.

Many scientists and researchers are involved in the development of the SWE algorithm suite, with main co-ordinators including MSC's Climate Research Branch, with Dr. Chris Derksen focusing that branch's efforts, with main research activities for the project spear-headed by Dr. Ellsworth LeDrew at the University of Waterloo, Canadian Cryospheric Information Network (CCIN), State of the Canadian Cryosphere (SOCC) and CRYospheric SYStem in Canada (CRYSYS) (Figure 2.2).

**Figure 1.2: Main Co-ordinators of SWE Algorithm Suite**



Holistically, cryospheric research, including the development of MSC’s SWE algorithm suite, affects climate change decision-making at the national and global levels. As such, citizens at large are stakeholders when dealing with a national or global issue, like the cryosphere’s role in climate change (Piwowar *et al.*, 2002).

The algorithm suite makes use of passive microwave radiation, and the algorithms are based on the “vertically polarized difference index for dual channels (19 and 37 GHz) of the Special Sensor Microwave/Imager (SSM/I)” (Derksen *et al.*, 2002a, pg. 1). The vertical polarized difference is used because its accuracy with retrieving SWE is stronger than with the horizontal polarized difference. The algorithm suite makes use of dual channels, or frequencies, because the use of a single channel would make it more sensitive to changes in snow characteristics (Derksen *et al.*, 2002a; Goodison *et al.*, 1994 in Derksen *et al.*, 2000). The 37 GHz channel is a high scattering frequency, while the 19 GHz channel is a low scattering frequency. The changes to microwave radiation scattering are caused by the presence of snow crystals, and permit measuring ‘terrestrial SWE in the microwave portion of the electromagnetic spectrum’ (Derksen *et al.*, 2002, pg. 2).

The algorithm suite is comprised of four different SWE retrieval algorithms. As previously mentioned, each algorithm represents a particular standing vegetative structure: deciduous forest ( $F_{DSWED}$ ), coniferous forest ( $F_{CSWEC}$ ), sparse forest ( $F_{SSWES}$ ) and open/prairie environments ( $F_{OSWEO}$ ). The algorithm suite is shown below:

$$SWE = F_{DSWED} + F_{CSWEC} + F_{SSWES} + F_{OSWEO}$$

(e.g. Derksen, 2002; Derksen *et al.*, 2002a)

The final SWE value obtained from the algorithm suite represents a spatial location's weighted average derived from the proportion of standing vegetation structure within each pixel (Derksen *et al.*, 2002; Derksen *et al.*, 2002a; 2002b). Characteristics of the remotely sensed data, such as spatial resolution, and physical properties of the snow influence the SWE algorithm suite performance (Derksen, 2002; Derksen *et al.*, 2002).

A characteristic of passive microwave remotely sensed data that negatively impacts SWE algorithm suite performance is its large pixel size. In order to obtain an accurate final weighted SWE value based on the four standing vegetative structure algorithms and to effectively use snow cover data for climate modeling, it is necessary for the passive microwave sensor to first be able to interpret large scale vegetative structures (Derksen *et al.*, 2000). The MSC are managing this concern by developing their algorithm suite to take into account varying vegetative structure. MSC synchronize the collection of ground snow pit data with the collection of their remotely sensed passive microwave data. The collection of ground snow pit data is an example of using large scale *in situ* data to ground-truth small scale remotely sensed data.

Howard (1991) recommends using large scale methods of remote sensing data retrieval when assessing spatial variability as small scale remote sensing methods do not result in the same usable data. Large scale spatial data used in ecological applications is frequently prone to



errors and biases during the analysis and decision making steps (Case and Fisher, 2001).

Uncertainty can relate to,

- (i) absolute positions of features on the landscape;
- (ii) type and characteristics of land cover;
- (iii) shape of vegetation and its influence on neighbouring flora and fauna ecosystem components;
- (iv) importance of knowing spatial locations of different ecosystem components in understanding different ecological processes; and
- (v) how to weigh the importance of species and ecological processes in determining the overall function of an ecosystem in address legislative priorities, such as addressing global climate change.

Howard (1991) recommends that potential errors be addressed and documented so as to minimize their influence on data analysis results.

In this thesis we examine and test digital *in situ* remote sensing as a means to obtain sky gap estimates for a snow-covered predominantly coniferous environment. In this chapter we address the utility of a type of *in situ* remote sensing, hemispherical photography, in assessing forest stands at the canopy scale for accounting of vegetative structure during SWE analysis undertaken at the passive microwave scale.

## **2.4 Current State of Research for *In-situ* Remote Sensing of Forest Environments**

*In situ* remote sensing of forest environments provides the framework for measuring forest attributes of all scales (Fournier *et al.*, 2003). Culvenor (2003) highlights that the choice of spatial resolution of the remote sensing platform is dependent on the subject matter, and choice of the platform determines the quality of information obtained. Hemispherical

photography permits the analysis of individual forest stands, which results in this type of data being referred to as having a high spatial resolution (Culvenor, 2003).

Hemispherical canopy photography involves the use of a hemispherical, or ‘fish-eye’, lens with a field of view (FOV) approaching or near 180-degrees, and taking a picture while looking up at the tree canopy. Hemispherical photography is a passive indirect optical means of obtaining information about numerous tree canopy characteristics, including canopy gaps (sky gap analysis), LAI (Leaf Area Index) and transmittance (e.g. Hale and Edwards, 2002; Rich, 1990).

Passive indirect optical measurement methods, unlike active methods, measures the interaction between the forest canopy and solar radiation during documented weather conditions, and the information is then used to determine structural characteristics of the forest canopy (Fournier *et al.*, 2003). Canopy gap size, position and distribution can be measured through the use of hemispherical photography, or by determining the proportion of beam or diffuse light transmitting through the forest canopy (Fournier *et al.*, 2003). Indirect measurements of a forest canopy can complement additional datasets, and act as an alternative data source in locations without direct datasets (Fournier *et al.*, 2003). Indirect measurements frequently provide a cost-effective option for more frequent or complimentary data retrieval.

Hemispherical canopy photography is considered a suitable ground-based (*in situ*) remote sensing tool for indirectly measuring forest canopy architecture and light interception. Fournier *et al.* (2003) summarized five main advantages that the use of hemispherical photography has over alternative optical methods for *in situ* sky gap analysis:

- (i) camera settings allow for photographic adjustments to compensate for errors arising from variations in transmittance;
- (ii) spatial information is permanently stored in the image;
- (iii) provides a means for analyzing LAI at the canopy level;
- (iv) provides a visual aid for the interpretation of canopy attributes and structure; and
- (v) is an alternative for when weather and sky conditions do not permit data acquisition from other techniques.

Hemispherical canopy photography may be taken facing upwards from below a canopy, or facing downwards from above the canopy (Fournier *et al.*, 1996; 1997, Herbert, 1987; Rich, 1990). If facing upwards from below the forest canopy, a circular image is produced that presents the zenith in the center with the image edges showing the horizon. Hemispherical canopy photography makes use of an extreme wide-angle sensor (the camera with a hemispherical lens) to measure light transmission, and the resultant sky gap analysis reflects the “size, shape, and location of gaps in the forest” canopy so as to obtain an instantaneous measurement of the forest canopy structure (Fournier *et al.*, 2003; Frazer *et al.*, 1999, pg. 1; Anderson, 1964 in Rich, 1990).

Hemispherical photography assesses variation in vegetation on a local scale, making this indirect measurement technique sensitive to small variations in the forest canopy. This sensitivity to changes in forest canopy make hemispherical canopy photography an inexpensive alternative to light sensors, such as radiometers, which can directly assess light measurements underneath the forest canopy at a specific point and time, but requires costly maintenance (Inoue *et al.*, 2004a).

Canham and Burbank (1994) and Herbert (1987) detail standard procedures for producing hemispherical photographs so that outside variables, such as height above the ground in which the photographs are taken, are constant. The need for a photograph procedure is important because small inconsistencies arising from, for example, varying photograph height

may result in contradictory results, while angular distortions can result in large changes to the hemispherical object region (i.e., the forest area that is displayed in the hemispherical photograph) (Herbert, 1987). Angular distortions occur when the base of hemispherical photography platform is not horizontal to the study site.

Inoue *et al.* (2004a; 2004b) describe their photograph procedure as including mounting the digital camera with hemispherical lens on a tripod at a height of 1.2 metres above ground. The camera platform was then made flush with horizontal using a bubble level. Automatic camera settings for aperture width and shutter speed were also used to minimize time delay between photographs (Englund *et al.*, 2000).

Awareness of the type of lens projection permits the understanding of radial lens distortion. Hemispherical lenses have object regions of “equal solid angle [that] do not result in images of equal area” (Herbert, 1987). Instead, the object region is projected, resulting in a radial lens distortion, because the region area is a function of the angle between the lens’ optical axis and the object region center. Herbert (1987) determined that even a very small amount of radial lens distortion results in substantial changes to the projected area on the hemispherical photograph plane.

It is important to understand how the hemispherical object region is displayed as there are four main types of hemispherical lens projections: polar, orthographic, stereographic, and Lambert’s Equal Area (Frazer *et al.*, 1999). Miyamoto (1964 in Herbert 1987) summarized the influence of the four main hemispherical projections by examining how a point on a hemisphere can be examined by its azimuthal angle  $\alpha$ , and zenith angle  $\zeta$ . The radial projection of a point was examined by how the projection influenced its location on a circular disk with radius  $\pi/2$

with final polar coordinates  $\alpha'$ , and zenith angle  $\zeta'$ , where  $0 \leq \zeta \leq \pi/2$  and  $0 \leq \zeta' \leq \pi/2$ . The four radial projections are further described by Miyamoto (1965 in Herbert, 1987) in Table 2.3.

**Table 2.3: Radial Projection Characteristics**

Projection Type	Radial Projection Characteristics
Polar	$\zeta' = \zeta$
Orthographic	$\zeta' = (\pi/2)\sin(\zeta)$
Stereographic	$\zeta' = (\pi/2)\tan(\zeta/2)$
Lambert's	$\zeta' = (\pi/\sqrt{2})\sin(\zeta/2)$

The Lambert projection is useful in ecological studies as it preserves areas during the transfer of information from the object area to the projected area. The stereographic projection preserves angles between arcs of great circles, whereas the orthographic projection displays points in a normal direction to the projected area. Most common hemispherical lenses have, however, a polar projection, which is neither equal area nor equal angle.

Additional procedures recommended by Canham and Burbank (1994) and Herbert (1987) include ensuring (i) that the photographs are taken perfectly horizontal (i.e., without the camera tilted), and (ii) that the lens and camera calibration remain consistent for all hemispherical photographs in a study. It is also recommended that the hemispherical lens' FOV be positioned towards the north compass direction to meet software requirements that request the user to indicate where the compass directions are on the image (Rich, 1990; Frazer *et al.*, 1999).

Hemispherical photographs of forest canopies can be recorded using two different forms of photography: film and digital. Digital hemispherical photography provide an added incentive of being able to visually check and redo photographs while in the field, and have an added bonus during temporal studies on light environments as they do not require film processing and image scanning (Hale and Edwards, 2002; Inoue *et al.*, 2004a).

Inoue *et al.* (2004a) summarize that digital hemispherical photography is an effective tool for studying light environments beneath a forest canopy as they may be more sensitive than film photography in recording light reflecting off of forest canopy features, such as leaves. This increased sensitivity may, however, result in occasional overestimation of light in digital hemispherical photographs (Englund *et al.*, 2000). To avoid misinterpretation of data derived from digital or film photographs, Inoue *et al.* (2004b) suggest indicating the platform used. Specifying the platform also permits comparing data results obtained from a different digital camera.

Hale and Edwards (2002) compared the utility of film and digital hemispherical photography and determined strong positive correlation between the two mediums over a transmittance range of 10 to 70%. Film and digital hemispherical photographs do, however, produce variable transmittance values below very dense canopies (transmittance greater than 10%), with digital hemispherical photographs reporting higher estimates of transmittance, and corresponding estimates of LAI are generally lower. Research findings are, however, conflicting on whether hemispherical photography, in either film or digital format, can discriminate transmittance levels in dense canopies (where transmittance is between 5-10%) (Hale and Edwards, 2002).

In the comparison of digital and film hemispherical photography undertaken by Englund *et al.* (2000), it was highlighted that some of the differences between the many hemispherical photograph platforms could be attributed to the view angle and distortion of the hemispherical lenses used. The Nikon FC-E8 hemispherical lens, for instance, has a FOV greater than the expected 180° (Inoue *et al.*, 2004a). The FC-E8's FOV of 183° resulted in a systemic error which will be addressed in Chapter 4.

Frazer *et al.* (2001) examined the utility of a consumer-grade inexpensive digital camera in obtaining hemispherical photographs for use in scientific inquiry. Hemispherical photography, either film or digital, should be set for underexposure, as this has frequently provided the best contrast between the sky and canopy (Hale and Edwards, 2002; Chen *et al.*, 1991). Underexposure is typically set on the camera by  $\frac{1}{2}$  and 1 f-stop. On digital cameras, image quality (compression) has been found to not significantly impact the photograph or extraction of data from it (Hale and Edwards, 2002; Englund *et al.*, 2000).

Frazer *et al.* (2001) compared the Nikon Coolpix 950 with Nikon FC-E8 hemispherical converter, which is the same system used during the retrieval of hemispherical photographs for this thesis, along side a film camera, and determined that the digital camera system frequently produced canopy photographs with significant colour blurring along the outer fringe of the photo. Colour blurring, or chromatic aberration, on digital hemispherical photographs negatively influence the photos and much of the analysis completed on them. Frazer *et al.* (2001) has summarized how chromatic aberration of hemispherical photographs can impact the data obtained through the use of the Nikon Coolpix 950 with FC-E8 hemispherical converter platform, and their findings are included below:

- (i) the size, shape, and distribution of canopy gaps vary;
- (ii) the accuracy of edge detection and the binary division of pixels into sky and canopy elements are negatively impacted; and
- (iii) the magnitude, range and replication of canopy openness, leaf area, and transmitted global radiation results are negatively impacted.

Setting the Nikon Coolpix 950 to record photographs in black and white, and taking the photographs under uniformly overcast weather conditions help to minimize the negative impacts

caused by the chromatic aberration. Englund *et al.* (2000) highlights that the conveniences of digital photographs first outlined by Hale and Edwards (2002) and Inoue *et al.* (2004a), combined with the cost savings from not having to develop film outweighed any disadvantages observed from image quality.

Inoue *et al.* (2004a) examined the use of digital hemispherical photographs from two different Coolpix camera suites; Coolpix 900 and Coolpix 990. Inoue *et al.* (2004) determined that changing the image quality to any of the three image quality settings - basic, normal and fine - did not result in any significant variations between hemispherical photograph-derived gap fraction estimates. Changes to the image quality (basic, normal, fine and high) in the Nikon Coolpix 950 with FC-E8 hemispherical converter does not greatly impact data derived from the photographs, such as sky gap, and thus basic image quality is sufficient (Englund *et al.*, 2000; Inoue *et al.*, 2004a; Frazer *et al.*, 2001).

Inoue *et al.* (2004a) did determine that changing the image size setting on the Coolpix 900 and 990 cameras resulted in notable variations between hemispherical photograph-derived sky gap estimates. Sky gap estimates were lower in full-size images than with VGA-size images: full-size images have a higher pixel resolution than VGA-size images. Inoue *et al.* (2004a) suggest using full-size images as these images can better capture full canopy structure, including smaller branches, leaves and needles. Inoue *et al.* (2004a) made use of hemispherical photographs Frazer *et al.* (2001) obtained using a Coolpix 950 camera with a Nikon FC-E8 hemispherical lens, and compared their results to those obtained on either the Coolpix 900 or 990 platforms. Inoue *et al.* (2004a) determined that derived sky gap estimates using the Coolpix 950 platform were opposite to those obtained on the 900 or 950 platforms. The Coolpix 950 derived sky gap estimates were lower in VGA and XGA-size images than in the higher resolution full-



size (FINE) images, but that these variations do not greatly influence data derived from FC-E8 hemispherical photographs (Inoue *et al.*, 2002 in Inoue *et al.*, 2004a).

Many of the differences Inoue *et al.* (2004a) and Frazer *et al.* (2001) observed in their derived-sky gap results, such as the ability to capture full canopy structure were due to variations in the effective pixel count of the camera, which varies from camera to camera. The Coolpix 990 camera has a higher effective pixel count than the Coolpix 900, 3.14 million pixels to 1.22 million pixels, whereas the Coolpix 950 has an effective pixel count of 1.92 million pixels (Nikon [[oregonstate.edu/mediaservices/classup/manuals/cp950rm.pdf](http://oregonstate.edu/mediaservices/classup/manuals/cp950rm.pdf)] February, 2007). The writer recognizes that the Coolpix 950 does not have the highest effective pixel count currently technologically available, but this model was available for use and the effectiveness of the Coolpix cameras have mainly been documented in deciduous environments; however, in this thesis we examine mixed wood to coniferous type environments.

The Nikon FC-E8 hemispherical converter used in this thesis provides a simple polar projection (Herbert, 1987; Inoue *et al.*, 2004a). Inoue *et al.* (2004a) addressed lens distortion in the FC-E8, and obtained unpublished data from the Electric Image Technical Center of Nikon, which Inoue *et al.* then used to develop a calibration to compensate for the lens distortion. In comparing data derived from hemispherical photographs with lens distortion and from calibrated hemispherical photographs, Inoue *et al.* noted a positive correlation between calibrated and uncalibrated -derived canopy cover and weighted openness values, although both slope and intercept of the regression line between the two datasets were comparatively different.

Inoue *et al.* (2004a) hypothesized that their research into the differences between calibrated and uncalibrated hemispherical photographs highlights that there must be other factors

acting on the differences between film and digital hemispherical photograph-derived data. These differences may instead arise from, for example, light sensitivity and sky condition.

Ishida (2004) summarized the utility of digital hemispherical photography in different forest conditions, and states that this type of data retrieval is best suited for open areas and at forest edges, but is prone to overestimating canopy in dense forests. Hemispherical photography is also best suited for areas with minimal slope. Slope impacts the collection of hemispherical photography as gap algorithms and software are not typically designed to factor in changes in forest path length caused by a slope (Fournier *et al.*, 2003). Forest canopy facing upslope in hemispherical photographs appear dense with small canopy gaps, while forest canopy facing downslope appears open with large canopy gaps.

Hemispherical photographs are instantaneous measurements of the forest canopy. As a result, derived-sky gap values are instantaneous as well. It is ideal to sample the light environment of forest floors over an extended period of time, such as over numerous days, to further understand light's spatial and temporal differences. Measuring the below canopy light environment for an extended period of time is, unfortunately, unfeasible or impractical for most research missions (Gendron *et al.*, 1998). More practically, it is suggested to take instantaneous light measurements on overcast days when the solar radiation is in the form of diffuse light, which can be considered stable throughout the day thus reducing spatial variability (Messier and Puttonen, 1995 in Gendron *et al.*, 1998; Gendron *et al.*, 1998).

Minimal spatial variability can, however, hinder contrast between the sky and vegetation (Gendron *et al.*, 1998). Anderson (1964) suggests taking instantaneous measurements both inside and outside the forest canopy to account for diffuse light differences. Weather conditions such as full sun and part-sun may result in the short-term fluctuations of light. On part-sun days,

light conditions may be experience variations from clouds and diffuse light from the sky (Anderson, 1964).

There are many variables that should be addressed when designing a procedure involving the collection of *in situ* hemispherical photographs. These variables include, but are not limited to, deciding on film type; camera settings; type of radial projection to use; weather preference and need (or lack thereof) of constant outside variables (camera height, etc.). Variables that need addressing may also depend on how the collected hemispherical photographs will be analyzed.

## **2.5 Current State of Research for Analyzing Hemispherical Photographs**

Rich (1990) outlines two methods of analyzing hemispherical canopy photographs: by hand and digitally. Hand analysis is described as extremely tedious and impractical for analyzing many hemispherical photographs in a short period of time, and in this thesis we will therefore focus on digital image analysis. Hale and Edwards (2002) used Hemiview© (Delta-T Devices, Cambridge, UK) to calculate canopy openness, diffuse and direct transmittance, and LAI. Hemiview provides settings for different lenses, which allows the user to compensate for differences derived from lens distortion. The use of software to analyze hemispherical photographs uses a threshold value to differentiate between sky and canopy elements, thus eliminating any human subjectivity that traditionally influenced the results for both sky gap and canopy cover analyses (Anderson, 1964 in Ishida, 2004).

GLI/C© (Gap Light Index) and GLA© (Gap Light Analyzer) are two separate software suites that can be used for analyzing hemispherical photographs. Both were created with the assistance of Dr. Charles Canham from the Institute of Ecosystem Studies (Millbrook, New York, USA). GLI/C is the predecessor to GLA, and has fewer options than GLA, and makes use of the GLI algorithm, which calculates the %PAR (Photosynthetically Active Radiation)

transmitted through a canopy gap to any location in the forest understory over a growing season (Canham, 1988). The GLI algorithm assumes there is a correlation between the size and shape of a canopy gap with the beam (direct) and diffuse (indirect) transmission of light to a location within or near the gap. The GLI algorithm is shown below:

$$GLI = [(T_{diffuse}P_{diffuse}) + (T_{beam}P_{beam})] \cdot 100.0$$

(Canham, 1988)

Seasonal PAR received at the top of the forest canopy is illustrated in the algorithm as diffuse PAR ( $P_{diffuse}$ ) or beam PAR ( $P_{beam}$ ), where diffuse PAR can be calculated as,

$$P_{diffuse} = 1 - P_{beam}$$

The proportion of diffuse and direct PAR that is transmitted through the canopy to a position in the understory is shown as  $T_{diffuse}$  and  $T_{beam}$ . Values computed through the use of this algorithm range from 0, which occurs when no defined canopy gap is present, to an open site with a value of 100 (Canham, 1988). An atmospheric transmission coefficient ( $K_T$ ) is available for the GLI algorithm, and accounts for seasonal variations in the amount of atmospheric incident solar radiation transmitted to the earth's surface. The coefficient value and direct PAR ( $P_{beam}$ ), have a positive correlation. Thus as the amount of beam PAR increases for the instantaneous measurement site, so does the value of the coefficient, and as the coefficient value decreases so does the amount of beam PAR. The coefficient has more utility when studying deciduous forest canopy sky gap where the growing season and annual seasons will have varying sky gap values (Canham, 1988).

In comparison, GLA permits the user to set, for example, the solar time; sky-brightness model; inclination angle of the hemispherical lens, in addition to adding a different projection or

distortion model. The correlation of results between GLI/C and GLA is dependent on the user's adjustments of GLA's added features. The GLA User Manual (Frazer *et al.*, 1999) states that GLA's added feature of setting the solar time allows the software to more accurately compute beam transmission through canopy gaps by checking to see if pixels in the digital photograph are open or closed based on the sun's position at a particular time in the day. The sun's position can either be obstructed by the canopy, which results in a direct radiation value of zero, or it can be directly overhead, which results in a direct radiation value equal to the above-canopy radiation value (Hardy *et al.*, 2004). Solar beam enrichment from either scattered and/or reflected radiation is not considered in the GLI algorithm.

User-supplied variables for the GLA Software include both measured and assumed values, and a general description of these variables is from the GLA Version 2.0 User Manual and Program Documentation, and Hardy *et al.* (2004). User variables include a cloudiness index (ranges from 0 to 1), image orientation (slope and aspect of the study site), custom hemispherical lens projection transformation, site location (inclusion of latitude, longitude and elevation for the study site), solar time step (measured in minutes), sky region (regions within the sky hemisphere used to attempt to model diffuse-light transmission), and dates of field study.

GLA provides a range of output data on analyzed hemispherical photographs. Output data is in tabular format, and involves key information such as % Canopy Openness values. In this thesis we interpret GLA's % Canopy Openness Values derived from the hemispherical photographs as instantaneous sky gap estimates. The objective of this thesis is to test and examine the utility of a type of *in situ* remote sensing, hemispherical photography, as a means of obtaining sky gap estimates for use in forest and remote sensing studies. The motivating application for this thesis is to find a correction factor that will account for vegetative structure

when using passive microwave data in snow-covered predominantly coniferous environments. Experiment procedure and GLA output data will be addressed further in Chapter 3.

## CHAPTER THREE: Methodology

### 3.1 Introduction

The purpose of this research is to examine and test the use of hemispherical photography as a means for obtaining *in situ* sky gap estimates in a snow-covered boreal forest. The collection of hemispherical photographs is a method for obtaining *in situ* sky gap estimates concerning forest canopy structure to correct the issue of scale in deriving SWE values from passive microwave data. Hemispherical photography is a means for collecting large scale data, which permits assessment of vegetative structure at the local level.

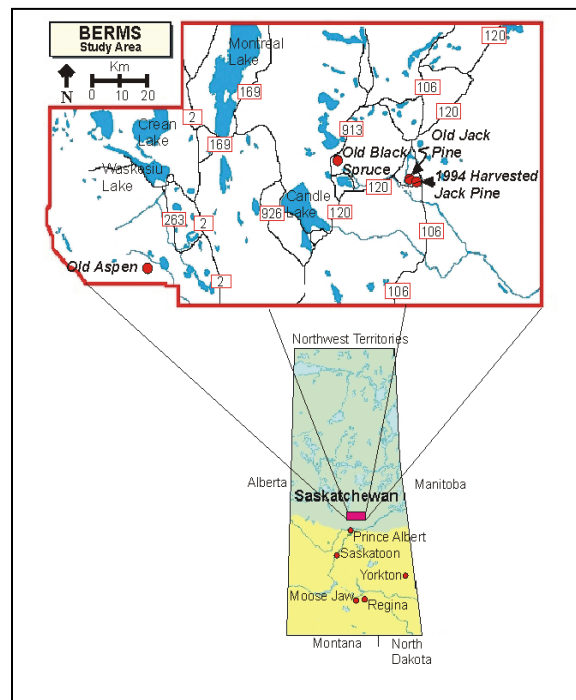
In this research we identify four components to data collection: weather and radiation data for the field sites on field days, snow pit data for hemispherical photograph test sites, visual interpretation of vegetation structure in the form of field notes surrounding snow pit sites, and hemispherical photographs for each snow pit site. The four data collection components are intended to ensure that procedures recommended by hemispherical photographer researchers is followed (see Fournier *et al.*, 2003 and Inoue *et al.*, 2004a, etc.), and to ensure that requirements of the GLA software are met.

The framework adopted in this thesis requires that sky gap data be extracted from digital hemispherical photographs of forest canopy. The procedure and apparatus for collecting digital hemispherical photographs used in this research was determined as a result of experience gained during previous collaborative SWE data collection experiments lead by the MSC. These two winter field experiments involved the collection of multi-scale data, including both space-borne and airborne remotely sensed passive microwave data, as well as extensive temporally-coincident ground samples (Derksen, 2002).

### 3.2 Preliminary Study: Boreal Forest Snow Water Equivalent Scaling Experiment

The author gained invaluable experience pertaining to data collection and procedures during the February 2003 experiment that involved many researchers of varying levels and involvement for 2 weeks of data collection that was headed by Dr. Chris Derksen, MSC. The study site included a 25 kilometre by 25 kilometre area centered on the ‘Old Jack Pine’ Boreal Ecosystem Research and Monitoring Site (BERMS), which is located northeast of the city of Prince Albert in Saskatchewan, Ontario (Figure 3.1) (Derksen, 2002; BERMS [berms.ccrp.ec.gc.ca/e-main.htm], February, 2007).

**Figure 2.1: BERMS Study Area used for Winter 2003 Scaling Experiment**



(BERMS [berms.ccrp.ec.gc.ca/e-main.htm], February, 2007)

BERMS is the follow-up to the Boreal Ecosystem-Atmosphere Study (BOREAS), which ran from 1994 to 1996 and was a joint project between Canadian and American government agencies. BERMS was initiated in the end of 1996, which permitted data continuity of BOREAS experiments. BOREAS field experiments researched how the boreal forest ecosystem interacted



with the “atmosphere in relation to climate change”, whereas a focus of BERMS is to obtain data and information to help define the current global carbon dioxide (CO<sub>2</sub>) budget (BERMS [berms.ccrp.ec.gc.ca/e-main.htm], February, 2007).

BERMS is located in the southern fringe of the boreal forest, and has many qualities ideal to the research objectives of examining issues related to the development of the boreal SWE algorithm, including:

- variety of coniferous species;
- range in vegetation age, structure and resultant size;
- environmental changes associated with human activities (i.e. logging and forest maintenance practices);
- pre-existing instrument towers from BERMS and BOREAS field experiments; and
- pre-existing remotely sensed and ground sampling data from both BERMS and BOREAS field experiments.

The author was involved in collecting snow pit data, snow crystal macro-photography and hemispherical photography of the canopy cover. The hemispherical photographs collected during the winter 2003 field experiment had many sampling errors introduced as a result of inconsistencies regarding how the camera was set-up to take each photograph at the different snow pit sites, and from periodic electronic and battery failure during the experiment resulting from prolonged exposure to extreme cold temperatures (i.e., temperatures approaching -48°C).

The collection of hemispherical photographs was part of an auxiliary dataset, and an apparatus such as a tripod was not utilized as there was no means to transport it along with more important snow pit measuring devices while traversing on-foot along different snow courses. A sample hemispherical photograph from the winter 2003 experiment is shown in Figure 3.2.

**Figure 3.2: Sample Problematic Hemispherical Photograph from Winter 2003 Experiment**



Problems with the winter 2003 hemispherical photograph set that are visible in Figure 3.2 include the unidentified angle from the horizontal the photograph was taken from, in addition to capturing the author’s hat and fellow researcher in the image. Additional inconsistencies in the 2003 hemispherical photograph dataset include not knowing the height above ground surface that the images were taken at, as well as not standardizing the compass directions on the images. The listed inconsistencies, as well as the sun’s low position and clear skies create problems with the GLA software and do not follow the recommendations set by Anderson (1964) to obtain these images under cloudy conditions. To meet the data needs of this experiment, a second experiment was designed that prioritized the collection of hemispherical photography.

### **3.3 Secondary Study: February 2004 MSC Experiment**

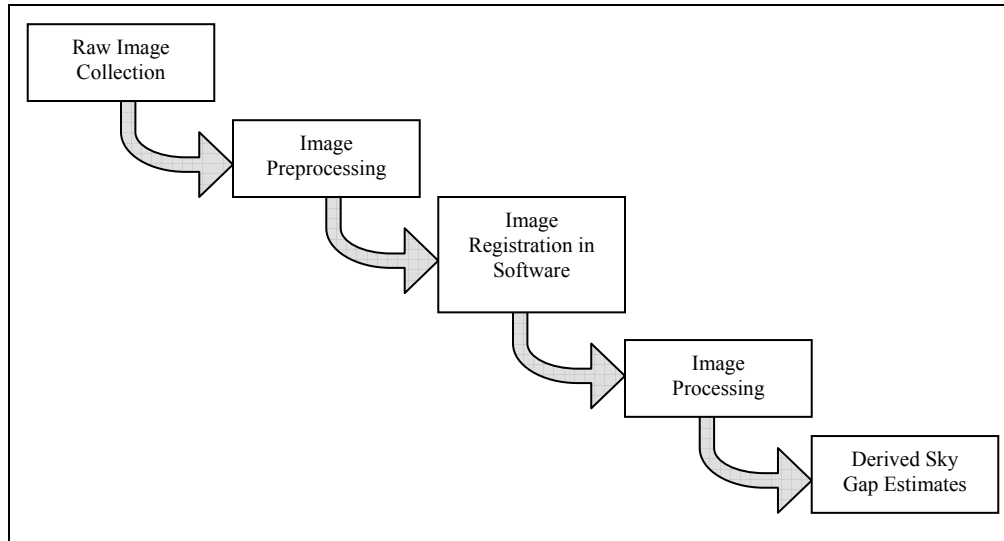
The two-day MSC experiment in February 2004 was headed by Ms. Anne Walker, MSC, and involved both professional and student researchers from the University of Waterloo to collect ground snow pit data to aid in calibrating temporally co-incident airborne microwave SWE data. The goal of the experiment, like the February 2003 experiment, was to help in the development of MSC's SWE Algorithm Suite, and in particular, to examine how vegetative structure influences algorithm performance. This experiment used different farm fields and an Agreement Forest located in the Region of Waterloo, Ontario, to collect snow course data that followed the flight lines used to collect MSC's airborne microwave data.

The author gained additional experience in snow pit data collection, as well as in experiment planning and execution. As a result, the author made use of her familiarity with the Waterloo Region Agreement Forest used during the February 2004 MSC Experiment to coordinate a hemispherical photograph field experiment with corresponding snow pit data.

### **3.4 Thesis Study: February 2004 Hemispherical Photography Experiment**

The author made use of a modified-version of a digital hemispherical photography collection procedure described by Gong and Xu (2003) during the February 2004 field experiment (Figure 3.3). This 5 step experiment procedure begins with *in situ* hemispherical photography data collection and auxiliary snow pit data, which will be followed with image preprocessing using Adobe Photoshop 7.0, which will then be followed by image processing using Gap Light Analyzer Version 2.0 and data analysis using Microsoft Office XP Excel.

**Figure 3.3: Procedures in a Digital Photography Derived Sky Gap Estimates Task**

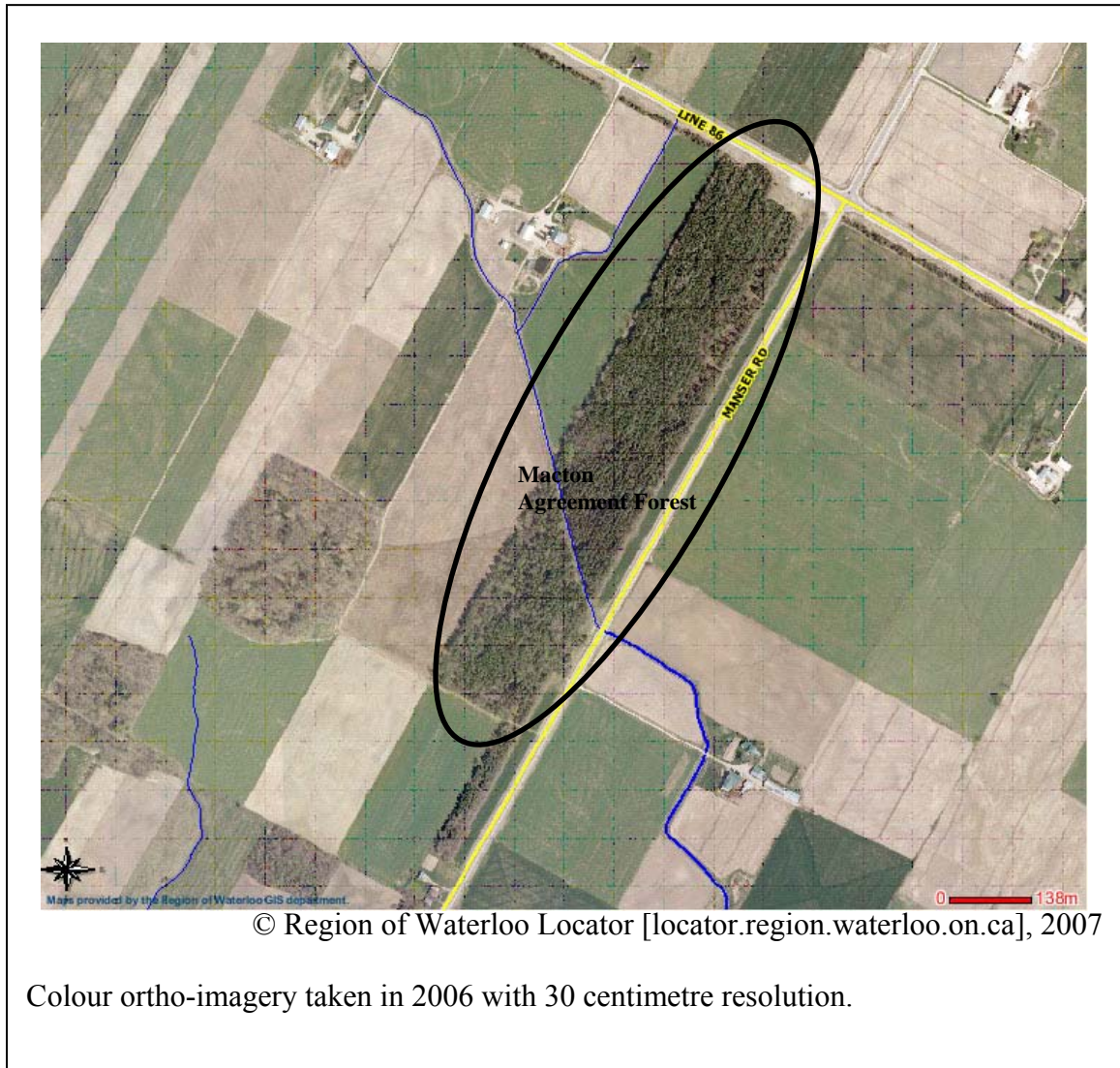


Raw hemispherical photographs were collected during a one-day experiment performed on February 19, 2004 with the aid of Mr. Gerry McIsaac, a student researcher who also participated in the February 2004 MSC Experiment. Unlike previous snow experiments, data priority during this experiment focused on the collection of hemispherical photography in a boreal forest-like environment with snow pit data being complimentary. The sample size of collected hemispherical photographs was restricted by, for instance, field experiment duration, which was limited to one day because of logistical and weather reasons. Additionally, some of the hemispherical photographs collected were not suitable for use during data analysis, and is further described in section 4.2.

The 2004 Hemispherical Photography Experiment was completed at the Macton Agreement Forest (Figure 3.4: Map and Airphoto of Macton Agreement Forest), which is a forest stand owned and managed by the Region of Waterloo and formerly managed by the Ministry of Natural Resource. For the purposes of this thesis, a forest stand refers to an aggregation of trees with uniform composition making it distinguishable from nearby crops

(Howard, 1991). Macton Agreement Forest is located in the Township of Wellesley at the southwest corner of Highway 86 and Manser Road (also known as Highway 19/Perth Road 131) in the Region of Waterloo.

**Figure 3.4: Map and Airphoto of Macton Agreement Forest**



Colour ortho-imagery taken in 2006 with 30 centimetre resolution.

Macton Agreement Forest is bordered by Highway 86 to the north, Manser Road to the east and barren fields to the south and west. There is understory and fringe deciduous tree growth with periodic mixed tree environment open areas in the Agreement Forest (Figure 3.5).

**Figure 3.5: Macton Agreement Forest**



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Picture taken facing south on the west side of Manser Road.

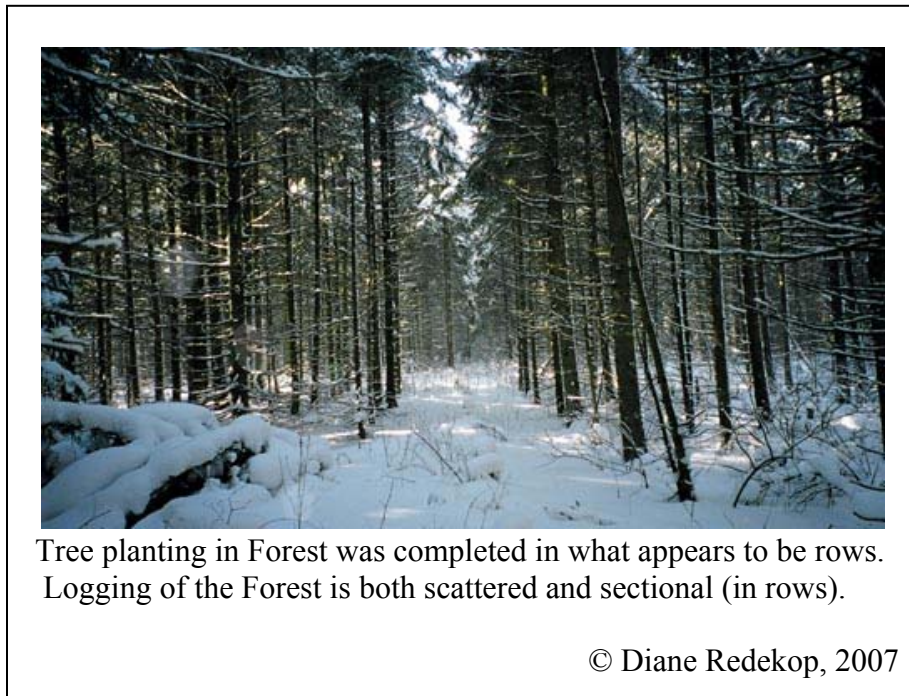
The older coniferous trees in the Agreement Forest appear to have been planted as they are in rows, and some rows appear to have been logged. New undergrowth is a variation of both coniferous and deciduous trees. Figures 3.6 and 3.7 provide photographic detail of the Agreement Forest's vegetative structure and visible management history.



**Figure 3.6: Macton Agreement Forest – Sample Vegetative Structure**



**Figure 3.7: Macton Agreement Forest – Sample Management History**



The Agreement Forest, with its planted and logged history is characteristic of many coniferous environments in Canada's boreal region as they too frequently undergo forestry management practices, including different types of logging and (re)planting practices. As a result, the

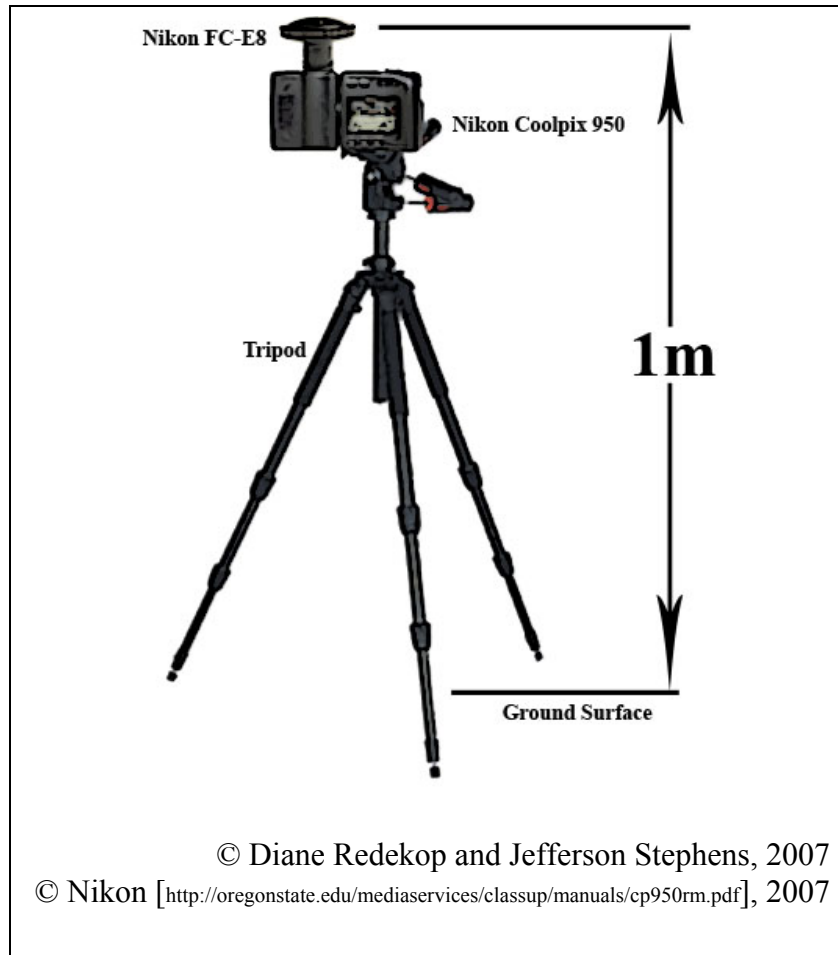


Agreement Forest is considered a suitable example of a coniferous environment for the purposes of this thesis. Test sites were selected to represent a range of canopy openness – closed canopy, gaps of various sizes and open canopy (Gendron *et al.*, 1998). Sites were also chosen based on depth of snow below the canopy (i.e., deep snow cover and shallow snow cover)

### **3.5 Sensor Platform Preparation**

Hemispherical photographs were captured using a Nikon Coolpix 950 digital camera and a Nikon FC-E8 hemispherical converter that were mounted to a standard tripod. Figure 3.8 illustrates how experimental errors were reduced by having the base of the camera platform consistently 1 metre from the earth's surface. This was done by manually shoveling a ground area clear of snow cover. The shoveled area doubled as a snow pit site where snow depth, density, temperature and site characteristics were observed. Auxiliary data from the University of Waterloo weather station for the day was obtained from their website [[weather.uwaterloo.ca](http://weather.uwaterloo.ca)] to compliment site information. A standard measuring tape ensured 1 metre distance between the earth's surface and top of the hemispherical converter.

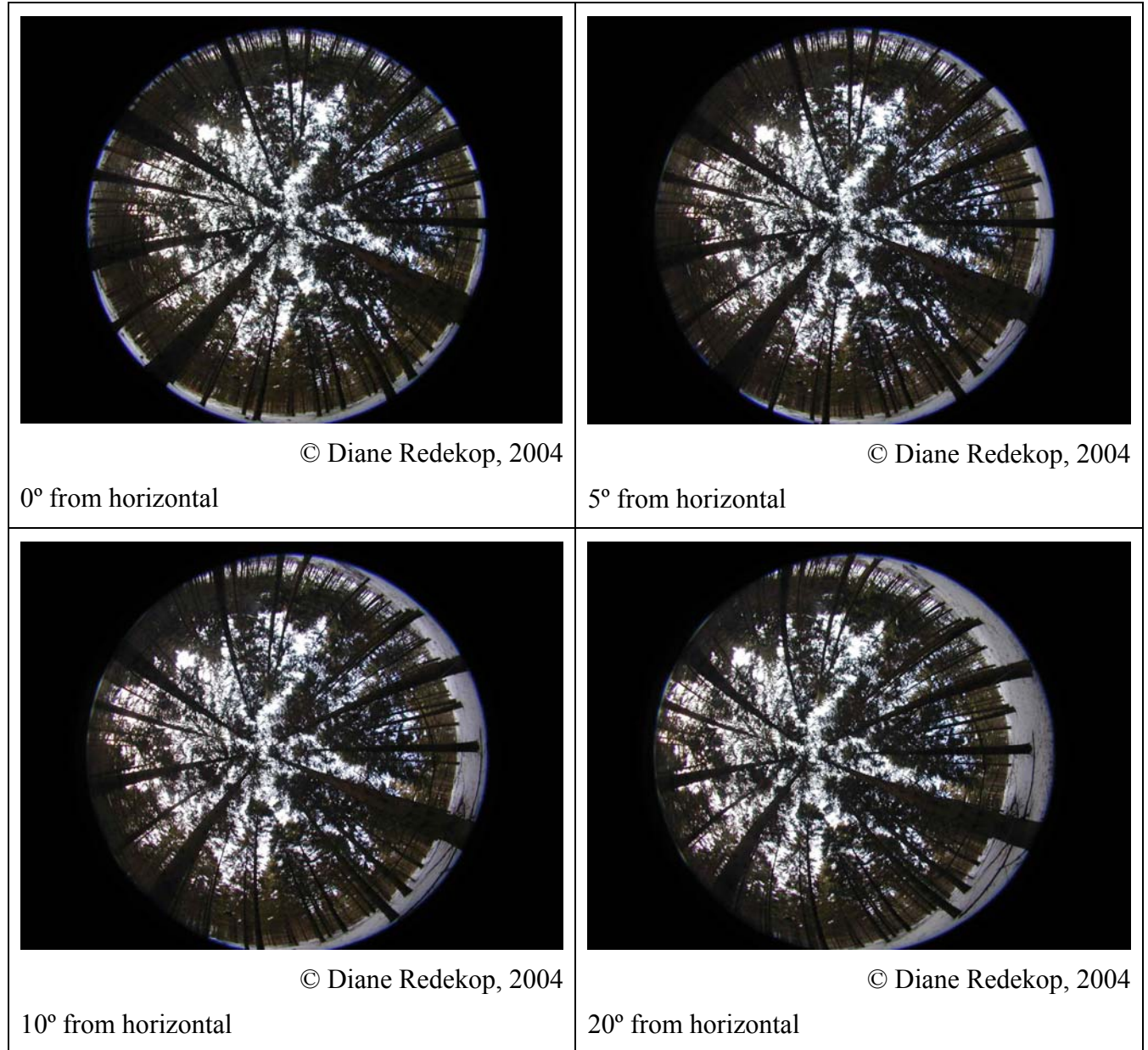
**Figure 3.8: Hemispherical Photograph Experiment Design**



The camera platform was flush with the horizontal using a standard wood-working level that also permitted angling the camera and hemispherical converter a consistent degree away from horizontal. Additionally, each of the three tripod legs could be adjusted for length depending on the topography of the forest floor. A compass aligned with the camera acted to ensure that north on each image was identified. North direction was at the bottom of each image. All images were taken using automatic camera settings, and saved in .JPG format at full image resolution (1600 x 1200 pixels) and in normal (medium) quality image compression. The FC-E8 fisheye lens has two modes: circular and full frame. Images were taken in circular mode for use in sky gap analysis (requirement of GLA software).

To provide a benchmark for testing the sensitivity of the GLA software for experimental errors, each test site was designed so that all experimental variables would be consistent (height above earth's surface, flush with horizontal, north direction identified). Each test site then had a controlled experimental error introduced by means of adjusting the angle of the camera platform away from the horizontal (Figure 3.9). Figure 3.9: Controlled Experimental Error illustrates how angling the camera platform away from horizontal influences the FOV by way of impacting the visible sky gap.

**Figure 3.9: Controlled Experimental Error (Population 1)**



Angling the camera platform was done using the wood-working level at intervals of 0° (horizontal), 5°, 10° and 20° from the horizontal, and the approximate centre of the hemispherical lens was used as a guideline for measuring camera platform height of 1 metre above the ground surface. While taking the photographs, the author and student crouched underneath the platform and are not visible on any of the hemispherical photographs.

Hemispherical photographs were downloaded directly from the Nikon Coolpix 950 to a personal computer. Hemispherical photographs were categorized based on test site and angle from horizontal. The first test site was labeled as “A” with its four angled photographs being A\_0.jpg, A\_5.jpg, A\_10.jpg and A\_20.jpg. Two identical copies of the original (raw) labeled photographs were created and saved in separate folders. Three populations of photographs were created using the raw photos and two copies, and are described in Table 3.1: Description of Data Populations.

**Table 3.1: Description of Data Populations**

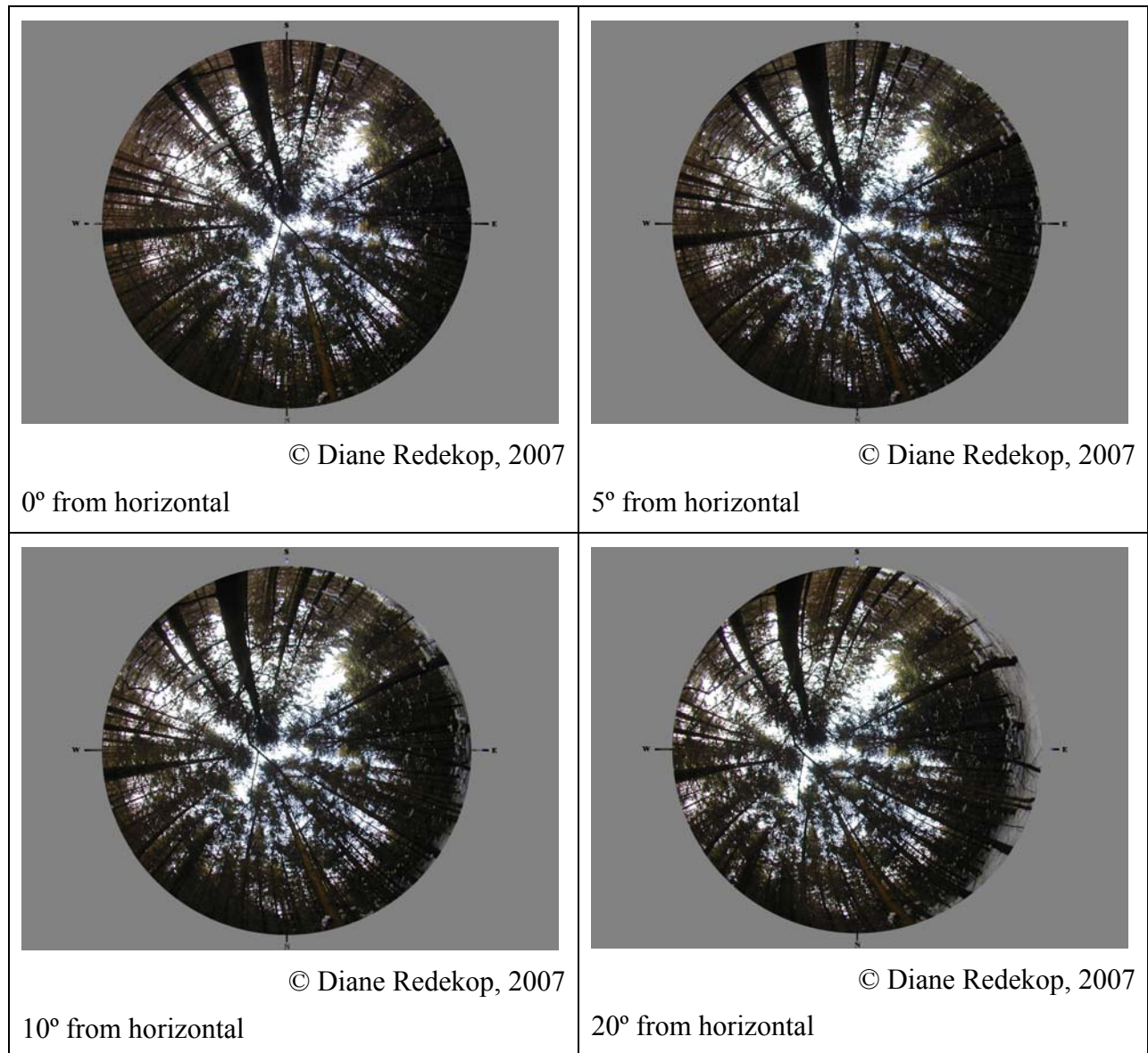
<b>Population</b>	<b>Main Description</b>	<b>Modification Description</b>
Population 1	Raw Data	No modifications made
Population 2	Modified Framed Image	Ground area around image perimeter cropped out using Adobe Photoshop© 7.0
Population 3	Modified Threshold in GLA	GLA© default threshold changed from 128 to 100

All 3 Population datasets of digital hemispherical images were analyzed using Gap Light Analyzer© (GLA), Version 2.0, developed by the Institute of Ecosystem Studies at Simon Fraser University, which is available on the Internet at <http://www.ecostudies.org/gla/>. Population 1 was left unmodified and there was no interactive thresholding of the photographs, as this is frequently accompanied with observer bias and variation, caused by observer subjectivity (Inoue *et al.*, 2004a).

Population 2: Modified Framed Image had the black portions surrounding the image removed in addition to cropping out the ground (snow area) around each of the images. This step was completed to test for processing error in the GLA software. Snow and sky areas both have high brightness values, and removing snow area from the image will be used to assess if the software can differentiate between snow and sky brightness values when calculating sky gap.

This step can also examine any effects of colour aberration along the outer fringe of the photos has on data processing results. Colour aberration was first mentioned by Frazer *et al.* (2001) as discussed in Chapter 2. Resultant cropped images for the same test site illustrated in Figure 3.9 are shown in Figure 3.10.

**Figure 3.10: Population 2 Sample Dataset**

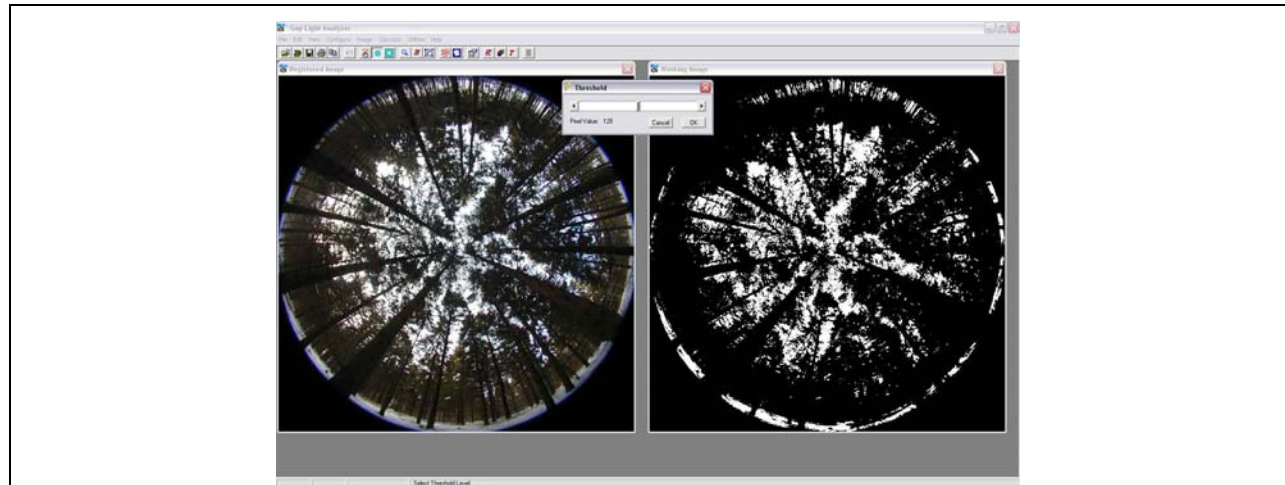


Raw images were again used to generate Population 3: Modified Threshold in GLA. Default thresholding in GLA software is set to 128, and was changed by the user to 100. Figure 3.11:



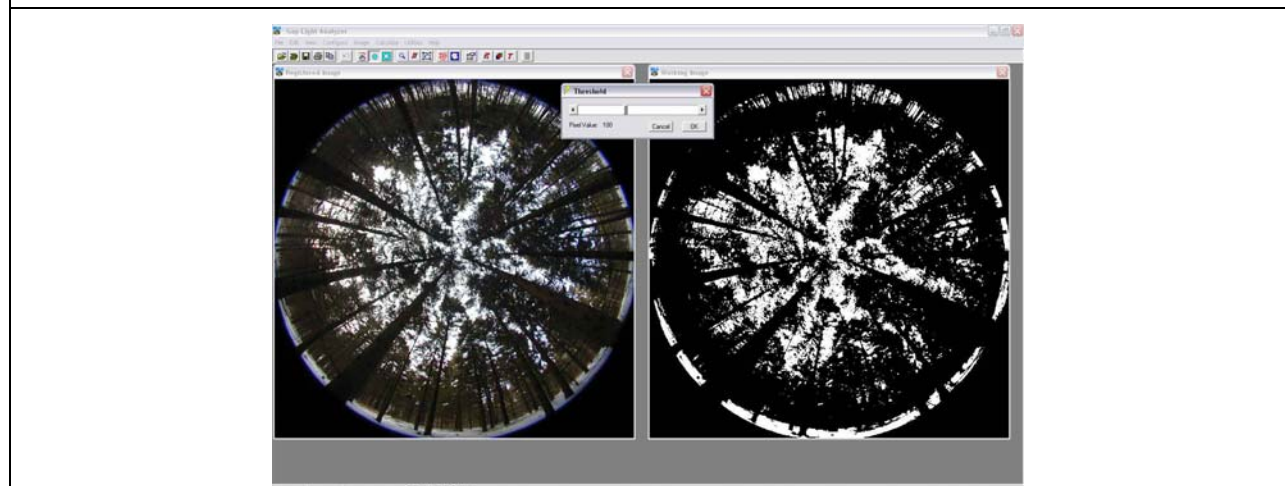
Population 3 Threshold Modification illustrates how the thresholding was changed in the software.

**Figure 3.11: Population 3 Threshold Modification**



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GLA Software with raw hemispherical photo on the left and resultant image with default threshold values on the right.



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GLA Software with raw hemispherical photo on the left and resultant image with modified threshold values on the right.

A threshold value is required to aid in classifying pixels in each hemispherical photograph as being either sky or non-sky classes (Frazer *et al.*, 1999). The threshold value was changed to

100, instead of any other value because this modification resulted in noticeable, but not exaggerated, change to the classified image.

Threshold values that were changed to values further away from the default threshold values resulted in exaggerated classifications, while threshold values changed to values closer to the default value resulted in barely noticeable changes. Additionally, a threshold setting of 100 was chosen because the true-colour image bright spot was blue sky (and not pure white), therefore the median default threshold in GLA of 128 was not applicable to the images used in this thesis. Threshold values less than the user-specified 100 resulted in fine-detail tree canopy and partially snow-covered fallen branches and brush being reclassified as snow/sky. Threshold values much greater than the user-specified 128 (threshold value of approximately 156+) result in small sky gaps and small clusters of surface snow being reclassified as canopy.

The hemispherical photographs in Population 3 were themselves unmodified. Instead an input (threshold) value for computing sky gap (% Canopy Openness) was altered from a default setting in the GLA software to user-specified. This step was completed to test for software sensitivity and processing error from modifying the default threshold values.

### **3.6 Analysis**

GLA provides a range of output data on analyzed hemispherical photographs. A calculation pertinent to this thesis includes percentage of canopy openness (% Canopy Openness) for every hemispherical photograph in each of the three populations. The % Canopy Openness values represent the “percentage of entire sky hemisphere visible from a point beneath the forest canopy” (Frazer, 2007). These values were compiled in an Excel spreadsheet according to the hemispherical photograph’s file name. Auxiliary data were also compiled for each of the



photographs, including time of photograph, temperature and climatic conditions at time of photograph.

Analysis of variance calculations were completed in Excel on GLA's % Canopy Openness values. The analysis of variance calculations were done to test experimental and processing errors and will be discussed further in Chapter 4.

## CHAPTER FOUR: Data Analysis and Results

### 4.1 Data Analysis Design

*In situ* data are frequently collected as ground-truth for remotely sensed data, and are used to help classify remotely sensed images. In this thesis we examine and test *in situ* data as a means for accounting for forest canopy structure in SWE values derived from passive microwave data (Zhang and Goodchild, 2002).

In this thesis we use hemispherical photography as a means for obtaining *in situ* data concerning forest canopy structure. The utility of this type of photography is examined by analyzing variance in population means caused by the influence of procedural errors. Rich (1990) states that there are three separate types of procedural errors that can occur during hemispherical photography investigations; experimental, digitization, and processing. The use of digital photography in this experiment has eliminated errors associated with digitizing film photography to digital media. In this chapter we focus on experimental and processing errors.

*In situ* data acquired for use in this research consists of three separate populations (see Table 3.1) with 14 test sites labeled sequentially from A to N. Four individual observations were taken for each site based on the angle the hemispherical photograph was taken from horizontal (see Figure 3.9). Changing the camera angle during photograph acquisition is considered a controlled experimental error.

Processing errors were assessed by the use of user-specified variables in the GLA software, such as cloudiness index and beam fraction, as this data would vary for each site and on the equipment used to collect the auxiliary data, and its collection is not in the scope of this thesis (Hardy *et al.*, 2004). Instead, controlled-processing errors were introduced by cropping out the snow-covered ground area in each of the images (Population 2). Population 2 was

developed to include the potential error due to the fact that most sky gap analysis software packages are developed for use during summer months, and that snow-covered areas may be misinterpreted as sky gaps based on similar brightness values (Rich, 1990).

Threshold values were modified to create Population 3, which reflects how user-specified threshold changes can influence the observed sky gaps in the GLA software. Sky gap values are represented in GLA by the resultant percentage of canopy openness observed in the image. GLA works best when there is a high degree of colour contrast in the image (Frazer *et. al*, 1999). To improve image contrast, a user may wish to modify images on an individual basis. Population 3 was developed so as to examine processing errors associated with modifying threshold values.

The goal of this chapter is to statistically evaluate the influence of experimental and processing errors on the utility of hemispherical photographs to act as a tool to obtain sky gap values for accounting of vegetative structure, and to determine the variability of these permutations within and between populations and sites.

## **4.2 Analysis of Variance**

Analysis of Variance (ANOVA) is a statistical analysis procedure used to determine if differences between sample means are a result of *chance* (variability) or reflective of actual differences between the parent populations (Freund, 1967). Differences between samples may be caused by different ranges of population variability or significant differences between the means for each sample.

ANOVA involves testing a hypothesis about a population's mean with results leading to either the acceptance or rejection of the null hypothesis (Freund, 1967). ANOVA assumes that observations are obtained from populations with close to, or having, normal distributions and having the same variance  $\sigma^2$  (Freund, 1967). If the assumption is determined to be true, the

samples can be considered to be from one and the same population. In this case, it is frequently said that the null hypothesis is accepted. The assumption is determined to be false when the null hypothesis is rejected and the samples are then considered to be from different populations.

In this thesis, ANOVA: Single Factor tests are performed on three separate populations (see Table 3.1). ANOVA tests are based on the logic that  $Y=f(x)$ , and more specifically if,

$$\% \text{ Canopy Openness} = f(\text{Experimental Error, Processing Error}).$$

([csulb.edu/~mwolfm/ANOVA%20lecture\\_compress.ppt](http://csulb.edu/~mwolfm/ANOVA%20lecture_compress.ppt), 1998)

Preliminary analysis of GLA results for the 14 sites for the three different populations revealed a site that had substantially different results from the remaining 13 sites (Appendix 1: GLA© Output Data for Populations 1 through 3). It was observed that site G had a drop of water on the lens during the time the photographs were taken, which may account for this site's variation in results. Consequently, site G was not included in subsequent analysis, including ANOVA tests.

### 4.3 Output Table

Microsoft Excel (2003) displays its ANOVA: Single Factor test results in an output table (Table 4.1). The table indicates the source of variation as being between or within the populations. Additional statistical results include “*SS*”, which refers to the Sum of Squares (more formally, sum of squared deviations), “*df*” represents the *degrees of freedom*, “*MS*” is the estimates of population variance representing the *Mean Square*. The null hypothesis is typically rejected and a difference between population means is concluded if the samples *MS* (Between Groups) value is much greater than its *MS* (Within Groups) value.

**Table 4.1: ANOVA Output Table**

ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	X	x	x	x	x	x
Within Groups	X	x	x			
Total	X	x				

“*F*” represents the F-test statistic, which is calculated by dividing *MS* (Between Groups) by *MS* (Within Groups). The F-test statistic is used to verify differences or similarities between populations. The calculated F-test statistic is compared to a critical F-distribution value (“*F crit*”). The *F crit* value is dependent on data population sizes, which are used to calculate its degrees of freedom for both the numerator and denominator. *F crit* values can be found in F distribution tables (see Freund, 1967). The F-test is used when examining more than 2 datasets, whereas the T-test is limited 2 datasets. This thesis examines more than 2 datasets, and therefore makes use of the F-test statistic.

Microsoft Excel default settings in its ANOVA: Single Factor calculations were used, as such ANOVA was completed using a significance level of  $\alpha = 0.05$  for two-tailed testing. Two-tailed testing is more rigorous than one-tailed testing, and indicates if the means are from different or the same populations, whereas one-tailed testing only specifies if one mean is higher than the other. A null hypothesis is rejected when the calculated F-test value is greater than the critical F value, and the null hypothesis is accepted when the F-test value is less than the critical F value.

The “*P-value*” shown in Table 4.1 refers to the smallest significance level used to reject the null hypothesis. The null hypothesis is typically rejected when the P-value is  $\leq \alpha$ . This

means that we can reject the null hypothesis with the probability of  $\alpha$  that we are incorrect in this rejection.

In this thesis, the null hypothesis is accepted when,

i) F-test statistic < critical F distribution, and

ii) P-value  $\geq \alpha$ ,

resulting in variances between and within the populations as being not statistically significant at the 5% level.

#### 4.4 Null Hypotheses

In this thesis we used two separate null hypotheses statements to aid in statistically evaluating the influence of experimental and processing errors on the variability of different permutations within and between populations and sites. Experimental errors were evaluated using the  $H_{Ex}$  null statement, while procedural errors were evaluated using the  $H_{Pr}$  null statement.

$H_{Ex}$ : Percent canopy openness mean values calculated from observations in Dataset 1 are similar between and within sites to Dataset 2 or 3 or 4, and any differences in dataset means ( $\mu$ ) are purely random.

$H_{Pr}$ : Percent canopy openness mean values from Dataset 6 or 7 are similar between and within sites to Dataset 5, and any differences in population means ( $\mu$ ) are purely random.

Both null statements were compared to the same alternative hypothesis statement  $H_1$ , which is interpreted as the dataset means ( $\mu$ ) not being equal.

Quantitatively testing these hypotheses statements will identify experimental and procedural sensitivities of the *in situ* remote sensing platform in acting as a tool for accounting of vegetative structure. ANOVA results for both the controlled experimental errors and controlled processing errors are reviewed below.

#### 4.5 Experimental Error

A controlled experimental error was introduced during hemispherical photograph acquisition by modifying the camera angle away from the horizontal at measurement intervals of 0°, 5°, 10° and 20° for each site. This controlled experimental error was introduced to acquire four observations for each site to test the sensitivity of GLA software to differences in camera angle in computing the percentage of canopy openness values. ANOVA analysis was completed for the four observations from all sites in Population 1 (raw data), to create four separate datasets. ANOVA results and hypotheses tests will help determine the variability of these permutations within and between datasets and sites, and the sensitivity of hemispherical photography to experimental errors.

The four observations for each test site for Population 1 were kept in their natural groupings with all 0° observations treated as one dataset, all 5° observations treated as a separate dataset, and similar treatment for all 10° observations and 20° observations. These groupings are shown in Table 4.2.

**Table 4.2: Variables used during Experimental Error Analysis**

<b>Population Percent Canopy Openness Mean Values</b>	<b>Population Sample Used in Analysis</b>
$\mu_1$	Dataset 1 (Raw data, 0° observations)
$\mu_2$	Dataset 2 (Raw data, 5° observations)
$\mu_3$	Dataset 3 (Raw data, 10° observations)
$\mu_4$	Dataset 4 (Raw data, 20° observations)

The hypotheses to be testing through ANOVA analysis are to see if the mean values for the different datasets representing the different angles shown in Table 4.2 are due to chance or reflect actual differences in dataset means. The null hypothesis and alternative statements were described earlier in this chapter. Quantitatively, the null hypothesis ( $H_{Ex}$ ) and alternative ( $H_1$ ) statement are presented as:

$$H_{Ex} : \mu_1 = \mu_2 = \mu_3 = \mu_4,$$

$H_1$  : dataset means ( $\mu$ ) are not equal

Rejecting the null hypothesis indicates that the GLA software is sensitive to changes in camera angle from the horizontal when computing percentage canopy openness values, while accepting the null hypothesis indicates that there is no sensitivity in the GLA software that can account for these changes in camera angle when completing its canopy openness computations.

ANOVA analysis was completed four times to assess if the GLA software was able to correct for certain angles, while being sensitive to others. The four ANOVA permutations include,

- i) Dataset 1 to Dataset 2,
- ii) Dataset 1 to Dataset 3,
- iii) Dataset 2 to Dataset 3, and
- iv) Dataset 1 to Dataset 4.

The ANOVA output table for the first permutation is shown below in Table 4.3.

**Table 4.3: ANOVA Summary – Dataset 1 to Dataset 2 (Observations 0° to 5°)**

SUMMARY						
<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>		
%Canopy Openness, Dataset 1	13	237.82	18.29	3.24		
%Canopy Openness, Dataset 2	13	240.71	18.52	3.03		
ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	0.32	1	0.32	0.10	0.75	4.26
Within Groups	75.15	24	3.13			
Total	75.47	25				

The F-test statistic for comparing Dataset 1 to Dataset 2 is less than the  $F_{crit}$  value, and the  $P$ -value is greater than the significance level. These results indicate that we cannot reject the null hypothesis and that any variation in dataset means is not statistically significant at the 5% level.



This ANOVA analysis indicates that there is no sensitivity in the GLA software to hemispherical photographs with changes in camera angle from the horizontal up to 5°. The second ANOVA permutation examines GLA software sensitivity to changes in camera angles up to 10°, and the ANOVA output table is shown in Table 4.4 below.

**Table 4.4: ANOVA Summary – Dataset 1 to Dataset 3 (Observations 0° to 10°)**

SUMMARY						
<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>		
%Canopy Openness, Dataset 1	13	237.82	18.29	3.24		
%Canopy Openness, Dataset 3	13	245.86	18.91	3.09		
ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>Df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	2.49	1	2.49	0.79	0.38	4.26
Within Groups	75.91	24	3.16			
Total	78.39	25				

The F-test statistic for comparing the Dataset 1 to Dataset 3 is less than the *F crit* value, and the *P-value* is greater than the significance level. These results indicate that we cannot reject the null hypothesis and that any variation in dataset means is not statistically significant at the 5% level.

This ANOVA analysis indicates that there is no sensitivity in the GLA software to hemispherical photographs with changes in camera angle from the horizontal up to 10°. The third ANOVA permutation examines GLA software sensitivity to changes in camera angles from 5° up to 10°, and the ANOVA output table is shown in Table 4.5 below.

**Table 4.5: ANOVA Summary – Dataset 2 to Dataset 3 (Observations 5° to 10°)**

SUMMARY						
<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>		
%Canopy Openness, Dataset 2	13	240.71	18.52	3.03		
%Canopy Openness, Dataset 3	13	245.86	18.91	3.09		
ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	1.02	1	1.02	0.33	0.57	4.26
Within Groups	73.40	24	3.06			
Total	74.42	25				

As expected from the previous ANOVA results from Tables 4.3 and 4.4, the F-test statistic for comparing the sample population means for Population 1, all sites 5° to 10° is less than the *F crit* value, and the *P-value* is greater than the significance level. These results indicate that we

cannot reject the null hypothesis and that any variation in population sample means is not statistically significant at the 5% level.

This ANOVA analysis indicates that there is no sensitivity in the GLA software to hemispherical photographs with changes in camera angle from 0° up to 10° from the horizontal. The fourth and final ANOVA permutation examines GLA software sensitivity to changes in camera angles from 0° up to 20°, and the ANOVA output table is shown in Table 4.6 below.

**Table 4.6: ANOVA Summary – Dataset 1 to Dataset 4 (Observations 0 ° to 20 °)**

SUMMARY						
<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>		
%Canopy Openness, Dataset 1	13	237.82	18.29	3.24		
%Canopy Openness, Dataset 4	13	258.35	19.87	3.01		
ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	16.21	1	16.21	5.19	0.03	4.26
Within Groups	74.98	24	3.12			
Total	91.19	25				

Unlike the three previous permutations, the F-test statistic for comparing Dataset 1 to Dataset 4 is greater than the *F crit* value. This result indicates that we *should* reject the null hypothesis, but since the F-test statistic is only slightly greater than the *F crit* value, and the P-value is still greater than the significance level, further analysis should be undertaken into the consequence of incorrectly accepting a null hypothesis (Freund, 1967). Accepting a false hypothesis is commonly referred to as a Type II error in ANOVA and consequences of this error are based on acceptable risk. In this case, does an acceptable experimental risk include setting the image plane greater than 10° from the horizontal?

During experiment execution, modifying the camera angle away from the horizontal at angles up to 5° was not as visually noticeable as adjusted angles of 10° and 20° degrees. Consequently, experimental errors caused by a trained researcher unknowingly adjusting the

camera to angles greater than 10° is considered unlikely. Therefore, we take the position that it is an acceptable, albeit unlikely, experimental risk of setting the image plain to angles greater than 10° and up to 20° from the horizontal, and therefore cannot reject the null hypothesis and that any variation in dataset means is not statistically significant at the 5% level.

#### **4.6 Conclusion for Experimental Error**

ANOVA analysis of the four permutations was completed to test the sensitivity of GLA software to camera angle modifications in computing the percentage of canopy openness values. It was determined that camera angle modifications of 5° or even 10° do not greatly impact GLA percentage of canopy openness results, thus resulting in us not rejecting the null hypothesis,  $H_{Ex}$ . Angles greater than 10° can, however, slightly impact GLA software results, but any differences in GLA values brought on by greater camera angles are considered an acceptable experimental risk for the reasons listed above.

#### **4.7 Processing Error**

Two separate controlled processing errors were introduced during the processing of hemispherical photographs by creating two new data populations (see Chapter 3 and Table 3.1), which were both derived from the raw hemispherical photographs (Population 1). Population 2: Modified Framed Image was completed to test the sensitivity of GLA software to brightness values, and to see if the ground snow values were improperly categorized as sky values. Population 3: Modified Threshold in GLA was completed to test for GLA software sensitivity to modifications to its default threshold values. GLA software default threshold values were modified from 128 to 100 for all hemispherical photographs to create Population 3.

ANOVA analysis was completed on all sites but only for the first observation (0°) in the three populations, where the 0° observations from Population 1 were treated as Dataset 5, the 0°

observations from Population 2 referred to as Dataset 6, and Dataset 7 was composed of the 0° observations from Population 3 (see Table 4.7). Only the 0° observations were used during processing error analysis as the other observations related to introduction of experimental errors (camera angling of 5°, 10° and 20° from the horizontal). ANOVA results and hypotheses tests on the 0° observations will help determine the variability of these permutations within and between populations, and the sensitivity of GLA software computations to processing errors.

**Table 4.7: Variables used during Processing Error Analysis**

<b>Population Percent Canopy Openness Mean Values</b>	<b>Population Sample Used in Analysis</b>
$\mu_5$	Dataset 5 (0° Observations only)
$\mu_6$	Dataset 6 (0° Observations only)
$\mu_7$	Dataset 7 (0° Observations only)

The hypotheses tested through ANOVA analysis will determine if the mean values for the different datasets shown in Table 4.7 are due to chance or reflect actual differences in dataset means. The null hypothesis and alternative statements were described earlier in this chapter. Quantitatively, the null hypothesis ( $H_{Pr}$ ) and alternative ( $H_1$ ) statement are presented as:

$$H_{Pr} : \mu_5 = \mu_6 = \mu_7$$

$H_1$  : dataset means ( $\mu$ ) are not equal

Rejecting the null hypothesis indicates that the GLA software is sensitive to processing errors, while not rejecting the null hypothesis indicates that the GLA software may account for processing errors when completing its percentage of canopy openness computations.

ANOVA analysis was completed three times to assess if the GLA software was sensitive to processing errors, such as interpretation of brightness values and modifications to threshold values. The three ANOVA permutations include,

- i) Dataset 5 to Dataset 6,
- ii) Dataset 5 to Dataset 7, and
- iii) Dataset 6 to Dataset 7

The ANOVA output table for the first permutation is shown below in Table 4.8.

**Table 4.8: ANOVA Summary – 0° Observations only (Dataset 5 to Dataset 6)**

SUMMARY						
<i>Groups</i>	<i>Count</i>	<i>Sum</i>	<i>Average</i>	<i>Variance</i>		
%Canopy Openness, Dataset 5, 0° only	13	237.82	18.29	3.24		
%Canopy Openness, Dataset 6, 0° only	13	256.93	19.76	5.17		
ANOVA						
<i>Source of Variation</i>	<i>SS</i>	<i>df</i>	<i>MS</i>	<i>F</i>	<i>P-value</i>	<i>F crit</i>
Between Groups	14.05	1	14.05	3.34	0.08	4.26
Within Groups	100.84	24	4.20			
Total	114.89	25				

The F-test statistic for comparing the dataset means for Dataset 5 to Dataset 6 is less than the *F crit* value, and the *P-value* is greater than the significance level. These results indicate that we cannot reject the null hypothesis and that any variation in population means is not statistically significant at the 5% level.

This ANOVA analysis indicates that the GLA software is able to produce results that reflect the difference between snow brightness values and sky brightness values during its computation of percentage of canopy openness values. The second ANOVA permutation examines GLA software sensitivity to changes in threshold values, and the ANOVA output table is shown in Table 4.9.

**Table 4.9: ANOVA Summary – 0° Observations only (Dataset 5 to Dataset 7)**

SUMMARY						
Groups	Count	Sum	Average	Variance		
%Canopy Openness, Dataset 5, 0° only	13	237.82	18.29	3.24		
%Canopy Openness, Dataset 7, 0° only	13	313.65	24.13	5.31		
ANOVA						
Source of Variation	SS	df	MS	F	P-value	F crit
Between Groups	221.16	1	221.16	51.76	1.96E-07	4.26
Within Groups	102.54	24	4.27			
Total	323.70	25				

The F-test statistic for comparing the dataset means for Dataset 5 to Dataset 7 is greater than the *F crit* value, and the *P-value* is much less than the significance level. These results indicate that we reject the null hypothesis and that any variation in population means is statistically significant at the 5% level.

This ANOVA analysis indicates that the GLA software is sensitive to changes in threshold values made to the hemispherical photographs used during its computation of percentage of canopy openness values. The third ANOVA permutation examines how GLA software computations vary between Datasets 6 and 7, and the ANOVA output table is shown in Table 4.10 below.

**Table 4.10: ANOVA Summary – 0° Observation 1**

SUMMARY						
Groups	Count	Sum	Average	Variance		
%Canopy Openness, Dataset 6, 0° only	13	256.93	19.76	5.17		
%Canopy Openness, Dataset 7, 0° only	13	313.65	24.13	5.31		
ANOVA						
Source of Variation	SS	df	MS	F	P-value	F crit
Between Groups	123.74	1	123.74	23.62	5.93E-05	4.26
Within Groups	125.74	24	5.24			
Total	249.47	25				

The F-test statistic for comparing the dataset means for Dataset 6 to Dataset 7 is greater than the  $F_{crit}$  value, and the  $P$ -value is must less than the significance level. These results indicate that we reject the null hypothesis and that any variation in population means is statistically significant at the 5% level.

This ANOVA analysis, like the one in presented in Table 4.9, verifies that the GLA software is sensitive to changes in threshold values made to the hemispherical photographs.

#### **4.8 Conclusion for Processing Error**

The GLA software is capable of differentiating between snow and sky brightness values, making it a useful tool in cryospheric research. The GLA software is, however, sensitive to changes in the software parameters, such as modifications made to threshold values. It is then suggested that these settings be left at default for use in mass collection and processing of hemispherical photographs used in snow-based studies.

#### **4.9 Conclusion**

Results using Population 2 (cropped hemispherical photographs) unintentionally provide a means to address issues of compatibility of colour digital hemispherical photographs with the GLA software. Colour aberration typically occurs along the outer fringe of the photograph, and Population 2 had its outer fringe cropped off, thus providing a means to address and test this potential systemic error. ANOVA results shown in Table 4.10 highlight the compatibility between digital hemispherical photography and GLA software as the % Canopy Openness values were not impacted by colour aberration, which was first mentioned by Frazer *et al.* (2001) in Chapter 2.



## CHAPTER FIVE: Summary and Conclusions

### 5.1 Introduction

The Canadian Government's responsibility to monitor its large Arctic region has put that country at the forefront of both cryospheric research and remote sensing development (LeDrew *et al.*, 1995). Canada's cryosphere environments are, however, degrading from climate change and industrialization, thus threatening ecosystem diversity, altering physical features and impacting some of the country's industries, including farming.

One component of the cryosphere that has been negatively impacted by climate change is seasonal snow cover. Canada's snow accumulation has been increasingly variable and, as evidenced by the 2001-2002 drought in south-central Canada, has greatly impacted Canada's Prairie Region. Snow cover's SWE characteristic can be used to identify both snow accumulation and depth. Many of Canada's water-reliant industries monitor water levels, with both snow accumulation and depth values being crucial to identifying spring runoff levels. Spring runoff is of special concern in Canada's Prairie region as runoff originating mainly from the Rocky Mountains is needed to replenish water supply and reservoirs, in addition to ensuring adequate soil moisture levels for the region's farming industry.

Monitoring SWE is important to understanding the relationship between global climate change and Canada's variable snow accumulation, as well as helping to improve water conservation and preparedness practices (Rango *et al.*, 1983). Many organizations within Canada recognize the importance of monitoring SWE and provide historical and current cryospheric data, such as SWE, for use by the scientific and non-profit communities. These organizations are summarized in Table 5.1.

**Table 5.1: Current Organizations monitoring and providing information about Canada’s Cryosphere**

<b>Organization</b>	<b>Purpose</b>	<b>Approach</b>	<b>Web Site</b>
Canadian Cryospheric Information Network (CCIN)	Act as a cryospheric data and information portal among Canadian scientists and international colleagues	Provide central access to cryospheric information and data as provided to CCIN by the CRYSYS research council	<a href="http://www.ccin.ca">www.ccin.ca</a>
CRYosphere SYStem in Canada (CRYSYS)	Canadian-led project developing capabilities for monitoring and understanding issues of scale in cryospheric variables, in addition to further examining the role of the cryosphere in the climate system	Centralize project information and create a portal for education purposes containing basic definitions to pictures to overviews of different components of the cryosphere	<a href="http://www.crysys.ca">www.crysys.ca</a>
State Of the Canadian Cryosphere (SOCC)	Centrally provide historical and current information, as well as future state, of important cryospheric variables in Canada	Provide central location to easily access other cryospheric websites, including CCIN and CRYSYS, in addition to providing quick links to maps and graphs presenting historical and current states of the Canadian cryosphere	<a href="http://www.socc.ca">www.socc.ca</a>

There are additional organizations that examine a portion of Canada’s cryosphere, but these organizations focus on either a specific location, such as the Canadian Polar Commission ([www.polarcom.gc.ca](http://www.polarcom.gc.ca)), or cryosphere variable, such as the Canadian Ice Service ([ice-glaces.ec.gc.ca](http://ice-glaces.ec.gc.ca)).

The CRYSYS website highlights one project that focuses on the use of remote sensing to monitor SWE characteristics in Canada’s Prairie region. This project also supplies their collected information to the public in easy-to-read generated maps through the SOCC initiative

(Environment Canada [socc.ca/SWE/snow\_swe.html], 2008). This CRYSYS project is based out of MSC's Climate Research Branch, and its focus is the development of the passive microwave SWE retrieval algorithm suite as led by Dr. Chris Derksen, and is the framework for this thesis. The Climate Research Branch generated SWE maps series is, however, restricted to Canada's Prairie region.

Documentation accompanying the SWE maps indicates that as of 1999, a new SWE algorithm has been used in generating the maps that takes into account forest vegetation in computing its passive microwave-derived SWE values. The Climate Research Branch is still in the process of developing its full algorithm suite so that its SWE estimates better and more fully account for vegetation structure. This development includes refining its Prairie SWE algorithm and eventually putting to operation its three remaining SWE retrieval algorithms.

The methodology developed in this study provides insight to the existing Prairie SWE algorithm, and highlights the research of correction factors to account for vegetative structure, which may aid in the development of the Boreal SWE retrieval algorithm. In this thesis we also highlight the utility of a particular type of *in situ* remote sensing technique in monitoring and assessing vegetative structure in a snow-covered boreal forest environment: hemispherical photography. One means for accounting of vegetative structure in remotely sensed data is to incorporate *in situ* data to act as ground-truthing when classifying the remotely sensed images. The collection of *in situ* hemispherical photography was performed to test its effectiveness for accounting for forest canopy structure through derived sky gap values (Zhang and Goodchild, 2002).

Chapters 3 and 4 highlighted that the *in situ* hemispherical photography can be collected with relative ease, with the greatest concern being the logistics of remote location data collection and computer processing of the images.

## **5.2 Major Findings**

The objective of this thesis was to examine and test the use of hemispherical photography in obtaining sky gap estimates, with application to account for vegetation structure in ground-truthing MSC's boreal SWE algorithm. Manipulation of the conditions by which the hemispherical photographs of the forest canopy were taken, including angle from horizontal, cloud cover, and height above the ground, helped to determine the sensitivity and utility of sky gap values derived from hemispherical photography. Conditions by which the resultant hemispherical photographs were analyzed using the software highlighted any sensitivity to changes in photograph or software settings. These conditions were introduced systematically into the methodology and were referred to as experimental and processing errors.

ANOVA tests were used to evaluate the influence of experimental and processing errors on the utility of hemispherical photographs to act as a tool for accounting of vegetative structure, and to determine the variability of these permutations within and between populations and sites. The hemispherical photographs were organized into three populations; raw data, modified frame image and modified threshold values. The populations were further organized into datasets to provide more sensitive error analysis, which reduced the potential for additional variability. All hemispherical photographs had sky gap (% Canopy Openness) values derived using GLA software, with ANOVA analysis performed on the resultant sky gap value. Major findings are grouped into two categories, experimental error and processing error.

The first major finding of this study was that modifying the camera angle away from the horizontal at measurements up to and including 10° do not greatly impact GLA software results. Angles greater than 10° can, however, slightly impact GLA software results, but any differences in sky gap values brought on by greater camera angles are considered an acceptable experimental risk.

Camera angles of 0°, 5°, 10° and 20° were sampled for 13 different sites, and ANOVA results on the raw images indicate that the 0°, 5°, and 10° population samples belong to a single population. Only the raw image population was analyzed during experimental error analysis as the two other populations relate to the introduction of processing errors. The 20° population sample did initially suggest that they belong to a separate population, but with the F-test statistic being only slightly greater than the *F crit* value, and the P-value being greater than the significance level, it was determined to be a Type II error in ANOVA analysis, with the consequences of this error based on acceptable risk. We take the position that it is an acceptable, albeit unlikely, experimental risk of setting the image plain to angles greater than 10° and up to 20° from the horizontal.

Consequently, ANOVA results on the raw data for the different population samples indicate that we cannot reject the null hypothesis, and that the population percent canopy openness means values for the four population samples are equal, thus concluding that modifying the camera angle up to and including 10° does not greatly impact GLA software results, with camera angles up to 20° being an acceptable risk.

The second major finding of this study was that the Gap Light Analyzer (GLA) software is capable of differentiating between snow and sky brightness values, making it a useful tool in cryospheric research. The GLA software is, however, sensitive to changes in the software

parameters, such as modifications made to threshold values, used during its computation of percentage of canopy openness values and it is suggested that these settings be left at their default values.

ANOVA analysis was completed on all sites for the three populations, but for only the first observation (0°). Only the 0° population sample was used during processing error analysis as the 5°, 10° and 20° population samples related to the introduction of experimental errors. ANOVA results on the raw, modified frame image, and modified threshold value datasets indicate that we cannot reject the null hypothesis, and that the population percent canopy openness means values for the three populations are equal, thus concluding that the GLA software may account for processing errors.

The two major findings indicated that with minimal effort, hemispherical photography may act as a correction factor to account for local scale land-cover variability with real-world application including MSC's boreal SWE retrieval algorithm. Thesis findings may also apply to more traditional applications of hemispherical photography, such as characterizing light environments for specific plants and leaf structures, which mainly apply to agricultural, forest meteorology and ecological applications (Chazdon and Field, 1987; Herbert, 1987).

Applications may include comparing sky gaps and leaf structures for varying climatic conditions, including droughts (Becker and Smith, 1990). Chazdon and Field (1987) highlight the utility of manual analysis of hemispherical photographs for spring to fall based ecological studies, but also recognize that individual bias during manual analysis is a major limitation that may be manual analysis with computerized processing. Thesis findings highlight that some experimental and processing steps may be unnecessary when digitally analyzing hemispherical photographs.

### 5.3 Sources of Error

Sources of error in this study were mostly controlled, and categorized as either experimental or processing errors, and were initiated as part of the methodology (see Chapter 3). These errors were introduced to assess the sensitivity and utility of sky gap values derived from hemispherical photography using GLA software. Additional sources of error included systemic errors as evidenced by the problematic hemispherical photographs from the winter 2003 SWE Scaling Experiment (see Figure 3.2). Consequently a second field experiment was held in February 2004 to collect hemispherical photographs that had minimal systemic errors.

The February 2004 experiment provided the opportunity to prioritize the capturing of the photographs, which provided for extra time in setting up the hemispherical photograph experiment design (see Figure 3.8). Foreseeable systemic errors from the 2003 field experiment that were addressed in the February 2004 experiment include having the base of the camera platform consistently 1 metre from the earth's surface; removing outside variables (such as people's heads); using a compass to ensure that north on each image was identified; using consistent camera settings (either default or constant FOV); and using a level to measure the camera's angle from the horizontal.

There were, however, inconsistencies with the amount of cloud cover in the hemispherical photograph's FOV. Anderson (1964) suggests that all images be taken on cloudy days. The images used in this study were a mixture of full sun, partial sun and cloudy. Additionally, errors such as water droplets from snow on the camera lens rendered four observations from one site defective, and were not included during ANOVA testing. It was also noted that wind could be a factor in adding water droplets to the camera lens as snow would fall from overhead branches during wind gusts.

It is then recommended that the photographs be taken on purely cloudy days with minimal wind and where the temperature remains below 0°C, so as to reduce the concern for water droplets on the camera lens, movement of the tree branches captured in the photograph, and to maintain a constant amount of cloud coverage.

#### **5.4 Future Research Demands**

Estimates of derived-SWE values from passive microwave remotely sensed data have been improving with the introduction of correction factors to account for vegetative cover (Environment Canada [socc.ca/SWE/snow\_swe.html], 2008). These correction factors recognize issues with using small scale remotely sensed data in a variable landscape. The SWE algorithm suite correction factors take into account the vegetation covers effects on the remotely sensed data, with the goal being to provide more representative SWE estimates. A SWE algorithm suite that takes into account the geometry of vegetation would result in representative SWE estimates. More accurate SWE values could be used to better prepare Canada's agricultural community about potential drought periods, as well as provide a more detailed view of how climate change is impacting Canada's climate and resultant environment.

It was determined that an easily designed experiment involving hemispherical photography may act as a correction factor for accounting of vegetative structure when using *in situ* remotely sensed data. This study also determined that hemispherical photography can be applied to many other forestry uses, and although most research into the use of these types of photographs are for mainly deciduous environments during the spring to fall seasons, there is utility in this type of *in situ* remote sensing in cryosphere and coniferous-forest based studies.



# APPENDIX 1.0

## 1.1 GLA© output data for Populations 1 through 3

Experiment Date: Thursday, February 19, 2004				Population #1	Population #2	Population #3
TIME	TEMP. (°C)	WEATHER CONDITIONS	SITE/DATA ID	% CANOPY OPENNESS	% CANOPY OPENNESS	% CANOPY OPENNESS
10:54 AM	-3	Overcast	A_0	19.47	21.38	25.67
10:55			A_5	20.31	22.45	27.26
10:55			A_10	22.04	23.99	30.25
10:56			A_20	21.08	22.77	31.1
11:19	N/A	Overcast	B_0	18.46	20.5	24.13
11:20			B_5	18.23	20.26	24.02
11:20			B_10	18.3	20.37	24.92
11:20			B_20	17.84	19.79	26.52
Note: large break because of equipment (thermometer) failure						
1:33 PM	N/A	Overcast	C_0	16.8	18.03	23.14
1:33			C_5	16.72	18.03	22.94
1:34			C_10	16.88	17.81	22.82
1:34			C_20	19.1	19.03	25.32
1:51	0.5	Partly sunny wind increasing	D_0	15.6	17.08	22.36
1:52			D_5	15.44	16.94	21.82
1:52			D_10	15.67	17.2	22.38
1:52			D_20	17.07	17.89	24.5
2:19	-0.5	Partly sunny	E_0	17.13	18.95	23.82
2:20			E_5	17.01	18.46	23.33
2:20			E_10	17.31	18.88	24.32
2:20			E_20	17.06	18.75	25.48
2:39	0	Partly sunny	F_0	21.84	24.04	30.12
2:40			F_5	21.38	23.53	29.16
2:40			F_10	21.09	22.82	28.59
2:40			F_20	22.52	22.97	30.05
3:13	-1	Mainly sunny	H_0	19.53	21.57	25.61
3:14			H_5	19.52	21.55	25.67
3:17			H_10	19.25	21.22	25.54
3:17			H_20	19.12	20.82	26.54
3:36			I_0	17.55	18.89	23.85
3:37			I_5	17.54	18.69	23.89
3:37	-1	Partly sunny	I_10	17.99	18.75	24.49
3:38			I_20	20.51	21.06	28.46

## GLA© output data for Populations 1 through 3 continued

Experiment Date: Thursday, February 19, 2004				Population #1	Population #2	Population #3
TIME	TEMP. (°C)	WEATHER CONDITIONS	SITE/DATA ID	% CANOPY OPENNESS	% CANOPY OPENNESS	% CANOPY OPENNESS
4:00			J_0	18.12	18.55	23
4:00			J_5	18.53	19.19	23.88
4:00	-1	Partly sunny	J_10	19.55	20.77	26.11
4:01			J_20	20.22	21.44	28.18
4:15	-2	Overcast	K_0	17.11	18.02	21.71
4:16			K_5	17.66	17.57	21.54
4:16			K_10	19.14	18.86	23.34
4:16			K_20	20.66	20.99	26.54
4:35	-1	Partly sunny	L_0	21.29	23.7	26.01
4:36			L_5	21.18	23.56	26.04
4:36			L_10	20.79	23.12	25.85
4:36			L_20	20.49	22.71	27.61
4:56	-2	Partly sunny	M_0	17.7	18.43	22.43
4:56			M_5	18.42	19.03	23.38
4:57			M_10	18.82	19.44	24.64
4:57			M_20	20.71	21.52	28.68
5:15	-2	Partly sunny	N_0	17.22	17.79	21.8
5:15			N_5	18.77	18.43	22.58
5:15			N_10	19.03	18.79	22.82
5:16			N_20	21.97	21.86	26.36

## APPENDIX 2.0

### 2.1 Acronym List

Acronym	Full Name
ANOVA	ANalysis Of VAriance
FOV	Field of View
GLA	Gap Light Analyzer
GLI	Gap Light Index
GORT	Geometric-Optical Radiative-Transfer
LAI	Leaf Area Index
MSC	Meteorological Service of Canada
PAR	Photosynthetically Active Radiation
PPFD	Photosynthetic Photon Flux Density
SWE	Snow Water Equivalent
UEBFC	Utah Energy Balance Forest Canopy closure

### 2.2 Software List

Software Packages	Uses
Excel, Microsoft Office	Spreadsheet functions, i.e. sorting, ANOVA
Gap Light Analyzer, V 2.0	Sky gap analysis through calculation of % Canopy Openness values. Testing of processing errors through modification of threshold values.
Adobe Photoshop 7.0	Cropping hemispherical photographs to create Population 2 from raw data (Population 1)

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