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### Complexity of Proper Prefix-Convex Regular Languages<sup>☆</sup>

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#### Abstract

A language L over an alphabet  $\Sigma$  is prefix-convex if, for any words  $x, y, z \in \Sigma^*$ , whenever x and xyz are in L, then so is xy. Prefix-convex languages include right-ideal, prefix-closed, and prefix-free languages, which were studied elsewhere. Here we concentrate on prefix-convex languages that do not belong to any one of these classes; we call such languages *proper*. We exhibit most complex proper prefix-convex languages, which meet the bounds for the size of the syntactic semigroup, reversal, complexity of atoms, star, product, and boolean operations.

*Keywords:* atom, most complex, prefix-convex, proper, quotient complexity, regular language, state complexity, syntactic semigroup

#### 1. Introduction

**Prefix-Convex Languages** We examine the complexity properties of a class of regular languages that has never been studied before: the class of proper prefix-convex languages [7]. Let  $\Sigma$  be a finite alphabet; if w = xy, for  $x, y \in \Sigma^*$ , then x is a prefix of w. A language  $L \subseteq \Sigma^*$  is prefix-convex [1, 17] if whenever x and xyz are in L, then so is xy. Prefix-convex languages include three special cases:

- 1. A language  $L \subseteq \Sigma$  is a *right ideal* if it is non-empty and satisfies  $L = L\Sigma^*$ . Right ideals appear in pattern matching [11]:  $L\Sigma^*$  is the set of all words in some text (word in  $\Sigma^*$ ) beginning with words in L.
- 2. A language is *prefix-closed* [6] if whenever w is in L, then so is every prefix of w. The set of allowed sequences to any system is prefix-closed. Every prefix-closed language other than  $\Sigma^*$  is the complement of a right ideal [1].

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3. A language is *prefix-free* if  $w \in L$  implies that no prefix of w other than w is in L. Prefix-free languages other than  $\{\varepsilon\}$ , where  $\varepsilon$  is the empty word, are prefix codes and are of considerable importance in coding theory [2].

The complexities of these three special prefix-convex languages were studied in [8]. We now turn to the "real" prefix-convex languages that do not belong to any of the three special classes.

**Complexities of Operations** If  $L \subseteq \Sigma^*$  is a language, the *(left) quotient* of L by a word  $w \in \Sigma^*$  is  $w^{-1}L = \{x \mid wx \in L\}$ . A language is regular if and only if it has a finite number of distinct quotients. So the number of quotients of L, the *quotient complexity* [3]  $\kappa(L)$  of L, is a natural measure of complexity for L. An equivalent concept is the *state complexity* [12, 16, 18, 19] of L, which is the number of states in a complete minimal deterministic finite automaton (DFA) over  $\Sigma$  recognizing L. We refer to quotient/state complexity simply as *complexity*.

If  $L_n$  is a regular language of complexity n, and  $\circ$  is a unary operation, the complexity of  $\circ$  is the maximal value of  $\kappa(L_n^\circ)$ , expressed as a function of n, as  $L_n$  ranges over all languages of complexity  $\leq n$ . If  $L'_m$  and  $L_n$  are regular languages<sup>1</sup> of complexities m and n respectively, and  $\circ$  is a binary operation, the complexity of  $\circ$  is the maximal value of  $\kappa(L'_m \circ L_n)$ , expressed as a function of m and n, as  $L'_m$  and  $L_n$  range over all languages of complexities  $\leq m$  and  $\leq n$ . The complexity of an operation is a lower bound on its time and space complexities. The operations reversal, (Kleene) star, product (concatenation), and binary boolean operations are considered "common", and their complexities are known; see [4, 12, 18, 19].

Witnesses To find the complexity of a unary operation we find an upper bound on this complexity, and languages that meet this bound. We require a language  $L_n$  for each n, that is, a sequence,  $(L_k, L_{k+1}, \dots)$ , called a *stream* of languages. A stream begins at k, a small integer, because the bound may not hold for small values of n. For a binary operation we need two streams. The same stream cannot always be used for both operands, but for all common binary operations the second stream can be a "dialect" of the first, that is it can "differ only slightly" from the first [4]. Let  $\Sigma = \{a_1, \ldots, a_k\}$  be an alphabet ordered as shown; if  $L \subseteq \Sigma^*$ , we denote it by  $L(a_1, \ldots, a_k)$ . A *dialect* of L is obtained by deleting letters of  $\Sigma$  in the words of L, or replacing them by letters of another alphabet  $\Sigma'$ . More precisely, for an injective partial map  $\pi: \Sigma \mapsto \Sigma'$ , we get a dialect of L by replacing each letter  $a \in \Sigma$  by  $\pi(a)$  in every word of L, or deleting the word if  $\pi(a)$  is undefined. We write  $L(\pi(a_1), \ldots, \pi(a_k))$  to denote the dialect of  $L(a_1, \ldots, a_k)$  given by  $\pi$ , and we denote undefined values of  $\pi$  by "-". Undefined values for letters at the end of the alphabet are omitted; for example, L(a, c, -, -) is written as L(a, c). Our definition of dialect is more general than that of [5], where only the case  $\Sigma' = \Sigma$  was allowed.

<sup>&</sup>lt;sup>1</sup>We often use the variable names  $L'_m$  and  $L_n$  when two different languages are needed. The primed variable does not have any special meaning.

Finite Automata A deterministic finite automaton (DFA) is a quintuple  $\mathcal{D} = (Q, \Sigma, \delta, q_0, F)$ , where Q is a finite non-empty set of states,  $\Sigma$  is a finite non-empty alphabet,  $\delta : Q \times \Sigma \to Q$  is the transition function,  $q_0 \in Q$  is the initial state, and  $F \subseteq Q$  is the set of final states. We extend  $\delta$  to a function  $\delta : Q \times \Sigma^* \to Q$  as usual. A DFA  $\mathcal{D}$  accepts a word  $w \in \Sigma^*$  if  $\delta(q_0, w) \in F$ . The set of all words accepted by  $\mathcal{D}$  is the language  $L(\mathcal{D})$  of  $\mathcal{D}$ . If  $q \in Q$ , then the language  $L_q(D)$  of q is the language accepted by the DFA  $(Q, \Sigma, \delta, q, F)$ . A state is empty or dead or a sink if its language is empty. Two states p and q of  $\mathcal{D}$  are equivalent if  $L_p(\mathcal{D}) = L_q(\mathcal{D})$ . A state q is reachable if there exists  $w \in \Sigma^*$  such that  $\delta(q_0, w) = q$ . A DFA is minimal if all of its states are reachable and no two states are equivalent. A nondeterministic finite automaton (NFA) is a quintuple  $\mathcal{D} = (Q, \Sigma, \delta, I, F)$ , where  $Q, \Sigma$ , and F are defined as in a DFA,  $\delta : Q \times \Sigma \to 2^Q$  is the transition function, and  $I \subseteq Q$  is the set of initial states. An  $\varepsilon$ -NFA is an NFA in which transitions under the empty word  $\varepsilon$  are also permitted.

**Transformations** We use  $Q_n = \{0, \ldots, n-1\}$  as the set of states of every DFA with *n* states. A transformation of  $Q_n$  is a mapping  $t: Q_n \to Q_n$ . The image of  $q \in Q_n$  under *t* is *qt*. In any DFA, each letter  $a \in \Sigma$  induces a transformation  $\delta_a$  of the set  $Q_n$  defined by  $q\delta_a = \delta(q, a)$ ; we denote this by  $a: \delta_a$ . Often we use the letter *a* to denote the transformation it induces; thus we write *qa* instead of  $q\delta_a$ . We extend the notation to sets: if  $P \subseteq Q_n$ , then  $Pa = \{pa \mid p \in P\}$ . We also write  $P \xrightarrow{a} Pa$  to indicate that the image of *P* under *a* is *Pa*. If *s*, *t* are transformations of  $Q_n$ , their composition is (qs)t.

For  $k \ge 2$ , a transformation (permutation) t of a set  $P = \{q_0, q_1, \ldots, q_{k-1}\} \subseteq Q_n$  is a k-cycle if  $q_0 t = q_1, q_1 t = q_2, \ldots, q_{k-2} t = q_{k-1}, q_{k-1} t = q_0$ . As a transformation of  $Q_n$ , this k-cycle is denoted by  $(q_0, q_1, \ldots, q_{k-1})$ , and leaves the states in  $Q_n \setminus P$  unchanged. A 2-cycle  $(q_0, q_1)$  is called a *transposition*. A transformation that sends all the states of P to q and acts as the identity on the other states is denoted by  $(P \to q)$ , and  $(Q_n \to p)$  is called a *constant* transformation. If  $P = \{p\}$  we write  $(p \to q)$  for  $(\{p\} \to q)$ . The identity transformation is denoted by  $\mathbb{1}$ . Also,  $\binom{j}{i} q \to q + 1$  is a transformation that sends q to q + 1 for  $i \leq q \leq j$  and is the identity for the remaining states;  $\binom{j}{i} q \to q - 1$  is defined similarly.

Semigroups The syntactic congruence of  $L \subseteq \Sigma^*$  is defined on  $\Sigma^+$ : For  $x, y \in \Sigma^+$ ,  $x \approx_L y$  if and only if  $wxz \in L \Leftrightarrow wyz \in L$  for all  $w, z \in \Sigma^*$ . The quotient set  $\Sigma^+ / \approx_L$  of equivalence classes of  $\approx_L$  is the syntactic semigroup of L. Let  $\mathcal{D}_n = (Q_n, \Sigma, \delta, q_0, F)$  be a DFA, and let  $L_n = L(\mathcal{D}_n)$ . For each word  $w \in \Sigma^*$ , the transition function induces a transformation  $\delta_w$  of  $Q_n$  by w: for all  $q \in Q_n$ ,  $q\delta_w = \delta(q, w)$ . The set  $T_{\mathcal{D}_n}$  of all such transformations by non-empty words is a semigroup under composition called the transition semigroup of  $\mathcal{D}_n$ . If  $\mathcal{D}_n$  is a minimal DFA of  $L_n$ , then  $T_{\mathcal{D}_n}$  is isomorphic to the syntactic semigroup  $T_{L_n}$  of  $L_n$ , and we represent elements of  $T_{L_n}$  by transformations in  $T_{\mathcal{D}_n}$ . The size of the syntactic semigroup has been used as a measure of complexity for regular languages [4, 10, 13, 15].

Atoms are defined by a left congruence, where two words x and y are equivalent if  $ux \in L$  if and only if  $uy \in L$  for all  $u \in \Sigma^*$ . Thus x and y are equivalent if

 $x \in u^{-1}L$  if and only if  $y \in u^{-1}L$ . An equivalence class of this relation is an *atom* of L [9, 14].

One can conclude that an atom is a non-empty intersection of complemented and uncomplemented quotients of L. That is, every atom of a language with quotients  $K_0, K_1, \ldots, K_{n-1}$  can be written as  $A_S = \bigcap_{i \in S} K_i \cap \bigcap_{i \in \overline{S}} \overline{K_i}$  for some set  $S \subseteq Q_n$ . The number of atoms and their complexities were suggested as possible measures of complexity [4], because all the quotients of a language and the quotients of its atoms are unions of atoms [9].

Most Complex Regular Stream The stream  $(\mathcal{D}_n(a, b, c) \mid n \ge 3)$  of Definition 1 and Figure 1 will be used as a component in the class of proper prefixconvex languages. This stream together with some dialects meets the complexity bounds for reversal, star, product, and all binary boolean operations [7, 8]. Moreover, it has the maximal syntactic semigroup and most complex atoms, making it a most complex regular stream.

**Definition 1.** For  $n \ge 3$ , let  $\mathcal{D}_n = \mathcal{D}_n(a, b, c) = (Q_n, \Sigma, \delta_n, 0, \{n-1\})$ , where  $\Sigma = \{a, b, c\}$ , and  $\delta_n$  is defined by  $a: (0, \ldots, n-1), b: (0, 1), c: (1 \to 0)$ .

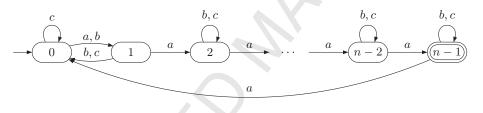


Figure 1: Minimal DFA of a most complex regular language.

Most complex streams are useful in systems dealing with regular languages and finite automata. To know the maximal sizes of automata that can be handled by a system it suffices to use the most complex stream to test all the operations.

#### 2. Proper Prefix-Convex Languages

We begin with some properties of prefix-convex languages that will be used frequently in this section. The following lemma and propositions characterize the classes of prefix-convex languages in terms of their minimal DFAs.

**Lemma 1.** Let L be a prefix-convex language over  $\Sigma$ . Either L is a right ideal or L has an empty quotient.

PROOF. Suppose that L is not a right ideal. If  $L = \emptyset$ , then  $\varepsilon^{-1}L = L$  is an empty quotient of L. If  $L \neq \emptyset$ , we cannot have  $w^{-1}L = \Sigma^*$  for all  $w \in L$ , because then L would be a right ideal. Hence there exists some  $w \in L$  such that  $w^{-1}L \neq \Sigma^*$ . Pick any  $x \in \Sigma^* \setminus w^{-1}L$ ; then  $w \in L$ , but  $wx \notin L$ . There cannot be a word  $y \in \Sigma^*$  such that  $wxy \in L$  because then wx would be in L by prefix convexity. Therefore,  $(wx)^{-1}L$  is an empty quotient.

**Proposition 2.** Let  $L_n$  be a regular language of complexity n, and let  $\mathcal{D}_n = (Q_n, \Sigma, \delta, 0, F)$  be a minimal DFA recognizing  $L_n$ . The following are equivalent:

- 1.  $L_n$  is prefix-convex.
- 2. For all  $p, q, r \in Q_n$ , if p and r are final, q is reachable from p, and r is reachable from q, then q is final.
- 3. Every state reachable in  $\mathcal{D}_n$  from any final state is either final or empty.

PROOF.  $(1 \implies 2)$  Assume 1 is true. Suppose there exist  $p, r \in F$  and  $q \in Q_n$ such that q is reachable from p and r is reachable from q. Let  $w, x, y \in \Sigma^*$  be such that  $0 \stackrel{w}{\rightarrow} p, p \stackrel{x}{\rightarrow} q$ , and  $q \stackrel{y}{\rightarrow} r$ . It follows that w and wxy are both in  $L_n$ , and thus wx is in  $L_n$  by prefix convexity. Since  $\delta(0, wx) = q$ , state q is final.  $(2 \implies 3)$  Assume 2 is true. Take any  $p \in F, q \in Q_n$ , and  $x \in \Sigma^*$  such that  $\delta(p, x) = q$ . If a final state r is reachable from q, then q is final by 2. Otherwise,

q is the empty state.

 $(\mathbf{3} \implies \mathbf{1})$  Assume **3** is true. Let w, x, and y be words in  $\Sigma^*$  such that  $w \in L_n$ and  $wxy \in L_n$ . There are states p, q, and r in  $Q_n$  such that  $\delta(0, w) = p \in F$ ,  $\delta(0, wx) = q$ , and  $\delta(0, wxy) = r \in F$ . State q cannot be empty because the final state r is reachable from q. Since q is reachable from final state p, it follows from **3** that q is final. Thus,  $wx \in L_n$ . Therefore  $L_n$  is prefix-convex.

**Proposition 3.** Let  $L_n$  be a non-empty prefix-convex language of complexity n, and let  $\mathcal{D}_n = (Q_n, \Sigma, \delta, 0, F)$  be a minimal DFA recognizing  $L_n$ .

- 1.  $L_n$  is prefix-closed if and only if  $0 \in F$ .
- 2.  $L_n$  is prefix-free if and only if  $\mathcal{D}_n$  has a unique final state p and an empty state p' such that  $\delta(p, a) = p'$  for all  $a \in \Sigma$ .
- 3.  $L_n$  is a right ideal if and only if  $\mathcal{D}_n$  has a unique final state p and  $\delta(p, a) = p$  for all  $a \in \Sigma$ .
- **PROOF.** 1. If  $L_n$  is prefix-closed and non-empty, then  $\varepsilon$  is a prefix of some word in  $L_n$ . Thus  $\varepsilon \in L_n$ , and so  $0 \in F$ . Conversely, suppose  $0 \in F$ . For any  $wx \in L_n$ , there are states  $q, r \in Q_n$  such that  $0 \xrightarrow{w} q \xrightarrow{x} r$ , and r is final. By Proposition 2, since  $0, r \in F$ , q is reachable from 0, and r is reachable from q, we have  $q \in F$ . Hence  $w \in L_n$ , and therefore  $L_n$  is prefix-closed.
  - 2. Suppose  $L_n$  is prefix-free. If  $q \in Q_n$  and  $p \in F$  are distinct and q is reachable from p, then q cannot be final as that would imply  $p \notin F$ . In particular, for any  $p \in F$  and  $a \in \Sigma$ ,  $\delta(p, a) \notin F$ . By Proposition 2,  $\delta(p, a)$  must be the empty state for all  $a \in \Sigma$ . Thus, the transitions from all final states are identical, and hence all final states are equivalent. By minimality,  $\mathcal{D}_n$  has a unique final state p, an empty state p', and  $\delta(p, a) = p'$  for all  $a \in \Sigma$ .

For the converse, suppose  $F = \{p\}, p' \in Q_n$  is an empty state, and  $\delta(p, a) = p'$  for all  $a \in \Sigma$ . Then  $w \in L_n$  if and only if  $\delta(0, w) = p$ . For all  $w \in L_n$  and  $a \in \Sigma$ , we have  $\delta(0, wa) = p'$ . Thus, whenever  $w \in L_n$  and  $wx \in L_n$ , we have  $x = \varepsilon$ . Therefore,  $L_n$  is prefix-free.

3. Suppose  $L_n$  is a right ideal. For all  $w \in L_n$  we have  $L_n \supseteq w\Sigma^*$ , and hence  $w^{-1}L_n \supseteq \Sigma^*$ , meaning that  $w^{-1}L_n = \Sigma^*$ . Hence, for any final state  $q \in F$  and  $x \in \Sigma^*$ ,  $\delta(q, x) \in F$ . This implies that all final states are equivalent. By minimality, there is a unique final state p. Since  $\delta(p, a) \in F$  for all  $a \in \Sigma$ , it follows that  $\delta(p, a) = p$  for all  $a \in \Sigma$ . For the converse, suppose  $F = \{p\}$  and  $\delta(p, a) = p$  for all  $a \in \Sigma$ . Then  $w \in L_n$  if and only if  $\delta(0, w) = p$ . Hence, for all  $w \in L_n$  and  $x \in \Sigma^*$ , we have  $\delta(0, wx) = p$ . Thus,  $w\Sigma^* \subseteq L_n$  for all  $w \in L_n$ , and so  $L_n = L_n\Sigma^*$ . Therefore,  $L_n$  is a right ideal.

A prefix-convex language L is proper if it is not a right ideal and it is neither prefix-closed nor prefix-free. We say it is k-proper if it has k final states,  $1 \leq k \leq n-2$ . Every minimal DFA for a k-proper language with complexity n has the same general structure: there are n-1-k non-final, non-empty states, k final states, and one empty state. Every letter fixes the empty state and, by Proposition 2, no letter sends a final state to a non-final, non-empty state.

Next we define a stream of k-proper DFAs and languages, which we will show to be most complex.

**Definition 2.** For  $n \ge 3$ ,  $1 \le k \le n-2$ , let  $\mathcal{D}_{n,k}(\Sigma) = (Q_n, \Sigma, \delta_{n,k}, 0, F_{n,k})$ where  $\Sigma = \{a, b, c_1, c_2, d_1, d_2, e\}$ ,  $F_{n,k} = \{n-1-k, \ldots, n-2\}$ , and  $\delta_{n,k}$  is given by the transformations

$$a: \begin{cases} (1, \dots, n-2-k)(n-1-k, n-k), & \text{if } n-1-k \text{ is even and } k \ge 2; \\ (0, \dots, n-2-k)(n-1-k, n-k), & \text{if } n-1-k \text{ is odd and } k \ge 2; \\ (1, \dots, n-2-k), & \text{if } n-1-k \text{ is odd and } k = 1; \\ (0, \dots, n-2-k), & \text{if } n-1-k \text{ is odd and } k = 1. \end{cases}$$

$$b: \begin{cases} (n-k, \dots, n-2)(0, 1), & \text{if } k \text{ is even and } n-1-k \ge 2; \\ (n-1-k, \dots, n-2)(0, 1), & \text{if } k \text{ is odd and } n-1-k \ge 2; \\ (n-k, \dots, n-2), & \text{if } k \text{ is odd and } n-1-k \ge 2; \\ (n-k, \dots, n-2), & \text{if } k \text{ is odd and } n-1-k = 1; \\ (n-1-k, \dots, n-2), & \text{if } k \text{ is odd and } n-1-k = 1. \end{cases}$$

$$c_1: \begin{cases} (1 \to 0), & \text{if } n-1-k \ge 2; \\ 1, & \text{if } n-1-k = 1. \end{cases}$$

$$c_2: \begin{cases} (n-k \to n-1-k), & \text{if } k \ge 2; \\ 1, & \text{if } k = 1. \end{cases}$$

$$d_1: (n-2-k \to n-1) \binom{n-3-k}{0} q \to q+1).$$

$$e: (0 \to n-1-k).$$

Also, let  $E_{n,k} = \{0, \ldots, n-2-k\}$ ; it is useful to partition  $Q_n$  into  $E_{n,k}$ ,  $F_{n,k}$ , and  $\{n-1\}$ . Letters a and b have complementary behaviours on  $E_{n,k}$  and  $F_{n,k}$ , depending on the parities of n and k. Letters  $c_1$  and  $d_1$  act on  $E_{n,k}$  in exactly the same way as  $c_2$  and  $d_2$  act on  $F_{n,k}$ . In addition,  $d_1$  and  $d_2$  send states n-2-k and n-2, respectively, to state n-1, and letter e connects the two parts of the DFA. The structure of  $\mathcal{D}_n(\Sigma)$  is shown in Figures 2 and 3 for certain parities of n-1-k and k. Let  $L_{n,k}(\Sigma)$  be the language recognized by  $\mathcal{D}_{n,k}(\Sigma)$ .

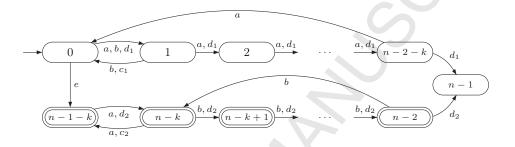


Figure 2: DFA  $\mathcal{D}_{n,k}(a, b, c_1, c_2, d_1, d_2, e)$  of Definition 2 when n - 1 - k is odd, k is even, and both are at least 2; missing transitions are self-loops.

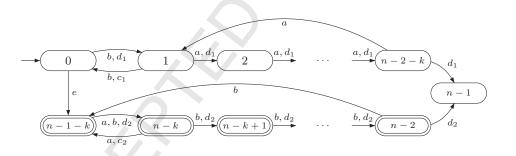


Figure 3: DFA  $\mathcal{D}_{n,k}(a, b, c_1, c_2, d_1, d_2, e)$  of Definition 2 when n - 1 - k is even, k is odd, and both are at least 2; missing transitions are self-loops.

**Theorem 4 (Proper Prefix-Convex Languages).** For  $n \ge 3$  and  $1 \le k \le n-2$ , the DFA  $\mathcal{D}_{n,k}(\Sigma)$  of Definition 2 is minimal and  $L_{n,k}(\Sigma)$  is a k-proper language of complexity n. The bounds below are maximal for k-proper prefix-convex languages. At least seven letters are required to meet these bounds.

- 1. The syntactic semigroup of  $L_{n,k}(\Sigma)$  has cardinality  $n^{n-1-k}(k+1)^k$ ; the maximal value  $n(n-1)^{n-2}$  is reached only when k = n-2.
- 2. The non-empty, non-final quotients of  $L_{n,k}(a, b, -, -, -, d_2, e)$  have complexity n, the final quotients have complexity k + 1, and  $\emptyset$  has complexity 1.

- The reverse of L<sub>n,k</sub>(a, b, -, -, -, d<sub>2</sub>, e) has complexity 2<sup>n-1</sup>; moreover, the language L<sub>n,k</sub>(a, b, -, -, -, d<sub>2</sub>, e) has 2<sup>n-1</sup> atoms for all k.
- 4. For each atom  $A_S$  of  $L_{n,k}(\Sigma)$ , write  $S = X_1 \cup X_2$ , where  $X_1 \subseteq E_{n,k}$  and  $X_2 \subseteq F_{n,k}$ . Let  $\overline{X_1} = E_{n,k} \setminus X_1$  and  $\overline{X_2} = F_{n,k} \setminus X_2$ . If  $X_2 \neq \emptyset$ , then  $\kappa(A_S) =$

$$1 + \sum_{x_1=0}^{|X_1|} \sum_{x_2=1}^{|X_1|} \sum_{y_1=0}^{|\overline{X_1}|} \sum_{y_2=0}^{|\overline{X_1}|} \sum_{y_2=0}^{|\overline{X_1}|+|\overline{X_2}|-y_1} \binom{n-1-k}{x_1} \binom{k}{x_2} \binom{n-1-k-x_1}{y_1} \binom{k-x_2}{y_2}.$$

If  $X_1 \neq \emptyset$  and  $X_2 = \emptyset$ , then  $\kappa(A_S) =$ 

$$1 + \sum_{x_1=0}^{|X_1|} \sum_{x_2=0}^{|X_1|-x_1} \sum_{y_1=0}^{|\overline{X_1}|} \sum_{y_2=0}^{k} \binom{n-1-k}{x_1} \binom{k}{x_2} \binom{n-1-k-x_1}{y_1} \binom{k-x_2}{y_2} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{n-1-k}{y} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{n-1-k}{y} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{n-1-k}{y} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{n-1-k}{y} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{k-x_2}{y_2} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{k-x_2}{y_2} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{k-x_2}{y_1} - 2^k \sum_{y=0}^{|$$

Otherwise,  $S = \emptyset$  and  $\kappa(A_S) = 2^{n-1}$ .

- 5. The star of  $L_{n,k}(a, b, -, -, d_1, d_2, e)$  has complexity  $2^{n-2} + 2^{n-2-k} + 1$ . The maximal value  $2^{n-2} + 2^{n-3} + 1$  is reached only when k = 1.
- 6.  $L'_{m,j}(a, b, c_1, -, d_1, d_2, e) L_{n,k}(a, d_2, c_1, -, d_1, b, e)$  has complexity  $m 1 j + j2^{n-2} + 2^{n-1}$ . The maximal value  $m2^{n-2} + 1$  is reached only when j = m 2.
- 7. For  $m, n \ge 3$ ,  $1 \le j \le m-2$ , and  $1 \le k \le n-2$ , define the languages  $L'_{m,j} = L'_{m,j}(a, b, c_1, -, d_1, d_2, e)$  and  $L_{n,k} = L_{n,k}(a, b, e, -, d_2, d_1, c_1)$ . For any proper binary boolean function  $\circ$ , the complexity of  $L'_{m,j} \circ L_{n,k}$  is maximal. In particular,
  - (a)  $L'_{m,j} \cup L_{n,k}$  and  $L'_{m,j} \oplus L_{n,k}$  have complexity mn.
  - (b)  $L'_{m,i} \setminus L_{n,k}$  has complexity mn (n-1).
  - (c)  $L'_{m,i} \cap L_{n,k}$  has complexity mn (m+n-2).

PROOF. The remainder of this paper is the proof of this theorem. The longer parts of the proof are separated into individual propositions and lemmas.

DFA  $\mathcal{D}_{n,k}(a, b, -, -, -, d_2, e)$  is easily seen to be minimal. Language  $L_{n,k}(\Sigma)$  is k-proper by Propositions 2 and 3.

- 1. See Lemma 5 and Proposition 6.
- 2. If the initial state of  $\mathcal{D}_{n,k}(a, b, -, -, -, d_2, e)$  is changed to  $q \in E_{n,k}$ , the new DFA accepts a quotient of  $L_{n,k}$  and is still minimal; hence the complexity of that quotient is n. If the initial state is changed to  $q \in F_{n,k}$  then states in  $E_{n,k}$  are unreachable, but the DFA on  $\{n-1-k, \ldots, n-1\}$  is minimal; hence the complexity of that quotient is k+1. The remaining quotient is empty, and hence has complexity 1. By Proposition 2, these are maximal.

- 3. See Proposition 7 for the reverse. It was shown in [9] that the number of atoms is equal to the complexity of the reverse.
- 4. See Proposition 8.
- 5. See Proposition 9.
- 6. See Proposition 10.
- 7. By [3, Theorem 2], all boolean operations on regular languages have the upper bound mn, which gives the bound for (a). The bounds for (b) and (c) follow from [3, Theorem 5]. Proposition 11 proves that all these bounds are tight for  $L'_{m,j} \circ L_{n,k}$ .

**Lemma 5.** Let  $n \ge 1$  and  $1 \le k \le n-2$ . For any permutation t of  $Q_n$  such that  $E_{n,k}t = E_{n,k}$ ,  $F_{n,k}t = F_{n,k}$ , and (n-1)t = n-1, there is a word  $w \in \{a, b\}^*$  that induces t on  $\mathcal{D}_{n,k}$ .

PROOF. Only a and b induce permutations of  $Q_n$ ; every other letter induces a properly injective map. Furthermore, a and b permute  $E_{n,k}$  and  $F_{n,k}$  separately, and both fix n - 1. Hence every  $w \in \{a, b\}^*$  induces a permutation on  $Q_n$ such that  $E_{n,k}w = E_{n,k}$ ,  $F_{n,k}w = F_{n,k}$ , and (n-1)w = n-1. Each such permutation naturally corresponds to an element of  $S_{n-1-k} \times S_k$ , where  $S_m$ denotes the symmetric group on m elements. To be consistent with the DFA, assume  $S_{n-1-k}$  contains permutations of  $\{0, \ldots, n-2-k\}$  and  $S_k$  contains permutations of  $\{n-1-k, \ldots, n-2\}$ . Let  $s_a$  and  $s_b$  denote the group elements corresponding to the transformations induced by a and b respectively. We show that  $s_a$  and  $s_b$  generate  $S_{n-1-k} \times S_k$ .

It is well known that  $(0, \ldots, m-1)$ , and (0, 1) generate the symmetric group on  $\{0, \ldots, m-1\}$  for any  $m \ge 2$ . Note that  $(1, \ldots, m-1)$  and (0, 1) are also generators, since  $(0, 1)(1, \ldots, m-1) = (0, \ldots, m-1)$ .

If n-1-k=1 and k=1, then  $S_{n-1-k} \times S_k$  is the trivial group. If n-1-k=1 and  $k \ge 2$ , then  $s_a = (\mathbb{1}, (n-1-k, n-k))$  and  $s_b$  is either  $(\mathbb{1}, (n-1-k, \ldots, n-2))$  or  $(\mathbb{1}, (n-k, \ldots, n-2))$ , and either pair generates the group. There is a similar argument when k=1.

Assume now  $n-1-k \ge 2$  and  $k \ge 2$ . If n-1-k is odd then  $s_a = ((0,\ldots,n-2-k),(n-1-k,n-k))$ , and hence  $s_a^{n-1-k} = ((0,\ldots,n-2-k)^{n-1-k},(n-1-k,n-k)^{n-1-k}) = (\mathbb{1},(n-1-k,n-k))$ . Similarly if n-1-k is even then  $s_a = ((1,\ldots,n-2-k),(n-1-k,n-k))$ , and hence  $s_a^{n-2-k} = (\mathbb{1},(n-1-k,n-k))$ . Therefore  $(\mathbb{1},(n-1-k,n-k))$  is always generated by  $s_a$ . By symmetry,  $((0,1),\mathbb{1})$  is always generated by  $s_b$  regardless of the parity of k.

Since we can isolate the transposition component of  $s_a$ , we can isolate the other component as well:  $(1, (n-1-k, n-k))s_a$  is either  $((0, \ldots, n-2-k), 1)$  or  $((1, \ldots, n-2-k), 1)$ . Paired with ((0, 1), 1), either element is sufficient to generate  $S_{n-1-k} \times \{1\}$ . Similarly,  $s_a$  and  $s_b$  generate  $\{1\} \times S_k$ . Therefore  $s_a$  and  $s_b$  generate  $S_{n-1-k} \times S_k$ . It follows that a and b generate all permutations t of  $Q_n$  such that  $E_{n,k}t = E_{n,k}$ ,  $F_{n,k}t = F_{n,k}$ , and (n-1)t = n-1.

**Proposition 6 (Syntactic Semigroup).** The syntactic semigroup of  $L_{n,k}(\Sigma)$  has cardinality  $n^{n-1-k}(k+1)^k$ , which is maximal for a k-proper language. Furthermore, seven letters are required to meet this bound. The maximum value  $n(n-1)^{n-2}$  is reached only when k = n-2.

PROOF. Let L be a k-proper language of complexity n and let  $\mathcal{D}$  be a minimal DFA recognizing L. By Lemma 1,  $\mathcal{D}$  has an empty state. By Proposition 2, the only states that can be reached from one of the k final states are either final or empty. Thus, a transformation in the transition semigroup of  $\mathcal{D}$  may map each final state to one of k + 1 possible states, while each non-final, non-empty state may be mapped to any of the n states. Since the empty state can only be mapped to itself, we are left with  $n^{n-1-k}(k+1)^k$  possible transformations in the transition semigroup of any k-proper language has size at most  $n^{n-1-k}(k+1)^k$ .

Now consider the transition semigroup of  $\mathcal{D}_{n,k}(\Sigma)$ . Every transformation t in the semigroup must satisfy  $F_{n,k}t \subseteq F_{n,k} \cup \{n-1\}$  and (n-1)t = n-1, since any other transformation would violate prefix-convexity. We show that the semigroup contains every such transformation, and hence the syntactic semigroup of  $L_{n,k}(\Sigma)$  is maximal.

First, consider the transformations t such that  $E_{n,k}t \subseteq E_{n,k} \cup \{n-1\}$  and qt = q for all  $q \in F_{n,k} \cup \{n-1\}$ . By Lemma 5, a and b generate every permutation of  $E_{n,k}$ . When t is not a permutation, we can use  $c_1$  to combine any states p and q: apply a permutation on  $E_{n,k}$  so that  $p \to 0$  and  $q \to 1$ , and then apply  $c_1$  so that  $1 \to 0$ . Repeat this method to combine any set of states, and further apply permutations to induce the desired transformation while leaving the states of  $F_{n,k} \cup \{n-1\}$  in place. The same idea applies with  $d_1$ ; apply permutations and  $d_1$  to send any states of  $E_{n,k}$  to n-1. Hence  $a, b, c_1$ , and  $d_1$  generate every transformation t such that  $E_{n,k}t \subseteq E_{n,k} \cup \{n-1\}$  and qt = q for all  $q \in F_{n,k} \cup \{n-1\}$ .

We can make the same argument for transformations that act only on  $F_{n,k}$ and fix every other state. Since  $c_2$  and  $d_2$  act on  $F_{n,k}$  exactly as  $c_1$  and  $d_1$  act on  $E_{n,k}$ , the letters  $a, b, c_2$ , and  $d_2$  generate every transformation t such that  $F_{n,k}t \subseteq F_{n,k} \cup \{n-1\}$  and qt = q for all  $q \in E_{n,k} \cup \{n-1\}$ . It follows that  $a, b, c_1$ ,  $c_2, d_1$ , and  $d_2$  generate every transformation t such that  $E_{n,k}t \subseteq E_{n,k} \cup \{n-1\}$ ,  $F_{n,k}t \subseteq F_{n,k} \cup \{n-1\}$ , and (n-1)t = n-1.

Note the similarity between this DFA restricted to the states  $E_{n,k} \cup \{n-1\}$  (or  $F_{n,k} \cup \{n-1\}$ ) and the witness for right ideals introduced in [7]. The argument for the size of the syntactic semigroup of right ideals is similar to this; see [10].

Finally, consider an arbitrary transformation t such that  $F_{n,k}t \subseteq F_{n,k} \cup \{n-1\}$  and (n-1)t = n-1. Let  $j_t$  be the number of states  $p \in E_{n,k}$  such that  $pt \in F_{n,k}$ . We show by induction on  $j_t$  that t is in the transition semigroup of  $\mathcal{D}$ . If  $j_t = 0$ , then t is generated by  $\Sigma \setminus \{e\}$ . If  $j_t \ge 1$ , there exist  $p, q \in E_{n,k}$  such that  $pt \in F_{n,k}$  and q is not in the image of t. Consider the transformations  $s_1$  and  $s_2$  defined by  $qs_1 = pt$  and  $rs_1 = r$  for  $r \ne q$ , and  $ps_2 = q$  and  $rs_2 = rt$  for  $r \ne p$ . Then  $(rs_2)s_1 = rt$  for all  $r \in Q_n$ . Notice that

 $j_{s_2} = j_t - 1$ , and hence  $\Sigma$  generates  $s_2$  by inductive assumption. One can verify that  $s_1 = (n - 1 - k, pt)(0, q)(0 \rightarrow n - 1 - k)(0, q)(n - 1 - k, pt)$ . From this expression, we see that  $s_1$  is the composition of transpositions induced by words in  $\{a, b\}^*$  and the transformation  $(0 \rightarrow n - 1 - k)$  induced by e, and hence  $s_1$  is generated by  $\Sigma$ . Thus, t is in the transition semigroup. By induction on  $j_t$ , it follows that the syntactic semigroup of  $L_{n,k}$  is maximal.

Now we show that seven letters are required to meet this bound. Two letters (like a and b) are required to generate the permutations, since clearly one letter is not sufficient. Every other letter will induce a properly injective map. A letter (like  $c_1$ ) that induces a properly injective map on  $E_{n,k}$  and permutes  $F_{n,k}$  is required. Similarly, a letter (like  $c_2$ ) that permutes  $E_{n,k}$  and induces a properly injective map on  $F_{n,k}$  is required. A letter (like  $d_1$ ) that sends a state in  $E_{n,k}$  to n-1 and permutes  $F_{n,k}$  is required. Similarly, a letter (like  $d_2$ ) that sends a state in  $F_{n,k}$  to n-1 and permutes  $E_{n,k}$  is required. Finally, a letter (like e) that connects  $E_{n,k}$  and  $F_{n,k}$  is required.

For a fixed n, we may want to know which  $k \in \{1, \ldots, n-2\}$  maximizes  $s_n(k) = n^{n-1-k}(k+1)^k$ ; this corresponds to the largest syntactic semigroup of a proper prefix-convex language with n quotients. We show that  $s_n(k)$  is largest at k = n-2. Consider the ratio  $\frac{s_n(k+1)}{s_n(k)} = \frac{(k+2)^{k+1}}{n(k+1)^k}$ . Notice this ratio is increasing with k, and hence  $s_n$  is a convex function on  $\{1, \ldots, n-2\}$ . It follows that the maximum value of  $s_n$  must occur at one the endpoints, 1 and n-2.

Now we show that  $s_n(n-2) \ge s_n(1)$  for all  $n \ge 3$ . We can check this explicitly for n = 3, 4, 5. When  $n \ge 6$ ,  $s_n(n-2)/s_n(1) = \frac{n}{2} \left(\frac{n-1}{n}\right)^{n-2} \ge 3(1/e) > 1$ ; so the largest syntactic semigroup of  $L_{n,k}(\Sigma)$  occurs only at k = n-2 for all  $n \ge 3$ .

**Proposition 7 (Reverse).** For any regular language of complexity n with an empty quotient, the reversal has complexity at most  $2^{n-1}$ . Moreover, the reverse of  $L_{n,k}(a, b, -, -, -, d_2, e)$  has complexity  $2^{n-1}$  for  $n \ge 3$  and  $1 \le k \le n-2$ .

PROOF. The first claim is left for the reader to verify. For the second claim, let  $\mathcal{D}_{n,k} = (Q_n, \{a, b, d_2, e\}, \delta_{n,k}, 0, F_{n,k})$  denote the DFA  $\mathcal{D}_{n,k}(a, b, -, -, -, d_2, e)$  in Definition 2 and let  $L_{n,k} = L(D_{n,k})$ . Construct an NFA  $\mathcal{N}$  recognizing the reverse of  $L_{n,k}$  by reversing each transition, letting the initial state 0 be the unique final state, and letting the final states in  $F_{n,k}$  be the initial states. Applying the subset construction to  $\mathcal{N}$  yields a DFA  $\mathcal{D}^R$  whose states are subsets of  $Q_{n-1}$ , with initial state  $F_{n,k}$  and final states  $\{G \subseteq Q_{n-1} \mid 0 \in G\}$ . We show that  $\mathcal{D}^R$  is minimal, and hence the reverse of  $L_{n,k}$  has complexity  $2^{n-1}$ .

Recall from Lemma 5 that a and b generate all permutations of  $E_{n,k}$  and  $F_{n,k}$  in  $\mathcal{D}_{n,k}$ . Although the transitions are reversed in  $\mathcal{D}^R$ , they still generate all such permutations. Let  $u_1, u_2 \in \{a, b\}^*$  be such that  $u_1$  induces  $(0, \ldots, n-2-k)$  and  $u_2$  induces  $(n-1-k, \ldots, n-2)$  in  $\mathcal{D}^R$ .

Consider a state  $U = \{q_1, \ldots, q_h, n-1-k, \ldots, n-2\}$  where  $0 \leq q_1 < q_2 < \cdots < q_h \leq n-2-k$ . If h = 0, then U is the initial state. When  $h \geq 1$ ,  $\{q_2 - q_1, q_3 - q_1, \ldots, q_h - q_1, n-1-k, \ldots, n-2\}eu_1^{q_1} = U$ . By induction, all such states are reachable.

Now we show that any state  $U = \{q_1, \ldots, q_h, p_1, \ldots, p_i\}$  where  $0 \leq q_1 < q_2 < \cdots < q_h \leq n-2-k$  and  $n-1-k \leq p_1 < p_2 < \cdots < p_i \leq n-2$  is reachable. If i = k, then  $U = \{q_1, \ldots, q_h, n-1-k, \ldots, n-2\}$  is reachable by the argument above. When  $0 \leq i < k$ , choose  $p \in F_{n,k} \setminus U$  and see that U is reached from  $U \cup \{p\}$  by  $u_2^{n-1-p} d_2 u_2^{p-(n-2-k)}$ . By induction, every state is reachable.

To prove distinguishability, consider distinct states U and V. Choose  $q \in U \oplus V$ . If  $q \in E_{n,k}$ , then U and V are distinguished by  $u_1^{n-1-k-q}$ . When  $q \in F_{n,k}$ , they are distinguished by  $u_2^{n-1-q}e$ . So  $\mathcal{D}^R$  is minimal.  $\Box$ 

**Proposition 8 (Atomic Complexity).** For each atom  $A_S$  of  $L_{n,k}(\Sigma)$ , write  $S = X_1 \cup X_2$ , where  $X_1 \subseteq E_{n,k}$  and  $X_2 \subseteq F_{n,k}$ . Let  $\overline{X_1} = E_{n,k} \setminus X_1$  and  $\overline{X_2} = F_{n,k} \setminus X_2$ . If  $X_2 \neq \emptyset$ , then  $\kappa(A_S) =$ 

$$1 + \sum_{x_1=0}^{|X_1|} \sum_{x_2=1}^{|X_1|+|X_2|-x_1} \sum_{y_1=0}^{|\overline{X_1}|} \sum_{y_2=0}^{|\overline{X_1}|+|\overline{X_2}|-y_1} \binom{n-1-k}{x_1} \binom{k}{x_2} \binom{n-1-k-x_1}{y_1} \binom{k-x_2}{y_2}$$

If  $X_1 \neq \emptyset$  and  $X_2 = \emptyset$ , then  $\kappa(A_S) =$ 

$$1 + \sum_{x_1=0}^{|X_1|} \sum_{x_2=0}^{|X_1|-x_1} \sum_{y_1=0}^{|X_1|} \sum_{y_2=0}^{k} \binom{n-1-k}{x_1} \binom{k}{x_2} \binom{n-1-k-x_1}{y_1} \binom{k-x_2}{y_2} - 2^k \sum_{y=0}^{|\overline{X_1}|} \binom{n-1-k}{y}.$$

Otherwise,  $S = \emptyset$  and  $\kappa(A_S) = 2^{n-1}$ . The atomic complexity is maximal for k-proper languages.

PROOF. Let L be a k-proper language with quotients  $K_0, K_1, \ldots, K_{n-1}$  where  $K_0, \ldots, K_{n-2-k}$  are non-final quotients,  $K_{n-1-k}, \ldots, K_{n-2}$  are final quotients, and  $K_{n-1} = \emptyset$ . For  $S \subseteq Q_{n-1}$ , we have  $A_S = \bigcap_{i \in S} K_i \cap \bigcap_{i \in \overline{S}} \overline{K_i}$ ; note  $n-1 \notin S$  since  $A_S$  must be non-empty.

The quotients are  $w^{-1}A_S = \bigcap_{i \in S} w^{-1}K_i \cap \bigcap_{i \in \overline{S}} \overline{w^{-1}K_i}$ . However  $w^{-1}K_i$ is always another quotient  $K_j$ . Thus  $w^{-1}A_S$  has the form  $J_{T,U} = \bigcap_{i \in T} K_i \cap \bigcap_{i \in U} \overline{K_i}$  where  $T = \{i \mid K_i = w^{-1}K_j \text{ for some } j \in S\}$  and  $U = \{i \mid K_i = w^{-1}K_j \text{ for some } j \in \overline{S}\}$ . For brevity, we write  $S \xrightarrow{w} T$  and  $\overline{S} \xrightarrow{w} U$ ; this notation is in agreement with the action of w on the states of  $\mathcal{D}_{n,k}$  corresponding to S and  $\overline{S}$ .

Notice  $n-1 \in U$  and if  $T \cap U \neq \emptyset$  then  $J_{T,U}$  is the empty quotient. Furthermore, for any word  $w, J_{T,U} \xrightarrow{w} J_{Tw,Uw}$ . To establish the upper bound, we just count the number of possible distinct  $J_{T,U}$  for each value of S.

Write  $S = X_1 \cup X_2$  where  $X_1 \subseteq E_{n,k}$  and  $X_2 \subseteq F_{n,k}$ , and let  $\overline{X_1} = E_{n,k} \setminus X_1$ and  $\overline{X_2} = F_{n,k} \setminus X_2$ . By Proposition 2 any word w maps  $X_1$  to a subset of  $Q_n$ and  $X_2$  to a subset of  $F_{n,k} \cup \{n-1\}$ . Similarly, w maps  $\overline{X_1}$  to a subset of  $Q_n$ ,  $\overline{X_2}$  to a subset of  $F_{n,k} \cup \{n-1\}$ , and n-1 to itself.

One can bound the number of non-empty quotients of  $A_S$  by counting the number of disjoint  $T, U \subseteq Q_n$  that could be reached from S and  $\overline{S}$  respectively by some transformation in the transition semigroup. Specifically, we require  $n-1 \in U, |T| \leq |S|, |U| \leq |\overline{S}|, |T \cap E_{n,k}| \leq |X_1|$ , and  $|U \cap E_{n,k}| \leq |\overline{X_1}|$ . Thus we have the initial estimate

$$\sum_{x_1=0}^{|X_1|} \sum_{x_2=0}^{|X_1|+|X_2|-x_1} \sum_{y_1=0}^{|\overline{X_1}|} \sum_{y_2=0}^{|\overline{X_1}|+|\overline{X_2}|-y_1} \binom{n-1-k}{x_1} \binom{k}{x_2} \binom{n-1-k-x_1}{y_1} \binom{k-x_2}{y_2},$$

where  $x_1$  counts  $|T \cap E_{n,k}|$ ,  $x_2$  counts  $|T \cap F_{n,k}|$ ,  $y_1$  counts  $|U \cap E_{n,k}|$ , and  $y_2$  counts  $|U \cap F_{n,k}|$ . With some refinements, this estimate leads to the three cases in the statement.

Note if  $S \neq \emptyset$  then  $T \neq \emptyset$ . Also, if  $X_2 \neq \emptyset$ , then any non-empty quotient  $J_{T,U}$  must have  $T \cap F_{n,k} \neq \emptyset$  since  $X_2$  cannot be mapped to n-1. In the corresponding equation of the statement, this has the effect that  $x_2$  cannot be 0. We must add 1 to account for the empty state, achieved when T and U intersect.

If  $X_1 \neq \emptyset$  and  $X_2 = \emptyset$ , then we cannot have  $x_1 = x_2 = 0$  since that would correspond to  $T = \emptyset$ ; the subtracted term in the statement is the value of the estimate when  $x_1 = x_2 = 0$ . As before, add 1 for the empty quotient.

Finally, if  $S = \emptyset$ , then  $T = \emptyset$  and  $U \subseteq Q_n$  with  $n - 1 \in U$ . There are  $2^{n-1}$  possible values of U. Hence  $\kappa(A_S) \leq 2^{n-1}$ . There is no need to add 1 because T and U cannot intersect; there is not necessarily an empty quotient. This yields the three cases in the statement.

It remains to prove that  $L_{n,k}(\Sigma)$  of Definition 2 meets this upper bound. Let the quotient  $K_q$  of  $L_{n,k}$  be the language accepted by state q in  $\mathcal{D}_{n,k}$ . We must show that every  $J_{T,U}$  can be reached from  $A_S$  by some word in  $\Sigma^*$ , and that every non-empty  $J_{T,U}$  is distinct from  $J_{T',U'}$  whenever  $(T,U) \neq (T',U')$ . By Proposition 6, the syntactic semigroup is as large as possible for k-proper languages. Hence, whenever  $n - 1 \in U$ ,  $|T| \leq |S|, |U| \leq |\overline{S}|, |T \cap E_{n,k}| \leq |X_1|$ , and  $|U \cap E_{n,k}| \leq |\overline{X_1}|$ , there is a word  $w \in \Sigma^*$  such that  $S \xrightarrow{w} T$  and  $\overline{S} \xrightarrow{w} U$ . Thus each quotient  $J_{T,U}$  counted by the upper bound is reachable in  $A_S$ .

Consider  $J_{T,U}$  where  $T \cap U = \emptyset$  and  $n-1 \in U$ . If  $T \neq \emptyset$  then there exists w such that  $T \xrightarrow{w} \{n-2\}$  and  $U \xrightarrow{w} \{n-1\}$ ; hence  $w \in J_{T,U}$  since  $\varepsilon \in K_{n-2}$ . If  $T = \emptyset$  choose w such that  $U \xrightarrow{w} \{n-1\}$ ; hence  $w \in J_{T,U}$ . Thus  $J_{T,U}$  is non-empty.

Now take  $J_{T',U'}$  where  $(T,U) \neq (T',U')$ ,  $T' \cap U' = \emptyset$  and  $n-1 \in U'$ . We must show that  $J_{T,U}$  and  $J_{T',U'}$  are distinct. If  $r \in T' \setminus T$ , then choose wthat maps  $r \to n-1$  in  $\mathcal{D}_{n,k}$ ;  $J_{Tw,Uw}$  is non-empty, since  $Tw \cap Uw = \emptyset$ , and  $J_{T'w,U'w} = \emptyset$  since  $n-1 \in T'w$ . Similarly, if T = T' and  $r \in U' \setminus U$ , then choose w that maps  $T \cup \{r\} \to \{n-2\}$  and  $Q_n \setminus (T \cup \{r\}) \to \{n-1\}$ . Then  $J_{Tw,Uw} = J_{\{n-2\},\{n-1\}}$  is non-empty and  $J_{T'w,U'w} = J_{\{n-2\},\{n-2,n-1\}} = \emptyset$ . Finally, if  $T = T' = \emptyset$  and  $r \in U' \setminus U$ , then distinguish  $J_{T,U}$  and  $J_{T',U'}$  by a word that sends  $r \to n-2$  and  $Q_n \setminus \{r\} \to \{n-1\}$ . Hence,  $J_{T,U}$  and  $J_{T',U'}$ are distinct. Therefore, the quotients of  $A_S$  counted in the upper bound are pairwise distinct and  $L_{n,k}$  has maximal atomic complexity.  $\Box$ 

**Proposition 9 (Star).** Let L be a regular language with  $n \ge 2$  quotients, including  $k \ge 1$  final quotients and one empty quotient. Then  $\kappa(L^*) \le 2^{n-2} + 2^{n-2-k} + 1$ . This bound is tight for proper prefix-convex languages; in particular, the language  $(L_{n,k}(a,b,-,-,d_1,d_2,e))^*$  meets this bound for  $n \ge 3$  and  $1 \le k \le n-2$ .

PROOF. Since L has an empty quotient, let n-1 be the empty state of its minimal DFA  $\mathcal{D}$ . To obtain an  $\varepsilon$ -NFA for  $L^*$ , we add a new initial state 0' which is final and has the same transitions as 0. We then add an  $\varepsilon$ -transition from every state in F to 0. Applying the subset construction to this  $\varepsilon$ -NFA yields a DFA  $\mathcal{D}' = (Q', \Sigma, \delta', \{0'\}, F')$  recognizing  $L^*$ , in which Q' contains non-empty subsets of  $Q_n \cup \{0'\}$ .

Many of the states of Q' are unreachable or indistinguishable from other states. Since there is no transition in the  $\varepsilon$ -NFA to 0', the only reachable state in Q' containing 0' is  $\{0'\}$ . As well, any reachable final state  $U \neq \{0'\}$  must contain 0 because of the  $\varepsilon$ -transitions. Finally, for any  $U \in Q'$ , we have  $U \in F'$  if and only if  $U \cup \{n-1\} \in F'$ , and since  $\delta'(U \cup \{n-1\}, w) = \delta'(U, w) \cup \{n-1\}$  for all  $w \in \Sigma^*$ , the states U and  $U \cup \{n-1\}$  are equivalent in D'.

Hence  $\mathcal{D}'$  is equivalent to a DFA with the states  $\{\{0'\}\} \cup \{U \subseteq Q_{n-1} \mid U \cap F = \emptyset\} \cup \{U \subseteq Q_{n-1} \mid 0 \in U \text{ and } U \cap F \neq \emptyset\}$ . This DFA has  $1 + 2^{n-1-k} + (2^{n-2} - 2^{n-2-k}) = 2^{n-2} + 2^{n-2-k} + 1$  states. Thus,  $\kappa(L^*) \leq 2^{n-2} + 2^{n-2-k} + 1$ .

This bound must apply when L is a prefix-convex language and  $n \ge 3$ : by Lemma 1, L is either a right ideal or has an empty state. If L is a right ideal, then  $\kappa(L^*) \le n+1$ , which is at most  $2^{n-2} + 2^{n-2-k} + 1$  for  $n \ge 3$ .

For the last claim, let  $\mathcal{D}_{n,k}(a, b, -, -, d_1, d_2, e)$  of Definition 2 be denoted by  $\mathcal{D}_{n,k} = (Q_n, \{a, b, d_1, d_2, e\}, \delta_{n,k}, 0, F_{n,k})$  and let  $L_{n,k} = L(D_{n,k})$ . We apply the same construction and reduction as before to obtain a DFA  $\mathcal{D}'_{n,k}$  recognizing  $L^*_{n,k}$  with states  $Q' = \{\{0'\}\} \cup \{U \subseteq E_{n,k}\} \cup \{U \subseteq Q_{n-1} \mid 0 \in U \text{ and } U \cap F_{n,k} \neq \emptyset\}$ . We show that the states of Q' are reachable and pairwise distinguishable.

By Lemma 5, a and b generate all permutations of  $E_{n,k}$  and  $F_{n,k}$  in  $\mathcal{D}_{n,k}$ . Choose  $u_1, u_2 \in \{a, b\}^*$  such that  $u_1$  induces  $(0, \ldots, n-2-k)$  and  $u_2$  induces  $(n-1-k, \ldots, n-2)$  in  $\mathcal{D}_{n,k}$ .

For reachability, we consider three cases. (1) State  $\{0'\}$  is reachable by  $\varepsilon$ . (2) Let  $U \subseteq E_{n,k}$ . For any  $q \in E_{n,k}$ , we can reach  $U \setminus \{q\}$  by  $u_1^{n-2-k-q}d_1u_1^q$ ; hence if U is reachable, then every subset of U is reachable. Observe that state  $E_{n,k}$  is reachable by  $eu_1^{n-2-k}d_2^k$ , and we can reach any subset of this state. Therefore, all non-final states are reachable. (3) If  $U \cap F_{n,k} \neq \emptyset$ , then  $U = \{0, q_1, q_2, \ldots, q_h, r_1, \ldots, r_i\}$  where  $0 < q_1 < \cdots < q_h \leq n-2-k$  and  $n-1-k \leq r_1 < \cdots < r_i < n-1$  and  $i \geq 1$ . We prove that U is reachable by induction on i. If i = 0, then U is reachable by (2). For any  $i \geq 1$ , we can reach U from  $\{0, q_1, \ldots, q_h, r_2 - (r_1 - (n-1-k)), \ldots, r_i - (r_1 - (n-1-k))\}$ by  $eu_2^{r_1-(n-1-k)}$ . Therefore, all states of this form are reachable.

Now we show that the states are pairwise distinguishable. (1) The initial state  $\{0'\}$  is distinguishable from any other final state U since  $\{0'\}u_1$  is non-final and  $Uu_1$  is final. (2) If U and V are distinct subsets of  $E_{n,k}$ , then there is some  $q \in U \oplus V$ . We distinguish U and V by  $u_1^{n-1-k-q}e$ . (3) If U and V are distinct

and final and neither one is  $\{0'\}$ , then there is some  $q \in U \oplus V$ . If  $q \in E_{n,k}$ , then  $Ud_2^k = U \setminus F_{n,k}$  and  $Vd_2^k = V \setminus F_{n,k}$  are distinct, non-final states as in (2). Otherwise,  $q \in F_{n,k}$  and we distinguish U and V by  $u_2^{n-1-q}d_2^{k-1}$ .

**Proposition 10 (Product).** For  $m, n \ge 3$ ,  $1 \le j \le m - 2$ , and  $1 \le k \le n - 2$ , the product of  $L'_{m,j}(a, b, c_1, -, d_1, d_2, e)$  and  $L_{n,k}(a, d_2, c_1, -, d_1, b, e)$  has complexity  $m - 1 - j + j2^{n-2} + 2^{n-1}$ .

PROOF. Let  $\mathcal{D}'_{m,j}$  and  $\mathcal{D}_{n,k}$  be the DFAs of Definition 2 for  $L'_{m,j}(a, b, c_1, -, d_1, d_2, e)$ and  $L_{n,k}(a, d_2, c_1, -, d_1, b, e)$  respectively. As before, take  $\mathcal{D}'_{m,j}$  to have the states  $Q'_m = \{0', 1', \ldots, (m-1)'\}$  and let  $E'_{n,k} = \{0', \ldots, (m-2-j)'\}$ . Using the standard construction of the  $\varepsilon$ -NFA  $\mathcal{N}$  for the product, we delete the empty state n-1, change the final states of  $\mathcal{D}'_{m,j}$  to non-final states, and add  $\varepsilon$ -transitions from each final state of  $\mathcal{D}'_{m,j}$  to the initial state of  $\mathcal{D}_{n,k}$ .

The subset construction on  $\mathcal{N}$  yields states of the form  $\{p'\} \cup S$ , where  $p' \in Q'_m$  and  $S \subseteq Q_{n-1}$ . However, some of these sets are not reachable in the product: if  $p' \in E'_{m,j}$  then we must have  $S = \emptyset$ , and if  $p' \in F'_{m,j}$  then  $0 \in S$  because of the  $\varepsilon$ -transitions in  $\mathcal{N}$ .

Thus, we have the states  $\{p'\}$  for  $p' \in E'_{m,j}$ ,  $\{p', 0\} \cup S$  for  $p' \in F'_{m,j}$  and  $S \subseteq Q_{n-1} \setminus \{0\}$ , and  $\{(m-1)'\} \cup S$  for  $S \subseteq Q_{n-1}$ . This totals to  $(m-1-j) + (j2^{n-2}) + (2^{n-1}) = m - 1 - j + j2^{n-2} + 2^{n-1}$  different states. We show that they are reachable and pairwise distinguishable.

State  $\{p'\}$  is reached by  $d_1^p$  for all  $p' \in E'_{m,j}$ . State  $\{(m-1-j)', 0\}$  is reached by *e*. For  $m-j \leq p \leq m-1$  we have  $\{(m-1-j)', 0\} \xrightarrow{d_2^{p-(m-1-j)}} \{p', 0, 1\}$  if  $n-1-k \geq 2 \xrightarrow{c_1} \{p', 0\}$ .  $\{p', 0\}$  if n-1-k=1

Now consider states of the form  $\{p', 0\} \cup T$  where  $p' \in F'_{m,j}$  and  $T \subseteq F_{n,k}$ . These states are reachable when  $T = \emptyset$ . Inductively assume the states are reachable when |T| < i for some  $i \ge 1$ . Let  $T_i = \{r_1, r_2, \ldots, r_i\}$  where  $n-1-k \le r_1 < r_2 < \cdots < r_i \le n-2$ , and let  $T_{i-1} = \{r_2 - (r_1 - (n-1-k)), \ldots, r_i - (r_1 - (n-1-k))\}$ . Then  $\{0\} \cup T_{i-1} \stackrel{e}{\to} \{n-1-k\} \cup T_{i-1} \stackrel{b^{r_1-(n-1-k)}}{\longrightarrow} T_i$ . Notice b induces a permutation on  $\mathcal{D}'_{m,j}$ , so for any  $p' \in F'_{m,j}$  there is a state  $q' \in F'_{m,j}$  such that  $q' \stackrel{eb^{r_1-(n-1-k)}}{\longrightarrow} p'$ . Thus,  $\{p', 0\} \cup T_i$  is reachable from  $\{q', 0\} \cup T_{i-1}$ .

Extend this to states of the form  $\{p', 0\} \cup S \cup T$ , where  $p' \in F'_{m,j}$ ,  $S \subseteq E_{n,k} \setminus \{0\}$ , and  $T \subseteq F_{n,k}$ . These states are reachable when  $S = \emptyset$ . Inductively assume the states are reachable when |S| < h for some  $h \ge 1$ . Let  $S_h = \{q_1, q_2, \ldots, q_h\}$  where  $1 \le q_1 < q_2 < \cdots < q_i \le n-2-k$ , and let  $S_{h-1} = \{q_2 - q_1, \ldots, q_h - q_1\}$ . Then  $\{p', 0\} \cup S_{h-1} \cup T \xrightarrow{d_1} \{p', 0, 1\} \cup (S_{h-1} + 1) \cup T \xrightarrow{(d_1c_1)^{q_1-1}} \{p', 0, q_1\} \cup (S_{h-1} + q_1) \cup T = \{p', 0\} \cup S_h \cup T$ . In the last derivation, S + c denotes the set  $\{q + c : q \in S\}$ .

State  $\{(m-1)', 0\} \cup S \cup T$  is reachable from  $\{(m-2)', 0\} \cup S \cup T$  by  $d_2^{\ell}$ , where  $\ell > 0$  is the order of  $d_2$  in  $\mathcal{D}_{n,k}$  (i.e.  $d_2^{\ell}$  induces the identity transformation on  $\mathcal{D}_{n,k}$ ).

Finally, state  $\{(m-1)'\} \cup S \cup T$  is reachable from  $\{(m-1)', 0\} \cup S \cup T$ : by Lemma 5, the permutation  $(0, 1, \ldots, n-2-k)$  of  $\mathcal{D}_{n,k}$  is generated by some  $u_1 \in \{a, d_2\}^*$ , and  $\{(m-1)', 0\} \cup S \cup T \xrightarrow{u_1^{n-2-k}} \{(m-1)', n-2-k\} \cup (S-1) \cup T \xrightarrow{d_1} \{(m-1)'\} \cup S \cup T$ . Thus all states are reachable.

We now check distinguishability in cases. Using Lemma 5, take words  $u_1, u_2 \in \{a, d_2\}^*$  such that  $u_1$  induces  $(0, 1, \ldots, n-2-k)$  and  $u_2$  induces  $(n-1-k, n-k, \ldots, n-2)$  on  $\mathcal{D}_{n,k}$ . Note  $u_1$  and  $u_2$  act on  $\mathcal{D}'_{m,j}$  as well.

- 1. Let  $U = \{(m-1)'\}$  and let V be any other state. Notice U is the empty state. We show that V is non-empty.
  - (a) If  $q \in V \cap Q_{n-1}$  then by the minimality of  $\mathcal{D}_{n,k}$  there is a word w such that  $qw \in F_{n,k}$ ; hence Vw is final.
  - (b) Otherwise  $V = \{p'\}$  for some  $p' \in E'_{m,j}$ . There is a word w such that  $p'w \in F'_{m,j}$ ; hence  $0 \in Vw$  and this reduces to Case (a).
- 2. Let  $U = \{p'\}$  and  $V = \{q'\}$  where  $p', q' \in E'_{m,j}$  and p < q. Then  $Vd_1^{m-1-j-q} = \{(m-1)'\}$  and  $Ud_1^{m-1-j-q}$  is non-empty by Case 1.
- 3. Let  $U = \{p'\}$  and  $V = \{q', 0\} \cup S$  where  $p' \in E'_{m,j}, q' \in F'_{m,j}$ , and  $S \subseteq Q_{n-1} \setminus \{0\}$ . Then U and V are distinguished by e.
- 4. Let  $U = \{p'\}$  and  $V = \{(m-1)'\} \cup S$  where  $p' \in E'_{m,j}$  and  $S \subseteq Q_{n-1}$ . If  $S = \emptyset$  this reduces to Case 1. If  $S \cap F_{n,k} \neq \emptyset$  then V is final. Otherwise there is some  $r \in S$ , and  $Vu_1^{n-1-k-r}e$  is final. Notice  $Uu_1^{n-1-k-r}e$  is non-final because  $u_1 \in \{a, d_2\}^*$ .
- 5. Let  $U = \{(m-1)'\} \cup S$  and  $V = \{(m-1)'\} \cup T$  where  $S \neq T \subseteq Q_{n-1}$ ; pick  $r \in S \oplus T$ . Without loss of generality, say  $r \in S \setminus T$ .
  - (a) If r = 0, then  $U \xrightarrow{b^k} U \setminus F_{n,k} \xrightarrow{e} U \setminus (\{0\} \cup F_{n,k}) \cup \{n-1-k\}$  and  $V \xrightarrow{b^k} V \setminus F_{n,k} \xrightarrow{e} V \setminus F_{n,k}$ .
  - (b) If  $r \in E_{n,k}$ , then we reduce to Case (a) by applying  $u_1^{n-1-k-r}$ .
  - (c) If r = n 1 k, then  $Ub^{k-1}$  is final and  $Vb^{k-1}$  is non-final.
  - (d) If  $r \in F_{n,k}$ , then we reduce to Case (c) by applying  $u_2^{n-1-r}$ .
- 6. Let  $U = \{p', 0\} \cup S$  and  $V = \{(m-1)'\} \cup T$  where  $p' \in F'_{m,j}$ , and  $S, T \subseteq Q_{n-1}$ . Notice  $Ud_1^{m-1-k}b^k$  is non-empty since p' is not mapped to (m-1)', but  $V \xrightarrow{d_1^{n-1-k}} \{(m-1)'\} \cup T \setminus E_{n,k} \xrightarrow{b^k} \{(m-1)'\}$ ; this reduces to Case 1.
- 7. Let  $U = \{p', 0\} \cup S$  and  $V = \{q', 0\} \cup T$  where  $p', q' \in F'_{m,j}$ , p < q, and  $S, T \subseteq Q_{n-1}$ . Reduce to Case 6 by applying  $d_2^{m-1-q}$ .
- 8. Let  $U = \{p', 0\} \cup S$  and  $V = \{p', 0\} \cup T$  where  $p' \in F'_{m,j}$  and  $S \neq T \subseteq Q_{n-1}$ . Pick  $r \in S \oplus T$  and assume without loss of generality that  $r \in S \setminus T$ .

- (a) If  $r \ge 2$ , then  $d_2^{m-1-p}$  fixes r and maps p' to (m-1)'; hence this reduces to Case 5.
- (b) If p = m 2, then apply  $d_2$  to reduce to Case 5. Notice  $Sd_2$  and  $Td_2$  are distinct since  $d_2$  induces a permutation on  $\mathcal{D}_{n,k}$ .
- (c) If r = 1 and  $n 1 k \ge 2$ , then applying  $d_1$  reduces to Case (a).
- (d) If r = 1 and n-1-k = 2, then observe that a and b both fix 1 in  $\mathcal{D}_{n,k}$ . By Lemma 5, there is a word  $w \in \{a, b\}^*$  such that p'w = (m-2)'. Since n-1-k=2, a and b do not alter  $E_{n,k}$ . Hence  $1 \in Sw$  and  $1 \notin Tw$ , so this reduces to Case (b).

**Proposition 11 (Boolean Operations).** For  $m, n \ge 3$ ,  $1 \le j \le m-2$ , and  $1 \le k \le n-2$ , let  $L'_{m,j} = L'_{m,j}(a, b, c_1, -, d_1, d_2, e)$  and let  $L_{n,k} = L_{n,k}(a, b, e, -, d_2, d_1, c_1)$  of Definition 2. For any proper binary boolean function  $\circ$ , the complexity of  $L'_{m,j} \circ L_{n,k}$  is maximal. In particular,

- 1.  $\kappa(L'_{m,i} \cup L_{n,k}) = \kappa(L'_{m,i} \oplus L_{n,k}) = mn.$
- 2.  $\kappa(L'_{m,j} \setminus L_{n,k}) = mn (n-1).$
- 3.  $\kappa(L'_{m,j} \cap L_{n,k}) = mn (m+n-2).$

PROOF. Let  $\mathcal{D}'_{m,j}$  and  $\mathcal{D}_{n,k}$  be the DFAs of Definition 2 for  $L'_{m,j}(a, b, c_1, -, d_1, d_2, e)$ and  $L_{n,k}(a, b, e, -, d_2, d_1, c_1)$  respectively. As before, take  $\mathcal{D}'_{m,j}$  to have the states  $Q'_m = \{0', 1', \ldots, (m-1)'\}$ . There is a standard construction for  $L'_{m,j} \circ L_{n,k}$  for any boolean set operation  $\circ$  in terms of the direct product. The direct product of  $\mathcal{D}'_{m,j}$  and  $\mathcal{D}_{n,k}$  has states  $Q'_m \times Q_n$ , initial state (0', 0), and transition function  $\delta$  such that  $\delta((p', q), w) = (\delta'_{m,j}(p', w), \delta_{n,k}(q, w))$ . If we set the final states to be  $(F'_{m,j} \times Q_n) \circ (Q'_m \times F_{n,k})$ , it is a DFA recognizing  $L'_{m,j} \circ L_{n,k}$ . For each  $\circ \in \{\cup, \oplus, \setminus, \cap\}$ , we construct the DFA  $\mathcal{D}_{\circ}$  to recognize  $L'_{m,j} \circ L_{n,k}$ . All four DFAs have the same states and transitions as the direct product and will only differ in the set of final states. The DFA  $\mathcal{D}_{\oplus}$  for symmetric difference is shown in Figure 4.

We can usefully partition the states of the direct product. Let  $W = E'_{m,j} \times E_{n,k}$ ,  $X = E'_{m,j} \times F_{n,k}$ ,  $Y = F'_{m,j} \times E_{n,k}$ ,  $Z = F'_{m,j} \times F_{n,k}$ , and  $S = W \cup X \cup Y \cup Z$ . Let  $R = \{(m-1)'\} \times Q_n$  and  $C = Q'_m \times \{n-1\}$ .

We check that every state in the direct product is reachable. Since  $\mathcal{D}_{\cup}$ ,  $\mathcal{D}_{\oplus}$ ,  $\mathcal{D}_{\backslash}$ , and  $\mathcal{D}_{\cap}$  have the same structure as the direct product, this argument will apply to them as well. By Lemma 5 there exist  $u_1, u_2 \in \{a, b\}^*$  such that  $u_1$  induces  $(0', \ldots, (m-2-j)')$  and  $u_2$  induces  $((m-1-j)', \ldots, (m-1)')$  in  $\mathcal{D}'_{m,j}$ . Note that  $u_1$  and  $u_2$  permute  $E_{n,k}$  and  $F_{n,k}$  in  $\mathcal{D}_{n,k}$ . Similarly, there exist  $v_1, v_2 \in \{a, b\}^*$  such that  $v_1$  induces  $(0, \ldots, n-2-k)$  and  $v_2$  induces  $(n-1-k, \ldots, n-1)$  in  $\mathcal{D}_{n,k}$ , and they permute  $E'_{m,j}$  and  $F'_{m,j}$  in  $\mathcal{D}'_{m,j}$ .

1. State  $(p',q) \in W$  is reachable since  $(0',0) \xrightarrow{d_1^p} (p',0) \xrightarrow{d_2^q} (p',q)$ .

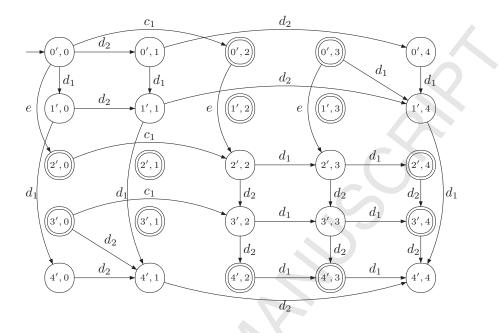


Figure 4: DFA  $\mathcal{D}_{\oplus}$  for symmetric difference of proper languages with DFAs  $\mathcal{D}'_{5,2}(a, b, c_1, -, d_1, d_2, e)$  and  $\mathcal{D}_{5,2}(a, b, e, -, d_2, d_1, c_1)$  shown partially.

- 2. State  $(p', 0) \in Y$  is reachable since  $(0', 0) \xrightarrow{e} ((m-1-j)', 0) \xrightarrow{(d_2e)^{p-(m-1-j)}} (p', 0)$ . An arbitrary  $(p', q) \in Y$  is then reached by  $v_1^q$  from some (r', 0) where  $r' \in F'_{m,j}$  is chosen so that  $r' \xrightarrow{v_1^q} p'$  in  $\mathcal{D}'_{m,j}$ .
- 3. State  $(p',q) \in X$  is reachable by symmetry with Case 2.
- 4. State  $(p',q) \in Z$  is reachable since  $(0',0) \xrightarrow{ec_1} ((m-1-j)', n-1-k) \xrightarrow{d_2^{p-(m-1-j)}} (p',n-1-k) \xrightarrow{d_1^{q-(n-1-k)}} (p',q).$
- 5. State  $(p', n-1) \in C$  is reachable since  $(0', 0) \xrightarrow{d_2^{n-1-k}} (0', n-1)$ , and p' is reachable in  $\mathcal{D}'_{m,j}$ .
- 6. State  $((m-1)', q) \in R$  is reachable by symmetry with Case 5.

Hence all states are reachable.

As a tool for distinguishability, we show that the states of S are distinguishable with respect to  $R \cup C$ ; that is, for any pair of distinct states in S, we show that there is a word that sends one state to  $R \cup C$  and leaves the other state in S. We check this fact in cases. Note that  $d_2$  fixes the states of X and  $d_1$  fixes the states of Y.

- 1. States of W and X are distinguished by words in  $d_2^*$ .
- 2. States of W and Y are distinguished by words in  $d_1^*$ .

- 3. States of X and Y are distinguished by words in  $d_1^*$ .
- 4. States of X and Z are distinguished by words in  $d_2^*$ .
- 5. States of Y and Z are distinguished by words in  $d_1^*$ .
- 6. To distinguish states of W and Z, we reduce to Case 5 by a word in  $u_1^*e$ .
- 7. Any two states of W are distinguished by a word in  $d_1^*$  if they differ in the first coordinate, or by a word in  $d_2^*$  if they differ in the second coordinate.
- 8. Any two states of Z are distinguished by a word in  $d_2^*$  if they differ in the first coordinate, or by a word in  $d_1^*$  if they differ in the second coordinate.
- 9. To distinguish two states of X, reduce to Case 4 by a word in  $u_1^*e$  if they differ in the first coordinate, or reduce to Case 8 by a word in  $u_1^*e$  if the first coordinate is the same.
- 10. Any two states of Y are distinguishable by symmetry with Case 9.

Now we determine which states are pairwise distinguishable with respect to the final states of  $\mathcal{D}_{\circ}$  for each  $\circ \in \{\cup, \oplus, \setminus, \cap\}$ . Let  $w = (u_1 e)^{m-1-j} (v_1 c_1)^{n-1-k}$ ; observe that w maps every state of S to a state of Z.

 $\cup, \oplus$ : In  $\mathcal{D}_{\cup}, (p', q)$  is final if  $p' \in F'_{m,j}$  or  $q \in F_{n,k}$ . In  $\mathcal{D}_{\oplus}, (p', q)$  is final if  $p' \in F'_{m,j}$  and  $q \notin F_{n,k}$  or  $p' \notin F'_{m,j}$  and  $q \in F_{n,k}$ . We show that all mn states are pairwise distinguishable in both cases.

The states of R are pairwise distinguishable by the minimality of  $\mathcal{D}_{n,k}$ . Similarly, the states of C are pairwise distinguishable by the minimality of  $\mathcal{D}'_{m,j}$ . The states of R and C are distinguishable by  $wd_1^k$ , since  $R \setminus \{((m-1)', n-1)\} \xrightarrow{w} \{(m-1)'\} \times F_{n,k} \xrightarrow{d_1^k} \{(m-1)', n-1\}$  and  $C \setminus \{((m-1)', n-1)\} \xrightarrow{w} F'_{m,j} \times \{n-1\} \xrightarrow{d_1^k} F'_{m,j} \times \{n-1\}$ . The states of C and S are distinguishable since  $S \xrightarrow{w} Z \xrightarrow{d_2^j} \{(m-1)'\} \times F_{n,k} \subseteq R$ , and we can distinguish states of Rand C. The states of R and S are similarly distinguishable. Finally, states of Sare pairwise distinguishable because they can be distinguished with respect to  $R \cup C$ , and we can distinguish states of S and  $R \cup C$ .

: In  $\mathcal{D}_{\backslash}$ , (p',q) is final if  $p' \in F'_{m,j}$  and  $q \notin F_{n,k}$ . The states of R are all empty, and the remaining states are pairwise distinguishable for a total of mn - (n-1) distinguishable states.

The states of C are pairwise distinguishable by the minimality of  $\mathcal{D}'_{m,i}$ . The

states of C and S are distinguishable since  $S \xrightarrow{w} Z \xrightarrow{d_2^2} \{(m-1)'\} \times F_{n,k} \subseteq R$ , and every state in R is empty. Finally, states of S are pairwise distinguishable because they can be distinguished with respect to  $R \cup C$ , and we can distinguish states of S and  $R \cup C$ .

 $\cap$ : In  $\mathcal{D}_{\cap}$  the final state set is Z. The states of  $R \cup C$  are all empty, leaving mn - (m + n + 2) distinguishable states. The states of S are non-empty since  $S \xrightarrow{w} Z$ . We can distinguish the states of S with respect to  $R \cup C$ ; hence they are pairwise distinguishable.

#### 3. Conclusions

The bounds for prefix-convex languages (see also [8]) are summarized in Table 1. The largest bounds are shown in boldface type, and they are reached either in the class of right-ideal languages or the class of proper languages. Recall that for regular languages we have the following results: semigroup  $n^n$ , reverse  $2^n$ , star  $2^{n-1} + 2^{n-2}$ , product  $m2^n - 2^{n-1}$ , boolean operations mn.

refer to t	efer to the number of final quotients of the languages of complexity $m$ and $n$ , respectively.					
	Right-Ideal	Prefix-Closed	Prefix-Free	Proper		
SeGr	$n^{n-1}$	$n^{n-1}$	$n^{n-2}$	$n^{n-1-k}(k+1)^k$		

Table 1: Complexity of prefix-convex languages. For proper languages, the variables j and k

	0			Å
SeGr	n <sup>n-1</sup>	$n^{n-1}$	$n^{n-2}$	$n^{n-1-k}(k+1)^k$
Rev	$2^{n-1}$	$2^{n-1}$	$2^{n-2} + 1$	$2^{n-1}$
Star	n + 1	$2^{n-2} + 1$	n	$2^{n-2} + 2^{n-2-k} + 1$
Prod	$m + 2^{n-2}$	$(m+1)2^{n-2}$	m+n-2	$m - 1 - j + j2^{n-2} + 2^{n-1}$
U	mn - (m + n - 2)	mn	mn-2	mn
$\oplus$	mn	mn	mn-2	mn
\	$\mathbf{mn} - (\mathbf{m} - 1)$	$\mathbf{mn} - (\mathbf{n-1})$	mn - (m + 2n - 4)	$\mathbf{mn} - (\mathbf{n-1})$
Π	mn	mn - (m + n - 2)	mn - 2(m+n-3)	mn - (m + n - 2)

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