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# Complexity of Proper Prefix-Convex Regular Languages ${ }^{\boldsymbol{\omega} /}$ 

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#### Abstract

A language $L$ over an alphabet $\Sigma$ is prefix-convex if, for any words $x, y, z \in \Sigma^{*}$, whenever $x$ and $x y z$ are in $L$, then so is $x y$. Prefix-convex languages include right-ideal, prefix-closed, and prefix-free languages, which were studied elsewhere. Here we concentrate on prefix-convex languages that do not belong to any one of these classes; we call such languages proper. We exhibit most complex proper prefix-convex languages, which meet the bounds for the size of the syntactic semigroup, reversal, complexity of atoms, star, product, and boolean operations.


Keywords: atom, most complex, prefix-convex, proper, quotient complexity, regular language, state complexity, syntactic semigroup

## 1. Introduction

Prefix-Convex Languages We examine the complexity properties of a class of regular languages that has never been studied before: the class of proper prefix-convex languages [7]. Let $\Sigma$ be a finite alphabet; if $w=x y$, for $x, y \in \Sigma^{*}$, then $x$ is a prefix of $w$. A language $L \subseteq \Sigma^{*}$ is prefix-convex $[1,17]$ if whenever $x$ and $x y z$ are in $L$, then so is $x y$. Prefix-convex languages include three special cases:

1. A language $L \subseteq \Sigma$ is a right ideal if it is non-empty and satisfies $L=L \Sigma^{*}$. Right ideals appear in pattern matching [11]: $L \Sigma^{*}$ is the set of all words in some text (word in $\Sigma^{*}$ ) beginning with words in $L$.
2. A language is prefix-closed [6] if whenever $w$ is in $L$, then so is every prefix of $w$. The set of allowed sequences to any system is prefix-closed. Every prefix-closed language other than $\Sigma^{*}$ is the complement of a right ideal [1].

[^0]3. A language is prefix-free if $w \in L$ implies that no prefix of $w$ other than $w$ is in $L$. Prefix-free languages other than $\{\varepsilon\}$, where $\varepsilon$ is the empty word, are prefix codes and are of considerable importance in coding theory [2].

The complexities of these three special prefix-convex languages were studied in $[8]$. We now turn to the "real" prefix-convex languages that do not belong to any of the three special classes.
Complexities of Operations If $L \subseteq \Sigma^{*}$ is a language, the (left) quotient of $L$ by a word $w \in \Sigma^{*}$ is $w^{-1} L=\{x \mid w x \in L\}$. A language is regular if and only if it has a finite number of distinct quotients. So the number of quotients of $L$, the quotient complexity [3] $\kappa(L)$ of $L$, is a natural measure of complexity for $L$. An equivalent concept is the state complexity $[12,16,18,19]$ of $L$, which is the number of states in a complete minimal deterministic finite automaton (DFA) over $\Sigma$ recognizing $L$. We refer to quotient/state complexity simply as complexity.

If $L_{n}$ is a regular language of complexity $n$, and $\circ$ is a unary operation, the complexity of $\circ$ is the maximal value of $\kappa\left(L_{n}^{\circ}\right)$, expressed as a function of $n$, as $L_{n}$ ranges over all languages of complexity $\leqslant n$. If $L_{m}^{\prime}$ and $L_{n}$ are regular languages ${ }^{1}$ of complexities $m$ and $n$ respectively, and $\circ$ is a binary operation, the complexity of $\circ$ is the maximal value of $k\left(L_{m}^{\prime} \circ L_{n}\right)$, expressed as a function of $m$ and $n$, as $L_{m}^{\prime}$ and $L_{n}$ range over all languages of complexities $\leqslant m$ and $\leqslant n$. The complexity of an operation is a lower bound on its time and space complexities. The operations reversal, (Kleene) star, product (concatenation), and binary boolean operations are considered "common", and their complexities are known; see [4, 12, 18, 19].
Witnesses To find the complexity of a unary operation we find an upper bound on this complexity, and languages that meet this bound. We require a language $L_{n}$ for each $n$, that is, a sequence, $\left(L_{k}, L_{k+1}, \ldots\right)$, called a stream of languages. A stream begins at $k$, a small integer, because the bound may not hold for small values of $n$. For a binary operation we need two streams. The same stream cannot always be used for both operands, but for all common binary operations the second stream can be a "dialect" of the first, that is it can "differ only slightly" from the first [4]. Let $\Sigma=\left\{a_{1}, \ldots, a_{k}\right\}$ be an alphabet ordered as shown; if $L \subseteq \Sigma^{*}$, we denote it by $L\left(a_{1}, \ldots, a_{k}\right)$. A dialect of $L$ is obtained by deleting letters of $\Sigma$ in the words of $L$, or replacing them by letters of another alphabet $\Sigma^{\prime}$. More precisely, for an injective partial map $\pi: \Sigma \mapsto \Sigma^{\prime}$, we get a dialect of $L$ by replacing each letter $a \in \Sigma$ by $\pi(a)$ in every word of $L$, or deleting the word if $\pi(a)$ is undefined. We write $L\left(\pi\left(a_{1}\right), \ldots, \pi\left(a_{k}\right)\right)$ to denote the dialect of $L\left(a_{1}, \ldots, a_{k}\right)$ given by $\pi$, and we denote undefined values of $\pi$ by "-". Undefined values for letters at the end of the alphabet are omitted; for example, $L(a, c,-,-)$ is written as $L(a, c)$. Our definition of dialect is more general than that of [5], where only the case $\Sigma^{\prime}=\Sigma$ was allowed.

[^1]Finite Automata A deterministic finite automaton ( $D F A$ ) is a quintuple $\mathcal{D}=$ $\left(Q, \Sigma, \delta, q_{0}, F\right)$, where $Q$ is a finite non-empty set of states, $\Sigma$ is a finite nonempty alphabet, $\delta: Q \times \Sigma \rightarrow Q$ is the transition function, $q_{0} \in Q$ is the initial state, and $F \subseteq Q$ is the set of final states. We extend $\delta$ to a function $\delta: Q \times \Sigma^{*} \rightarrow$ $Q$ as usual. A DFA $\mathcal{D}$ accepts a word $w \in \Sigma^{*}$ if $\delta\left(q_{0}, w\right) \in F$. The set of all words accepted by $\mathcal{D}$ is the language $L(\mathcal{D})$ of $\mathcal{D}$. If $q \in Q$, then the language $L_{q}(D)$ of $q$ is the language accepted by the DFA $(Q, \Sigma, \delta, q, F)$. A state is empty or dead or a sink if its language is empty. Two states $p$ and $q$ of $\mathcal{D}$ are equivalent if $L_{p}(\mathcal{D})=L_{q}(\mathcal{D})$. A state $q$ is reachable if there exists $w \in \Sigma^{*}$ such that $\delta\left(q_{0}, w\right)=q$. A DFA is minimal if all of its states are reachable and no two states are equivalent. A nondeterministic finite automaton (NFA) is a quintuple $\mathcal{D}=(Q, \Sigma, \delta, I, F)$, where $Q, \Sigma$, and $F$ are defined as in a DFA, $\delta: Q \times \Sigma \rightarrow 2^{Q}$ is the transition function, and $I \subseteq Q$ is the set of initial states. An $\varepsilon-N F A$ is an NFA in which transitions under the empty word $\varepsilon$ are also permitted.
Transformations We use $Q_{n}=\{0, \ldots, n-1\}$ as the set of states of every DFA with $n$ states. A transformation of $Q_{n}$ is a mapping $t: Q_{n} \rightarrow Q_{n}$. The image of $q \in Q_{n}$ under $t$ is $q t$. In any DFA, each letter $a \in \Sigma$ induces a transformation $\delta_{a}$ of the set $Q_{n}$ defined by $q \delta_{a}=\delta(q, a)$; we denote this by $a: \delta_{a}$. Often we use the letter $a$ to denote the transformation it induces; thus we write qa instead of $q \delta_{a}$. We extend the notation to sets: if $P \subseteq Q_{n}$, then $P a=\{p a \mid p \in P\}$. We also write $P \xrightarrow{a} P a$ to indicate that the image of $P$ under $a$ is $P a$. If $s, t$ are transformations of $Q_{n}$, their composition is $(q s) t$.

For $k \geqslant 2$, a transformation (permutation) $t$ of a set $P=\left\{q_{0}, q_{1}, \ldots, q_{k-1}\right\} \subseteq$ $Q_{n}$ is a $k$-cycle if $q_{0} t=q_{1}, q_{1} t=q_{2}, \ldots, q_{k-2} t=q_{k-1}, q_{k-1} t=q_{0}$. As a transformation of $Q_{n}$, this $k$-cycle is denoted by $\left(q_{0}, q_{1}, \ldots, q_{k-1}\right)$, and leaves the states in $Q_{n} \backslash P$ unchanged. A 2-cycle $\left(q_{0}, q_{1}\right)$ is called a transposition. A transformation that sends all the states of $P$ to $q$ and acts as the identity on the other states is denoted by $(P \rightarrow q)$, and $\left(Q_{n} \rightarrow p\right)$ is called a constant transformation. If $P=\{p\}$ we write $(p \rightarrow q)$ for $(\{p\} \rightarrow q)$. The identity transformation is denoted by $\mathbb{1}$. Also, $\left({ }_{i}^{j} q \rightarrow q+1\right)$ is a transformation that sends $q$ to $q+1$ for $i \leqslant q \leqslant j$ and is the identity for the remaining states; $\left({ }_{i}^{j} q \rightarrow q-1\right)$ is defined similarly.
Semigroups The syntactic congruence of $L \subseteq \Sigma^{*}$ is defined on $\Sigma^{+}$: For $x, y \in$ $\Sigma^{+}, x \approx_{L} y$ if and only if $w x z \in L \Leftrightarrow w y z \in L$ for all $w, z \in \Sigma^{*}$. The quotient set $\Sigma^{+} / \approx_{L}$ of equivalence classes of $\approx_{L}$ is the syntactic semigroup of $L$. Let $\mathcal{D}_{n}=\left(Q_{n}, \Sigma, \delta, q_{0}, F\right)$ be a DFA, and let $L_{n}=L\left(\mathcal{D}_{n}\right)$. For each word $w \in \Sigma^{*}$, the transition function induces a transformation $\delta_{w}$ of $Q_{n}$ by $w$ : for all $q \in Q_{n}$, $q \delta_{w}=\delta(q, w)$. The set $T_{\mathcal{D}_{n}}$ of all such transformations by non-empty words is a semigroup under composition called the transition semigroup of $\mathcal{D}_{n}$. If $\mathcal{D}_{n}$ is a minimal DFA of $L_{n}$, then $T_{\mathcal{D}_{n}}$ is isomorphic to the syntactic semigroup $T_{L_{n}}$ of $L_{n}$, and we represent elements of $T_{L_{n}}$ by transformations in $T_{\mathcal{D}_{n}}$. The size of the syntactic semigroup has been used as a measure of complexity for regular languages $[4,10,13,15]$.
Atoms are defined by a left congruence, where two words $x$ and $y$ are equivalent if $u x \in L$ if and only if $u y \in L$ for all $u \in \Sigma^{*}$. Thus $x$ and $y$ are equivalent if
$x \in u^{-1} L$ if and only if $y \in u^{-1} L$. An equivalence class of this relation is an atom of $L$ [9, 14].

One can conclude that an atom is a non-empty intersection of complemented and uncomplemented quotients of $L$. That is, every atom of a language with quotients $K_{0}, K_{1}, \ldots, K_{n-1}$ can be written as $A_{S}=\bigcap_{i \in S} K_{i} \cap \bigcap_{i \in \bar{S}} \overline{K_{i}}$ for some set $S \subseteq Q_{n}$. The number of atoms and their complexities were suggested as possible measures of complexity [4], because all the quotients of a language and the quotients of its atoms are unions of atoms [9].
Most Complex Regular Stream The stream ( $\mathcal{D}_{n}(a, b, c) \mid n \geqslant 3$ ) of Definition 1 and Figure 1 will be used as a component in the class of proper prefixconvex languages. This stream together with some dialects meets the complexity bounds for reversal, star, product, and all binary boolean operations [7, 8]. Moreover, it has the maximal syntactic semigroup and most complex atoms, making it a most complex regular stream.

Definition 1. For $n \geqslant 3$, let $\mathcal{D}_{n}=\mathcal{D}_{n}(a, b, c)=\left(Q_{n}, \Sigma, \delta_{n}, 0,\{n-1\}\right)$, where $\Sigma=\{a, b, c\}$, and $\delta_{n}$ is defined by $a:(0, \ldots, n-1), b:(0,1), c:(1 \rightarrow 0)$.


Figure 1: Minimal DFA of a most complex regular language.
Most complex streams are useful in systems dealing with regular languages and finite automata. To know the maximal sizes of automata that can be handled by a system it suffices to use the most complex stream to test all the operations.

## 2. Proper Prefix-Convex Languages

We begin with some properties of prefix-convex languages that will be used frequently in this section. The following lemma and propositions characterize the classes of prefix-convex languages in terms of their minimal DFAs.
Lemma 1. Let $L$ be a prefix-convex language over $\Sigma$. Either $L$ is a right ideal or $L$ has an empty quotient.
Proof. Suppose that $L$ is not a right ideal. If $L=\emptyset$, then $\varepsilon^{-1} L=L$ is an empty quotient of $L$. If $L \neq \emptyset$, we cannot have $w^{-1} L=\Sigma^{*}$ for all $w \in L$, because then $L$ would be a right ideal. Hence there exists some $w \in L$ such that $w^{-1} L \neq \Sigma^{*}$. Pick any $x \in \Sigma^{*} \backslash w^{-1} L$; then $w \in L$, but $w x \notin L$. There cannot be a word $y \in \Sigma^{*}$ such that $w x y \in L$ because then $w x$ would be in $L$ by prefix convexity. Therefore, $(w x)^{-1} L$ is an empty quotient.

Proposition 2. Let $L_{n}$ be a regular language of complexity $n$, and let $\mathcal{D}_{n}=$ $\left(Q_{n}, \Sigma, \delta, 0, F\right)$ be a minimal DFA recognizing $L_{n}$. The following are equivalent:

1. $L_{n}$ is prefix-convex.
2. For all $p, q, r \in Q_{n}$, if $p$ and $r$ are final, $q$ is reachable from $p$, and $r$ is reachable from $q$, then $q$ is final.
3. Every state reachable in $\mathcal{D}_{n}$ from any final state is either final or empty.

Proof. $(\mathbf{1} \Longrightarrow \mathbf{2})$ Assume $\mathbf{1}$ is true. Suppose there exist $p, r \in F$ and $q \in Q_{n}$ such that $q$ is reachable from $p$ and $r$ is reachable from $q$. Let $w, x, y \in \Sigma^{*}$ be such that $0 \xrightarrow{w} p, p \xrightarrow{x} q$, and $q \xrightarrow{y} r$. It follows that $w$ and $w x y$ are both in $L_{n}$, and thus $w x$ is in $L_{n}$ by prefix convexity. Since $\delta(0, w x)=q$, state $q$ is final. $(2 \Longrightarrow 3)$ Assume 2 is true. Take any $p \in F, q \in Q_{n}$, and $x \in \Sigma^{*}$ such that $\delta(p, x)=q$. If a final state $r$ is reachable from $q$, then $q$ is final by $\mathbf{2}$. Otherwise, $q$ is the empty state.
$(\mathbf{3} \Longrightarrow \mathbf{1})$ Assume $\mathbf{3}$ is true. Let $w, x$, and $y$ be words in $\Sigma^{*}$ such that $w \in L_{n}$ and $w x y \in L_{n}$. There are states $p, q$, and $r$ in $Q_{n}$ such that $\delta(0, w)=p \in F$, $\delta(0, w x)=q$, and $\delta(0, w x y)=r \in F$. State $q$ cannot be empty because the final state $r$ is reachable from $q$. Since $q$ is reachable from final state $p$, it follows from 3 that $q$ is final. Thus, $w x \in L_{n}$. Therefore $L_{n}$ is prefix-convex.

Proposition 3. Let $L_{n}$ be a non-empty prefix-convex language of complexity $n$, and let $\mathcal{D}_{n}=\left(Q_{n}, \Sigma, \delta, 0, F\right)$ be a minimal DFA recognizing $L_{n}$.

1. $L_{n}$ is prefix-closed if and only if $0 \in F$.
2. $L_{n}$ is prefix-free if and only if $\mathcal{D}_{n}$ has a unique final state $p$ and an empty state $p^{\prime}$ such that $\delta(p, a)=p^{\prime}$ for all $a \in \Sigma$.
3. $L_{n}$ is a right ideal if and only if $\mathcal{D}_{n}$ has a unique final state $p$ and $\delta(p, a)=$ $p$ for all $a \in \Sigma$.

Proof. 1. If $L_{n}$ is prefix-closed and non-empty, then $\varepsilon$ is a prefix of some word in $L_{n}$. Thus $\varepsilon \in L_{n}$, and so $0 \in F$. Conversely, suppose $0 \in F$. For any $w x \in L_{n}$, there are states $q, r \in Q_{n}$ such that $0 \xrightarrow{w} q \xrightarrow{x} r$, and $r$ is final. By Proposition 2, since $0, r \in F, q$ is reachable from 0 , and $r$ is reachable from $q$, we have $q \in F$. Hence $w \in L_{n}$, and therefore $L_{n}$ is prefix-closed.
2. Suppose $L_{n}$ is prefix-free. If $q \in Q_{n}$ and $p \in F$ are distinct and $q$ is reachable from $p$, then $q$ cannot be final as that would imply $p \notin F$. In particular, for any $p \in F$ and $a \in \Sigma, \delta(p, a) \notin F$. By Proposition $2, \delta(p, a)$ must be the empty state for all $a \in \Sigma$. Thus, the transitions from all final states are identical, and hence all final states are equivalent. By minimality, $\mathcal{D}_{n}$ has a unique final state $p$, an empty state $p^{\prime}$, and $\delta(p, a)=p^{\prime}$ for all $a \in \Sigma$.

For the converse, suppose $F=\{p\}, p^{\prime} \in Q_{n}$ is an empty state, and $\delta(p, a)=p^{\prime}$ for all $a \in \Sigma$. Then $w \in L_{n}$ if and only if $\delta(0, w)=p$. For all $w \in L_{n}$ and $a \in \Sigma$, we have $\delta(0, w a)=p^{\prime}$. Thus, whenever $w \in L_{n}$ and $w x \in L_{n}$, we have $x=\varepsilon$. Therefore, $L_{n}$ is prefix-free.
3. Suppose $L_{n}$ is a right ideal. For all $w \in L_{n}$ we have $L_{n} \supseteq w \Sigma^{*}$, and hence $w^{-1} L_{n} \supseteq \Sigma^{*}$, meaning that $w^{-1} L_{n}=\Sigma^{*}$. Hence, for any final state $q \in F$ and $x \in \Sigma^{*}, \delta(q, x) \in F$. This implies that all final states are equivalent. By minimality, there is a unique final state $p$. Since $\delta(p, a) \in F$ for all $a \in \Sigma$, it follows that $\delta(p, a)=p$ for all $a \in \Sigma$. For the converse, suppose $F=\{p\}$ and $\delta(p, a)=p$ for all $a \in \Sigma$. Then $w \in L_{n}$ if and only if $\delta(0, w)=p$. Hence, for all $w \in L_{n}$ and $x \in \Sigma^{*}$, we have $\delta(0, w x)=p$. Thus, $w \Sigma^{*} \subseteq L_{n}$ for all $w \in L_{n}$, and so $L_{n}=L_{n} \Sigma^{*}$. Therefore, $L_{n}$ is a right ideal.

A prefix-convex language $L$ is proper if it is not a right ideal and it is neither prefix-closed nor prefix-free. We say it is $k$-proper if it has $k$ final states, $1 \leqslant$ $k \leqslant n-2$. Every minimal DFA for a $k$-proper language with complexity $n$ has the same general structure: there are $n-1-k$ non-final, non-empty states, $k$ final states, and one empty state. Every letter fixes the empty state and, by Proposition 2, no letter sends a final state to a non-final, non-empty state.

Next we define a stream of $k$-proper DFAs and languages, which we will show to be most complex.
Definition 2. For $n \geqslant 3,1 \leqslant k \leqslant n-2$, let $\mathcal{D}_{n, k}(\Sigma)=\left(Q_{n}, \Sigma, \delta_{n, k}, 0, F_{n, k}\right)$ where $\Sigma=\left\{a, b, c_{1}, c_{2}, d_{1}, d_{2}, e\right\}, F_{n, k}=\{n-1-k, \ldots, n-2\}$, and $\delta_{n, k}$ is given by the transformations

$$
\begin{aligned}
& a: \begin{cases}(1, \ldots, n-2-k)(n-1-k, n-k), & \text { if } n-1-k \text { is even and } k \geqslant 2 ; \\
(0, \ldots, n-2-k)(n-1-k, n-k), & \text { if } n-1-k \text { is odd and } k \geqslant 2 ; \\
(1, \ldots, n-2-k), & \text { if } n-1-k \text { is even and } k=1 ; \\
(0, \ldots, n-2-k), & \text { if } n-1-k \text { is odd and } k=1 .\end{cases} \\
& b: \begin{cases}(n-k, \ldots, n-2)(0,1), & \text { if } k \text { is even and } n-1-k \geqslant 2 ; \\
(n-1-k, \ldots, n-2)(0,1), & \text { if } k \text { is odd and } n-1-k \geqslant 2 ; \\
(n-k, \ldots, n-2), & \text { if } k \text { is even and } n-1-k=1 ; \\
(n-1-k, \ldots, n-2), & \text { if } k \text { is odd and } n-1-k=1 .\end{cases} \\
& c_{1}: \begin{cases}(1 \rightarrow 0), & \text { if } n-1-k \geqslant 2 ; \\
1, & \text { if } n-1-k=1 .\end{cases} \\
& c_{2}:\left\{\begin{aligned}
(n-k \rightarrow n-1-k), & \text { if } k \geqslant 2 ; \\
\mathbb{1}, & \text { if } k=1 .
\end{aligned}\right. \\
& \left.d_{1}:(n-2-k \rightarrow n-1)\binom{n-3-k}{0} q+1\right) . \\
& d_{2}:\left(\begin{array}{l}
n-2-1-k \rightarrow q+1) .
\end{array}\right. \\
& e:(0 \rightarrow n-1-k) .
\end{aligned}
$$

Also, let $E_{n, k}=\{0, \ldots, n-2-k\}$; it is useful to partition $Q_{n}$ into $E_{n, k}, F_{n, k}$, and $\{n-1\}$. Letters $a$ and $b$ have complementary behaviours on $E_{n, k}$ and $F_{n, k}$, depending on the parities of $n$ and $k$. Letters $c_{1}$ and $d_{1}$ act on $E_{n, k}$ in exactly the same way as $c_{2}$ and $d_{2}$ act on $F_{n, k}$. In addition, $d_{1}$ and $d_{2}$ send states $n-2-k$ and $n-2$, respectively, to state $n-1$, and letter $e$ connects the two parts of the DFA. The structure of $\mathcal{D}_{n}(\Sigma)$ is shown in Figures 2 and 3 for certain parities of $n-1-k$ and $k$. Let $L_{n, k}(\Sigma)$ be the language recognized by $\mathcal{D}_{n, k}(\Sigma)$.


Figure 2: DFA $\mathcal{D}_{n, k}\left(a, b, c_{1}, c_{2}, d_{1}, d_{2}, e\right)$ of Definition 2 when $n-1-k$ is odd, $k$ is even, and both are at least 2 ; missing transitions are self-loops.


Figure 3: DFA $\mathcal{D}_{n, k}\left(a, b, c_{1}, c_{2}, d_{1}, d_{2}, e\right)$ of Definition 2 when $n-1-k$ is even, $k$ is odd, and both are at least 2 ; missing transitions are self-loops.

Theorem 4 (Proper Prefix-Convex Languages). For $n \geqslant 3$ and $1 \leqslant k \leqslant$ $n-2$, the DFA $\mathcal{D}_{n, k}(\Sigma)$ of Definition 2 is minimal and $L_{n, k}(\Sigma)$ is a $k$-proper language of complexity $n$. The bounds below are maximal for $k$-proper prefixconvex languages. At least seven letters are required to meet these bounds.

1. The syntactic semigroup of $L_{n, k}(\Sigma)$ has cardinality $n^{n-1-k}(k+1)^{k}$; the maximal value $n(n-1)^{n-2}$ is reached only when $k=n-2$.
2. The non-empty, non-final quotients of $L_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ have complexity $n$, the final quotients have complexity $k+1$, and $\emptyset$ has complexity 1.
3. The reverse of $L_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ has complexity $2^{n-1}$; moreover, the language $L_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ has $2^{n-1}$ atoms for all $k$.
4. For each atom $A_{S}$ of $L_{n, k}(\Sigma)$, write $S=X_{1} \cup X_{2}$, where $X_{1} \subseteq E_{n, k}$ and $X_{2} \subseteq F_{n, k}$. Let $\overline{X_{1}}=E_{n, k} \backslash X_{1}$ and $\overline{X_{2}}=F_{n, k} \backslash X_{2}$. If $X_{2} \neq \emptyset$, then $\kappa\left(A_{S}\right)=$

$$
1+\sum_{x_{1}=0}^{\left|X_{1}\right|} \sum_{x_{2}=1}^{\left|X_{1}\right|+\left|X_{2}\right|-x_{1}} \sum_{y_{1}=0}^{\left|\overline{X_{1}}\right|} \sum_{y_{2}=0}^{\left|\overline{X_{1}}\right|+\left|\overline{X_{2}}\right|-y_{1}}\binom{n-1-k}{x_{1}}\binom{k}{x_{2}}\binom{n-1-k-x_{1}}{y_{1}}\binom{k-x_{2}}{y_{2}} .
$$

If $X_{1} \neq \emptyset$ and $X_{2}=\emptyset$, then $\kappa\left(A_{S}\right)=$
$1+\sum_{x_{1}=0}^{\left|X_{1}\right|} \sum_{x_{2}=0}^{\left|X_{1}\right|-x_{1}} \sum_{y_{1}=0}^{\left|\overline{X_{1}}\right|} \sum_{y_{2}=0}^{k}\binom{n-1-k}{x_{1}}\binom{k}{x_{2}}\binom{n-1-k-x_{1}}{y_{1}}\binom{k-x_{2}}{y_{2}}-2^{k} \sum_{y=0}^{\left|\overline{X_{1}}\right|}\binom{n-1-k}{y}$.
Otherwise, $S=\emptyset$ and $\kappa\left(A_{S}\right)=2^{n-1}$.
5. The star of $L_{n, k}\left(a, b,-,-, d_{1}, d_{2}, e\right)$ has complexity $2^{n-2}+2^{n-2-k}+1$. The maximal value $2^{n-2}+2^{n-3}+1$ is reached only when $k=1$.
6. $L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right) L_{n, k}\left(a, d_{2}, c_{1},-, d_{1}, b, e\right)$ has complexity $m-1-$ $j+j 2^{n-2}+2^{n-1}$. The maximal value $m 2^{n-2}+1$ is reached only when $j=m-2$.
7. For $m, n \geqslant 3,1 \leqslant j \leqslant m-2$, and $1 \leqslant k \leqslant n-2$, define the languages $L_{m, j}^{\prime}=L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and $L_{n, k}=L_{n, k}\left(a, b, e,-, d_{2}, d_{1}, c_{1}\right)$. For any proper binary boolean function $\circ$, the complexity of $L_{m, j}^{\prime} \circ L_{n, k}$ is maximal. In particular,
(a) $L_{m, j}^{\prime} \cup L_{n, k}$ and $L_{m, j}^{\prime} \oplus L_{n, k}$ have complexity $m n$.
(b) $L_{m, j}^{\prime} \backslash L_{n, k}$ has complexity $m n-(n-1)$.
(c) $L_{m, j}^{\prime} \cap L_{n, k}$ has complexity $m n-(m+n-2)$.

Proof. The remainder of this paper is the proof of this theorem. The longer parts of the proof are separated into individual propositions and lemmas.

DFA $\mathcal{D}_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ is easily seen to be minimal. Language $L_{n, k}(\Sigma)$ is $k$-proper by Propositions 2 and 3 .

1. See Lemma 5 and Proposition 6.
2. If the initial state of $\mathcal{D}_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ is changed to $q \in E_{n, k}$, the new DFA accepts a quotient of $L_{n, k}$ and is still minimal; hence the complexity of that quotient is $n$. If the initial state is changed to $q \in F_{n, k}$ then states in $E_{n, k}$ are unreachable, but the DFA on $\{n-1-k, \ldots, n-1\}$ is minimal; hence the complexity of that quotient is $k+1$. The remaining quotient is empty, and hence has complexity 1. By Proposition 2, these are maximal.
3. See Proposition 7 for the reverse. It was shown in [9] that the number of atoms is equal to the complexity of the reverse.
4. See Proposition 8.
5. See Proposition 9.
6. See Proposition 10.
7. By [3, Theorem 2], all boolean operations on regular languages have the upper bound $m n$, which gives the bound for (a). The bounds for (b) and (c) follow from [3, Theorem 5]. Proposition 11 proves that all these bounds are tight for $L_{m, j}^{\prime} \circ L_{n, k}$.
Lemma 5. Let $n \geqslant 1$ and $1 \leqslant k \leqslant n-2$. For any permutation $t$ of $Q_{n}$ such that $E_{n, k} t=E_{n, k}, F_{n, k} t=F_{n, k}$, and $(n-1) t=n-1$, there is a word $w \in\{a, b\}^{*}$ that induces $t$ on $\mathcal{D}_{n, k}$.

Proof. Only $a$ and $b$ induce permutations of $Q_{n}$; every other letter induces a properly injective map. Furthermore, $a$ and $b$ permute $E_{n, k}$ and $F_{n, k}$ separately, and both fix $n-1$. Hence every $w \in\{a, b\}^{*}$ induces a permutation on $Q_{n}$ such that $E_{n, k} w=E_{n, k}, F_{n, k} w=F_{n, k}$, and $(n-1) w=n-1$. Each such permutation naturally corresponds to an element of $S_{n-1-k} \times S_{k}$, where $S_{m}$ denotes the symmetric group on $m$ elements. To be consistent with the DFA, assume $S_{n-1-k}$ contains permutations of $\{0, \ldots, n-2-k\}$ and $S_{k}$ contains permutations of $\{n-1-k, \ldots, n-2\}$. Let $s_{a}$ and $s_{b}$ denote the group elements corresponding to the transformations induced by $a$ and $b$ respectively. We show that $s_{a}$ and $s_{b}$ generate $S_{n-1-k} \times S_{k}$.

It is well known that $(0, \ldots, m-1)$, and $(0,1)$ generate the symmetric group on $\{0, \ldots, m-1\}$ for any $m \geq 2$. Note that $(1, \ldots, m-1)$ and $(0,1)$ are also generators, since $(0,1)(1, \ldots, m-1)=(0, \ldots, m-1)$.

If $n-1-k=1$ and $k=1$, then $S_{n-1-k} \times S_{k}$ is the trivial group. If $n-1-k=1$ and $k \geqslant 2$, then $s_{a}=(\mathbb{1},(n-1-k, n-k))$ and $s_{b}$ is either $(\mathbb{1},(n-1-k, \ldots, n-2))$ or $(\mathbb{1},(n-k, \ldots, n-2))$, and either pair generates the group. There is a similar argument when $k=1$.

Assume now $n-1-k \geqslant 2$ and $k \geqslant 2$. If $n-1-k$ is odd then $s_{a}=$ $((0, \ldots, n-2-k),(n-1-k, n-k))$, and hence $s_{a}^{n-1-k}=((0, \ldots, n-2-$ $\left.k)^{n-1-k},(n-1-k, n-k)^{n-1-k}\right)=(\mathbb{1},(n-1-k, n-k))$. Similarly if $n-1-k$ is even then $s_{a}=((1, \ldots, n-2-k),(n-1-k, n-k))$, and hence $s_{a}^{n-2-k}=$ $(\mathbb{1},(n-1-k, n-k))$. Therefore $(\mathbb{1},(n-1-k, n-k))$ is always generated by $s_{a}$. By symmetry, $((0,1), \mathbb{1})$ is always generated by $s_{b}$ regardless of the parity of $k$.

Since we can isolate the transposition component of $s_{a}$, we can isolate the other component as well: $(\mathbb{1},(n-1-k, n-k)) s_{a}$ is either $((0, \ldots, n-2-k), \mathbb{1})$ or $((1, \ldots, n-2-k), \mathbb{1})$. Paired with $((0,1), \mathbb{1})$, either element is sufficient to generate $S_{n-1-k} \times\{\mathbb{1}\}$. Similarly, $s_{a}$ and $s_{b}$ generate $\{\mathbb{1}\} \times S_{k}$. Therefore $s_{a}$ and $s_{b}$ generate $S_{n-1-k} \times S_{k}$. It follows that $a$ and $b$ generate all permutations $t$ of $Q_{n}$ such that $E_{n, k} t=E_{n, k}, F_{n, k} t=F_{n, k}$, and $(n-1) t=n-1$.

Proposition 6 (Syntactic Semigroup). The syntactic semigroup of $L_{n, k}(\Sigma)$ has cardinality $n^{n-1-k}(k+1)^{k}$, which is maximal for a $k$-proper language. Furthermore, seven letters are required to meet this bound. The maximum value $n(n-1)^{n-2}$ is reached only when $k=n-2$.

Proof. Let $L$ be a $k$-proper language of complexity $n$ and let $\mathcal{D}$ be a minimal DFA recognizing $L$. By Lemma 1, $\mathcal{D}$ has an empty state. By Proposition 2, the only states that can be reached from one of the $k$ final states are either final or empty. Thus, a transformation in the transition semigroup of $\mathcal{D}$ may map each final state to one of $k+1$ possible states, while each non-final, non-empty state may be mapped to any of the $n$ states. Since the empty state can only be mapped to itself, we are left with $n^{n-1-k}(k+1)^{k}$ possible transformations in the transition semigroup. Therefore the syntactic semigroup of any $k$-proper language has size at most $n^{n-1-k}(k+1)^{k}$.

Now consider the transition semigroup of $\mathcal{D}_{n, k}(\Sigma)$. Every transformation $t$ in the semigroup must satisfy $F_{n, k} t \subseteq F_{n, k} \cup\{n-1\}$ and $(n-1) t=n-1$, since any other transformation would violate prefix-convexity. We show that the semigroup contains every such transformation, and hence the syntactic semigroup of $L_{n, k}(\Sigma)$ is maximal.

First, consider the transformations $t$ such that $E_{n, k} t \subseteq E_{n, k} \cup\{n-1\}$ and $q t=q$ for all $q \in F_{n, k} \cup\{n-1\}$. By Lemma $5, a$ and $b$ generate every permutation of $E_{n, k}$. When $t$ is not a permutation, we can use $c_{1}$ to combine any states $p$ and $q$ : apply a permutation on $E_{n, k}$ so that $p \rightarrow 0$ and $q \rightarrow 1$, and then apply $c_{1}$ so that $1 \rightarrow 0$. Repeat this method to combine any set of states, and further apply permutations to induce the desired transformation while leaving the states of $F_{n, k} \cup\{n-1\}$ in place. The same idea applies with $d_{1}$; apply permutations and $d_{1}$ to send any states of $E_{n, k}$ to $n-1$. Hence $a, b, c_{1}$, and $d_{1}$ generate every transformation $t$ such that $E_{n, k} t \subseteq E_{n, k} \cup\{n-1\}$ and $q t=q$ for all $q \in F_{n, k} \cup\{n-1\}$.

We can make the same argument for transformations that act only on $F_{n, k}$ and fix every other state. Since $c_{2}$ and $d_{2}$ act on $F_{n, k}$ exactly as $c_{1}$ and $d_{1}$ act on $E_{n, k}$, the letters $a, b, c_{2}$, and $d_{2}$ generate every transformation $t$ such that $F_{n, k} t \subseteq F_{n, k} \cup\{n-1\}$ and $q t=q$ for all $q \in E_{n, k} \cup\{n-1\}$. It follows that $a, b, c_{1}$, $c_{2}, d_{1}$, and $d_{2}$ generate every transformation $t$ such that $E_{n, k} t \subseteq E_{n, k} \cup\{n-1\}$, $F_{n, k} t \subseteq F_{n, k} \cup\{n-1\}$, and $(n-1) t=n-1$.

Note the similarity between this DFA restricted to the states $E_{n, k} \cup\{n-1\}$ (or $F_{n, k} \cup\{n-1\}$ ) and the witness for right ideals introduced in [7]. The argument for the size of the syntactic semigroup of right ideals is similar to this; see [10].

Finally, consider an arbitrary transformation $t$ such that $F_{n, k} t \subseteq F_{n, k} \cup$ $\{n-1\}$ and $(n-1) t=n-1$. Let $j_{t}$ be the number of states $p \in E_{n, k}$ such that $p t \in F_{n, k}$. We show by induction on $j_{t}$ that $t$ is in the transition semigroup of $\mathcal{D}$. If $j_{t}=0$, then $t$ is generated by $\Sigma \backslash\{e\}$. If $j_{t} \geqslant 1$, there exist $p, q \in E_{n, k}$ such that $p t \in F_{n, k}$ and $q$ is not in the image of $t$. Consider the transformations $s_{1}$ and $s_{2}$ defined by $q s_{1}=p t$ and $r s_{1}=r$ for $r \neq q$, and $p s_{2}=q$ and $r s_{2}=r t$ for $r \neq p$. Then $\left(r s_{2}\right) s_{1}=r t$ for all $r \in Q_{n}$. Notice that
$j_{s_{2}}=j_{t}-1$, and hence $\Sigma$ generates $s_{2}$ by inductive assumption. One can verify that $s_{1}=(n-1-k, p t)(0, q)(0 \rightarrow n-1-k)(0, q)(n-1-k, p t)$. From this expression, we see that $s_{1}$ is the composition of transpositions induced by words in $\{a, b\}^{*}$ and the transformation $(0 \rightarrow n-1-k)$ induced by $e$, and hence $s_{1}$ is generated by $\Sigma$. Thus, $t$ is in the transition semigroup. By induction on $j_{t}$, it follows that the syntactic semigroup of $L_{n, k}$ is maximal.

Now we show that seven letters are required to meet this bound. Two letters (like $a$ and $b$ ) are required to generate the permutations, since clearly one letter is not sufficient. Every other letter will induce a properly injective map. A letter (like $c_{1}$ ) that induces a properly injective map on $E_{n, k}$ and permutes $F_{n, k}$ is required. Similarly, a letter (like $c_{2}$ ) that permutes $E_{n, k}$ and induces a properly injective map on $F_{n, k}$ is required. A letter (like $d_{1}$ ) that sends a state in $E_{n, k}$ to $n-1$ and permutes $F_{n, k}$ is required. Similarly, a letter (like $d_{2}$ ) that sends a state in $F_{n, k}$ to $n-1$ and permutes $E_{n, k}$ is required. Finally, a letter (like $e$ ) that connects $E_{n, k}$ and $F_{n, k}$ is required.

For a fixed $n$, we may want to know which $k \in\{1, \ldots, n-2\}$ maximizes $s_{n}(k)=n^{n-1-k}(k+1)^{k}$; this corresponds to the largest syntactic semigroup of a proper prefix-convex language with $n$ quotients. We show that $s_{n}(k)$ is largest at $k=n-2$. Consider the ratio $\frac{s_{n}(k+1)}{s_{n}(k)}=\frac{(k+2)^{k+1}}{n(k+1)^{k}}$. Notice this ratio is increasing with $k$, and hence $s_{n}$ is a convex function on $\{1, \ldots, n-2\}$. It follows that the maximum value of $s_{n}$ must occur at one the endpoints, 1 and $n-2$.

Now we show that $s_{n}(n-2) \geqslant s_{n}(1)$ for all $n \geqslant 3$. We can check this explicitly for $n=3,4,5$. When $n \geqslant 6, s_{n}(n-2) / s_{n}(1)=\frac{n}{2}\left(\frac{n-1}{n}\right)^{n-2} \geqslant$ $3(1 / e)>1$; so the largest syntactic semigroup of $L_{n, k}(\Sigma)$ occurs only at $k=$ $n-2$ for all $n \geqslant 3$.

Proposition 7 (Reverse). For any regular language of complexity $n$ with an empty quotient, the reversal has complexity at most $2^{n-1}$. Moreover, the reverse of $L_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ has complexity $2^{n-1}$ for $n \geqslant 3$ and $1 \leqslant k \leqslant n-2$.

Proof. The first claim is left for the reader to verify. For the second claim, let $\mathcal{D}_{n, k}=\left(Q_{n},\left\{a, b, d_{2}, e\right\}, \delta_{n, k}, 0, F_{n, k}\right)$ denote the DFA $\mathcal{D}_{n, k}\left(a, b,-,-,-, d_{2}, e\right)$ in Definition 2 and let $L_{n, k}=L\left(D_{n, k}\right)$. Construct an NFA $\mathcal{N}$ recognizing the reverse of $L_{n, k}$ by reversing each transition, letting the initial state 0 be the unique final state, and letting the final states in $F_{n, k}$ be the initial states. Applying the subset construction to $\mathcal{N}$ yields a DFA $\mathcal{D}^{R}$ whose states are subsets of $Q_{n-1}$, with initial state $F_{n, k}$ and final states $\left\{G \subseteq Q_{n-1} \mid 0 \in G\right\}$. We show that $\mathcal{D}^{R}$ is minimal, and hence the reverse of $L_{n, k}$ has complexity $2^{n-1}$.

Recall from Lemma 5 that $a$ and $b$ generate all permutations of $E_{n, k}$ and $F_{n, k}$ in $\mathcal{D}_{n, k}$. Although the transitions are reversed in $\mathcal{D}^{R}$, they still generate all such permutations. Let $u_{1}, u_{2} \in\{a, b\}^{*}$ be such that $u_{1}$ induces $(0, \ldots, n-2-k)$ and $u_{2}$ induces $(n-1-k, \ldots, n-2)$ in $\mathcal{D}^{R}$.

Consider a state $U=\left\{q_{1}, \ldots, q_{h}, n-1-k, \ldots, n-2\right\}$ where $0 \leqslant q_{1}<q_{2}<$ $\cdots<q_{h} \leqslant n-2-k$. If $h=0$, then $U$ is the initial state. When $h \geqslant 1$, $\left\{q_{2}-q_{1}, q_{3}-q_{1}, \ldots, q_{h}-q_{1}, n-1-k, \ldots, n-2\right\} e u_{1}^{q_{1}}=U$. By induction, all such states are reachable.

Now we show that any state $U=\left\{q_{1}, \ldots, q_{h}, p_{1}, \ldots, p_{i}\right\}$ where $0 \leqslant q_{1}<$ $q_{2}<\cdots<q_{h} \leqslant n-2-k$ and $n-1-k \leqslant p_{1}<p_{2}<\cdots<p_{i} \leqslant n-2$ is reachable. If $i=k$, then $U=\left\{q_{1}, \ldots, q_{h}, n-1-k, \ldots, n-2\right\}$ is reachable by the argument above. When $0 \leqslant i<k$, choose $p \in F_{n, k} \backslash U$ and see that $U$ is reached from $U \cup\{p\}$ by $u_{2}^{n-1-p} d_{2} u_{2}^{p-(n-2-k)}$. By induction, every state is reachable.

To prove distinguishability, consider distinct states $U$ and $V$. Choose $q \in$ $U \oplus V$. If $q \in E_{n, k}$, then $U$ and $V$ are distinguished by $u_{1}^{n-1-k-q}$. When $q \in F_{n, k}$, they are distinguished by $u_{2}^{n-1-q} e$. So $\mathcal{D}^{R}$ is minimal.
Proposition 8 (Atomic Complexity). For each atom $A_{S}$ of $L_{n, k}(\Sigma)$, write $\underline{S}=X_{1} \cup X_{2}$, where $X_{1} \subseteq E_{n, k}$ and $X_{2} \subseteq F_{n, k}$. Let $\overline{X_{1}}=E_{n, k} \backslash X_{1}$ and $\overline{X_{2}}=F_{n, k} \backslash X_{2}$. If $X_{2} \neq \emptyset$, then $\kappa\left(A_{S}\right)=$

$$
1+\sum_{x_{1}=0}^{\left|X_{1}\right|} \sum_{x_{2}=1}^{\left|X_{1}\right|+\left|X_{2}\right|-x_{1}} \sum_{y_{1}=0}^{\left|\overline{X_{1}}\right|} \sum_{y_{2}=0}^{\left|\overline{X_{1}}\right|+\left|\overline{X_{2}}\right|-y_{1}}\binom{n-1-k}{x_{1}}\binom{k}{x_{2}}\binom{n-1-k-x_{1}}{y_{1}}\binom{k-x_{2}}{y_{2}} .
$$

If $X_{1} \neq \emptyset$ and $X_{2}=\emptyset$, then $\kappa\left(A_{S}\right)=$

$$
\begin{array}{r}
1+\sum_{x_{1}=0}^{\left|X_{1}\right|} \sum_{x_{2}=0}^{\left|X_{1}\right|-x_{1}} \sum_{y_{1}=0}^{\left|\overline{X_{1}}\right|} \sum_{y_{2}=0}^{k}\binom{n-1-k}{x_{1}}\binom{k}{x_{2}}\binom{n-1-k-x_{1}}{y_{1}}\binom{k-x_{2}}{y_{2}} \\
-2^{k} \sum_{y=0}^{\left|\overline{X_{1} \mid}\right|}\binom{n-1-k}{y} .
\end{array}
$$

Otherwise, $S=\emptyset$ and $\kappa\left(A_{S}\right)=2^{n-1}$. The atomic complexity is maximal for $k$-proper languages.
Proof. Let $L$ be a $k$-proper language with quotients $K_{0}, K_{1}, \ldots, K_{n-1}$ where $K_{0}, \ldots, K_{n-2-k}$ are non-final quotients, $K_{n-1-k}, \ldots, K_{n-2}$ are final quotients, and $K_{n-1}=\emptyset$. For $S \subseteq Q_{n-1}$, we have $A_{S}=\bigcap_{i \in S} K_{i} \cap \bigcap_{i \in \bar{S}} \overline{K_{i}} ;$ note $n-1 \notin S$ since $A_{S}$ must be non-empty.

The quotients are $w^{-1} A_{S}=\bigcap_{i \in S} w^{-1} K_{i} \cap \bigcap_{i \in \bar{S}} \overline{w^{-1} K_{i}}$. However $w^{-1} K_{i}$ is always another quotient $K_{j}$. Thus $w^{-1} A_{S}$ has the form $J_{T, U}=\bigcap_{i \in T} K_{i} \cap$ $\bigcap_{i \in U} \overline{K_{i}}$ where $T=\left\{i \mid K_{i}=w^{-1} K_{j}\right.$ for some $\left.j \in S\right\}$ and $U=\left\{i \mid K_{i}=\right.$ $w^{-1} K_{j}$ for some $\left.j \in \bar{S}\right\}$. For brevity, we write $S \xrightarrow{w} T$ and $\bar{S} \xrightarrow{w} U$; this notation is in agreement with the action of $w$ on the states of $\mathcal{D}_{n, k}$ corresponding to $S$ and $\bar{S}$.

Notice $n-1 \in U$ and if $T \cap U \neq \emptyset$ then $J_{T, U}$ is the empty quotient. Furthermore, for any word $w, J_{T, U} \xrightarrow{w} J_{T w, U w}$. To establish the upper bound, we just count the number of possible distinct $J_{T, U}$ for each value of $S$.

Write $S=X_{1} \cup X_{2}$ where $X_{1} \subseteq E_{n, k}$ and $X_{2} \subseteq F_{n, k}$, and let $\overline{X_{1}}=E_{n, k} \backslash X_{1}$ and $\overline{X_{2}}=F_{n, k} \backslash X_{2}$. By Proposition 2 any word $w$ maps $X_{1}$ to a subset of $Q_{n}$ and $X_{2}$ to a subset of $F_{n, k} \cup\{n-1\}$. Similarly, $w$ maps $\overline{X_{1}}$ to a subset of $Q_{n}$, $\overline{X_{2}}$ to a subset of $F_{n, k} \cup\{n-1\}$, and $n-1$ to itself.

One can bound the number of non-empty quotients of $A_{S}$ by counting the number of disjoint $T, U \subseteq Q_{n}$ that could be reached from $S$ and $\bar{S}$ respectively by some transformation in the transition semigroup. Specifically, we require $n-1 \in U,|T| \leqslant|S|,|U| \leqslant|\bar{S}|,\left|T \cap E_{n, k}\right| \leqslant\left|X_{1}\right|$, and $\left|U \cap E_{n, k}\right| \leqslant\left|\overline{X_{1}}\right|$. Thus we have the initial estimate

$$
\sum_{x_{1}=0}^{\left|X_{1}\right|} \sum_{x_{2}=0}^{\left|X_{1}\right|+\left|X_{2}\right|-x_{1}} \sum_{y_{1}=0}^{\left|\overline{X_{1}}\right|} \sum_{y_{2}=0}^{\left|\overline{X_{1}}\right|+\left|\overline{X_{2}}\right|-y_{1}}\binom{n-1-k}{x_{1}}\binom{k}{x_{2}}\binom{n-1-k-x_{1}}{y_{1}}\binom{k-x_{2}}{y_{2}},
$$

where $x_{1}$ counts $\left|T \cap E_{n, k}\right|, x_{2}$ counts $\left|T \cap F_{n, k}\right|, y_{1}$ counts $\left|U \cap E_{n, k}\right|$, and $y_{2}$ counts $\left|U \cap F_{n, k}\right|$. With some refinements, this estimate leads to the three cases in the statement.

Note if $S \neq \emptyset$ then $T \neq \emptyset$. Also, if $X_{2} \neq \emptyset$, then any non-empty quotient $J_{T, U}$ must have $T \cap F_{n, k} \neq \emptyset$ since $X_{2}$ cannot be mapped to $n-1$. In the corresponding equation of the statement, this has the effect that $x_{2}$ cannot be 0 . We must add 1 to account for the empty state, achieved when $T$ and $U$ intersect.

If $X_{1} \neq \emptyset$ and $X_{2}=\emptyset$, then we cannot have $x_{1}=x_{2}=0$ since that would correspond to $T=\emptyset$; the subtracted term in the statement is the value of the estimate when $x_{1}=x_{2}=0$. As before, add 1 for the empty quotient.

Finally, if $S=\emptyset$, then $T=\emptyset$ and $U \subseteq Q_{n}$ with $n-1 \in U$. There are $2^{n-1}$ possible values of $U$. Hence $\kappa\left(A_{S}\right) \leqslant 2^{n-1}$. There is no need to add 1 because $T$ and $U$ cannot intersect; there is not necessarily an empty quotient. This yields the three cases in the statement.

It remains to prove that $L_{n, k}(\Sigma)$ of Definition 2 meets this upper bound. Let the quotient $K_{q}$ of $L_{n, k}$ be the language accepted by state $q$ in $\mathcal{D}_{n, k}$. We must show that every $J_{T, U}$ can be reached from $A_{S}$ by some word in $\Sigma^{*}$, and that every non-empty $J_{T, U}$ is distinct from $J_{T^{\prime}, U^{\prime}}$ whenever $(T, U) \neq\left(T^{\prime}, U^{\prime}\right)$. By Proposition 6, the syntactic semigroup is as large as possible for $k$-proper languages. Hence, whenever $n-1 \in U,|T| \leqslant|S|,|U| \leqslant|\bar{S}|,\left|T \cap E_{n, k}\right| \leqslant\left|X_{1}\right|$, and $\left|U \cap E_{n, k}\right| \leqslant\left|\overline{X_{1}}\right|$, there is a word $w \in \Sigma^{*}$ such that $S \xrightarrow{w} T$ and $\bar{S} \xrightarrow{w} U$. Thus each quotient $J_{T, U}$ counted by the upper bound is reachable in $A_{S}$.

Consider $J_{T, U}$ where $T \cap U=\emptyset$ and $n-1 \in U$. If $T \neq \emptyset$ then there exists $w$ such that $T \xrightarrow{w}\{n-2\}$ and $U \xrightarrow{w}\{n-1\}$; hence $w \in J_{T, U}$ since $\varepsilon \in K_{n-2}$. If $T=\emptyset$ choose $w$ such that $U \xrightarrow{w}\{n-1\}$; hence $w \in J_{T, U}$. Thus $J_{T, U}$ is non-empty.

Now take $J_{T^{\prime}, U^{\prime}}$ where $(T, U) \neq\left(T^{\prime}, U^{\prime}\right), T^{\prime} \cap U^{\prime}=\emptyset$ and $n-1 \in U^{\prime}$. We must show that $J_{T, U}$ and $J_{T^{\prime}, U^{\prime}}$ are distinct. If $r \in T^{\prime} \backslash T$, then choose $w$ that maps $r \rightarrow n-1$ in $\mathcal{D}_{n, k} ; J_{T w, U w}$ is non-empty, since $T w \cap U w=\emptyset$, and $J_{T^{\prime} w, U^{\prime} w}=\emptyset$ since $n-1 \in T^{\prime} w$. Similarly, if $T=T^{\prime}$ and $r \in U^{\prime} \backslash U$, then choose $w$ that maps $T \cup\{r\} \rightarrow\{n-2\}$ and $Q_{n} \backslash(T \cup\{r\}) \rightarrow\{n-1\}$. Then $J_{T w, U w}=J_{\{n-2\},\{n-1\}}$ is non-empty and $J_{T^{\prime} w, U^{\prime} w}=J_{\{n-2\},\{n-2, n-1\}}=\emptyset$. Finally, if $T=T^{\prime}=\emptyset$ and $r \in U^{\prime} \backslash U$, then distinguish $J_{T, U}$ and $J_{T^{\prime}, U^{\prime}}$ by a word that sends $r \rightarrow n-2$ and $Q_{n} \backslash\{r\} \rightarrow\{n-1\}$. Hence, $J_{T, U}$ and $J_{T^{\prime}, U^{\prime}}$ are distinct. Therefore, the quotients of $A_{S}$ counted in the upper bound are pairwise distinct and $L_{n, k}$ has maximal atomic complexity.

Proposition 9 (Star). Let $L$ be a regular language with $n \geqslant 2$ quotients, including $k \geqslant 1$ final quotients and one empty quotient. Then $\kappa\left(L^{*}\right) \leqslant 2^{n-2}+$ $2^{n-2-k}+1$. This bound is tight for proper prefix-convex languages; in particular, the language $\left(L_{n, k}\left(a, b,-,-, d_{1}, d_{2}, e\right)\right)^{*}$ meets this bound for $n \geqslant 3$ and $1 \leqslant k \leqslant n-2$.

Proof. Since $L$ has an empty quotient, let $n-1$ be the empty state of its minimal DFA $\mathcal{D}$. To obtain an $\varepsilon$-NFA for $L^{*}$, we add a new initial state $0^{\prime}$ which is final and has the same transitions as 0 . We then add an $\varepsilon$-transition from every state in $F$ to 0 . Applying the subset construction to this $\varepsilon$-NFA yields a DFA $\mathcal{D}^{\prime}=\left(Q^{\prime}, \Sigma, \delta^{\prime},\left\{0^{\prime}\right\}, F^{\prime}\right)$ recognizing $L^{*}$, in which $Q^{\prime}$ contains non-empty subsets of $Q_{n} \cup\left\{0^{\prime}\right\}$.

Many of the states of $Q^{\prime}$ are unreachable or indistinguishable from other states. Since there is no transition in the $\varepsilon$-NFA to $0^{\prime}$, the only reachable state in $Q^{\prime}$ containing $0^{\prime}$ is $\left\{0^{\prime}\right\}$. As well, any reachable final state $U \neq\left\{0^{\prime}\right\}$ must contain 0 because of the $\varepsilon$-transitions. Finally, for any $U \in Q^{\prime}$, we have $U \in F^{\prime}$ if and only if $U \cup\{n-1\} \in F^{\prime}$, and since $\delta^{\prime}(U \cup\{n-1\}, w)=\delta^{\prime}(U, w) \cup\{n-1\}$ for all $w \in \Sigma^{*}$, the states $U$ and $U \cup\{n-1\}$ are equivalent in $D^{\prime}$.

Hence $\mathcal{D}^{\prime}$ is equivalent to a DFA with the states $\left\{\left\{0^{\prime}\right\}\right\} \cup\left\{U \subseteq Q_{n-1} \mid\right.$ $U \cap F=\emptyset\} \cup\left\{U \subseteq Q_{n-1} \mid 0 \in U\right.$ and $\left.U \cap F \neq \emptyset\right\}$. This DFA has $1+2^{n-1-k}+$ $\left(2^{n-2}-2^{n-2-k}\right)=2^{n-2}+2^{n-2-k}+1$ states. Thus, $\kappa\left(L^{*}\right) \leqslant 2^{n-2}+2^{n-2-k}+1$.

This bound must apply when $L$ is a prefix-convex language and $n \geqslant 3$ : by Lemma $1, L$ is either a right ideal or has an empty state. If $L$ is a right ideal, then $\kappa\left(L^{*}\right) \leqslant n+1$, which is at most $2^{n-2}+2^{n-2-k}+1$ for $n \geqslant 3$.

For the last claim, let $\mathcal{D}_{n, k}\left(a, b,-,-, d_{1}, d_{2}, e\right)$ of Definition 2 be denoted by $\mathcal{D}_{n, k}=\left(Q_{n},\left\{a, b, d_{1}, d_{2}, e\right\}, \delta_{n, k}, 0, F_{n, k}\right)$ and let $L_{n, k}=L\left(D_{n, k}\right)$. We apply the same construction and reduction as before to obtain a DFA $\mathcal{D}_{n, k}^{\prime}$ recognizing $L_{n, k}^{*}$ with states $Q^{\prime}=\left\{\left\{0^{\prime}\right\}\right\} \cup\left\{U \subseteq E_{n, k}\right\} \cup\left\{U \subseteq Q_{n-1} \mid 0 \in U\right.$ and $\left.U \cap F_{n, k} \neq \emptyset\right\}$. We show that the states of $Q^{\prime}$ are reachable and pairwise distinguishable.

By Lemma 5, $a$ and $b$ generate all permutations of $E_{n, k}$ and $F_{n, k}$ in $\mathcal{D}_{n, k}$. Choose $u_{1}, u_{2} \in\{a, b\}^{*}$ such that $u_{1}$ induces $(0, \ldots, n-2-k)$ and $u_{2}$ induces $(n-1-k, \ldots, n-2)$ in $\mathcal{D}_{n, k}$.

For reachability, we consider three cases. (1) State $\left\{0^{\prime}\right\}$ is reachable by $\varepsilon$. (2) Let $U \subseteq E_{n, k}$. For any $q \in E_{n, k}$, we can reach $U \backslash\{q\}$ by $u_{1}^{n-2-k-q} d_{1} u_{1}^{q}$; hence if $U$ is reachable, then every subset of $U$ is reachable. Observe that state $E_{n, k}$ is reachable by $e u_{1}^{n-2-k} d_{2}^{k}$, and we can reach any subset of this state. Therefore, all non-final states are reachable. (3) If $U \cap F_{n, k} \neq \emptyset$, then $U=\left\{0, q_{1}, q_{2}, \ldots, q_{h}, r_{1}, \ldots, r_{i}\right\}$ where $0<q_{1}<\cdots<q_{h} \leqslant n-2-k$ and $n-1-k \leqslant r_{1}<\cdots<r_{i}<n-1$ and $i \geqslant 1$. We prove that $U$ is reachable by induction on $i$. If $i=0$, then $U$ is reachable by (2). For any $i \geqslant 1$, we can reach $U$ from $\left\{0, q_{1}, \ldots, q_{h}, r_{2}-\left(r_{1}-(n-1-k)\right), \ldots, r_{i}-\left(r_{1}-(n-1-k)\right)\right\}$ by $e u_{2}^{r_{1}-(n-1-k)}$. Therefore, all states of this form are reachable.

Now we show that the states are pairwise distinguishable. (1) The initial state $\left\{0^{\prime}\right\}$ is distinguishable from any other final state $U$ since $\left\{0^{\prime}\right\} u_{1}$ is non-final and $U u_{1}$ is final. (2) If $U$ and $V$ are distinct subsets of $E_{n, k}$, then there is some $q \in U \oplus V$. We distinguish $U$ and $V$ by $u_{1}^{n-1-k-q} e$. (3) If $U$ and $V$ are distinct
and final and neither one is $\left\{0^{\prime}\right\}$, then there is some $q \in U \oplus V$. If $q \in E_{n, k}$, then $U d_{2}^{k}=U \backslash F_{n, k}$ and $V d_{2}^{k}=V \backslash F_{n, k}$ are distinct, non-final states as in (2). Otherwise, $q \in F_{n, k}$ and we distinguish $U$ and $V$ by $u_{2}^{n-1-q} d_{2}^{k-1}$.

Proposition 10 (Product). For $m, n \geqslant 3,1 \leqslant j \leqslant m-2$, and $1 \leqslant k \leqslant$ $n-2$, the product of $L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and $L_{n, k}\left(a, d_{2}, c_{1},-, d_{1}, b, e\right)$ has complexity $m-1-j+j 2^{n-2}+2^{n-1}$.

Proof. Let $\mathcal{D}_{m, j}^{\prime}$ and $\mathcal{D}_{n, k}$ be the DFAs of Definition 2 for $L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and $L_{n, k}\left(a, d_{2}, c_{1},-, d_{1}, b, e\right)$ respectively. As before, take $\mathcal{D}_{m, j}^{\prime}$ to have the states $Q_{m}^{\prime}=\left\{0^{\prime}, 1^{\prime}, \ldots,(m-1)^{\prime}\right\}$ and let $E_{n, k}^{\prime}=\left\{0^{\prime}, \ldots,(m-2-j)^{\prime}\right\}$. Using the standard construction of the $\varepsilon$-NFA $\mathcal{N}$ for the product, we delete the empty state $n-1$, change the final states of $\mathcal{D}_{m, j}^{\prime}$ to non-final states, and add $\varepsilon$-transitions from each final state of $\mathcal{D}_{m, j}^{\prime}$ to the initial state of $\mathcal{D}_{n, k}$.

The subset construction on $\mathcal{N}$ yields states of the form $\left\{p^{\prime}\right\} \cup S$, where $p^{\prime} \in Q_{m}^{\prime}$ and $S \subseteq Q_{n-1}$. However, some of these sets are not reachable in the product: if $p^{\prime} \in E_{m, j}^{\prime}$ then we must have $S=\emptyset$, and if $p^{\prime} \in F_{m, j}^{\prime}$ then $0 \in S$ because of the $\varepsilon$-transitions in $\mathcal{N}$.

Thus, we have the states $\left\{p^{\prime}\right\}$ for $p^{\prime} \in E_{m, j}^{\prime},\left\{p^{\prime}, 0\right\} \cup S$ for $p^{\prime} \in F_{m, j}^{\prime}$ and $S \subseteq Q_{n-1} \backslash\{0\}$, and $\left\{(m-1)^{\prime}\right\} \cup S$ for $S \subseteq Q_{n-1}$. This totals to $(m-1-j)+$ $\left(j 2^{n-2}\right)+\left(2^{n-1}\right)=m-1-j+j 2^{n-2}+2^{n-1}$ different states. We show that they are reachable and pairwise distinguishable.

State $\left\{p^{\prime}\right\}$ is reached by $d_{1}^{p}$ for all $p^{\prime} \in E_{m, j}^{\prime}$. State $\left\{(m-1-j)^{\prime}, 0\right\}$ is reached by $e$. For $m-j \leqslant p \leqslant m-1$ we have $\left\{(m-1-j)^{\prime}, 0\right\} \xrightarrow{d_{2}^{p-(m-1-j)}}$ $\left\{\begin{array}{ll}\left\{p^{\prime}, 0,1\right\} & \text { if } n-1-k \geqslant 2 \\ \left\{p^{\prime}, 0\right\} & \text { if } n-1-k=1\end{array} \xrightarrow{c_{1}}\left\{p^{\prime}, 0\right\}\right.$.

Now consider states of the form $\left\{p^{\prime}, 0\right\} \cup T$ where $p^{\prime} \in F_{m, j}^{\prime}$ and $T \subseteq F_{n, k}$. These states are reachable when $T=\emptyset$. Inductively assume the states are reachable when $|T|<i$ for some $i \geqslant 1$. Let $T_{i}=\left\{r_{1}, r_{2}, \ldots, r_{i}\right\}$ where $n-1-k \leqslant$ $r_{1}<r_{2}<\cdots<r_{i} \leqslant n-2$, and let $T_{i-1}=\left\{r_{2}-\left(r_{1}-(n-1-k)\right), \ldots, r_{i}-\left(r_{1}-\right.\right.$ $(n-1-k))\}$. Then $\{0\} \cup T_{i-1} \xrightarrow{e}\{n-1-k\} \cup T_{i-1} \xrightarrow{b^{r_{1}-(n-1-k)}} T_{i}$. Notice $b$ induces a permutation on $\mathcal{D}_{m, j}^{\prime}$, so for any $p^{\prime} \in F_{m, j}^{\prime}$ there is a state $q^{\prime} \in F_{m, j}^{\prime}$ such that $q^{\prime} \xrightarrow{e b^{r_{1}-(n-1-k)}} p^{\prime}$. Thus, $\left\{p^{\prime}, 0\right\} \cup T_{i}$ is reachable from $\left\{q^{\prime}, 0\right\} \cup T_{i-1}$.

Extend this to states of the form $\left\{p^{\prime}, 0\right\} \cup S \cup T$, where $p^{\prime} \in F_{m, j}^{\prime}, S \subseteq E_{n, k} \backslash$ $\{0\}$, and $T \subseteq F_{n, k}$. These states are reachable when $S=\emptyset$. Inductively assume the states are reachable when $|S|<h$ for some $h \geqslant 1$. Let $S_{h}=\left\{q_{1}, q_{2}, \ldots, q_{h}\right\}$ where $1 \leqslant q_{1}<q_{2}<\cdots<q_{i} \leqslant n-2-k$, and let $S_{h-1}=\left\{q_{2}-q_{1}, \ldots, q_{h}-q_{1}\right\}$. Then $\left\{p^{\prime}, 0\right\} \cup S_{h-1} \cup T \xrightarrow{d_{1}}\left\{p^{\prime}, 0,1\right\} \cup\left(S_{h-1}+1\right) \cup T \xrightarrow{\left(d_{1} c_{1}\right)^{q_{1}-1}}\left\{p^{\prime}, 0, q_{1}\right\} \cup$ $\left(S_{h-1}+q_{1}\right) \cup T=\left\{p^{\prime}, 0\right\} \cup S_{h} \cup T$. In the last derivation, $S+c$ denotes the set $\{q+c: q \in S\}$.

State $\left\{(m-1)^{\prime}, 0\right\} \cup S \cup T$ is reachable from $\left\{(m-2)^{\prime}, 0\right\} \cup S \cup T$ by $d_{2}^{\ell}$, where $\ell>0$ is the order of $d_{2}$ in $\mathcal{D}_{n, k}$ (i.e. $d_{2}^{\ell}$ induces the identity transformation on $\mathcal{D}_{n, k}$ ).

Finally, state $\left\{(m-1)^{\prime}\right\} \cup S \cup T$ is reachable from $\left\{(m-1)^{\prime}, 0\right\} \cup S \cup T$ : by Lemma 5 , the permutation $(0,1, \ldots, n-2-k)$ of $\mathcal{D}_{n, k}$ is generated by some $u_{1} \in\left\{a, d_{2}\right\}^{*}$, and $\left\{(m-1)^{\prime}, 0\right\} \cup S \cup T \xrightarrow{u_{1}^{n-2-k}}\left\{(m-1)^{\prime}, n-2-k\right\} \cup(S-1) \cup T \xrightarrow{d_{1}}$ $\left\{(m-1)^{\prime}\right\} \cup S \cup T$. Thus all states are reachable.

We now check distinguishability in cases. Using Lemma 5, take words $u_{1}, u_{2} \in\left\{a, d_{2}\right\}^{*}$ such that $u_{1}$ induces $(0,1, \ldots, n-2-k)$ and $u_{2}$ induces $(n-1-k, n-k, \ldots, n-2)$ on $\mathcal{D}_{n, k}$. Note $u_{1}$ and $u_{2}$ act on $\mathcal{D}_{m, j}^{\prime}$ as well.

1. Let $U=\left\{(m-1)^{\prime}\right\}$ and let $V$ be any other state. Notice $U$ is the empty state. We show that $V$ is non-empty.
(a) If $q \in V \cap Q_{n-1}$ then by the minimality of $\mathcal{D}_{n, k}$ there is a word $w$ such that $q w \in F_{n, k}$; hence $V w$ is final.
(b) Otherwise $V=\left\{p^{\prime}\right\}$ for some $p^{\prime} \in E_{m, j}^{\prime}$. There is a word $w$ such that $p^{\prime} w \in F_{m, j}^{\prime}$; hence $0 \in V w$ and this reduces to Case (a).
2. Let $U=\left\{p^{\prime}\right\}$ and $V=\left\{q^{\prime}\right\}$ where $p^{\prime}, q^{\prime} \in E_{m, j}^{\prime}$ and $p<q$. Then $V d_{1}^{m-1-j-q}=\left\{(m-1)^{\prime}\right\}$ and $U d_{1}^{m-1-j-q}$ is non-empty by Case 1 .
3. Let $U=\left\{p^{\prime}\right\}$ and $V=\left\{q^{\prime}, 0\right\} \cup S$ where $p^{\prime} \in E_{m, j}^{\prime}, q^{\prime} \in F_{m, j}^{\prime}$, and $S \subseteq Q_{n-1} \backslash\{0\}$. Then $U$ and $V$ are distinguished by $e$.
4. Let $U=\left\{p^{\prime}\right\}$ and $V=\left\{(m-1)^{\prime}\right\} \cup S$ where $p^{\prime} \in E_{m, j}^{\prime}$ and $S \subseteq Q_{n-1}$. If $S=\emptyset$ this reduces to Case 1. If $S \cap F_{n, k} \neq \emptyset$ then $V$ is final. Otherwise there is some $r \in S$, and $V u_{1}^{n-1-k-r} e$ is final. Notice $U u_{1}^{n-1-k-r} e$ is non-final because $u_{1} \in\left\{a, d_{2}\right\}^{*}$.
5. Let $U=\left\{(m-1)^{\prime}\right\} \cup S$ and $V=\left\{(m-1)^{\prime}\right\} \cup T$ where $S \neq T \subseteq Q_{n-1}$; pick $r \in S \oplus T$. Without loss of generality, say $r \in S \backslash T$.
(a) If $r=0$, then $U \xrightarrow{b^{k}} U \backslash F_{n, k} \xrightarrow{e} U \backslash\left(\{0\} \cup F_{n, k}\right) \cup\{n-1-k\}$ and $V \xrightarrow{b^{k}} V \backslash F_{n, k} \xrightarrow{e} V \backslash F_{n, k}$.
(b) If $r \in E_{n, k}$, then we reduce to Case (a) by applying $u_{1}^{n-1-k-r}$.
(c) If $r=n-1-k$, then $U b^{k-1}$ is final and $V b^{k-1}$ is non-final.
(d) If $r \in F_{n, k}$, then we reduce to Case (c) by applying $u_{2}^{n-1-r}$.
6. Let $U=\left\{p^{\prime}, 0\right\} \cup S$ and $V=\left\{(m-1)^{\prime}\right\} \cup T$ where $p^{\prime} \in F_{m, j}^{\prime}$, and $S, T \subseteq Q_{n-1}$. Notice $U d_{1}^{n-1-k} b^{k}$ is non-empty since $p^{\prime}$ is not mapped to $(m-1)^{\prime}$, but $V \xrightarrow{d_{1}^{n-1-k}}\left\{(m-1)^{\prime}\right\} \cup T \backslash E_{n, k} \xrightarrow{b^{k}}\left\{(m-1)^{\prime}\right\}$; this reduces to Case 1.
7. Let $U=\left\{p^{\prime}, 0\right\} \cup S$ and $V=\left\{q^{\prime}, 0\right\} \cup T$ where $p^{\prime}, q^{\prime} \in F_{m, j}^{\prime}, p<q$, and $S, T \subseteq Q_{n-1}$. Reduce to Case 6 by applying $d_{2}^{m-1-q}$.
8. Let $U=\left\{p^{\prime}, 0\right\} \cup S$ and $V=\left\{p^{\prime}, 0\right\} \cup T$ where $p^{\prime} \in F_{m, j}^{\prime}$ and $S \neq T \subseteq Q_{n-1}$. Pick $r \in S \oplus T$ and assume without loss of generality that $r \in S \backslash T$.
(a) If $r \geqslant 2$, then $d_{2}^{m-1-p}$ fixes $r$ and maps $p^{\prime}$ to $(m-1)^{\prime}$; hence this reduces to Case 5 .
(b) If $p=m-2$, then apply $d_{2}$ to reduce to Case 5 . Notice $S d_{2}$ and $T d_{2}$ are distinct since $d_{2}$ induces a permutation on $\mathcal{D}_{n, k}$.
(c) If $r=1$ and $n-1-k \geqslant 2$, then applying $d_{1}$ reduces to Case (a).
(d) If $r=1$ and $n-1-k=2$, then observe that $a$ and $b$ both fix 1 in $\mathcal{D}_{n, k}$. By Lemma 5, there is a word $w \in\{a, b\}^{*}$ such that $p^{\prime} w=(m-2)^{\prime}$. Since $n-1-k=2, a$ and $b$ do not alter $E_{n, k}$. Hence $1 \in S w$ and $1 \notin T w$, so this reduces to Case (b).

Proposition 11 (Boolean Operations). For $m, n \geqslant 3,1 \leqslant j \leqslant m-2$, and $1 \leqslant k \leqslant n-2$, let $L_{m, j}^{\prime}=L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and let $L_{n, k}=$ $L_{n, k}\left(a, b, e,-, d_{2}, d_{1}, c_{1}\right)$ of Definition 2. For any proper binary boolean function $\circ$, the complexity of $L_{m, j}^{\prime} \circ L_{n, k}$ is maximal. In particular,

1. $\kappa\left(L_{m, j}^{\prime} \cup L_{n, k}\right)=\kappa\left(L_{m, j}^{\prime} \oplus L_{n, k}\right)=m n$.
2. $\kappa\left(L_{m, j}^{\prime} \backslash L_{n, k}\right)=m n-(n-1)$.
3. $\kappa\left(L_{m, j}^{\prime} \cap L_{n, k}\right)=m n-(m+n-2)$.

Proof. Let $\mathcal{D}_{m, j}^{\prime}$ and $\mathcal{D}_{n, k}$ be the DFAs of Definition 2 for $L_{m, j}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and $L_{n, k}\left(a, b, e,-, d_{2}, d_{1}, c_{1}\right)$ respectively. As before, take $\mathcal{D}_{m, j}^{\prime}$ to have the states $Q_{m}^{\prime}=\left\{0^{\prime}, 1^{\prime}, \ldots,(m-1)^{\prime}\right\}$. There is a standard construction for $L_{m, j}^{\prime} \circ$ $L_{n, k}$ for any boolean set operation $\circ$ in terms of the direct product. The direct product of $\mathcal{D}_{m, j}^{\prime}$ and $\mathcal{D}_{n, k}$ has states $Q_{m}^{\prime} \times Q_{n}$, initial state ( $\left.0^{\prime}, 0\right)$, and transition function $\delta$ such that $\delta\left(\left(p^{\prime}, q\right), w\right)=\left(\delta_{m, j}^{\prime}\left(p^{\prime}, w\right), \delta_{n, k}(q, w)\right)$. If we set the final states to be $\left(F_{m, j}^{\prime} \times Q_{n}\right) \circ\left(Q_{m}^{\prime} \times F_{n, k}\right)$, it is a DFA recognizing $L_{m, j}^{\prime} \circ L_{n, k}$. For each $\circ \in\left\{\cup, \oplus,\{, \cap\}\right.$, we construct the DFA $\mathcal{D}_{\circ}$ to recognize $L_{m, j}^{\prime} \circ L_{n, k}$. All four DFAs have the same states and transitions as the direct product and will only differ in the set of final states. The DFA $\mathcal{D}_{\oplus}$ for symmetric difference is shown in Figure 4.

We can usefully partition the states of the direct product. Let $W=E_{m, j}^{\prime} \times$ $E_{n, k}, X=E_{m, j}^{\prime} \times F_{n, k}, Y=F_{m, j}^{\prime} \times E_{n, k}, Z=F_{m, j}^{\prime} \times F_{n, k}$, and $S=W \cup X \cup Y \cup Z$. Let $R=\left\{(m-1)^{\prime}\right\} \times Q_{n}$ and $C=Q_{m}^{\prime} \times\{n-1\}$.

We check that every state in the direct product is reachable. Since $\mathcal{D}_{\cup}, \mathcal{D}_{\oplus}$, $\mathcal{D}_{\}$, and $\mathcal{D}_{\cap}$ have the same structure as the direct product, this argument will apply to them as well. By Lemma 5 there exist $u_{1}, u_{2} \in\{a, b\}^{*}$ such that $u_{1}$ induces $\left(0^{\prime}, \ldots,(m-2-j)^{\prime}\right)$ and $u_{2}$ induces $\left((m-1-j)^{\prime}, \ldots,(m-1)^{\prime}\right)$ in $\mathcal{D}_{m, j}^{\prime}$. Note that $u_{1}$ and $u_{2}$ permute $E_{n, k}$ and $F_{n, k}$ in $\mathcal{D}_{n, k}$. Similarly, there exist $v_{1}, v_{2} \in\{a, b\}^{*}$ such that $v_{1}$ induces $(0, \ldots, n-2-k)$ and $v_{2}$ induces $(n-1-k, \ldots, n-1)$ in $\mathcal{D}_{n, k}$, and they permute $E_{m, j}^{\prime}$ and $F_{m, j}^{\prime}$ in $\mathcal{D}_{m, j}^{\prime}$.

1. State $\left(p^{\prime}, q\right) \in W$ is reachable since $\left(0^{\prime}, 0\right) \xrightarrow{d_{1}^{p}}\left(p^{\prime}, 0\right) \xrightarrow{d_{2}^{q}}\left(p^{\prime}, q\right)$.


Figure 4: DFA $\mathcal{D} \oplus$ for symmetric difference of proper languages with DFAs $\mathcal{D}_{5,2}^{\prime}\left(a, b, c_{1},-, d_{1}, d_{2}, e\right)$ and $\mathcal{D}_{5,2}\left(a, b, e,-, d_{2}, d_{1}, c_{1}\right)$ shown partially.
2. State $\left(p^{\prime}, 0\right) \in Y$ is reachable since $\left(0^{\prime}, 0\right) \xrightarrow{e}\left((m-1-j)^{\prime}, 0\right) \xrightarrow{\left(d_{2} e\right)^{p-(m-1-j)}}$ $\left(p^{\prime}, 0\right)$. An arbitrary $\left(p^{\prime}, q\right) \in Y$ is then reached by $v_{1}^{q}$ from some $\left(r^{\prime}, 0\right)$ where $r^{\prime} \in F_{m, j}^{\prime}$ is chosen so that $r^{\prime} \xrightarrow{v_{1}^{q}} p^{\prime}$ in $\mathcal{D}_{m, j}^{\prime}$.
3. State $\left(p^{\prime}, q\right) \in X$ is reachable by symmetry with Case 2 .
4. State $\left(p^{\prime}, q\right) \in Z$ is reachable since $\left(0^{\prime}, 0\right) \xrightarrow{e c_{1}}\left((m-1-j)^{\prime}, n-1-\right.$ $k) \xrightarrow{d_{2}^{p-(m-1-j)}}\left(p^{\prime}, n-1-k\right) \xrightarrow{d_{1}^{q-(n-1-k)}}\left(p^{\prime}, q\right)$.
5. State $\left(p^{\prime}, n-1\right) \in C$ is reachable since $\left(0^{\prime}, 0\right) \xrightarrow{d_{2}^{n-1-k}}\left(0^{\prime}, n-1\right)$, and $p^{\prime}$ is reachable in $\mathcal{D}_{m, j}^{\prime}$.
6. State $\left((m-1)^{\prime}, q\right) \in R$ is reachable by symmetry with Case 5 .

Hence all states are reachable.
As a tool for distinguishability, we show that the states of $S$ are distinguishable with respect to $R \cup C$; that is, for any pair of distinct states in $S$, we show that there is a word that sends one state to $R \cup C$ and leaves the other state in $S$. We check this fact in cases. Note that $d_{2}$ fixes the states of $X$ and $d_{1}$ fixes the states of $Y$.

1. States of $W$ and $X$ are distinguished by words in $d_{2}^{*}$.
2. States of $W$ and $Y$ are distinguished by words in $d_{1}^{*}$.
3. States of $X$ and $Y$ are distinguished by words in $d_{1}^{*}$.
4. States of $X$ and $Z$ are distinguished by words in $d_{2}^{*}$.
5. States of $Y$ and $Z$ are distinguished by words in $d_{1}^{*}$.
6. To distinguish states of $W$ and $Z$, we reduce to Case 5 by a word in $u_{1}^{*} e$.
7. Any two states of $W$ are distinguished by a word in $d_{1}^{*}$ if they differ in the first coordinate, or by a word in $d_{2}^{*}$ if they differ in the second coordinate.
8. Any two states of $Z$ are distinguished by a word in $d_{2}^{*}$ if they differ in the first coordinate, or by a word in $d_{1}^{*}$ if they differ in the second coordinate.
9. To distinguish two states of $X$, reduce to Case 4 by a word in $u_{1}^{*} e$ if they differ in the first coordinate, or reduce to Case 8 by a word in $u_{1}^{*} e$ if the first coordinate is the same.
10. Any two states of $Y$ are distinguishable by symmetry with Case 9 .

Now we determine which states are pairwise distinguishable with respect to the final states of $\mathcal{D} \circ$ for each $\circ \in\{\cup, \oplus, \backslash, \cap\}$. Let $w=\left(u_{1} e\right)^{m-1-j}\left(v_{1} c_{1}\right)^{n-1-k}$; observe that $w$ maps every state of $S$ to a state of $Z$.
$\cup, \oplus:$ In $\mathcal{D}_{\cup},\left(p^{\prime}, q\right)$ is final if $p^{\prime} \in F_{m, j}^{\prime}$ or $q \in F_{n, k}$. In $\mathcal{D}_{\oplus},\left(p^{\prime}, q\right)$ is final if $p^{\prime} \in F_{m, j}^{\prime}$ and $q \notin F_{n, k}$ or $p^{\prime} \notin F_{m, j}^{\prime}$ and $q \in F_{n, k}$. We show that all $m n$ states are pairwise distinguishable in both cases.

The states of $R$ are pairwise distinguishable by the minimality of $\mathcal{D}_{n, k}$. Similarly, the states of $C$ are pairwise distinguishable by the minimality of $\mathcal{D}_{m, j}^{\prime}$. The states of $R$ and $C$ are distinguishable by $w d_{1}^{k}$, since $R \backslash\left\{\left((m-1)^{\prime}, n-\right.\right.$ $1)\} \xrightarrow{w}\left\{(m-1)^{\prime}\right\} \times F_{n, k} \xrightarrow{d_{1}^{k}}\left\{(m-1)^{\prime}, n-1\right\}$ and $C \backslash\left\{\left((m-1)^{\prime}, n-1\right)\right\} \xrightarrow{w}$ $F_{m, j}^{\prime} \times\{n-1\} \xrightarrow{d_{1}^{k}} F_{m, j}^{\prime} \times\{n-1\}$. The states of $C$ and $S$ are distinguishable since $S \xrightarrow{w} Z \xrightarrow{d_{2}^{j}}\left\{(m-1)^{\prime}\right\} \times F_{n, k} \subseteq R$, and we can distinguish states of $R$ and $C$. The states of $R$ and $S$ are similarly distinguishable. Finally, states of $S$ are pairwise distinguishable because they can be distinguished with respect to $R \cup C$, and we can distinguish states of $S$ and $R \cup C$.
$\backslash: \operatorname{In} \mathcal{D}_{\backslash,}\left(p^{\prime}, q\right)$ is final if $p^{\prime} \in F_{m, j}^{\prime}$ and $q \notin F_{n, k}$. The states of $R$ are all empty, and the remaining states are pairwise distinguishable for a total of $m n-(n-1)$ distinguishable states.

The states of $C$ are pairwise distinguishable by the minimality of $\mathcal{D}_{m, j}^{\prime}$. The states of $C$ and $S$ are distinguishable since $S \xrightarrow{w} Z \xrightarrow{d_{2}^{j}}\left\{(m-1)^{\prime}\right\} \times F_{n, k} \subseteq R$, and every state in $R$ is empty. Finally, states of $S$ are pairwise distinguishable because they can be distinguished with respect to $R \cup C$, and we can distinguish states of $S$ and $R \cup C$.
$\cap$ : In $\mathcal{D}_{\cap}$ the final state set is $Z$. The states of $R \cup C$ are all empty, leaving $m n-(m+n+2)$ distinguishable states. The states of $S$ are non-empty since $S \xrightarrow{w} Z$. We can distinguish the states of $S$ with respect to $R \cup C$; hence they are pairwise distinguishable.

## 3. Conclusions

The bounds for prefix-convex languages (see also [8]) are summarized in Table 1. The largest bounds are shown in boldface type, and they are reached either in the class of right-ideal languages or the class of proper languages. Recall that for regular languages we have the following results: semigroup $n^{n}$, reverse $2^{n}$, star $2^{n-1}+2^{n-2}$, product $m 2^{n}-2^{n-1}$, boolean operations $m n$.

Table 1: Complexity of prefix-convex languages. For proper languages, the variables $j$ and $k$ refer to the number of final quotients of the languages of complexity $m$ and $n$, respectively.

|  | Right-Ideal | Prefix-Closed | Prefix-Free | Proper |
| :---: | :---: | :---: | :---: | :---: |
| SeGr | $\mathbf{n}^{\mathbf{n - 1}}$ | $\mathbf{n}^{\mathbf{n - 1}}$ | $n^{n-2}$ | $n^{n-1-k}(k+1)^{k}$ |
| Rev | $\mathbf{2}^{\mathbf{n - 1}}$ | $\mathbf{2}^{\mathbf{n - 1}}$ | $2^{n-2}+1$ | $\mathbf{2}^{\mathbf{n - 1}}$ |
| Star | $n+1$ | $2^{n-2}+1$ | $n$ | $\mathbf{2}^{\mathbf{n - 2}+\mathbf{2}^{\mathbf{n - 2}-\mathbf{k}}+\mathbf{1}}$ |
| Prod | $m+2^{n-2}$ | $(m+1) 2^{n-2}$ | $m+n-2$ | $\mathbf{m}-\mathbf{1}-\mathbf{j}+\mathbf{j} \mathbf{2}^{\mathbf{n - 2}}+\mathbf{2}^{\mathbf{n - 1}}$ |
| $\cup$ | $m n-(m+n-2)$ | $\mathbf{m n}$ | $m n-2$ | $\mathbf{m n}$ |
| $\oplus$ | $\mathbf{m n}$ | $\mathbf{m n}$ | $m n-2$ | $\mathbf{m n}$ |
| $\backslash$ | $\mathbf{m n}-(\mathbf{m}-\mathbf{1})$ | $\mathbf{m n}-(\mathbf{n}-\mathbf{1})$ | $m n-(m+2 n-4)$ | $\mathbf{m n}-(\mathbf{n}-\mathbf{1})$ |
| $\cap$ | $\mathbf{m n}$ | $m n-(m+n-2)$ | $m n-2(m+n-3)$ | $m n-(m+n-2)$ |

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[^1]:    ${ }^{1}$ We often use the variable names $L_{m}^{\prime}$ and $L_{n}$ when two different languages are needed. The primed variable does not have any special meaning.

