The tear ferning test: a simple clinical technique to evaluate the ocular tear film

Ali Masmali[*,†]

Christine Purslow[†]

Paul J Murphy[¶]

[*] Cornea Research Chair (CRC), Optometry Department, College of Applied Medical Sciences, King Saud University, Riyadh, Saudi Arabia

[†] Contact Lens and Anterior Eye Research (CLAER) Unit, School of Optometry and Vision Sciences, Cardiff University, Cardiff, United Kingdom

[¶] School of Optometry and Vision Science, University of Waterloo, Waterloo, Canada

Running title: The Tear Ferning Test

Corresponding author

Dr. Ali M. Masmali

King Saud University

School of Applied Medical Sciences

Optometry Department

Cornea Research Chair (CRC)

P.O. Box 10219, Riyadh 11433

Saudi Arabia

amasmali@ksu.edu.sa

Tel: +966-1-4693547

Fax: +966-1-4693536

None of the authors has any proprietary interest in this manuscript.

A healthy tear film is very important for many major functions of the ocular surface. Dry eye disease is a significant clinical problem that needs to be solved, but the poor correlation between clinical signs and reported symptoms makes it difficult for the clinician to apply a scientific evidence basis to his clinical management. The problem is compounded by the difficulties of evaluating the tear film due to its transparency, small volume and complex composition. Practical insight into tear film composition would be very useful to the clinician for patient diagnosis and treatment, but detailed analysis is restricted to expensive, laboratory-based systems. There is a pressing need for a simple test. The tear ferning test is a laboratory test, but it has the potential to be applied in the clinic setting to investigate the tear film in a simple way. Drying a small sample of tear fluid onto a clean, glass microscope slide produces a characteristic crystallisation pattern, described as a "tear fern". This test is currently not widely used because of some limitations that need to be overcome, but several studies have demonstrated its potential. Such limitations need to be resolved so that tear ferning could be used in clinic setting to assess the tear film.

THE TEAR FILM

The pre-ocular tear film is a thin, complex and moist layer, which covers the cornea and bulbar and palpebral conjunctivae.[1] It is frequently described as a tri-laminar structure that contains layers, of complex chemistry, of a superficial lipid layer, an intermediate aqueous layer, and an underlying mucous layer.[2,3] The composition and structure of the tear film change with eye closure, age, conjunctival stimulation, tear flow rate[4] and ocular surface disease.[5]

The superficial lipid layer is thin and oily,[6] around 0.1 µm thick,[7] and derived mainly from the tubuloacinar meibomian glands in the upper and lower eyelids.[8] It contains a mixture of triacylglycerols, fatty acids and free sterols.[9] It is believed that such layer has two phases. The outer phase is thick and contains non-polar lipids such as hydrocarbons, triglycerides, sterol esters and wax esters, while the inner phase is thin and contains polar, lipids such as phospholipids.[5,10] Distributing and spreading of the lipid layer occurs with eyelid blinking. The main function of the lipid layer is to prevent evaporation of the aqueous phase from the pre-ocular surface.[2] Also, it prevents contamination of the ocular surface tear film by polar lipids of the skin surrounding the palpebral aperture.[11]

According to Wolff's[2] model, the aqueous layer is the major constituent of the tear film and composed of aqueous tears with a thickness of 6 to 10 µm.[7] Its components include numerous electrolytes, proteins, vitamins, peptide growth factors, hormones, anti-microbials, immunoglobulins and cytokines. These components protect the ocular surface, maintain the tear film structure, provide ocular defence against infection agents, moderate osmolality of the tears and working as a buffer to maintain the pH. Also, they transmit

messages between the epithelial ocular surface tissues by lowering the tension of the ocular surface and allow the tear film to spread smoothly.[1,5,12,13] The aqueous layer originates from the main lacrimal gland, the accessory glands of Wolfring, and the accessory glands of Krause.[14]. There are more than 60 different proteins, mainly secreted by the acinar cells of the lacrimal glands, have been detected in the human tear film.[15] The total tear protein concentration measured experimentally is highly dependent on the type of collection method used.[16] For example, 6–20 g/l has been found with non-stimulated tears using a capillary pipette, whereas 3–7 g/l have been found with stimulated tears.[17,18] The main proteins of the tear film are lysozyme, lactoferrin, lipocalin, and immunoglobulin A (sIgA), which are secreted in response to an intracellular stimulus.[20,21] Other proteins reported to occur in non-stimulated tears such as serum albumin, IgG, ceruloplasmin, transferrin, and monomeric IgA.[5]

The tear film aqueous layer contains a large variety of ions, including sodium, potassium, magnesium, calcium, chloride, bicarbonate and phosphate ions. These ions are highly influential on the osmolality of tears[12,13] and have an important role in the maintenance of epithelial integrity.[21] They also work as a buffer to maintain the pH.[22] The electrolyte concentration is very important, because a high concentration is a feature of dry eye,[23] and could cause damage to the ocular surface.[5] The sodium and potassium ions are the main positively-charged ions (cations) in tears, while chloride and bicarbonate are the main negative ions (anions). Sodium is the main cationic constituent of the aqueous and vitreous humour, and while the concentration of potassium in the cornea is much less than sodium, sodium and potassium both play an essential role in osmotic regulation. Any

change in the sodium level produces an opposite change in the potassium level. Chloride and bicarbonate ions regulate the sodium and potassium ions concentration in order to buffer the tear film.

The mucous layer forms the posterior layer of the tear film, adjacent to the corneal epithelium, and contains mucins and inorganic salts suspended in water. Holly and Lemp[3] contend that the mucous layer is not strictly a part of the aqueous fluid film since it is highly hydrated in a semi-solid state. The mucous layer has been described as a sponge which consists mainly of high molecular weight glycoproteins with a high carbohydrate-to-protein ratio. Ocular mucins are mainly secreted by the conjunctival goblet cells, with a small proportion secreted by the stratified epithelial cells of the ocular surface.[24–27] Ocular mucous fulfils many functions, including lubrication and helping the eyelid margins and palpebral conjunctiva to slide smoothly during blinking and ocular movements. It also protects the cornea and conjunctiva from abrasion by covering foreign bodies with a slippery coating of mucous and providing wetness to the ocular surface through the function of the ocular glycoproteins in glycocalyx formation.[25] Mucins are classified into two types: *trans*-membrane and secretory mucins, with secretory mucins further sub-divided into gel-forming and soluble types.[27] *trans*-membrane mucins are expressed by conjunctival and corneal epithelial cells[28-30] gel-forming secretory mucins are secreted by the conjunctival goblet cells.[28] Soluble secretory mucins are secreted by the lacrimal glands and conjunctiva.[31]

DRY EYE

The quantity and quality of the tear film composition are important for maintaining the ocular surface and good vision. Absence or degeneration in the quantity and/or quality of the tear film leads to a chronic tear film problem and, ultimately, dry eye. Dry eye is a very common eye complaint throughout the world. Various studies indicated that up to 20% of adults aged 45 years or more are believed to suffer from dry eye symptoms.[32] In the elderly, dry eye is amongst the most common ocular abnormalities,[33] and is encountered daily in optometric practice around the world.

The Subcommittee of the International Dry Eye Workshop[34] revised the definition of dry eye to be: "*Dry eye is a multi-factorial disease of the tears and ocular surface that results in symptoms of discomfort, visual disturbance, and tear film instability, with potential damage to the ocular surface. It is accompanied by increased osmolarity of the tear film and inflammation of the ocular surface''*.

Although it is well established that there are different sub-types of dry eye, it is not known if tear ferning can differentiate between aqueous deficient and evaporative dry eye. In this paper, the term 'dry eye' has been used to describe all types of dry eye.

The causes of dry eye are multi-factorial and can be related to loss of function of the tear film and the ocular surface components.[32, 35] Consequently, dry eye is often classified as tear-deficient dry eye or evaporative dry eye. Tear–deficient dry eye is caused by a deficiency in aqueous tear secretion, as in Sjögren's Syndrome (SS) and non- Sjögren's Syndrome, and lacrimal gland dysfunction is the common feature of this condition.[36, 37] As a result, tear hyperosmolarity occurs because of the loss of the aqueous tear component. [37]

Evaporative dry eye is caused by excessive evaporation. Evaporative dry eye is more common than tear-deficient dry eye [38], and as such is the most common form of dry eye. [39] The main cause of this type of dry eye is believed to be meibomian gland dysfunction,[38] but can also be seen with blepharitis, ocular mucin deficiency, blink disorders, disorders of the lid aperture, ocular surface disorders, and other tear film disorders, such as contact lens induced dry eye.[40] Evaporative dry eye causes are either intrinsic, since they are due to intrinsic disease affecting the lid such as meibomian gland dysfunction, low blink rate or lid disorders; or extrinsic and are due to the changes in the ocular surface (including vitamin A deficiency, chronically applied topical anaesthetics and preservatives), contact lens wear, and ocular surface disease (allergic eye disease).[34, 36, 37] The boundary between the intrinsic and extrinsic categories is not clear. [34] but intrinsic causes are more common for evaporative dry eye.

Dry eye has different subjective symptoms, but most are similar to a foreign-body sensation, irritation, burning, blurring of vision, itching, tired eyelids, and photophopia. Closing the eyes can reduce some of these symptoms.[31] Dry eye is usually associated with variable reported symptoms which can be identified by a defined list of questions, and that can result in straightforward comparisons between patients and visits.[36]

The diagnosis of dry eye cannot be made by symptoms alone, because some of these symptoms can be caused by other conditions, and there is no single test of tear function in the clinic that can give a definitive diagnosis of dry eye.[13,32] Current tests are numerous and vary widely in their specificity and sensitivity.[41] Several tests are often used by combining the assessment of tear film stability by measurement of tear film break-up

time (BUT) or using the Tearscope (Keeler Ltd, Windsor, UK); the assessment of corneal desiccation using staining agents such as rose Bengal or fluorescein; the assessment of tear volume using the Schirmer and phenol red thread tests along with measurement of tear meniscus height.

The poor correlation that has been reported between the clinical signs and symptoms of dry eye [42] means that there is often a lack of confidence in the diagnosis of dry eye, which may have an effect on the appropriate treatment being selected. This probably is a consequence of the limited number of valid tests available to clinicians for dry eye. It is possible that tear ferning may help with this, since symptoms may be related to tear osmolarity [36], which tear ferning can describe. Furthermore, the prevalence of dry eye will increase as the proportion of people over 60 years of age grows; it is well recognised that dry eye is not a trivial complaint and needs to be solved.[32]

Investigation of the tear film is challenging due to its small volumes available for analysis, and its transparent and dynamic nature. Furthermore, the unstable nature of a tear sample over time makes it a challenge for both science researchers and clinicians to decode the irregular and inadequate tear film components.[43]

Clinicians and scientists recognised that biochemical analysis of osmolarity and key components in a tear sample is the way forward, but the small volumes involved make biochemical analysis particularly challenging. The first commercial device to measure the tear film osmolarity in the clinical setting was only available recently, although its precision and reproducibility is still emerging (TearLab, TearLab Corporation, San Diego, California, USA). Other laboratory techniques can be used to evaluate some components of the tear film, such as mucins, but none of these techniques can be used under clinical conditions.[44]

A simple, tear film test that is quick and inexpensive to perform, and can indicate the biochemical properties of the tear film would be very useful.

SAMPLE FERNING

When a sample of body fluid, such as the tear film or saliva, is dried on a glass microscope slide, a crystallisation pattern, in the form of a fern, is produced (Figure 1). This phenomenon can occur with many body fluids and follows a characteristic formation process. The crystallisation begins with the formation of a nucleus, which consists of a regularly arranged number of ions. The nucleus is formed by aggregation as result of super saturation of dissolved ions due to solvent evaporation at the peripheral edges of the drop.[45] Each nucleus has the ability to grow into a large crystal unit where more ions were involved. When the sample solute is able to diffuse into areas with a lower solute concentration area, normal crystals can form. The process requires a slow crystals growth rate, low solution viscosity and low impurity levels to permit free solute diffusion.

Figure 1 here

The absence of such conditions can lead to dendritic crystal growth.[46] In this situation the stems grow longer and branched at regular intervals along the main stem; however the reason for this regularity is not understood.[45] Dendritic growth can be promoted by increasing the evaporation rate, reducing atmospheric humidity, increasing the drying temperature, or presence of low concentration of impurities. Such factors speed the crystallisation process, although the process could be slow with solute high concentration.

The first tear crystallisation was reported by Fourcroy and Vauquelin in 1791.[47] The crystallisation phenomenon remained unstudied until 1946, when was observed by Papanicolaou during studying cervical mucus.[48] Since then, ferning patterns have been used in a number of medical areas such as obstetrics and gynaecology to correlate vaginal and cervical mucus with the menstrual cycle,[49] in early pregnancy,[50,51] to assess the cervical mucus properties[52] and to study changes in the conformation in ferning patterns and crystals during the menstrual cycle.[53,54] Also, ferning has been used to study oestrogen activity and ovulation,[51,53,55,56] and to determine the occurrence of ovulation.[57]

It has also been used to test saliva,[58] to correlate salivary ferning and the fertile period,[59] and to consider the observation of salivary ferning as a new technique for determining the fertile period,[60] and using salivary ferning in ovulation detection in family planning.[61] However, some work has suggested that salivary ferning is an unreliable method to establish a women's fertile period,[62] and not associated with ovulation.[63] The validity of saliva ferning test has also been investigated in the diagnosis of dry mouth in primary or secondary Sjögren's Syndrome [64] and its diagnosis.[65,66]

TEAR FERNING TEST

Since the pattern of the tear fern depends on the composition of the tear sample, tear ferning has been suggested as a simple test for tear film quality at a gross biochemical level. Different techniques, such as glass capillary tubes, spatula, glass rod, cellulose acetate filter rods and Schirmer papers, can be used to collect tears (about 5−20 µl) from the eye (Figure 2), and then the collected tears are transferred immediately to a small

centrifuge plastic tube (0.5 ml or less). A sample (1−2 µl) is then pipetted onto a clean

microscope glass slide and allowed to dry for 7−10 minutes under normal room

temperature (20−26 °C) and room humidity (rH ≤50%). The slide then can be observed

under light or digital microscope with different magnification (X≥ 10).

Figure 2 here

Depending on the tear film composition, a variety of ferning patterns can be

observed; healthy tear sample produce full dense ferning patterns (Figure 3A), while the

phenomenon of ferning pattern is fragmented or absent in a dry eye sample (Figure 3B).

Tabbara and Okumoto[67] were the first to report the use of ferning as a qualitative test for

ocular tear deficiency. Abnormal tear ferning was found in 91% of patients with various

forms of acute conjunctivitis, and a significant absence of ferning was noted in patients

with diffuse conjunctival cicatrisation. These results suggested that tear ferning could be

used as a simple and inexpensive test to evaluate the ocular tear film clinically.

Figure 3 here

The exact nature of what determines the pattern seen is still not fully understood.

Tabbara and Okumoto[67] were the first to call tear ferning as the 'ocular mucous ferning

test', then Rolando[68] called it the 'tear mucous ferning test' when he presented his grading

scale. This terminology suggested a causal link between tear ferning pattern and the ocular mucins.

Pearce et al.[69] investigated the spatial location of organic (sulphur-containing) molecules in the tear fern and proposed a hypothesis of how ferning occurs. When the tear drop was allowed to dry, water evaporation lead to an increase in the solutes concentration and proteins can no longer to stay in solution and deposited at the margin of the drop. As the evaporation continues, the salt concentration also increases and spontaneous fern-like crystal formations appear. As the macromolecules, like mucin and protein, have been deposited at the margin of the drop, their absence removes any impedance to fern crystal growth. They suggested that macromolecules such as mucins and proteins are not in the actual fern structure and so do not play a direct role in the formation of the ferns, and as a result, the term 'tear fern' is preferred instead of 'mucous fern'.

Hyperosmolarity has also been reported to affect the ferning patterns produced by deteriorating and modifying the ferning pattern, and so the tear ferning test has been suggested as being useful in the detection of hyperosmolarity in the tear film.[70] This suggests a direct role for electrolytes in ferning. Kogbe and colleagues described the ferning phenomenon and the fern branching pattern as being reliant on the electrolyte concentration, particularly the ratio of monovalent sodium and potassium ions to divalent calcium and magnesium ions, and the ratio of the ions to proteins.[44] X-ray and electron microscopic scanning of the structural composition of tear ferns has shown that tear fern crystals are composed of sodium and potassium chloride, with proteinaceous material controlling crystallisation indirectly by coating crystal faces and blocking fern extension,

and so the ratio of salt to macromolecular species does appear to be important in the determination of tear ferning.[71]

The shift in the salt-to-macromolecule ratio is the most convincing theory and helps to explain the reduction of ferning quality and quantity in dry eye, where osmolality is raised and combined with a reduced concentration of proteins and mucins. In this situation, the concentrations of the chemical species involved in crystallisation are critically altered, making dendritic growth no longer favourable.[45]

Many factors can promote rapid crystallisation and ferning, such as evaporation rate, temperature, mucous content, impurities, surface drying area, and sample viscosity. The slow drying of a tear sample under a microscope cover-slip leads to a network crystallisation instead of a fern pattern.[45] High humidity can modify and deteriorate the ferning patterns of tear fluid from normal eyes, and so stable environmental conditions are important for the tear ferning test. It has been found that high temperatures and low relative humidities provided high quality ferning, and slow evaporation, low temperatures and high humidities led to larger sized crystals. The normal and best quality ferning patterns appear to be produced when relative humidity (rH) is not higher than 50%, and the temperature range is between 20–26 °C.[72]

CLASSIFICATION OF TEAR FERNING

The first classification of tear ferning patterns was developed by Rolando [68] and it relied on assessing the spacing between the branches in the ferns. In Type I (Figure 4), the ferns are closely-packed and no spaces between the branches. In Type II, the ferns are

smaller and have gaps between the branches, in Type III the ferns are small and incompletely formed with rare or no branching and the gaps become larger and wider, and in Type IV the ferning phenomenon is absent. Types I and II are observed in samples from normal healthy subjects (82.7% of normal eyes), and Types III and IV are associated with abnormal tear films disorders (91.7% of eyes with keratoconjunctivitis sicca).[68]

Figure 4 here

Although the Rolando scale is the most often used, it was not originally introduced for this purpose so there remains no clearly defined protocol. The intra-observer and inter-observer repeatability of the Rolando grading system has been examined.[73] An 85.4% intra-observer agreement and an inter-observer agreement of 92.1% for the first run and 94.3% for the second run were found. They concluded that the Rolando system was an easy and consistent method for TF pattern classification, although the study has some methodology limitation. One limitation was the use of slides that represented only a portion of the entire tear ferning sample because of using 20X magnification, and that may have produced more variability and affected the number of agreements.[73]

Recently, the reproducibility of the Rolando grading scales has been examined by five different examiners comparing the TF patterns of both normal and Sjögren's Syndrome subjects and confirm its reproducibility.[74] However, in the study the TF patterns were scored using only the standard 4 grading types, and the use of increments, which is known to improve the sensitivity of grading,[75] was not applied. This limitation will restrict the range of grading; making it more likely that agreement would occur.

Norn[76] suggested a different classification system that depended on the fern branching angle. Fern shaped crystals (F) appeared in two forms: right-angle ferning (FR) and acute-angle ferning (FA). The acute-angle branches (FA) were found to be the norm in dry eye.[76] However, this classification method is less refined than the Rolando grading scale, and thus less suitable for screening samples on a large scale.[77]

Vaikoussis et al.[78] used a five-grading scale of tear ferning in Sjögren's Syndrome patients that was almost identical to the Rolando scale; Types 1 and 2 were similar in containing fully-branched ferns, but the frequency of branching was less in Type 2 with some empty spaces. In Type 3 there were large spaces without ferning and the branches looked more like snow crystals than ferns. In Type 4 ferns could not be recognised, and in Type 5 only clusters of crystals were evident, without any organised form of ferning.

THE APPLICATION OF TEAR FERNING

To date, there has been a limited use of tear ferning in the investigation of altered ocular surface conditions. The tear ferning test has been applied in different studies for both aqueous deficient dry eye and evaporative dry eye cases.

In studies involving aqueous deficient dry eye, it was used alongside the Rolando grading system in the diagnosis of kerato-conjunctivitis sicca (KCS), and was found to have high sensitivity (94%) and specificity (75%), which is comparable with other tests commonly used to test Sjögren's Syndrome.[79] It has been described as a specific, sensitive and simple test to estimate KCS in Sjögren's Syndrome patients,[78] and to be able to evaluate xerophthalmia and xerostomia in Sjögren's syndrome, and for any other cases that complain of mucous membrane dryness.[58] Tear ferning produced better diagnosis of KCS

in a group of rheumatoid arthritis subjects (sensitivity 82.2%, specificity 92.5% and prognostic value 86.6%) than other tests.[41]

It has been found [80] that tear ferning appears to be independent of single tear proteins, but rather that it is correlated with the secreted aqueous volume as well as some correlation with tear film stability.

Tear ferning has been found to be a suitable diagnostic test for the assessment of cystic fibrosis in which cystic fibrosis patients are characterised by an abnormally high electrolyte concentration in exocrine secretions.[81] The results suggested that the increased hyper-viscosity of tears and the appearance of abnormal ferning patterns were due to an increased electrolyte concentration.[81] However, it has also been suggested that tear ferning is not useful as a diagnostic test of cystic fibrosis, but rather as an indicator of clinical status.[82] An alteration in the tear ferning pattern in Down's syndrome subjects has also been observed, and this abnormality gives some indication of the causes for anterior segment infectious pathologies.[83]

With regards to evaporative dry eye, the tear ferning test has also been recommended as a clinical test for all prospective contact lens wearers because it is very easy to perform and will exclude patients with poor quality tears.[84] Examining patterns of soft contact lens wearers showed that tear ferning has good sensitivity (78.4%) and specificity (78.4%) for predicting contact lens tolerance in a clinical setting. Type I indicated a good contact lens tolerance, while Types II, III and IV were associated with tolerance problems.[85] However, it has also been recently reported that the tear ferning test showed a poor correlation with tear film stability tests and ocular comfort index scores in contact lens wearers and non-lens wearers, and displayed specificity (86%) and sensitivity

(50%) for the discrimination of tear film samples between contact lens wearers and non-lens wearers.[86]

The first systematic study of tear film function in pinguecula patients revealed significant abnormalities in tear film stability and tear ferning.[84] An improvement in tear ferning and tear function has been observed after pterygium excision, implying a relationship between pterygium and evaporative dry eye.[87]

The tear ferning test has also been used in a number of studies in combination with other tear film diagnostic tests. It has been used to assess the eye sensitivity to draught using 7 different tests,[88] to investigate the effect of long-term, locally applied, ocular medications on ocular surface and tear film mucous layer[89] and in the study of eye discomfort and air pollution.[90] Also, it has been used to establish the correlation between tear film stability and the presence of conjunctival concretions,[91] and in several other studies that are shown in Table 1.

Table 1 here

Beden et al.[105] used the tear ferning test to assess the quality of tears in premature and full-term new-born babies, and concluded that new-borns secrete good quality tears, albeit with moderate quantity with the Schirmer's test. The study of tear ferning in normal women during the menstrual cycle found no effect from the menstrual cycle on tear ferning patterns.[106] However, it was also reported that tear ferning can indicate changes in tear quality in post-menopausal women (PMW) with dry eye symptoms, revealing the higher osmolality in dry eye PMW compared with non-dry eye PMW.[107]

Although there are many references reporting on the use of the tear ferning test, the underlying mechanisms responsible for producing tear ferning and their interaction with dry eye sub-types are still poorly understood.

CONCLUSIONS

Current clinical tests for dry eye correlate poorly with symptoms. Therefore, the results from these established clinical tests often don't relate to patient symptomology, and so have limited sensitivity and specificity in the diagnosis of dry eye disease. However, the diagnosis of dry eye cannot be made by symptoms alone, because some of these symptoms can be caused by other conditions, with the result that there exists no single test of tear function in the clinic that can give a definitive diagnosis of dry eye.[32] Tear ferning offers a simple and inexpensive laboratory test that can be used to evaluate dry eye and has features that allow it to be used in the clinic setting. Also, it has good potential for better understanding the biochemical mechanisms involved in the various types of dry eye.

Whilst several papers have been published in this area, there remain crucial gaps in the necessary knowledge in order for this test to make the transition fully from laboratory to clinic. Tear electrolytes and macromolecules both have the potential to produce tear ferns, but determining the factors which play important roles in tear ferning, and the optimum tear composition to produce normal ferning patterns has not yet been fully identified. Also, the Rolando grading system for tear ferning patterns has no defined protocol, and it can be difficult to interpolate between grades. Norn's grading scale was found to be unrefined and less suitable for screening samples on a large scale, and while the Vaikoussis grading scale has more grades, it possesses the same inherent flaws as the Rolando scale (no defined

protocol and no indication of linearity), and is also based on samples from an abnormal population. There are no research papers that have attempted to use tear ferning to differentiate type of dry eye and a study to investigate the ability of tear ferning to do this needs to be undertaken.

These limitations in the tear ferning test encourage both researchers and clinicians to look for ways to develop the test further and to establish the optimum features of such a test to make it useful for tear film evaluation. A combination of the tear ferning test with other tear film tests in the clinic may then provide a real evaluation of the tear film and dry eye, and may help in the treatment of dry eye.

REFERENCES

1.   Records RE. Tear film. In Physiology of the Eye and Visual System. Hagerstown: Harper and Row; 1979.

2.   Wolff E. Muco-cutaneous junction of the lid margin and the distribution of tear fluid. *Trans Ophthalmol Soc UK* 1946; 66: 291−308.

3.   Holly FJ, Lemp MA. Tear Physiology and Dry Eyes. *Surv Ophthalmol* 1977; 22: 69−87.

4.   McGill JI, Liakos GM, Goulding N, Seal DV. Normal tear protein profiles and age-related changes. *Brit J Ophthalmol* 1984; 68: 316−320.

5.   Johnson ME, Murphy PJ. Changes in the tear film and ocular surface from dry eye syndrome. *Prog Retin Eye Res* 2004; 23: 449−474.

6.   Brauninger GE, Shah DO, Kaufman HE. Direct physical demonstration of oily layer on tear film surface. *Am J Ophthalmol* 1972; 73: 132−134.

7.   Holly FJ. Tear film physiology. *Int Ophthalmol Clin* 1987; 27: 2−6.

8.   Bron AJ, Tiffany JM. The meibomian glands and tear film lipids. Structure, function, and control. *Adv Exp Med Biol* 1998; 438: 281−295.

9.   Blades K, Craig JP. Structure and function of the tear film. *Optician* 1997; 213: 15−21.

10.   McCulley JP, Shine W. A compositional based model for the tear film lipid layer. *Trans Am Ophthalmol Soc* 1997; 95: 79−93.

11.   Holly FJ. Tear film physiology. *Am J Optom Physiol Opt* 1980; 57: 252−257.

12.   Botelho SY. Tears and the Lacrimal Gland. *Sci Am* 1964; 211: 78−86.

13. Korb DR, Craig JP, Doughty M, Guillon JP, Smith G, Tomlinson A. The Tear Film: Structure, function and clinical examination. Oxford: Butterworth-Heinemann; 2002. p.18−36.

14. Milder B. The lacrimal apparatus. In *Adler's Physiology of the Eye* 1987; 8: 15−35.

15. Gachon AM, Verrelle P, Betail G, Dastugue B. Immunological and electrophoretic studies of human tear proteins. *Exp Eye Res* 1979; 29: 539−53.

16. Van Haeringen NJ. Clinical biochemistry of tears. *Surv Ophthalmol* 1981; 26: 84−96.

17. Dohlman CH, Friend J, Kalevar V, Yagoda D, Balazs E. The glycoprotein (mucus) content of tears from normals and dry eye patients. *Exp Eye Res* 1976; 22: 359−365.

18. Berman M. Regulation of collagenase. Therapeutic considerations. *Trans Ophthalmol Soc UK* 1978; 98: 397−405.

19. Burgess TL, Kelly RB. Constitutive and regulated secretion of proteins. *Annu Rev Cell Bi* 1987; 3: 243−293.

20. Dartt DA. Signal transduction and control of lacrimal gland protein secretion: a review. *Curr Eye Res* 1989; 8: 619−636.

21. Bachman WG, Wilson G. Essential ions for maintenance of the corneal epithelial surface. *Invest Ophth Vis Sci* 1985; 26: 1484−1488.

22. Carney LG, Hill RM. Human tear pH. Diurnal variations. *Arch Ophthalmol* 1976; 94: 821−824.

23. Lemp MA. Report of the National Eye Institute/Industry workshop on Clinical Trials in Dry Eyes. *CLAO J* 1995; 21: 221−232.

24.   Greiner JV, Weidman TA, Korb DR, Allansmith MR. Histochemical analysis of secretory vesicles in nongoblet conjunctival epithelial cells. *Acta Ophthalmol* 1985; 63: 89–92.

25.   Dilly PN. Structure and function of the tear film. *Adv Exp Med Biol* 1994; 350: 239–247.

26.   Gipson IK, Spurr-Michaud SJ, Tisdale AS, Kublin C, Cintron C, Keutmann H. Stratified squamous epithelia produce mucin-like glycoproteins. *Tissue Cell* 1995; 27: 397–404.

27.   Gipson IK, Inatomi T. Mucin genes expressed by the ocular surface epithelium. *Prog Retin Eye Res* 1997; 16: 81–98.

28.   Inatomi T, Spurr-Michaud S, Tisdale AS, Zhan Q, Feldman ST, Gipson IK. Expression of secretory mucin genes by human conjunctival epithelia. Invest *Ophth Vis Sci* 1996; 37: 168–-1692.

29.   Argueso P, Tisdale A, Mandel U, Letko E, Foster CS, Gipson IK. The cell-layer- and cell-type-specific distribution of GalNAc-transferases in the ocular surface epithelia is altered during keratinization. *Invest Ophth Vis Sci* 2003; 44: 86–92.

30.   Corrales RM, Galarreta DJ, Herreras JM, Calonge M, Chaves FJ. Normal human conjunctival epithelium expresses MUC13, MUC15, MUC16 and MUC17 mucin genes. *Arch Soc Esp Oftalmol* 2003; 78: 375–381.

31.   Jumblatt MM, McKenzie RW, Steele PS, Emberts CG, Jumblatt JE. MUC7 expression in the human lacrimal gland and conjunctiva. *Cornea* 2003; 22:41–45.

32.   Brewitt H, Sistani F. Dry eye disease: the scale of the problem. *Surv Ophthalmol* 2001; Suppl 2: S199–202.

33.   Schein OD, Munoz B, Tielsch JM, Bandeen-Roche K, West S. Prevalence of dry eye among the elderly. *Am J Ophthalmol* 1997; 124:723–728.

34.   Lemp MA, Baudouin C, Baum J, Dogru M, Foulks GN, Kinoshita S, et al. The definition and classification of dry eye disease: Report of the Definition and Classification Subcommittee of the International Dry Eye WorkShop. *Ocul Surf* 2007; 5: 75–92.

35.   Baudouin C. The pathology of dry eye. *Surv Ophthalmol* 2001; 45 Suppl 2: S211–220.

36.   McGinnigle S, Naroo SA, Eperjesi F. Evaluation of dry eye. *Surv Ophthalmol*. 2012; 57(4):293–316.

37.   Kaštelan S, Tomić M, Salopek-Rabatić J, Novak B. Diagnostic procedures and management of dry eye. *Biomed Res Int* 2013, Article ID 309723; http://dx.doi.org/10.1155/2013/309723 (Accessed 29th January 2014).

38.   Nichols K. The International Workshop on Meibomian Gland Dysfunction: Introduction. *Invest Ophth Vis Sci.* Special Issue 2011; 52 (4): 1917–1927.

39.   Tomlinson A, et al. The International Workshop on Meibomian Gland Dysfunction: Report of the Diagnosis Subcommittee. *Invest Ophth Vis Sci.* Special Issue 2011; 52 (4): 2006 – 2049.

40.   Bron AJ. Diagnosis of dry eye. *Surv Ophthalmol* 2001; Suppl 2: S221–226.

41.   Albach KA, Lauer M, Stolze HH. Diagnosis of keratoconjunctivitis sicca in rheumatoid arthritis. The value of various tests. *Ophthalmologe* 1994; 91: 229–234.

42.   Nichols KK, Nichols JJ, Mitchell GL. The lack of association between signs and symptoms in patients with dry eye disease. *Cornea* 2004; 23: 762–770.

43.   Sweeney DF, Millar TJ, Raju SR. Tear film stability: A review. *Exp Eye Res* 2013; 117: 28-38.

44.   Kogbe O, Liotet S, Tiffany JM. Factors responsible for tear ferning. *Cornea* 1991; 10: 433–444.

45.   Golding TR, Brennan NA. The basis of tear ferning. *Clin Exp Optom* 1989; 72: 102–112.

46.   Buckley HE. Crystal Growth. London: Chapman & Hall Ltd; 1951.

47.   Murube J. Tear crystallization test: two centuries of history. *Ocul Surf* 2004; 2: 7–9.

48.   Papanicolaou GN. A general survey of the vaginal smear and its use in research and diagnosis. *Am J Obstet Gynecol* 1946; 51: 316–328.

49.   Rydberg E. Observation on the crystallization of the cervical mucus. *Acta Obstet Gynecol Scand* 1948; 28: 172–187.

50.   Abou-Shabanah EH, Plotz EJ. A biochemical study of the cervical and nasal mucus fern phenomenon. *Am J Obstet Gynecol* 1957; 74: 559–568.

51.   Rolando M. The fern test. A critical analysis. *Obstet Gynecol* 1958; 11: 30–34.

52.   Mohsenian M, Moghissi KS, Borin K. Effects of norgestimate in combination with ethinyl estradiol on cervical mucus. *Contraception* 1981; 24: 173–181.

53. Zaneveld LJ, Tauber PF, Port C, Propping D. Scanning electron microscopy of cervical mucus crystallization. *Obstet Gynecol* 1975; 46: 419–428.

54. Pal T, Bhattacharyya AK. Structural changes in human cervical mucus. *Indian J Med Res* 1989; 90: 44–50.

55. Rolando M. A simple test for the determination of ovulation, estrogen activity, and early pregnancy using the cervical mucus secretion. *Am J Obstet Gynecol* 1952; 63: 81–89.

56. Zondek B. Some problems related to ovarian function, in Pincus G (ed). *Recent Prog Horm Res* 1954; 10: 391–423.

57. Said S, Johansson ED, Gemzel C. Return of ovulation during the postpartum period. *Acta Obstet Gynecol Scand* 1974; 53: 63–67.

58. Maragou M, Vaikousis E, Ntre A, Koronis N, Georgiou P, Hatzidimitriou E, et al. Tear and saliva ferning tests in Sjogren's syndrome (SS). *Clin Rheumatol* 1996; 15: 125–132.

59. Barbato M, Pandolfi A, Guida M. A new diagnostic aid for natural family planning. *Adv Contracept* 1993; 9: 335–340.

60. Guida M, Barbato M, Bruno P, Lauro G, Lampariello C. Salivary ferning and the menstrual cycle in women. *Clin Exp Obstet Gynecol* 1993; 20: 48–54.

61. Guida M, Tommaselli GA, Palomba S, Pellicano M, Moccia G, Di Carlo C, et al. Efficacy of methods for determining ovulation in a natural family planning program. *Fertil Steril* 1999; 72: 900–904.

62. Berardono B, Melani D, Ranaldi F, Giachetti E, Vanni P. Is the salivary "ferning" a reliable index of the fertile period? *Acta Eur Fertil* 1993; 24: 61−65.

63. Pattanasuttinont S, Sereepapong W, Suwajanakorn S. The salivary ferning test and ovulation in clomiphene citrate-stimulated cycles. *J Med Assoc Thai* 2007; 90: 876−883.

64. El-Miedany YM, el-Hady SM, el-Baddin MA. Validity of the saliva ferning test for the diagnosis of dry mouth in Sjogren's syndrome. *Rev Rhum Engl Ed* 1999; 66: 73−78.

65. Ding L, Tang Y, Lu Q. Evaluation of saliva ferning test in diagnosis of Sjogren's syndrome. *Zhonghua Kou Qiang Yi Xue Za Zhi* 2001; 36: 174−176.

66. Puszczewicz M, Zimmermann-Gorska I, Bialkowska-Puszczewicz G. Usefulness of the saliva ferning test in diagnosis of Sjogren's syndrome. *Pol Arch Med Wewn* 2002; 107: 13−17.

67. Tabbara KF, Okumoto M. Ocular ferning test. A qualitative test for mucus deficiency. *Ophthalmology* 1982; 89: 712−714.

68. Rolando M. Tear mucus ferning test in normal and keratoconjunctivitis sicca eyes. *Chibret Int J Ophthalmol* 1984; 2: 32−41.

69. Pearce EI, Tomlinson A. Spatial location studies on the chemical composition of human tear ferns. *Ophthal Physl Opt* 2000; 20: 306−313.

70. Rolando M, Baldi F, Zingirian M. The effect of hyperosmolarity on tear mucus ferning. *Fortschr Ophthalmol* 1986; 83: 644−646.

71.   Golding TR, Baker AT, Rechberger J, Brennan NA. X-ray and scanning electron microscopic analysis of the structural composition of tear ferns. *Cornea* 1994; 13: 58−66.

72.   Horwath J, Ettinger K, Bachernegg M, Bodner E, Schmut O. Ocular Ferning test - effect of temperature and humidity on tear Ferning patterns. *Ophthalmologica* 2001; 215: 102−107.

73.   Pensyl CD, Dillehay SM. The repeatability of tear mucus ferning grading. *Optom Vis Sci* 1998; 75: 600−604.

74.   Felberg S, Cordeiro H, Sato EH, Martini Filho D, Nishiwaki-Dantas MC, Endo RM, et al. Reproducibility of the classification of ocular ferning patterns in Sjogren's syndrome patients. *Arq Bras Oftalmol* 2008;71: 228−233.

75.   Bailey IL, Bullimore MA, Raasch TW, Taylor HR. Clinical grading and the effects of scaling. *Invest Ophth Vis Sci* 1991; 32: 422−432.

76.   Norn M. Ferning in conjunctival-cytologic preparations. Crystallisation in stained semiquantitative pipette samples of conjunctival fluid. *Acta Ophthalmol* 1987; 65:118−122.

77.   Norn M. Quantitative tear ferning. Methodologic and experimental investigations. *Acta Ophthalmol* 1988; 66: 201−205.

78.   Vaikoussis E, Georgiou P, Nomicarios D. Tear mucus ferning in patients with Sjogren's syndrome. Doc Ophthalmol. 1994; 87: 145−151.

79.   Norn M. Quantitative tear ferning. Clinical investigations. *Acta Ophthalmol* 1994; 72: 369−372.

80. Puderbach S, Stolze HH. Tear ferning and other lacrimal tests in normal persons of different ages. *Int Ophthalmol* 1991; 15: 391−395.

81. Rolando M, Baldi F, Calabria G. Tear mucus crystallization in children with cystic fibrosis. *Ophthalmologica* 1988; 197: 202−206.

82. Kalayci D, Kiper N, Ozcelik U, Gocmen A, Hasiripi H. Clinical status, ocular surface changes and tear ferning in patients with cystic fibrosis. *Acta Ophthalmol Scand* 1996; 74: 563−565.

83. Filipello M, Scimone G, Cascone G, Zagami A, Pantaleoni G. Ferning test in Down's syndrome. *Acta Ophthalmol (Copenh)* 1992; 70: 274−277.

84. Kogbe O, Liotet S. An interesting use of the study of tear ferning patterns in contactology. *Ophthalmologica* 1987; 194: 150−153.

85. Ravazzoni L, Ghini C, Macri A, Rolando M. Forecasting of hydrophilic contact lens tolerance by means of tear ferning test. Graefes *Arch Clin Exp Ophthalmol* 1998; 236: 354−358.

86. Evans KS, North RV, Purslow C. Tear ferning in contact lens wearers. *Ophthal Physl Opt* 2009; 29: 199−204.

87. Li M, Zhang M, Lin Y, Xiao Q, Zhu X, Song S, et al. Tear function and goblet cell density after pterygium excision. *Eye* 2007; 21: 224−248.

88. Wyon NM, Wyon DP. Measurement of acute response to draught in the eye. *Acta Ophthalmol (Copenh)* 1987; 65: 385−392.

89.   Herreras JM, Pastor JC, Calonge M, Asensio VM. Ocular surface alteration after long-term treatment with an antiglaucomatous drug. *Ophthalmology* 1992; 99: 1082−1088.

90.   Versura P, Profazio V, Cellini M, Torreggiani A, Caramazza R. Eye discomfort and air pollution. Ophthalmologica. 1999; 213: 103−109.

91.   Haicl P, Jankova H, Jirsova K. Dry eye syndrome in patients with conjunctival concretions. *Cesk Slov Oftalmol* 2006; 62: 415−422.

92.   Sommer HJ, Johnen J, Schongen P, Stolze HH. Adaptation of the tear film to work in air-conditioned rooms (office-eye syndrome). *Ger J Ophthalmol* 1994; 3: 406−408.

93.   Mastropasqua L, Carpineto P, Ciancaglini M, Gallenga PE. Tear deficiency in Fuchs' intermediate uveitis. *Can J Ophthalmol* 1996; 31: 18−20.

94.   Rivas L, Oroza MA, Sanz AI, Chen Z, Shalaby O, Murube J. The association of conjunctival snake-like chromatin with keratoconjunctivitis SICCA. *Eur J Ophthalmol* 1998; 8: 217−223.

95.   Geerling G, Sieg P, Bastian GO, Laqua H. Transplantation of the autologous submandibular gland for most severe cases of keratoconjunctivitis sicca. *Ophthalmology* 1998; 105: 327−335.

96.   Nuzzi R, Finazzo C, Cerruti A. Adverse effects of topical antiglaucomatous medications on the conjunctiva and the lachrymal (Brit. Engl) response. *Int Ophthalmol* 1998; 22: 31−35.

97. Jackson JA, Perrigin JA. Relationship of impression cytology and tear ferning to reports of dry eye. *J Am Optom Assoc* 1999; 70: 187–192.

98. Iester M, Orsoni GJ, Gamba G, Taffara M, Mangiafico P, Giuffrida S, et al. Improvement of the ocular surface using hypotonic 0.4% hyaluronic acid drops in keratoconjunctivitis sicca. *Eye* 2000; 14: 892–898.

99. Costagliola C, Prete AD, Incorvaia C, Fusco R, Parmeggiani F, Di Giovanni A. Ocular surface changes induced by topical application of latanoprost and timolol: a short-term study in glaucomatous patients with and without allergic conjunctivitis. *Graefes Arch Clin Exp Ophthalmol* 2001; 239: 809–814.

100. Peponis V, Bonovas S, Kapranou A, Peponi E, Filioussi K, Magkou C, et al. Conjunctival and tear film changes after vitamin C and E administration in non-insulin dependent diabetes mellitus. *Med Sci Monit* 2004; 10: CR213–217.

101. Versura P, Frigato M, Bernabini B, Mule R, Malavolta N, Campos EC. Ocular surface analysis in patients affected with rheumatic diseases. *Reumatismo* 2004; 56: 262–271.

102. Versura P, Fresina M, Campos EC. Ocular surface changes over the menstrual cycle in women with and without dry eye. *Gynecol Endocrinol* 2007; 23: 385–390.

103. Versura P, Frigato M, Cellini M, Mule R, Malavolta N, Campos EC. Diagnostic performance of tear function tests in Sjogren's syndrome patients. *Eye* 2007; 21: 229–237.

104. Bitton E, Keech A, Jones L, Simpson T. Subjective and objective variation of the tear film pre- and post-sleep. *Optom Vis Sci* 2008; 85: 740–749.

105. Beden U, Turgut-Coban D, Aygun C, Ulu-Gungor I, Sullu Y, Erkan D, et al. Tear
      secretion and ferning patterns among premature and full-term newborns. *Turk J
      Pediatr* 2008; 50: 155–159.

106. Tatlipinar S, Gedik S, Irkec M, Orhan M, Erdener U. Ocular ferning during the
      menstrual cycle in healthy women. *Eur J Ophthalmol* 2001; 11: 15–18.

107. Srinivasan S, Joyce E, Jones LW. Tear osmolality and ferning patterns in
      postmenopausal women. *Optom Vis Sci* 2007; 84: 588–592.

Figure Legends

Figure 1. Different ferning forms (10 x magnification), (a): thick crystal fern, (b): thin crystal fern.

Figure 2. Collection of tear sample from the outer canthus using a glass capillary tube.

Figure 3. Tear ferning pattern produced from healthy tear (A) and from dry eye (B).

Figure 4. Images of Rolando Grading Scale show progression in tear ferning pattern. Images are from a laboratory collection, but are matched to Rolando scale images

Table 1. Summary table showing the use of tear ferning as a diagnostic test.

Table 1. The use of tear ferning as a diagnostic test

| Reference	Study Purpose	Subjects	Conclusion
88	In the assessment of eye sensitivity to draught using 7 different tests	41	A significantly improved tear ferning pattern was observed after draught exposure
89	To investigate whether long-term, locally applied, ocular medications can produce any alteration in ocular surface and cause damage to the tear film mucous layer	81	Tear film mucous layer damaged with chronic application of a commercial preparation of timolol maleate
92	To detect the mechanism of tear film adaptation to a dry climate in air-conditioned rooms	166	Improved tear quality is important for adaptation to long-standing increased tear film evaporation
93	In the quantitative and qualitative assessment of tear secretion in Fuchs' intermediate uveitis and to detect any anomaly	30	There is a link between Fuch's uveitis and tear deficiency
94	To study the association of conjunctival snake-like chromatin with KCS	366 eyes from 187 KCS patients	Results can be attributed to a natural regeneration of conjunctival cells and to resistance to a pathological reaction to KCS
95	In the examination of long-term quantitative and qualitative function of the secretion of transplanted autologous sub-mandibular glands in severe KCS patients	26 operations in 22 patients. Completed examination in 16 eyes of 13 patients and 8 eyes of 8 patients	Authors believe that the procedure is a promising alternative approach for desperate dry eye condition
96	In the evaluation of changes in the conjunctiva and lacrimal response after topical anti-glaucomatous medications	132	Long term use of anti-glaucoma medication induces changes in the tear film and conjunctival surface
97	To investigate whether there is relationship between mild-to-moderate patient reports dry eye and results on tear ferning and impression cytology tests	104	Impression cytology is a better predictor than TF of mild-to-moderate dry eye symptoms
90	In the study of eye discomfort and air pollution	100 DES	DES is more frequent in women than in men DES is significantly associated with ocular surface

|			
			inflammation
98	Using hypotonic 0.4% hyaluronic acid drops to study the improvement of the ocular surface in KCS	135 KCS	Treatment with 0.4% hyaluronate can improve the epithelial condition of the ocular surface
99	In primary open angle glaucoma subjects with and without history of allergic conjunctivitis to evaluate the effect of latanoprost and timolol on the ocular surface	50	Latanoprost treatment induces ocular surface changes
100	To investigate the changes in the tear film and conjunctiva after diet supplementation of Vitamins C and E in non-insulin dependent diabetes mellitus	60	Ocular surface milieu probably improved with diet supplementation of anti-oxidant Vitamins C and E
101	To analyse the ocular surface in patients affected with rheumatic diseases	122	Results suggest that tear ferning can enlarge the spectrum of ocular surface analysis
91	To establish the correlation between tear film stability and the presence of conjunctival concretions	50 asymptomatic patients	Tear film deficiency may be present in patient with conjunctival concretions; ferning test of 5 patients showed normal patterns in all of them
102	To know if dry eye symptoms and ocular surface parameters change in women subjects with and without dry eye during the menstrual cycle	29 women of fertile age and with regular menstrual cycles	Clinician should take into account the cyclic variation during examination of dry eye
103	In the evaluation of the diagnostic performance of tear film tests in primary Sjögren's Syndrome (SS-I) subjects	177	Results confirm the scarce reliability of Schirmer test I and BUT, and recommended vital dye staining as the best test in SS-I differential diagnosis
104	To investigate tear film stability, volume, bulber hyperaemia, tear ferning and the subject's subjective symptoms pre-and post-sleep	30	Eye dryness and discomfort symptoms are worse following overnight eye closure
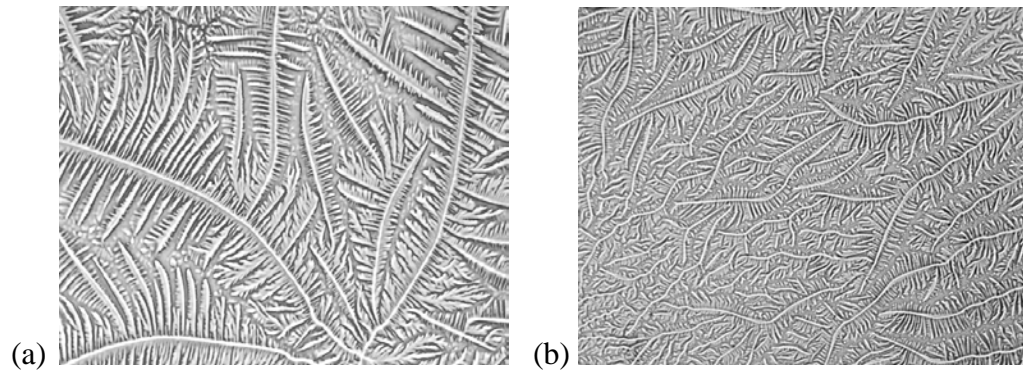
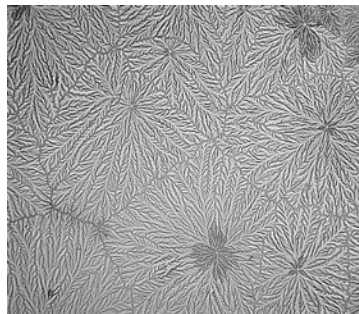**Figure 1. Different ferning forms (10x magnification);**

(a): thick crystal fern, (b): thin crystal fern.
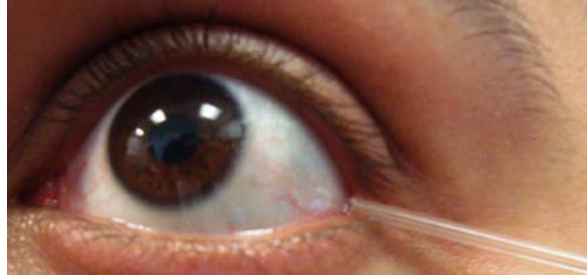
Figure 2. Collection of tear sample from the outer canthus using a glass capillary tube.
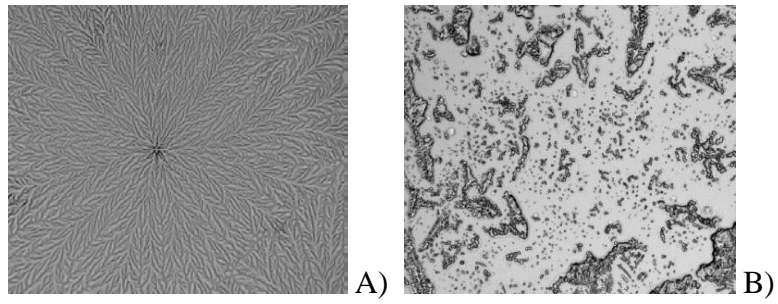
Figure 3. Tear ferning pattern produced from healthy tear (A) and from dry eye (B).
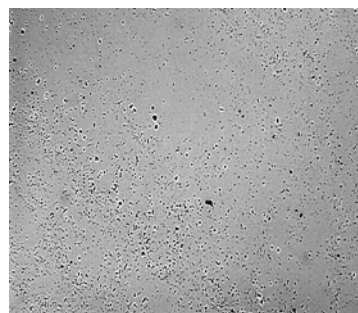
Type I

Type II

Type III

Type IV

Figure 4: Images of Rolando Grading Scale show progression in tear ferning pattern. Images are from a laboratory collection, but are matched to Rolando scale images