

Approximately coloring graphs without long induced paths^{*}

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Abstract It is an open problem whether the 3-coloring problem can be solved in polynomial time in the class of graphs that do not contain an induced path on t vertices, for fixed t . We propose an algorithm that, given a 3-colorable graph without an induced path on t vertices, computes a coloring with $\max\{5, 2\lceil \frac{t-1}{2} \rceil - 2\}$ many colors. If the input graph is triangle-free, we only need $\max\{4, \lceil \frac{t-1}{2} \rceil + 1\}$ many colors. The running time of our algorithm is $O((3^{t-2} + t^2)m + n)$ if the input graph has n vertices and m edges.

Keywords graph coloring · forbidden induced paths · approximation algorithm

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1 Introduction

A k -coloring of a graph G is a function $c : V(G) \rightarrow \{1, \dots, k\}$ such that $c(v) \neq c(u)$ for all $vu \in E(G)$. In the k -coloring problem, one has to decide whether a given graph admits a k -coloring or not; it is NP-complete for all $k \geq 3$, as Karp proved in his seminal paper [19].

Coloring H -free graphs. One way of dealing with this hardness is to restrict the structure of the instances. In this paper we study H -free graphs, that is, graphs that do not contain a fixed graph H as an induced subgraph. It is known that for fixed $k \geq 3$, the k -coloring problem is NP-hard on H -free graphs if H is any graph other than a subgraph of a chordless path [15, 18, 21, 22]. Therefore, we further restrict our attention to P_t -free graphs, P_t being the chordless path on t vertices.

A substantial number of papers study the complexity of coloring P_t -free graphs, and most of the results are gathered in the survey paper of Golovach *et al.* [12]. Let us recall a few results that define the current state of the art regarding the complexity of k -coloring in P_t -free graphs.

Theorem 1 (Bonomo *et al.* [2]) *The 3-coloring problem can be solved in polynomial time in the class of P_7 -free graphs. This holds true even if each vertex comes with a subset of $\{1, 2, 3\}$ of feasible colors.*

It is an intriguing open question whether the 3-coloring problem is solvable in polynomial time in the class of P_t -free graphs, whenever $t > 7$ is fixed.

Theorem 2 (Chudnovsky *et al.* [4, 5]) *The 4-coloring problem can be solved in polynomial time in the class of P_6 -free graphs.*

Going back to P_5 -free graphs, an elegant algorithm of Hoàng *et al.* [14] shows that this class is structurally restricted enough to allow for a polynomial time algorithm solving the k -coloring problem.

Theorem 3 (Hoàng *et al.* [14]) *The k -coloring problem can be solved in polynomial time in the class of P_5 -free graphs, for each fixed k .*

The above result is interesting also for the fact that if k is part of the input, the k -coloring problem in P_5 -free graphs becomes NP-hard again [21]. Regarding negative results, the following theorem of Huang is the best known so far.

Theorem 4 (Huang [16]) *For all $k \geq 5$, the k -coloring problem is NP-complete in the class of P_6 -free graphs. Moreover, the 4-coloring problem is NP-complete in the class of P_7 -free graphs.*

Together, these results resolve the questions of the complexity of k -coloring in the class of P_t -free graphs for all k and t , except for the case when $k = 3$ and $t \geq 8$. For this case, the following is known.

Theorem 5 (Groenland *et al.* [11]) *For fixed t , the number of 3-colorings of a P_t -free graph can be found in subexponential time.*

Our contribution is an approximation algorithm for the latter case. This line of research was first suggested to us by Chuzhoy [6]. The approximation guarantee of our algorithm is better in the triangle-free case. Even in the triangle-free case, the complexity of 3-coloring P_t -free graphs is open for $t \geq 8$, and 4-coloring is known to be NP -hard for large t :

Theorem 6 (Huang *et al.* [17]) *The 4-coloring problem is NP -hard in the class of $\{P_{22}, \text{triangle}\}$ -free graphs.*

Approximation. The hardness of approximating the k -coloring problem has been in the focus of the research on approximation algorithms. Dinur, Mossel and Regev [7] proved that coloring a 3-colorable graph with C colors, where C is any constant, is NP -hard assuming a variant of the Unique Games Conjecture. More precisely, the assumption is that a certain label cover problem is NP -hard (where the label cover instances are what the authors call α -shaped). Even without this assumption, Brakensiek and Guruswami [1] proved that for all $k \geq 3$, $(2k - 2)$ -coloring a k -colorable graph is NP -hard.

On the upside, it is known how to color a 3-colorable graph with relatively few colors in polynomial time, and there has been a long line of subsequent improvements on the number of colors needed. The current state of the art, according to our knowledge, is the following result, which combines a semidefinite programming result by Chlamtac [3] with a combinatorial algorithm for the case of large minimum degree.

Theorem 7 (Kawarabayashi and Thorup [20]) *There is a polynomial time algorithm to color a 3-colorable n -vertex graph with $O(n^{0.19996})$ colors.*

In this work we combine these two lines of research and strive to use the structure of P_t -free graphs to give an approximation algorithm for the 3-coloring problem. We are inspired by a result of Gyarfas, who proved the following.

Theorem 8 (Gyarfas [13]) *If G is a graph with no induced subgraph isomorphic to P_t , then $\chi(G) \leq (t - 1)^{\omega(G) - 1}$.*

Thus, for a graph with no P_t , we can check if it is $(t - 1)^2$ -colorable or not 3-colorable by checking whether it contains a K_4 . For a connected graph, Theorem 8 also holds if the requirement of being P_t -free is weakened to the assumption that there is a vertex v in G that does not start an induced P_t in G . We use a technique similar to the proof of Theorem 8 in the proof of our key lemma, Lemma 1, taking advantage of the fact that the input graph is 3-colorable. This allows us to improve the bound of $(t - 1)^2$ on the number of colors given by Gyarfas' theorem. We remark that our result is not an improvement of Theorem 8, but incomparable to it.

Our contribution. We prove the following.

Theorem 9 *Let $t \in \mathbb{N}$. There is an algorithm that computes for any 3-colorable P_t -free graph G*

(a) *a coloring of G with at most $\max\{5, 2 \lceil \frac{t-1}{2} \rceil - 2\}$ colors, and a triangle of G , or*

(b) *a coloring of G with at most $\max\{4, \lceil \frac{t-1}{2} \rceil + 1\}$ colors*

with running time $O((3^{t-2} + t^2)|E(G)| + |V(G)|)$.

The proof of this theorem is given in Section 2. The outline of the proof is as follows. Starting at a vertex v , our goal is to split the graph into two parts, one that has a bounded-size dominating set S , and another that requires few colors. To begin, we let $S = \emptyset$ and proceed by fixing a vertex v and considering components of its non-neighbors. No two such components can contain a path of length $\approx t/2$ ending in a vertex of $N(v)$, and hence there is a vertex u in $N(v)$ such that every component of $G - N[v]$ not containing a neighbor of u can be colored with a small number of colors (inductively); then we replace v by u , S by $S \cup \{v\}$, and consider the remaining components, and iterate. If this continues for more than t steps, then the set S of vertices we fixed induces a P_t in G (starting $v - u - \dots$); thus we may assume we found a coloring of $G - (S \cup N(S))$ with a small number of colors and $|S| \leq t$. The 3-coloring problem in graphs with bounded domination number can be reduced to a constant number of 2SAT instances, which can be solved in linear time [8,9,23], and so we can compute a 3-coloring of $G[S \cup N(S)]$ and add it to the colorings of components; this yields the desired coloring.

There is a variant of this problem where we replace the requirement that G is P_t -free with the weaker restriction that in each connected component G has at least one vertex that is not a starting vertex of a P_t . We give an algorithm for this harder problem as well, with a worse approximation bound, see Lemmas 1 and 2 below. Additionally, we give a hardness result, Theorem 11, to show that Lemma 1 can probably not be improved.

We remark that our algorithm can easily be implemented such that it takes an arbitrary graph as its input. It then either refutes the graph by outputting that it contains a P_t or that it is not 3-colorable or computes a coloring as promised by Theorem 9. In the case that the graph is refuted for not being 3-colorable, the algorithm can output a certificate that is easily checked in polynomial time. If the graph is refuted because it contains an induced P_t , our algorithm outputs the path.

2 Algorithm

Throughout this paper, we use $N(v)$ to denote the set of neighbors of a vertex v , and $N(X)$ to denote the set of neighbors of a set X . Moreover, we let $N[v] = N(v) \cup \{v\}$ and $N[X] = N(X) \cup X$.

We start with a lemma that uses ideas from the proof of Theorem 8 to color connected graphs in which some vertex does not start a P_t . The coloring

it returns, if any, is a 3-coloring as long as $t \leq 4$; in Section 3 we show that 3-coloring becomes NP-hard in this setting for $t \geq 5$, which means that our result is best possible in this sense. In Lemma 2, we bound the running time of the algorithm given in Lemma 1.

Lemma 1 *Let G be connected, $v \in V(G)$, and $t \in \mathbb{N}$ with $t \geq 1$. There is a polynomial-time algorithm that outputs*

- (a) *that G is not 3-colorable, or*
- (b) *an induced path P_t starting with vertex v , or*
- (c) *a $\max\{2, t - 2\}$ -coloring of G , or*
- (d) *a $\max\{3, 2t - 5\}$ -coloring of G and a triangle in G .*

Proof We prove this by induction on t and $|V(G)|$. If $V(G) = \{v\}$, then (c) holds, and we return a 1-coloring of G . From now on, we may therefore assume that $|V(G)| > 1$.

We first prove the result for $t \leq 4$. Let $Z = V(G) \setminus N[v]$. Consider a component C of $G[Z]$ (if one exists). By connectivity, there is a vertex $x \in V(C)$ such that $N(x) \cap N(v) \neq \emptyset$. If there is an induced P_4 in G starting at v , we output it. Otherwise, each neighbor of x in C is adjacent to all of $N(x) \cap N(v)$. Thus $N(y) \cap N(v) = N(x) \cap N(v)$ for every $y \in V(C)$. In particular, if $|C| \geq 2$, we found a triangle.

Color v with color 1, and give each vertex in a singleton component of Z color 1. For each non-singleton component C of Z , note that if C is not bipartite, then G is not 3-colorable (and we have outcome (a)). So we may assume C is bipartite, and we color all vertices from one partition class with 1. This is valid since every vertex of C has the same set of neighbors in $N(v)$, and no vertex in $N(v)$ is colored 1. We call G' the subgraph of G that contains all yet uncolored vertices. (So all remaining vertices of Z form singleton components of $G' - N(v)$.)

If G' has no edges, we can color $V(G')$ with color 2 to obtain a valid 2-coloring of G , and are done with outcome (c). If G' is bipartite and has an edge xy , then we can color $V(G')$ with colors 2 and 3 to obtain a valid 3-coloring of G . Observe that if $x, y \in N(v)$, then G has a triangle, and that otherwise, we can assume $x \in N(v)$ and $y \in Z$. In G , vertex y belongs to a non-trivial component of $G - N(v)$; thus, as noted above, G has a triangle containing xy . In either case, we have outcome (d).

Now suppose that G' is not bipartite, that is, G' has an odd cycle C_ℓ , on vertices c_1, \dots, c_ℓ , say. Then, for each c_i lying in Z , we know that in G , there is a vertex c'_i (from the non-trivial component of Z that c_i belongs to) which is adjacent to all three of $c_{i-1}, c_i, c_{i+1} \pmod{\ell}$. So in any valid 3-coloring of G , vertices c_{i-1} and c_{i+1} have the same color. Since ℓ is odd, no such coloring exists; and we can output (a). This proves the result for $t \leq 4$.

Now let $t \geq 5$, and assume that the result is true for all smaller values of t . For every component C of $Z = V(G) \setminus N[v]$, there is a vertex w_C in $N(v)$ with neighbors in C . We apply the induction hypothesis (for $t - 1$) to $G_C := G[V(C) \cup \{w_C\}]$ and w_C . If this subgraph is not 3-colorable, neither

is G (and we have outcome (a)). If there is an induced P_{t-1} starting at w_C , then we can add v to this path and have found an induced P_t in G starting at v , giving outcome (b). If neither outcome (a) nor outcome (b) occurred in any component, then each component C of $G[Z]$ (without w_C) can be colored with $2(t-1) - 5$ colors if the algorithm detected a triangle in G_C , and with $t - 3$ colors otherwise.

If $N(v)$ is a stable set, and no triangle was detected, then we color each component of $G[Z]$ with $t - 3$ colors (which can be repeated), and use one more color for $N(v)$, and repeat one of the colors from Z for v to obtain a $(t - 2)$ -coloring of G , obtaining outcome (c).

Therefore, we may assume that the algorithm detected a triangle in $G[N[v]]$ or some G_C , and we output this triangle. If $G[N(v)]$ is not bipartite, then G is not 3-colorable. Otherwise, we color each component of $G[Z]$ with the same at most $2(t-1) - 5$ colors, color $N(v)$ with at most two new colors, and repeat a color from Z for v . Then, this yields a coloring of G with $2t - 5$ colors, and we found a triangle, which is outcome (d). \square

Lemma 2 *The algorithm from Lemma 1 can be implemented with a running time of $O(t|E(G)|)$ for a connected input graph G .*

Proof For $t \leq 4$, we can compute $N(v)$ and $Z = V(G) \setminus N[v]$ in time $\mathcal{O}(|E(G)|)$. The components of Z can be found in linear time. By going through each vertex w in $N(v)$, and for each such w , going through each component C of Z , we can check that w has exactly 0 or $|V(C)|$ neighbors in C ; if this is not true for some component C , then we have found a P_4 starting at v , obtaining outcome (b).

Otherwise, color v with color 1, as well as all components in Z of size 1. If a component C contains two or more vertices, then we check if it is bipartite (in linear time); if not, then since there is a neighbor w of C in $N(v)$ and w is complete to C , we output that G is not 3-colorable for outcome (a). If C is bipartite, we choose one of the partition classes of the bipartition, and give all vertices in this class color 1.

Let G' be the remaining graph after removing all vertices colored so far. We check if G' has an edge; if not, then we can give a 2-coloring of G and output (c). If G' has an edge xy , then check if G' is bipartite. If so, we can get a valid 3-coloring of G . Moreover, xy lies in a triangle (either because $x, y \in N(v)$ or because x and y have a common neighbor in Z), and we can output (d). So assume we found that G' is not bipartite, that is, we found an odd cycle C_ℓ in G' , on vertices c_1, \dots, c_ℓ , say. For each $c_i \in Z \cap V(G')$, there is a vertex $c'_i \in Z \cap V(G)$ adjacent to all three of $c_{i-1}, c_i, c_{i+1} \pmod{\ell}$, hence we can output (a), as vertices $c'_i, V(C_\ell)$ and v induce an obstruction to 3-coloring G .

Now let $t \geq 5$. We compute $N(v)$ in time $|d(v)|$, compute components of $G - N[v]$ in linear time, check if $N(v)$ is bipartite in linear time (if not, return that G is not 3-colorable), check if $N(v)$ contains two adjacent vertices, and correspondingly 1 or 2-color $N(v)$. Then we go through $N(v)$ to find a neighbor w_C for each component C of $G - N[v]$ and run the algorithm with vertex w_C and parameter $t - 1$ on the graph $G[V(C) \cup \{w_C\}]$.

If the outcome in any component C is an induced P_{t-1} starting at w_c , we can add v at the start of the path and get outcome (b). If some component is not 3-colorable, then neither is G , giving outcome (a). Otherwise, we find the necessary colorings (and possibly a triangle) to output (c) or (d).

Note that no edge occurs in two components, therefore we require $O(|E(G)|)$ processing time before using recursion and a total amortized running time of at most $O((t-1)|E(G)|)$ for recursive calls of the algorithm, which implies the overall running time. \square

In the following, we will use a slightly modified version of Lemma 1:

Corollary 1 *Let G be connected, $v \in V(G)$, and $t \in \mathbb{N}$. Then, there is an algorithm that outputs*

- (a) *that G is not 3-colorable, or*
- (b) *an induced path P_t starting with vertex v , or*
- (c) *a $\max\{1, t-2\}$ -coloring of $G-v$, or*
- (d) *a $\max\{2, 2t-5\}$ -coloring of $G-v$ and a triangle in G*

with running time $O(t|E(G)|)$.

Proof This is a direct consequence of Lemma 1 unless $t \leq 3$. If $t \leq 3$, then we can find an induced P_t starting at v unless v is adjacent to every vertex in $G-v$. So assume v is adjacent to every other vertex. If $G-v$ is not bipartite, then G is not 3-colorable. Otherwise, $G-v$ is 2-colorable and the algorithm detects a triangle, or $G-v$ is 1-colorable. \square

"For a set S of vertices of G , we let $F(S)$ denote the smallest set such that $S \subseteq F(S)$ and no vertex in $G-F(S)$ has two adjacent neighbors in $F(S)$. Note that $F(S)$ can be computed by starting with S and repeatedly adding vertices that have two adjacent neighbors in the current set; since G is finite, this process terminates and the set at termination has the required properties. In a 3-coloring, the colors of the vertices in S uniquely determine the colors of all vertices in $F(S)$.

Below, in Lemma 3, we prove the main technical result of this paper, and in Lemma 4 we analyze the running time of the algorithm from Lemma 3.

Lemma 3 *Let G be connected, $v \in V(G)$ and $k, t \in \mathbb{N}$ with $k \geq 1$, $t \geq 2$. There is a polynomial-time algorithm that outputs*

- (a) *that G is not 3-colorable, or*
- (b) *an induced path P_t in G , or*
- (c) *an induced path P_k in G starting in v , or*
- (d) *a set S of size at most $\max\{1, k-2\}$ with $v \in S$, and a $\max\{1, \lceil \frac{t-1}{2} \rceil - 2\}$ -coloring of $G - N[F(S)]$, or*
- (e) *a set S of size at most $\max\{1, k-2\}$ with $v \in S$, and a $\max\{2, 2 \lceil \frac{t-1}{2} \rceil - 5\}$ -coloring of $G - N[F(S)]$, and a triangle in G .*

Proof We prove this by induction on k and $|V(G)|$. If $V(G) = \{v\}$, then outcome (d) holds with $S = \{v\}$; therefore we may assume from now on that $|V(G)| > 1$. If $k \leq 2$, then outcome (c) holds. If $k = 3$, then the result follows since either $N[v] = V(G)$ or G contains a P_3 starting in v . In the latter case, outcome (c) holds, and in the former case, we return $S = \{v\}$ and outcome (d) holds.

Now let $k > 3$. Note that we can assume $k \leq t$, because otherwise we can run the algorithm for k set to t , and all outcomes except (c) will be valid for the original k as well, and if we do get outcome (c), we can use it as outcome (b) instead. Furthermore, if $k \leq \lceil \frac{t-1}{2} \rceil$, then Corollary 1 with input G, v and k yields a coloring of $G - v$ with at most as many colors as claimed in the lemma. The result of the lemma follows by setting $S = \{v\}$.

So we may assume that $k > \lceil \frac{t-1}{2} \rceil$. Consider $Z = V(G) \setminus N[v]$. Let $\mathcal{C} = \{C_1, \dots, C_r\}$ be the list of components of $G[Z]$, and let $\mathcal{D} = \{D_1, \dots, D_l\}$ be the list of components of $G[N(v)]$. We now describe a procedure during which at each step, we color one of the components of \mathcal{C} , and then put it aside, and continue working with the remaining graph, until one component $D \in \mathcal{D}$ has neighbors in all remaining components of \mathcal{C} .

The details are as follows. We may assume that for every component in \mathcal{D} , some component of \mathcal{C} has no neighbors in \mathcal{D} . Now choose a component $D \in \mathcal{D}$ that has neighbors in as many components of \mathcal{C} as possible. Then some component $C' \in \mathcal{C}$ has no neighbor in D , and therefore, there is a component $D' \in \mathcal{D}$ with a neighbor in C' by the connectivity of G . But C' has a neighbor in D' and not D , and so by choice of D , D' cannot have a neighbor in all components $C \in \mathcal{C}$ in which D has a neighbor; now let C be a component in \mathcal{C} such that D has a neighbor in C and D' does not. Let $x \in V(D)$ such that x has a neighbor in C , and let $x' \in V(D')$ such that x' has a neighbor in C' .

Then, we apply Corollary 1 to $G[\{x\} \cup V(C)]$ (with parameter $\lceil \frac{t-1}{2} \rceil$ and vertex x) and to $G[\{x'\} \cup V(C')]$ (with parameter $\lceil \frac{t-1}{2} \rceil$ and vertex x'). If either of these graphs is not 3-colorable, then G is not 3-colorable. If, in both cases, there is an induced $P_{\lceil \frac{t-1}{2} \rceil}$ starting at x and at x' , respectively, then, since x has no neighbors in $V(C') \cup V(D')$ and x' has no neighbors in $V(D) \cup V(C)$, we can combine them, using the path xx' , to obtain an induced $P_{2\lceil \frac{t-1}{2} \rceil + 1}$ in G , which contains an induced P_t . Thus, we can assume that for at least one of the two components, we found a coloring instead. In particular, we found a coloring of C or of C' with $\max\{1, \lceil \frac{t-1}{2} \rceil - 2\}$ colors, or a triangle in G , and a coloring of C or of C' with $\max\{2, 2\lceil \frac{t-1}{2} \rceil - 5\}$ colors. We then remove the component with the coloring and continue.

Finally, we arrive at a point where there is a component $D \in \mathcal{D}$ that has neighbors in all remaining components of \mathcal{C} . Note that if S is a set that includes v and any vertex $x \in D$, then $F(S) \supseteq V(D)$ and thus $N(V(D)) \subseteq N[F(S)]$. Therefore, we call a remaining component of \mathcal{C} *good* if it is contained in $N(V(D))$, and *bad* otherwise. Our goal is to find a vertex $x \in V(D)$ with neighbors in all bad components.

Suppose that there is no vertex in $V(D)$ with neighbors in all bad components. Then, we can find two bad components C, C' among the remaining components of \mathcal{C} such that C has a neighbor y in D , C' has a neighbor y' in D , y has no neighbors in C' and y' has no neighbors in C . As before, we can find these components by choosing y with neighbors in as many bad components as possible, and then letting y' be a vertex with a neighbor in a bad component C' in which y does not have a neighbor. Consequently, y' has no neighbor in at least one bad component C in which y does have a neighbor.

As C and C' are bad, there exist components E and E' , of $C \setminus N(V(D))$, and of $V(C') \setminus N(V(D))$, respectively. Let x be the second vertex on a shortest path P from E to y , and define x' and P' analogously; that is, x is the unique vertex of P such that $x \notin V(E)$ and x has a neighbor in E , and similarly, x' is the unique vertex of P' such that $x' \notin V(E')$ and x' has a neighbor in E' . Since $y, y' \in V(D)$, and E is disjoint from $N(V(D))$, it follows that $x \neq y$ and $x' \neq y'$. Since C and C' are different components of $G - N[v]$, it follows that there are no edges between $V(P)$ and $V(P')$ except possibly yy' .

We apply Corollary 1 to $G[\{x\} \cup V(E)]$ (with parameter $\lceil \frac{t-2}{2} \rceil$ and vertex x) and to $G[\{x'\} \cup V(E')]$ (with parameter $\lceil \frac{t-2}{2} \rceil$ and vertex x'). If either of these two graphs is not 3-colorable, then G is not 3-colorable. If, in both cases, there is an induced $P_{\lceil \frac{t-2}{2} \rceil}$, say Q starting at x and Q' starting at x' , respectively, then we can combine these paths to an induced path R at least t vertices by taking $R = QxPy y' P' x' Q'$ or $R = QxPy v y' P' x' Q'$ (depending on whether yy' is an edge or not). Since both xPy and $x'P'y'$ have length at least 1, and therefore $y, y' \notin V(Q) \cup V(Q')$, it follows that R has at least $2 \lceil \frac{t-2}{2} \rceil + 2 \geq t$ vertices.

Thus, we may assume that for at least one of $G[\{x\} \cup V(E)]$, $G[\{x'\} \cup V(E')]$, we found a coloring instead. In particular, we found a coloring of E or of E' with $\max\{1, \lceil \frac{t-2}{2} \rceil - 2\}$ colors, or a triangle in G , and a coloring with $\max\{2, 2 \lceil \frac{t-2}{2} \rceil - 5\}$ colors. We then remove the component with the coloring and continue.

We are left with the case when there is a single vertex $v' \in V(D)$ that has neighbors in all remaining components of \mathcal{C} , except possibly those contained in $N(V(D))$. Let V' be the set of vertices in the remaining components of \mathcal{C} that are not contained in $N(V(D))$. Then we can apply the induction hypothesis with $k-1$, t , and v' to $G' = G[V' \cup \{v'\}]$. If G' is not 3-colorable, neither is G . If G' contains an induced path P_t , so does G . If G' contains an induced path P_{k-1} starting in v' , then we can add v to this path to obtain an induced path P_k starting in v . If there is a set S of size at most $k-3$ with $v' \in S$, and a $\max\{1, \lceil \frac{t-1}{2} \rceil - 2\}$ -coloring of $G' - N[F(S)]$ or a $\max\{2, 2 \lceil \frac{t-1}{2} \rceil - 5\}$ -coloring of $G' - N[F(S)]$ and a triangle, then we proceed as follows. We add v to S , and now S has size at most $k-2$. Moreover, since both v and v' are in S , we know that $V(D) \subseteq F(S)$, thus all vertices in $N[v] \cup N[D]$ are in $N[F(S)]$. We colored different components of $Z \setminus N(V(D))$ at different stages, but we can reuse the colors used on these components. Therefore, this leads to

outcome (d) or (e), depending on whether the algorithm detected a triangle at any stage or not. \square

Lemma 4 *The algorithm from Lemma 3 can be implemented with running time $O(k \lceil \frac{t-1}{2} \rceil |E(G)|)$ for a connected input graph G .*

Proof For $k < 3$, we can check in linear time if (b) or (d) holds. For $k = 3$, we check in linear time if $V(G) = N[v]$; in this case, the algorithm returns $S = \{v\}$, and outcome (d) holds. Otherwise, G contains a vertex $w \notin N[v]$ with a neighbor u in $N(v)$, and vuw is a P_3 ; so outcome (b) holds, and we can find u and w in linear time. For $k > 3$, we compute $Z = V(G) \setminus N[v]$ and the components C_1, \dots, C_r of $G[Z]$ and the components D_1, \dots, D_r of $G[N(v)]$ as in the proof of Lemma 3; all this can be done in linear time.

Now, subsequently, for $j = 1, \dots, r$, we consider D_j , and some of the C_i adjacent to it, and after possibly coloring and deleting these components C_i , we might also delete D_j . More precisely, for $j = 1, \dots, r$, we consider those C_i that only have neighbors in D_j . For each such C_i , choose a neighbor x_{ij} in $V(D_j)$ and apply Corollary 1 to $G[V(C_i) \cup \{x_{ij}\}]$. If this graph is not 3-colorable, then neither is G . If the algorithm returns a coloring (and possibly a triangle), then this is the coloring we will use in C_i , as explained in the proof of Lemma 3, so we can delete C_i . (But, if the algorithm found a triangle, we shall remember this triangle for a possible output, at least if it is the first one to be found.) Otherwise, the algorithm returns a path of length $\lceil \frac{t-1}{2} \rceil$, which we keep. After going through all C_i with neighbors only in D_j , we delete D_j if the algorithm always returned a coloring (or if there were no C_i to consider), since we guarantee that $v \in S$ and therefore $V(D_j) \subseteq N[F(S)]$, and in this case we have also already processed all components C_i with a neighbor in D_j . That is, we keep D_j if and only if for some i we found a path starting from x_{ij} with interior in $V(C_i)$.

The amortized time it takes to process all D_j is $O(\lceil \frac{t-1}{2} \rceil |E(G)|)$. This is so because every component C_i is used for the algorithm from Corollary 1 at most once.

In the end, if there are $D_j, D_{j'}$ with $j \neq j'$ that we did not delete, then there is a component C_i that only has neighbors in D_j , and another component $C_{i'}$ that only has neighbors in $D_{j'}$, and both C_i and $C_{i'}$ contain a $P_{\lceil \frac{t-1}{2} \rceil}$ in their interior, starting at x_{ij} and $x_{i'j'}$ respectively. By connecting them using the middle segment $x_{ij}vx_{i'j'}$, we find a P_t in G that we can output as outcome (b). Otherwise, there is only one D_j left at the end. Since whenever we deleted a component $D_{j'}$, we ensured that each remaining C_i has a neighbor in some D_j with $j \neq j'$, this means that D_j has neighbors in all C_i that we did not color yet.

Let Z' be the set of vertices of remaining components C_i , and let $Z'' = Z' \setminus N(V(D_j))$. Each component of $G[Z'']$ is contained in some component C_i and thus it has a neighbor in $N(V(D_j))$. Therefore, we can apply the same argument as before to components of $G[Z'']$ and components of $N(V(D_j))$ with neighbors in them. Whenever the algorithm for Corollary 1 outputs a

coloring we keep it (and we also keep the possibly found triangle, if it is the first triangle to be found), and if it outputs that a component is not 3-colorable, then G is not 3-colorable, and if there is a path $P_{\lceil \frac{t-2}{2} \rceil}$, we keep track of it. (Note that components of $N(V(D_j))$ that are not adjacent to Z'' get deleted automatically.) When this terminates, if there are still two components of $N(V(D_j))$, then there are two paths we can combine to a P_t as in Lemma 3. Otherwise, a single component D^* of $N(V(D_j))$ has a neighbor in all remaining components C'_1, \dots, C'_s , so there is a vertex $v' \in V(D_j)$ such that $G[\{v'\} \cup D^* \cup C'_1 \cup \dots \cup C'_s]$ is connected, and v' is the only neighbor of v in that subgraph. Next, we apply induction for $k-1$ with root vertex v on that set. If this finds a set S and a coloring, we add v to S . If it finds a path P_{k-1} , we add v to the path. If it finds a P_t , we output it. If it is not 3-colorable, then neither is G .

The total running time of the recursive application of the algorithm is $O((k-1) \lceil \frac{t-1}{2} \rceil |E(G)|)$, and all preprocessing steps leading there can be implemented with a running time of $O(\lceil \frac{t-1}{2} \rceil |E(G)|)$, which implies the result. \square

We can now give the proof of our main result, which we restate:

Theorem 10 *Let $t \in \mathbb{N}$. There is an algorithm that computes for any 3-colorable P_t -free graph G*

- (a) *a coloring of G with at most $\max\{5, 2 \lceil \frac{t-1}{2} \rceil - 2\}$ colors, and a triangle of G , or*
- (b) *a coloring of G with at most $\max\{4, \lceil \frac{t-1}{2} \rceil + 1\}$ colors*

with running time $O((3^{t-2} + t^2)|E(G)| + |V(G)|)$.

Proof of Theorem 10. We use the algorithm from Lemma 3 with $k = t$. By Lemma 4, the running time of this algorithm is $O(t^2|E(G)|)$. Since only outcomes (d) and (e) can occur in this setting, it is sufficient to show that if G is 3-colorable, we can find a 3-coloring of $N[F(S)]$ in time $O(3^{t-2}|E(G)|)$, as follows: For each vertex in the set S , we try each possible color for a total of at most 3^{t-2} possibilities. From the definition of $F(S)$, it follows that this determines the color of every vertex in $F(S)$, and hence for every vertex in $N(F(S))$, there are at most two possible colors. Thus, we reduced our problem to a 2-list-coloring problem, which can be solved in linear time $O(|E(G)| + |N(F(S))|) = O(|E(G)|)$ (since $|N(F(S))| \leq |E(G)|$) by reduction to 2SAT [8, 9, 23]. It follows that, if G is 3-colorable, we can 3-color $N[F(S)]$ in time $O(3^{t-2}|E(G)|)$, and add these three new colors to the coloring from Lemma 3.

The total running time follows from the running time of the algorithm in Lemma 4 in addition to an algorithm determining the connected components of G . \square

By combining Theorem 9 with Lemma 1, we obtain the algorithms for coloring 3-colorable P_t -free graphs with the number of colors shown in Table 1 (if there is a triangle) and Table 2 (if there is no triangle). For t larger than shown

in the table, Theorem 9 uses a smaller number of colors. In both tables, “Best option” shows the number of colors used by the better algorithm (assuming a 3-colorable P_t -free input graph), which is the algorithm from Lemma 1 for small values of t , and the algorithm from Theorem 9 for large values of t .

t	3	4	5	6	7	8	9	10	11	> 11
$\max\{3, 2t - 5\}$	3	3	5	7	9	11	13	15	17	
$\max\{5, 2\lceil \frac{t-1}{2} \rceil - 2\}$	5	5	5	5	5	6	6	8	8	
Best option	3	3	3 ¹	5	5	6	6	8	8	$2\lceil \frac{t-1}{2} \rceil - 2$

Table 1 Number of colors we use for a 3-colorable P_t -free graph if there is a triangle

t	3	4	5	6	7	8	9	10	11	> 11
$\max\{2, t - 2\}$	2	2	3	4	5	6	7	8	9	
$\max\{4, \lceil \frac{t-1}{2} \rceil + 1\}$	4	4	4	4	4	5	5	6	6	
Best option	2	2	3	4	4	5	5	6	6	$\lceil \frac{t-1}{2} \rceil + 1$

Table 2 Number of colors we use for a 3-colorable P_t -free graph if there is no triangle

3 Hardness result

In this section, we show that improving Lemma 1 is hard. More precisely:

Theorem 11 *Let G be a connected graph and $v \in V(G)$ such that there is no induced P_t in G starting at v . Then, deciding k -colorability on this class of graphs is NP-hard if $k \geq 4$ and $t \geq 3$ or if $k = 3$ and $t \geq 5$. It can be solved in polynomial time if $t \leq 2$ or if $k = 3$ and $t \leq 4$.*

Proof For the polynomial time solvability, observe that if $t \leq 2$, then $|V(G)| \leq 1$. If $k = 3, t \leq 4$, then the result follows from Lemma 1.

For the hardness, first consider the case $k \geq 4, t \geq 3$. In this case, we can reduce the 3-coloring problem to this problem by taking any instance G and adding a clique of size $k - 3$ complete to G . Then, no vertex in this clique starts a P_3 , but the resulting graph is k -colorable if and only if G is 3-colorable.

It remains to consider the case $k = 3, t \geq 5$. We show a reduction from the NP-complete problem NAE-3SAT [10]. An instance of NAE-3SAT is a boolean formula with variables x_1, \dots, x_n and clauses C_1, \dots, C_m , where each

¹ If $t = 5$, we can improve the number of colors required if there is a triangle to 3, because it cannot happen that there are two components C, C' of $G - N[v]$ and components D, D' of $G[N(v)]$ such that C has a neighbor in D but not D' , and C' has a neighbor in D' but not D' , because this already yields an induced P_5 . Thus, by induction, all vertices will be in $N[F(S)]$, where we can test for 3-colorability as described in Theorem 9.

clause contains exactly three literals (variables or their negations). It is a YES-instance if and only if there is an assignment of the variables as true or false such that for every clause, not all three literals in the clause are true, and not all three are false.

We construct a graph G as follows: G contains a vertex v , vertices labeled x_i and \bar{x}_i for $1 \leq i \leq n$, and a triangle T_j for each clause C_j . The vertex v is adjacent to all vertices x_i and \bar{x}_i , but not to any of the triangles. For each i , x_i is adjacent to \bar{x}_i . For each clause C_j , we assign each literal a vertex of the triangle T_j , and connect this vertex to the literal (the vertex labeled x_i or \bar{x}_i). There are no other edges in G .

Then, there is no P_5 starting at v in G , because such a path would have to contain exactly one of the vertices labeled x_i and \bar{x}_i , and this would be the second vertex of the path. As there are no edges between the triangles T_j , all remaining vertices of the P_5 would have to be in one triangle T_j . But no triangle can contain a P_3 . Therefore, G is a valid instance.

It remains to show that G is 3-colorable if and only if the instance of NAE-3SAT is a YES-instance. If G has a 3-coloring, then the neighbors of v are 2-colored (say with colors 1 and 2) and x_i never receives the same color as \bar{x}_i . Assign the variables so that literals colored 1 are true, and those colored 2 are false. Then, if there is a clause C_j such that all of its literals are true, this means that each vertex of T_j has a neighbor colored 1, so T_j uses only colors 2 and 3, which is impossible in a valid coloring of a triangle. For the same reason, there cannot be a clause such that all of its literals are false. Thus, G was constructed from a YES-instance.

Conversely, if the instance we started with is a YES-instance, we color v with color 3, true literals with color 1, and false literals with color 2. For each triangle T_j , one of the vertices adjacent to a true literal is colored 2, one of the vertices adjacent to a false literal is colored 1, and the remaining vertex is colored 3. This is a valid 3-coloring of G . \square

4 Conclusion

In this paper we showed how to color a given 3-colorable P_t -free graph with a number of colors that is t , roughly. The running time of our algorithm is of the form $O(f(t) \cdot n^{O(1)})$, when the input graph has n vertices, and thus FPT in the parameter t . (The class FPT contains the fixed parameter tractable problems, which are those that can be solved in time $f(k) \cdot |x|^{O(1)}$ for some computable function f .)

In view of this, it seems to be an intriguing question whether the 3-coloring problem is fixed-parameter tractable when parameterized by the length of the longest induced path. That is, whether there is an algorithm with running time $O(f(t) \cdot n^{O(1)})$ that decides 3-colorability in P_t -free graphs. So far, however, it is not even known whether there is an XP algorithm to decide 3-colorability in P_t -free graphs. (XP is the class of parameterized problems that can be solved in time $O(n^{f(k)})$ for some computable function f .) If such an XP-algorithm

existed, this would show that the problem is in P whenever t is fixed. Therefore, attempting to prove W[1]-hardness seems to be more reasonable than trying to prove that the problem is in FPT.

Another question we addressed is k -coloring connected graphs such that some vertex is not the end vertex of an induced P_t . We showed that coloring in this case is NP-hard whenever $k = 3$ and $t \geq 5$, or $k \geq 4$ and $t \geq 3$. Lemma 1 gives a simple algorithm for an $f(t)$ -approximate coloring for $k = 3$ and any t , and it would be interesting to have a complementing result proving hardness of approximation. On the other hand, any improvement of Lemma 1 would immediately yield an improvement of our main result.

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