

1 Title: Body size normalization of ultrasound measured anterior upper leg muscle thickness in younger
2 and older males and females

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14 **ABSTRACT**

15 Background: Ultrasound measurements of the anterior upper leg muscle thickness are often used to
16 quantify muscle mass; however, the ideal normalization approach is unclear. Our primary objective was
17 to examine how the anterior upper leg muscle thickness scales with indices of body size in younger and
18 older adults. Our secondary objectives were to examine how normalization with body size alters the
19 identification of low muscle thickness and associations with strength and physical function.

20 Methods: Younger (<45 years) males (n=38) and females (n=24) and older (≥60 years) males (n=53) and
21 females (n=24) were evaluated for anthropometrics and anterior upper leg muscle thickness. Allometric
22 models were used to examine how body size metrics scale with anterior upper leg muscle thickness. A
23 subset of older males was evaluated for strength and function.

24 Results: Weight and BMI scaled with anterior upper leg muscle thickness with coefficients less than 1
25 (0.58 to 0.82, $r^2=0.15$ to 0.31, $p<0.05$) for both younger and older males and females. Compared to
26 absolute anterior upper leg thickness, normalized indices identified a greater proportion of older adults
27 with low muscle thickness ($p<0.05$). Absolute muscle thickness provided stronger associations with
28 strength compared to weight normalized indices.

29 Conclusions: Scaling exponents less than 1 for weight and BMI for the anterior upper leg muscle
30 thickness indicate that allometric normalization is the ideal approach to develop body size independent
31 metrics. However, allometric normalization of muscle thickness increases the proportion of older adults
32 classified as low muscle mass but decreased the associations with strength.

33

34 INTRODUCTION

35 Comparison of body composition compartments (e.g. skeletal muscle) between individuals often
36 requires normalization to height, to develop metrics which are independent of body size (1). For
37 example, adult body weight scales proportionally with the square of height, which establishes body
38 mass index (BMI) as a height-independent measure of body size (2). BMI is easy to measure and
39 moderately associated with adiposity, but it cannot distinguish specific body composition
40 compartments, such as skeletal muscle (3), limiting its applicability in the classification of sarcopenia.
41 Ultrasound has emerged as a useful tool to quantify skeletal muscle mass, as the thickness of several
42 limb muscles are strongly associated with muscle mass measured with reference modalities (4,5). Using
43 ultrasound, we (6), and others (7,8), have observed that the thickness of the anterior quadriceps are
44 particularly susceptible to ageing-related skeletal muscle atrophy in comparison to several other limb
45 muscles or appendicular lean tissue mass. While some groups have normalized ultrasound measures of
46 muscle thickness to height (9), weight (10) and BMI (8), it is uncertain how it scales with different indices
47 of body size. Furthermore, it is unclear how these different normalization approaches alter the
48 identification of older adults with low skeletal muscle mass (in relation to healthy young adults) or their
49 associations with muscle strength and physical performance.

50 Allometric models are frequently used to evaluate how body composition scales with different
51 metrics of body size (1,11). Allometry is the study of biological scaling, which is often used to examine
52 the relative proportion of different body compartments during growth. For example, from infancy to
53 young adulthood, many organs (e.g., heart) increase in a 1:1 ratio (i.e., ratio scaling) with body size,
54 whereas other organs (e.g., brain) do not scale linearly with body mass (i.e., allometric growth) (12).
55 When using allometric scaling to create body-size independent metrics, the body composition
56 compartment is normalized (compartment/body size^{scaling exponent}) by the scaled body size metric, which

57 creates a null correlation between these variables. Scaling exponents are derived through allometric
58 modelling of the body composition compartment and body size metric of interest. Understanding how
59 different scaling factors are influence by age is critical to ensure that normalization approaches are valid
60 across younger and older adults.

61 Here, our primary objective was to evaluate how the anterior thigh muscle thickness scales with
62 indices of body size (height, weight, BMI, and limb length) in younger and older males and females. As a
63 secondary objective we examined how normalizing the anterior upper leg muscle thickness with body
64 size indices alters the identification of low skeletal muscle mass and correlates with strength and
65 physical performance in older adults. The younger adult cohort was used as the reference cohort for
66 identification of low muscle thickness in older adults.

67 **METHODS**

68 Participant cohorts

69 This study is a secondary analysis of a cohort of participants pooled from previously published
70 studies which focused on validation of muscle thickness against dual-energy x-ray absorptiometry (DXA)
71 (4), evaluation of image resolution on echo intensity (13), and characterizing site-specific muscle
72 thickness differences in younger and older males (14,15). All participants were evaluated for anterior
73 upper leg muscle thickness, and a subset of older males underwent assessment of knee extensors
74 strength and physical performance. Participants were excluded if they had a: 1) previous history of
75 neuromuscular disorders, 2) prosthetic joint replacement, or 3) history of cancer or cerebrovascular
76 disease. Participants were instructed to refrain from moderate to vigorous physical activity for 48 hours
77 and alcohol consumption for 24 hours prior to laboratory visits. All studies were approved by a human
78 research ethics committee at the University of Waterloo (ORE41520 & ORE31468). Written informed

79 consent was obtained from all participants in accordance with established protocols for human
80 research.

81 Anthropometry and muscle thickness analysis

82 Weight and height were obtained using a balance beam and stadiometer, respectively.
83 Participants were landmarked for ultrasound imaging in a supine posture, marking the two-thirds
84 distance from the anterior superior iliac spine to the superior pole of the patella. Transverse images
85 were obtained using B-mode ultrasound (M-turbo, Sonosite, Markham, ON) with a linear array
86 transducer (L38xi: 5-10 MHz). Ultrasound imaging settings gain, time-gain-compensation, and dynamic
87 range were held constant across all participants. A generous amount of ultrasound gel was used to
88 maintain minimal compression of the ultrasound probe against the skin, as previously described (4).
89 Ultrasound images were transferred to a personal computer and analyzed for muscle thickness using
90 ImageJ (NIH, Bethesda, MD, version 1.53e). Muscle thickness was measured as the vertical distance
91 from the superior aspect of the femur to the superior muscle fascia border of the rectus femoris,
92 inclusive of the vastus intermedius and rectus femoris.

93 Muscle strength and physical performance

94 A subset of older males (n=32) underwent analysis of isometric torque of the knee extensor
95 muscles and physical performance tests (6-min walk and 30-second sit to stand). Only a subset of
96 participants completed torque and performance measures as these individuals completed a study which
97 involved different outcomes in comparison to the participants from the two remaining studies. Peak
98 isometric torque of the knee extensors was evaluated using an isokinetic dynamometer (Biodex System
99 3, Biodex Medical Systems, New York) at 60° of knee flexion. Participants were seated against the
100 backrest with a hip angle of 85° and straps placed tightly across the participant's waist and chest. The
101 lateral epicondyle of the right femur was aligned with the rotation knob and the knee extension arm was

102 attached 5 cm above the calcaneus. Participants performed 3 maximal contractions (1 minute of rest
103 between contractions) and the highest recorded value was used for further analysis.

104 The 6-minute walk test was performed using two cones 20-m apart, according to a standardized
105 protocol (16). A 30-second sit to stand test was evaluated with the participants arms cross over the
106 chest using a chair that was approximately 46 cm from the ground.

107 Statistical analysis

108 Differences in physical characteristics for age (younger: <45 years and older: ≥60 years) and sex
109 were evaluated using two-way ANOVAs. Pearson correlation coefficients were used to evaluate the
110 associations between anterior upper leg muscle thickness and indices of body size (height, weight, BMI,
111 limb length). Sample size estimations were determined based on significance of moderate ($r \geq 0.45$,
112 minimum sample/group = 20) Pearson correlation coefficients between skeletal muscle thickness and
113 indices of body size. Body size variables displaying significant correlations with anterior upper leg muscle
114 thickness were further evaluated for allometric scaling.

115 An allometric model, $Y = \alpha X^\beta$, was used to evaluate the relationships between anterior upper leg
116 muscle thickness and significant body size metrics (i.e., displays a significant correlation with anterior
117 upper leg muscle thickness), where Y denotes the anterior upper leg muscle thickness, X indicates the
118 body size metric, α is the proportionality constant, and β is the scaling exponent, as previously described
119 (1,11). To fit the data using linear regression, the allometric model can be expressed in logarithmic form
120 as $\log_e Y = \log_e \alpha + \beta \log_e X + \epsilon$, where ϵ is the error term. Linear regression models were fit separately for
121 younger males, older males, younger females, and older females, using anterior upper leg muscle
122 thickness and corresponding body size metric, expressed in logarithmic form. Differences in scaling
123 exponents between age groups for a given sex (e.g. younger males vs older males) were evaluated by
124 including age group and age group by body size metric interaction term in the regression model.

125 Homoscedasticity of the residuals was confirmed via nonsignificant correlations of the absolute residuals
126 and the natural log of the body size index. Linear regression analysis was used to examine how different
127 scaling exponents (0 to 1.3, using 0.1 increments) alters the correlation coefficients between the
128 normalized anterior upper leg muscle thickness (e.g. thickness/BMI^β) and the corresponding body size
129 metric (e.g. BMI).

130 To examine how normalization of the anterior upper leg muscle thickness changes the
131 identification of low skeletal muscle mass in older adults, the younger adult cohorts were used to derive
132 sex-specific cutpoints (2 standard deviations [SD] below average) for absolute and body size normalized
133 anterior upper leg muscle thickness. A Cochran's Q test was used to compare the proportion of older
134 males or females identified as having low muscle thickness across different normalization indices.
135 Pairwise comparisons between anterior upper leg muscle thickness normalization indices were adjusted
136 using a Bonferroni correction. Statistical significance was set as $p < 0.05$. All analyses were performed
137 using SPSS (version 27, Chicago, IL, USA).

138 **RESULTS**

139 Older adults had significantly greater weight ($p = 0.046$) and BMI ($p < 0.001$), and lower stature
140 ($p = 0.003$), compared with younger adults (Table 1). Younger adults and males had a larger anterior
141 upper leg muscle thickness compared with older adults ($p < 0.001$) and females ($p < 0.001$), respectively
142 (Table 1).

143 In every group, weight and BMI were positively associated ($p \leq 0.05$) with anterior upper leg
144 muscle thickness (Table 2). Neither height nor limb length were significantly correlated ($p > 0.05$) with
145 anterior upper leg muscle thickness (Table 2).

146 Among younger males, weight and BMI scaled to anterior upper leg muscle thickness with an
147 exponent of 0.58 (± 0.14 , full model $r^2 = 0.31$) and 0.62 (± 0.16 , full model $r^2 = 0.29$), respectively (Table 3).

148 Similar to younger males, younger females anterior upper leg muscle thickness scaled to weight and BMI
149 with an exponent of 0.54 (± 0.16 , full model $r^2=0.19$) and 0.64 (± 0.18 , full model $r^2=0.21$), respectively
150 (Table 3). Within older males, weight and BMI scaled to anterior upper leg muscle thickness with an
151 exponent of 0.80 (± 0.19 , full model $r^2=0.27$) and 0.92 (± 0.21 , full model $r^2=0.27$), respectively (Table 3).
152 Whereas in older females, weight and BMI scaled to anterior upper leg muscle thickness with an
153 exponent of 0.82 (± 0.31 , full model $r^2=0.24$) and 0.69 (± 0.34 , full model $r^2=0.16$), respectively (Table 3).
154 Age group and interactions between age group and either weight or BMI were not significant ($p>0.05$)
155 within the regression models for males or females, indicating scaling exponents were not different
156 between younger males and older males or between younger females and older females.

157 Different scaling exponents for weight (Figure 1A) and BMI (figure 1B) were evaluated against
158 correlation coefficients derived between weight or BMI and normalized anterior upper leg muscle
159 thickness.

160 Using the younger males and females as a reference, absolute anterior upper leg muscle
161 thickness identified 73.6 % and 33.3 % of older males and older females as having low muscle thickness
162 (Table 4). A significantly larger proportion of older males were identified as having low muscle thickness
163 when the anterior upper leg was normalized to weight (92.5 %) or BMI (92.5 %). Whereas in older
164 females, normalization using BMI (62.5 %), but not weight (50%), increased the proportion of individuals
165 classified as having low muscle thickness (Table 4).

166 In a subgroup analysis ($n=32$ older males), absolute and BMI normalized, but not weight
167 normalized, anterior upper leg muscle thickness displayed moderate associations with knee extensor
168 isometric torque; however, only normalized (weight and BMI), but not absolute, anterior upper leg
169 muscle thickness was associated with sit-to-stand performance (Table 5).

170 **DISUCSSION**

171 Here, our primary objective was to characterize how body size indices (weight and BMI)
172 allometrically scale with anterior upper leg muscle thickness in younger and older males and females.
173 We observed that the scaling exponents for weight and BMI were not statistically different between
174 younger and older adults. Importantly, there were significant differences in the proportion of older
175 adults classified as having low skeletal muscle mass when using absolute compared with weight or BMI
176 normalized indices of anterior upper leg muscle thickness. In a subset of older males, the absolute
177 anterior upper leg muscle thickness displayed the strongest associations with measures of muscle
178 strength, however, in gravity-dependent physical performance tests, such as 30-second sit to stand,
179 body size normalized indices provided stronger associations compared to absolute muscle thickness.

180 Low skeletal muscle mass in older adults is typically identified using cutpoints established in
181 healthy young adults reference cohort (2 SD below the average) (17). These measures of skeletal muscle
182 mass are often normalized to height, to achieve cutpoints which are independent of stature. While the
183 anterior thigh muscle thickness is increasingly being used for identification of low skeletal muscle mass
184 in older adults (8,18), the ideal approach for normalization has not been comprehensively evaluated.
185 Trunk and anterior thigh muscles measured using ultrasound have been adjusted for height (9), weight
186 (10) and BMI (8) using both allometric and ratio (e.g., 1:1 thickness/weight) normalization. Nuzzo et al.
187 (2013) (10) observed that the anterior, lateral, and posterior trunk muscles displayed scaling exponents
188 with body weight that were less than 1 (0.348 to 0.775) in male career firefighters. Furthermore, they
189 observed that erector spinae cross-sectional area is positively associated with body weight ($r=0.49$), but
190 when they normalized the cross-sectional area to weight using a ratio adjustment (i.e., muscle cross-
191 sectional area/weight), an inverse association ($r= -0.42$) was observed; indicating that use of ratio
192 normalization may not be optimal. Whereas allometric normalization (i.e., muscle cross-sectional
193 area/weight^{0.51}) displayed no association with weight ($r=0.00$) (10).

194 Here, we observed similar strength associations with weight or BMI and anterior upper leg
195 muscle thickness across younger and older adults. Similar to Nuzzo et al. (2013) (10), we observed
196 scaling exponents less than 1 using allometric modelling between the anterior upper leg muscle
197 thickness and either weight or BMI, further supporting that ratio normalization for muscle thickness may
198 not be the ideal approach. Recently, Kara et al. (2020) (8) normalized the anterior upper leg muscle
199 thickness with BMI in 326 community dwelling adults, denoted as sonographic thigh adjustment ratio
200 (STAR), which demonstrated a linear decline beginning at ~45 years of age in males and females.
201 However, it is difficult to establish if ageing-related declines in STAR is due to skeletal muscle atrophy,
202 increases in adiposity, or a combination of both. However, if the objective of these indices are for
203 characterizing low skeletal muscle mass for the identification of sarcopenia, it may be more relevant to
204 examine how different normalization indices relate to strength and functional capacity. The previously
205 established STAR metric displayed stronger associations with knee extensors strength and functional
206 capacity in comparison to traditional whole-body measures of muscle mass (8). We observed that
207 weight or BMI normalized anterior upper leg muscle thickness was more strongly associated with
208 gravity-dependent functional tests (e.g. 30-second sit to stand); however, absolute anterior upper leg
209 muscle thickness displayed the strongest associations with muscle strength. However, it should be noted
210 that our findings are rather limited in comparison to strength and functional capacity, as our analysis
211 was limited to a small subset of older males.

212 Using the younger adults as a reference cohort, we observed substantial differences in the
213 proportion of older adults identified as having low skeletal muscle mass using either absolute (74%
214 males and 33% females) or normalized indices (93% males and 50-63% females) of muscle thickness.
215 However, using even absolute anterior thigh muscle thickness, arguably too large of a proportion of the
216 older adults were classified as low skeletal muscle mass, which further increased when using weight or
217 BMI normalized indices. The substantial proportion of older adults being classified as having low muscle

218 thickness using the normalized indices questions the applicability of these metrics in the assessment of
219 sarcopenia. Even the use of absolute anterior thigh muscle thickness appears to classify too large of a
220 proportion of older adults, which has also been observed by others (>70% prevalence) (19), suggesting
221 that using a cutpoint of 2SD below a young reference cohort may need to be reconsidered for this
222 muscle group.

223 There were several limitations to our investigation. Our sample size was relatively small,
224 particularly in comparison to other studies conducting allometric modelling for body composition using
225 DXA or computed tomography (1,20). This small sample size is further compounded by having a non-
226 normal (bimodal) distribution for age (younger and older adults), making it challenging to account for
227 the influence of age across males or females, as has been previously described (11). Furthermore, our
228 cohorts of younger and older males and females were heterogenous in terms of body composition,
229 physical activity status, and comorbidities, which may alter the ideal scaling coefficients for body size
230 normalization. Lastly, our analysis focused solely on the anterior upper leg thickness, which may not be
231 applicable to other muscle groups or analysis of cross-sectional area. Furthermore, we evaluated the
232 thickness of the anterior upper leg muscle at a single landmark, which may not be applicable across
233 other landmarks. Therefore, our comparisons with those by Kara et al. (2020) (8), which utilized a
234 slightly different anterior thigh landmark, may be confounded.

235 In conclusion, we observed that scaling exponents for weight and BMI for the anterior upper leg
236 muscle thickness is less than 1 for older and younger adults, indicating that ratio normalization may
237 overcorrect for the influence of body size. Weight and BMI normalized anterior thigh muscle thickness
238 may identify too large of a proportion of older adults as having low skeletal muscle mass for the
239 classification of sarcopenia using standard approaches (i.e., 2 SD below young reference group).

240 Conflicts of interest: The authors declare no conflicts of interest.

241 Authorship: MTP and MM designed the research; MTP, KEB, and EA conducted all data collections; MTP
242 performed all statistical analysis; MTP drafted the manuscript; all authors have responsibility for the
243 final content and read and approved the final manuscript.

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307 Table 1. Physical characteristics

	Younger males (n=38)	Older males (n=53)	Younger females (n=49)	Older females (n=24)	Sex p-value	Age p-value
Age, y	27.0 (4.5)	74.7 (7.2)	27.5 (7.4)	72.7 (5.8)	0.489	<0.001
Height, m	1.75 (0.06)	1.75 (0.07)	1.66 (0.07)	1.59 (0.05)	<0.001	0.003
Weight, kg	78.4 (10.9)	81.9 (13.2)	64.3 (11.3)	68.4 (10.1)	<0.001	0.046
BMI, kg/m ²	25.6 (3.2)	26.7 (3.8)	23.3 (3.8)	26.9 (3.8)	0.093	<0.001
Limb length, cm	46.6 (2.1)	46.5 (2.3)	44.2 (2.3)	43.5 (1.9)	<0.001	0.265
Anterior upper leg thickness, cm	4.38 (0.63)	2.73 (0.62)	3.21 (0.61)	2.11 (0.49)	<0.001	<0.001

308 Values are presented as mean (\pm SD). BMI, body mass index.

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311 Table 2. Correlation coefficients between anterior upper leg muscle thickness and body size metrics

	Younger males (n=38)	Older males (n=53)	Younger females (n=49)	Older females (n=24)
Height	0.15	0.10	0.10	0.23
Weight	0.57	0.54	0.42	0.51
BMI	0.53	0.55	0.42	0.42
Limb length	0.25	-0.09	0.15	0.10

312 Bolded correlation coefficients indicate statistical significance. BMI, body mass index.

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316 Table 3. Allometric modelling of anterior upper leg muscle thickness with weight and body mass index

	Younger males (n=38)		Older males (n=53)		Younger females (n=49)		Older females (n=24)	
	Exponent (β)	R ²	Exponent (β)	R ²	Exponent (β)	R ²	Exponent (β)	R ²
Weight, kg	0.58 (0.14)	0.31	0.80 (0.19)	0.27	0.54 (0.16)	0.19	0.82 (0.31)	0.24
BMI, kg/m ²	0.62 (0.16)	0.29	0.92 (0.21)	0.27	0.64 (0.18)	0.21	0.69 (0.34)	0.16

317 The general model for each outcome is $\log_e Y = \log_e \alpha + \beta \log_e X$. Values are presented as $\beta (\pm SE)$. Coefficient of
 318 determination refers to explained variance of the full model. All models are $p < 0.001$. AUL, anterior upper leg; BMI,
 319 body mass index.

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323 Table 4. Comparison of anterior upper leg muscle thickness normalization in the identification of low
 324 muscle thickness

	Older males (n=53)	Older females (n=24)
Low anterior upper leg, n (%)	39 (73.6) ^a	8 (33.3) ^a
Low anterior upper leg /weight ^{males: 0.58, females: 0.54} , n (%)	49 (92.5) ^b	12 (50) ^{a,b}
Low anterior upper leg /BMI ^{males: 0.62, females: 0.64} , n (%)	49 (92.5) ^b	15 (62.5) ^b

325 Normalization indices that do not share a letter are statistically different within a given sex. Cutpoints for
 326 identification of indices of low AUL were derived using sex-specific 2SD below younger adult cohort. For males,
 327 cutpoints were 3.11 cm, 0.26 cm/kg^{0.58}, and 0.45 cm/(kg/m²)^{0.62} for absolute, weight normalized, and BMI
 328 normalized, respectively. For females, cutpoints were 1.98 cm, 0.22 cm/kg^{0.54}, and 0.28 cm/(kg/m²)^{0.64} for absolute,
 329 weight normalized, and BMI normalized, respectively. BMI, body mass index.

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333 Table 5. Subgroup (n=32 older males) correlation coefficients of anterior upper leg muscle thickness
 334 indices and strength and physical performance

	anterior upper leg	anterior upper leg /weight ^{0.8}	anterior upper leg /weight	anterior upper leg /BMI ^{0.92}	anterior upper leg /BMI
Knee extensors isometric torque, Nm	0.50	0.34	0.28	0.43	0.42
6 min distance, m	0.04	0.09	0.09	0.21	0.22
Sit to stand, n	0.17	0.38	0.41	0.36	0.37

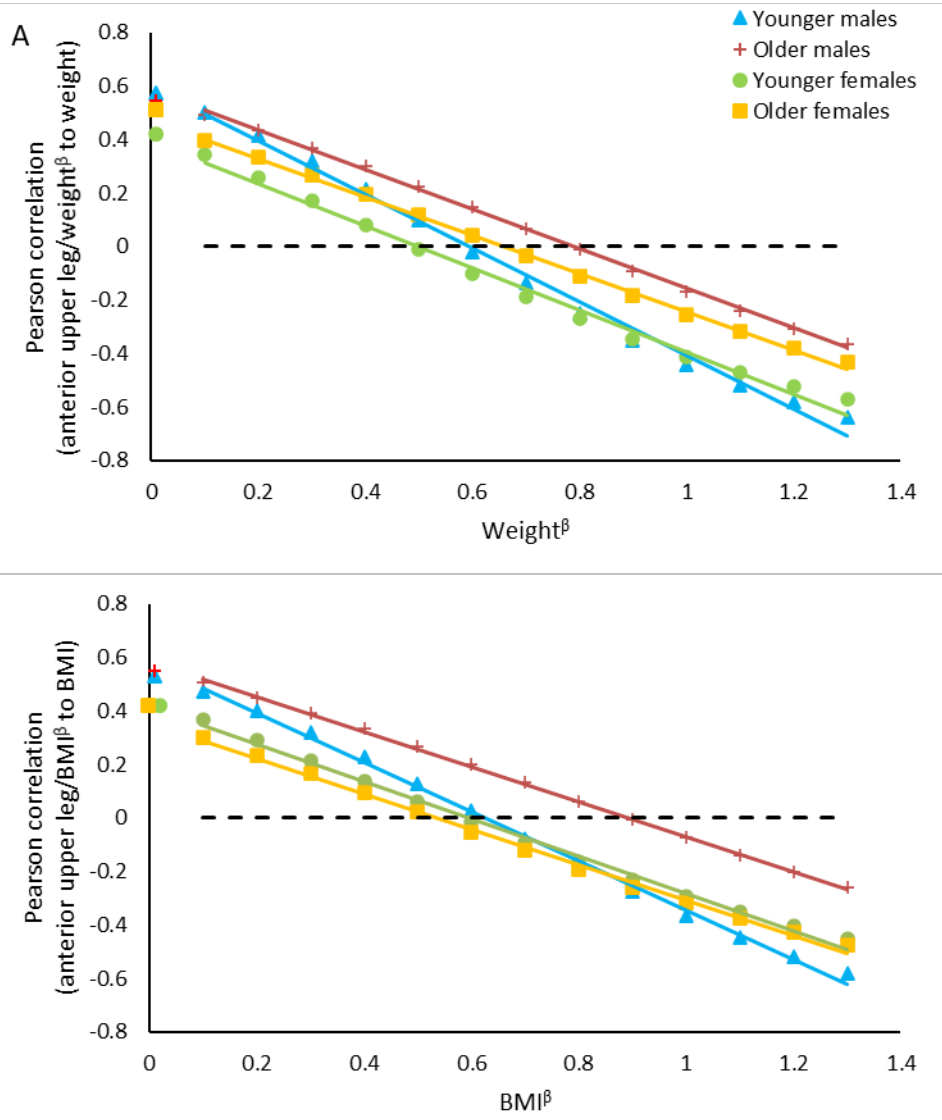
335 Bolded correlation coefficients indicate statistical significance. BMI, body mass index.

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339 **Figure 1**



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346 **Figure legends**

347 Figure 1. Correlation coefficients for anterior upper leg scaling factors. Correlation coefficients for the regression of
348 A) anterior upper leg muscle thickness/weight^β with weight or B) anterior upper leg muscle thickness/BMI^β with
349 BMI, ranging from 0.0 (no normalization) to 1.3 in increments of 0.1. This figure demonstrates how different
350 scaling factors (x-axis) influence the Pearson correlation (y-axis) between normalized anterior upper leg muscle
351 thickness and the corresponding body size metric. Fitted lines cross the horizontal dashed line indicate the scaling
352 factor that is associated with a null Pearson correlation. Blue triangles denote younger males, red crosses denote
353 older males, green circles denote younger females, and orange squares denote older females. Linear regression
354 analysis generated lines for A) younger males: $y = -1.00x + 0.60$ ($r=0.99$, $p<0.001$), older males: $y = -0.74x + 0.58$
355 ($r=0.99$, $p<0.001$), younger females: $y = -0.78x + 0.39$ ($r=0.99$, $p<0.001$), and older females: $y = -0.71x + 0.47$
356 ($r=0.99$, $p<0.001$), and B) younger males: $y = -0.92x + 0.58$ ($r=0.99$, $p<0.001$), older males: $y = -0.65x + 0.59$ ($r=0.99$,
357 $p<0.001$), younger females: $y = -0.70x + 0.42$ ($r=0.99$, $p<0.001$), and older females: $y = -0.66x + 0.34$ ($r=0.99$,
358 $p<0.001$).

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