

1 **FINDING LARGE H -COLORABLE SUBGRAPHS**
2 **IN HEREDITARY GRAPH CLASSES ***

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5 **Abstract.** We study the MAX PARTIAL H -COLORING problem: given a graph G , find the
6 largest induced subgraph of G that admits a homomorphism into H , where H is a fixed pattern
7 graph without loops. Note that when H is a complete graph on k vertices, the problem reduces to
8 finding the largest induced k -colorable subgraph, which for $k = 2$ is equivalent (by complementation)
9 to ODD CYCLE TRANSVERSAL.

10 We prove that for every fixed pattern graph H without loops, MAX PARTIAL H -COLORING can
11 be solved:

- 12 • in $\{P_5, F\}$ -free graphs in polynomial time, whenever F is a threshold graph;
- 13 • in $\{P_5, \text{bull}\}$ -free graphs in polynomial time;
- 14 • in P_5 -free graphs in time $n^{\mathcal{O}(\omega(G))}$;
- 15 • in $\{P_6, 1\text{-subdivided claw}\}$ -free graphs in time $n^{\mathcal{O}(\omega(G)^3)}$.

16 Here, n is the number of vertices of the input graph G and $\omega(G)$ is the maximum size of a clique in G .
17 Furthermore, by combining our algorithms for P_5 -free and for $\{P_6, 1\text{-subdivided claw}\}$ -free graphs
18 with a simple branching procedure, we obtain subexponential-time algorithms for MAX PARTIAL
19 H -COLORING in these classes of graphs.

20 Finally, we show that even a restricted variant of MAX PARTIAL H -COLORING is NP-hard in the
21 considered subclasses of P_5 -free graphs, if we allow loops on H .

22 **Key words.** odd cycle transversal, graph homomorphism, P_5 -free graphs

23 **AMS subject classifications.** 05C15, 05C85, 68R10

24 **1. Introduction.** Many computational graph problems that are (NP-)hard in
25 general become tractable in restricted classes of input graphs. In this work we are
26 interested in *hereditary* graph classes, or equivalently classes defined by forbidding
27 induced subgraphs. For a set of graphs \mathcal{F} , we say that a graph G is \mathcal{F} -free if G
28 does not contain any induced subgraph isomorphic to a graph from \mathcal{F} . By forbidding
29 different sets \mathcal{F} we obtain graph classes with various structural properties, which can
30 be used in the algorithmic context. This highlights an interesting interplay between
31 structural graph theory and algorithm design.

32 Perhaps the best known example of this paradigm is the case of the MAXIMUM
33 INDEPENDENT SET problem: given a graph G , find the largest set of pairwise non-
34 adjacent vertices in G . It is known that the problem is NP-hard on F -free graphs

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35 unless F is a forest whose every component is a path or a subdivided claw [2]; here,
 36 the *claw* is the star with 3 leaves. However, the remaining cases, when F is a *sub-*
 37 *divided claw forest*, remain largely unexplored despite significant effort. Polynomial-
 38 time algorithms have been given for P_5 -free graphs [34], P_6 -free graphs [28], claw-free
 39 graphs [37, 41], and fork-free graphs [3, 35]. While the complexity status in all the
 40 other cases remains open, it has been observed that relaxing the goal of polynomial-
 41 time solvability leads to positive results in a larger generality. For instance, for every
 42 $t \in \mathbb{N}$, MAXIMUM INDEPENDENT SET can be solved in time $n^{\mathcal{O}(\log^2 n)}$ in P_t -free
 43 graphs [24, 40]. Moreover, if F is fixed a subdivided claw forest, then the problem can
 44 be solved in time $2^{\mathcal{O}(n^{8/9})}$ [12, 13]. The existence of such *quasipolynomial-time* and
 45 *subexponential-time* algorithms for F -free graphs is excluded under the Exponential
 46 Time Hypothesis whenever F is not a subdivided claw forest (see e.g. the discussion
 47 in [38]), which shows a qualitative difference between the negative and the potentially
 48 positive cases.

49 The abovementioned positive results use a variety of structural techniques related
 50 to the considered hereditary graph classes, for instance: the concept of *Gyárfás path*
 51 that gives useful separators in P_t -free graphs [4, 7, 13], the dynamic programming
 52 approach based on potential maximal cliques [34, 28], or structural properties of
 53 claw-free and fork-free graphs that relate them to line graphs [35, 37, 41]. Some
 54 of these techniques can be used to give algorithms for related problems, which can
 55 be expressed as looking for the largest (in terms of the number of vertices) induced
 56 subgraph satisfying a fixed property. For MAXIMUM INDEPENDENT SET this property
 57 is being edgeless, but for instance the property of being acyclic corresponds to the
 58 MAXIMUM INDUCED FOREST problem, which by complementation is equivalent to
 59 FEEDBACK VERTEX SET. Work in this direction so far focused on properties that
 60 imply bounded treewidth [1, 23, 25] or, more generally, that imply sparsity [38].

61 A different class of problems that admits an interesting complexity landscape on
 62 hereditary graphs classes are coloring problems. For fixed $k \in \mathbb{N}$, the k -COLORING
 63 problem asks whether the input graph admits a proper coloring with k colors. For
 64 every $k \geq 3$, the problem is NP-hard on F -free graphs unless F is a forest of paths
 65 (a *linear forest*) [26]. The classification of the remaining cases is more advanced
 66 than in the case of MAXIMUM INDEPENDENT SET, but not yet complete. On one
 67 hand, Hoàng et al. [32] showed that for every fixed k , k -COLORING is polynomial-
 68 time solvable on P_5 -free graphs. On the other hand, the problem becomes NP-hard
 69 already on P_6 -free graphs for all $k \geq 5$ [33]. The cases $k = 3$ and $k = 4$ turn out to
 70 be very interesting. 4-COLORING is polynomial-time solvable on P_6 -free graphs [17]
 71 and NP-hard in P_7 -free graphs [33]. While there is a polynomial-time algorithm for
 72 3-COLORING in P_7 -free graphs [5], the complexity status in P_t -free graphs for $t \geq 8$
 73 remains open. However, relaxing the goal again leads to positive results in a wider
 74 generality: for every $t \in \mathbb{N}$, there is a quasipolynomial-time algorithm with running
 75 time $n^{\mathcal{O}(\log^2 n)}$ for 3-COLORING in P_t -free graphs [40], and there is also a polynomial-
 76 time algorithm that given a 3-colorable P_t -free graph outputs its proper coloring with
 77 $\mathcal{O}(t)$ colors [15].

78 We are interested in using the toolbox developed for coloring problems in P_t -free
 79 graphs to the setting of finding maximum induced subgraphs with certain properties.
 80 Specifically, consider the following MAXIMUM INDUCED k -COLORABLE SUBGRAPH
 81 problem: given a graph G , find the largest induced subgraph of G that admits a proper
 82 coloring with k colors. While this problem clearly generalizes k -COLORING, for $k = 1$
 83 it boils down to MAXIMUM INDEPENDENT SET. For $k = 2$ it can be expressed as

84 MAXIMUM INDUCED BIPARTITE SUBGRAPH, which by complementation is equivalent
 85 to the well-studied ODD CYCLE TRANSVERSAL problem: find the smallest subset
 86 of vertices that intersects all odd cycles in a given graph. While polynomial-time
 87 solvability of ODD CYCLE TRANSVERSAL on P_4 -free graphs (also known as *cographs*)
 88 follows from the fact that these graphs have bounded cliquewidth (see [18]), it is
 89 known that the problem is NP-hard in P_6 -free graphs [21]. The complexity status
 90 of ODD CYCLE TRANSVERSAL in P_5 -free graphs remains open [11, Problem 4.4]:
 91 resolving this question was the original motivation of our work. Let us point out that
 92 the complexity of MAXIMUM INDUCED k -COLORABLE SUBGRAPH in hereditary graph
 93 classes was considered already in the 1980s [42].

94 *Our contribution.* Following the work of Groenland et al. [27], we work with a
 95 very general form of coloring problems, defined through homomorphisms. For graphs
 96 G and H , a *homomorphism* from G to H , or an H -coloring of G , is a function
 97 $\phi: V(G) \rightarrow V(H)$ such that for every edge uv in G , we have $\phi(u)\phi(v) \in E(H)$. We
 98 study the MAX PARTIAL H -COLORING problem defined as follows: given a graph G ,
 99 find the largest induced subgraph of G that admits an H -coloring. Note that if H is
 100 the complete graph on k vertices, then an H -coloring is simply a proper coloring with
 101 k colors, hence this formulation generalizes the MAXIMUM INDUCED k -COLORABLE
 102 SUBGRAPH problem. We will always assume that the pattern graph H does not have
 103 loops, hence an H -coloring is always a proper coloring with $|V(H)|$ colors.

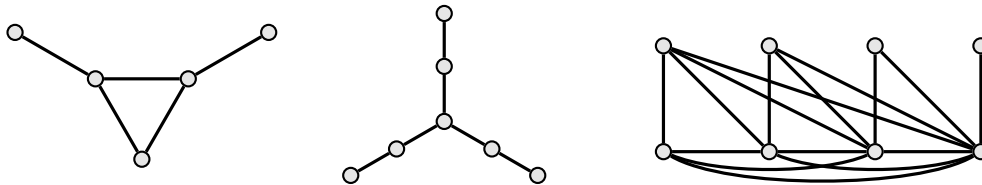


FIG. 1. A bull, a 1-subdivided claw, and an example threshold graph.

104 Fix a pattern graph H without loops. We prove that MAX PARTIAL H -COLORING
 105 can be solved:

- 106 (R1) in $\{P_5, F\}$ -free graphs in polynomial time, whenever F is a threshold graph;
- 107 (R2) in $\{P_5, \text{bull}\}$ -free graphs in polynomial time;
- 108 (R3) in P_5 -free graphs in time $n^{\mathcal{O}(\omega(G))}$; and
- 109 (R4) in $\{P_6, 1\text{-subdivided claw}\}$ -free graphs in time $n^{\mathcal{O}(\omega(G)^3)}$.

110 Here, n is the number of vertices of the input graph G and $\omega(G)$ is the size of the
 111 maximum clique in G . Also, recall that a graph G is a *threshold graph* if $V(G)$ can
 112 be partitioned into an independent set A and a clique B such that for each $a, a' \in A$,
 113 we have either $N(a) \supseteq N(a')$ or $N(a) \subseteq N(a')$. There is also a characterization via
 114 forbidden induced subgraphs: threshold graphs are exactly $\{2P_2, C_4, P_4\}$ -free graphs,
 115 where $2P_2$ is an induced matching of size 2. Figure 1 depicts a bull, a 1-subdivided
 116 claw, and an example threshold graph.

117 Further, we observe that by employing a simple branching strategy, an $n^{\mathcal{O}(\omega(G)^\alpha)}$ -
 118 time algorithm for MAX PARTIAL H -COLORING in \mathcal{F} -free graphs can be used to give
 119 also a subexponential-time algorithm in this setting, with running time $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$.
 120 Thus, results (R3) and (R4) imply that for every fixed irreflexive H , the MAX PARTIAL
 121 H -COLORING problem can be solved in time $n^{\mathcal{O}(\sqrt{n})}$ in P_5 -free graphs and in time
 122 $n^{\mathcal{O}(n^{3/4})}$ in $\{P_6, 1\text{-subdivided claw}\}$ -free graphs. This in particular applies to the ODD

123 CYCLE TRANSVERSAL problem. We note here that Dabrowski et al. [21] proved that
 124 ODD CYCLE TRANSVERSAL in $\{P_6, K_4\}$ -free graphs is NP-hard and does not admit
 125 a subexponential-time algorithm under the Exponential Time Hypothesis. Thus, it is
 126 unlikely that any of our algorithmic results — the $n^{\mathcal{O}(\omega(G))}$ -time algorithm and the
 127 $n^{\mathcal{O}(\sqrt{n})}$ -time algorithm — can be extended from P_5 -free graphs to P_6 -free graphs.

128 All our algorithms work in a weighted setting, where instead of just maximizing
 129 the size of the domain of an H -coloring, we maximize its total *revenue*, where for each
 130 pair $(u, v) \in V(G) \times V(H)$ we have a prescribed revenue yielded by sending u to v .
 131 This setting allows encoding a broader range of coloring problems. For instance, list
 132 variants can be expressed by giving negative revenues for forbidden assignments (see
 133 e.g. [29, 39]). Also, our algorithms work in a slightly larger generality than stated
 134 above, see Section 5, Section 6, and Section 7 for precise statements.

135 Finally, we investigate the possibility of extending our algorithmic results to pat-
 136 tern graphs with possible loops. We show an example of a graph H with loops, for
 137 which MAX PARTIAL H -COLORING is NP-hard and admits no subexponential-time
 138 algorithm under the ETH even in very restricted subclasses of P_5 -free graphs, includ-
 139 ing $\{P_5, \text{bull}\}$ -free graphs. This shows that whether the pattern graph is allowed to
 140 have loops has a major impact on the complexity of the problem.

141 *Our techniques.* The key element of our approach is a branching procedure that,
 142 given an instance (G, rev) of MAX PARTIAL H -COLORING, where rev is the revenue
 143 function, produces a relatively small set of instances Π such that solving (G, rev)
 144 reduces to solving all the instances in Π . Moreover, every instance $(G', \text{rev}') \in \Pi$
 145 is simpler in the following sense: either it is an instance of MAX PARTIAL H' -COLORING
 146 for H' being a proper induced subgraph of H (hence it can be solved by induction
 147 on $|V(H)|$), or for any connected graph F on at least two vertices, G' is F -free
 148 provided we assume G is $F^{\bullet\circ}$ -free. Here $F^{\bullet\circ}$ is the graph obtained from F by adding
 149 a universal vertex y and a degree-1 vertex x adjacent only to y . In particular we
 150 have $\omega(G') < \omega(G)$, so applying the branching procedure exhaustively in a recursion
 151 scheme yields a recursion tree of depth bounded by $\omega(G)$. Now, for results (R3)
 152 and (R4) we respectively have $|\Pi| \leq n^{\mathcal{O}(1)}$ and $|\Pi| \leq n^{\mathcal{O}(\omega(G)^2)}$, giving bounds of
 153 $n^{\mathcal{O}(\omega(G))}$ and $n^{\mathcal{O}(\omega(G)^3)}$ on the total size of the recursion tree and on the overall time
 154 complexity.

155 For result (R1) we apply the branching procedure not exhaustively, but a const-
 156 ant number of times: if the original graph G is $\{P_5, F\}$ -free for some threshold
 157 graph F , it suffices to apply the branching procedure $\mathcal{O}(|V(F)|)$ times to reduce
 158 the original instances to a set of edgeless instances, which can be solved trivially.
 159 As $\mathcal{O}(|V(F)|) = \mathcal{O}(1)$, this gives recursion tree of polynomial size, and hence a
 160 polynomial-time complexity due always having $|\Pi| \leq n^{\mathcal{O}(1)}$ in this setting. For re-
 161 sult (R2), we show that two applications of the branching procedure reduce the input
 162 instance to a polynomial number of instances that are P_4 -free, which can be solved in
 163 polynomial time due to P_4 -free graphs (also known as *cographs*) having cliquewidth
 164 at most 2. However, these applications are interleaved with a reduction to the case
 165 of *prime graphs* — graphs with no non-trivial modules — which we achieve using
 166 dynamic programming on the modular decomposition of the input graph. This is in
 167 order to apply some results on the structure of prime bull-free graphs [14, 16], so that
 168 P_4 -freeness is achieved at the end.

169 Let us briefly discuss the key branching procedure. The first step is finding a useful
 170 dominating structure that we call a *monitor*: a subset of vertices M of a connected
 171 graph G is a monitor if for every connected component C of $G - M$, there is a vertex

172 in M that is complete to C . We prove that in a connected P_6 -free graph there is
 173 always a monitor that is the closed neighborhood of a set of at most three vertices.
 174 After finding such a monitor $N[X]$ for $|X| \leq 3$, we perform a structural analysis of
 175 the graph centered around the set X . This analysis shows that there exists a subset
 176 of $\mathcal{O}(|V(H)|)$ vertices such that after guessing this subset and the H -coloring on it,
 177 the instance can be partitioned into several separate subinstances, each of which has a
 178 strictly smaller clique number. This structural analysis, and in particular the way the
 179 separation of subinstances is achieved, is inspired by the polynomial-time algorithm
 180 of Hoàng et al. [32] for k -COLORING in P_5 -free graphs.

181 *Other related work.* We remark that very recently and independently of us, Brettell
 182 et al. [9] proved that for every fixed $s, t \in \mathbb{N}$, the class of $\{K_t, sK_1 + P_5\}$ -free graphs
 183 has bounded mim-width. Here, *mim-width* is a graph parameter that is less restrictive
 184 than cliquewidth, but the important aspect is that a wide range of vertex-partitioning
 185 problems, including the MAX PARTIAL H -COLORING problem considered in this work,
 186 can be solved in polynomial time on every class of graphs where the mim-width is
 187 universally bounded and a corresponding decomposition can be computed efficiently.
 188 The result of Brettell et al. thus shows that in P_5 -free graphs, the mim-width is
 189 bounded by a function of the clique number. This gives an $n^{f(\omega(G))}$ -time algorithm
 190 for MAX PARTIAL H -COLORING in P_5 -free graphs (for fixed H), for some function f .
 191 However, the proof presented in [9] gives only an exponential upper bound on the
 192 function f , which in particular does not imply the existence of a subexponential-time
 193 algorithm. To compare, our reasoning leads to an $n^{\mathcal{O}(\omega(G))}$ -time algorithm and a
 194 subexponential-time algorithm with complexity $n^{\mathcal{O}(\sqrt{n})}$.

195 We remark that the techniques used by Brettell et al. [9] also rely on revisiting
 196 the approach of Hoàng et al. [32], and they similarly observe that this approach can
 197 be used to apply induction based on the clique number of the graph.

198 *Organization.* After setting up notation and basic definition in Section 2 and
 199 proving an auxiliary combinatorial result about P_6 -free graphs in Section 3, we provide
 200 the key technical lemma (Lemma 4.1) in Section 4. This lemma captures a single
 201 branching step of our algorithms. In Section 5 we derive results (R3) and (R4).
 202 Section 6 and Section 7 are devoted to the proofs of results (R1) and (R2), respectively.
 203 In Section 8 we show that allowing loops in H may result in an NP-hard problem even
 204 in restricted subclasses of P_5 -free graphs. We conclude in Section 9 by discussing
 205 directions of further research.

206 2. Preliminaries.

207 *Graphs.* For a graph G , the vertex and edge sets of G are denoted by $V(G)$ and
 208 $E(G)$, respectively. The *open neighborhood* of a vertex u is the set $N_G(u) := \{v : uv \in$
 209 $E(G)\}$, while the *closed neighborhood* is $N_G[u] := N_G(u) \cup \{u\}$. This notation is
 210 extended to sets of vertices: for $X \subseteq V(G)$, we set $N_G[X] := \bigcup_{u \in X} N_G[u]$ and
 211 $N_G(X) := N_G[X] \setminus X$. We may omit the subscript if the graph G is clear from
 212 the context. By C_t , P_t , and K_t we respectively denote the cycle, the path, and the
 213 complete graph on t vertices.

214 The *clique number* $\omega(G)$ is the size of the largest clique in a graph G . A clique
 215 K in G is *maximal* if no proper superset of K is a clique.

216 For $s, t \in \mathbb{N}$, the *Ramsey number* of s and t is the smallest integer k such that
 217 every graph on k vertices contains either a clique of size s or an independent set of
 218 size t . It is well-known that the Ramsey number of s and t is bounded from above by
 219 $\binom{s+t-2}{s-1}$, hence we will denote $\text{Ramsey}(s, t) := \binom{s+t-2}{s-1}$.

220 For a graph G and $A \subseteq V(G)$, by $G[A]$ we denote the subgraph of G induced by

221 A . We write $G - A := G[V(G) \setminus A]$. We say that F is an *induced subgraph* of G if
 222 there is $A \subseteq V(G)$ such that $G[A]$ is isomorphic to F ; this containment is *proper* if
 223 in addition $A \neq V(G)$. For a family of graphs \mathcal{F} , a graph G is \mathcal{F} -*free* if G does not
 224 contain any induced subgraph from \mathcal{F} . If $\mathcal{F} = \{H\}$, then we may speak about H -*free*
 225 graphs as well.

226 If G is a graph and $A \subseteq V(G)$ is a subset of vertices, then a vertex $u \notin A$ is
 227 *complete* to A if u is adjacent to all the vertices of A , and u is *anti-complete* to A if
 228 u has no neighbors in A . We will use the following simple claim several times.

229 **LEMMA 2.1.** *Suppose G is a graph, A is a subset of its vertices such that $G[A]$ is*
 230 *connected, and $u \notin A$ is a vertex that is neither complete nor anti-complete to A in*
 231 *G . Then there are vertices $a, b \in X$ such that $u - a - b$ is an induced P_3 in G .*

232 *Proof.* Since u is neither complete nor anticomplete to A , both the sets $A \cap N(u)$
 233 and $A \setminus N(u)$ are non-empty. As A is connected, there exist $a \in A \cap N(u)$ and
 234 $b \in A \setminus N(u)$ such that a and b are adjacent. Now $u - a - b$ is the desired induced
 235 P_3 . \square

236 For a graph F , by F^\bullet we denote the graph obtained from F by adding a *universal*
 237 *vertex*: a vertex adjacent to all the other vertices. Similarly, by $F^{\bullet\circ}$ we denote the
 238 graph obtained from F by adding first an isolated vertex, say x , and then a universal
 239 vertex, say y . Note that thus y is adjacent to all the other vertices of $F^{\bullet\circ}$, while x is
 240 adjacent only to y .

241 *H-colorings.* For graphs H and G , a function $\phi: V(G) \rightarrow V(H)$ is a *homomor-*
 242 *phism* from G to H if for every $uv \in E(G)$, we also have $\phi(u)\phi(v) \in E(H)$. Note
 243 that a homomorphism from G to the complete graph K_t is nothing else than a proper
 244 coloring of G with t colors. Therefore, a homomorphism from G to H will be also
 245 called an *H-coloring* of G , and we will refer to vertices of H as colors. Note that
 246 we will always assume that H is a simple graph without loops, so no two adjacent
 247 vertices of G can be mapped by a homomorphism to the same vertex of H . To stress
 248 this, we will call such H an *irreflexive pattern graph*.

249 A *partial homomorphism* from G to H , or a *partial H-coloring* of G , is a partial
 250 function $\phi: V(G) \rightarrow V(H)$ that is a homomorphism from $G[\text{dom } \phi]$ to H , where $\text{dom } \phi$
 251 denotes the domain of ϕ .

Suppose that with graphs G and H we associate a *revenue function* $\text{rev}: V(G) \times V(H) \rightarrow \mathbb{R}$. Then the *revenue* of a partial H -coloring ϕ is defined as

$$\text{rev}(\phi) := \sum_{u \in \text{dom } \phi} \text{rev}(u, \phi(u)).$$

252 In other words, for $u \in V(G)$ and $v \in V(H)$, $\text{rev}(u, v)$ denotes the revenue yielded by
 253 assigning $\phi(u) := v$.

254 We now define the main problem studied in this work. In the following, we
 255 consider the graph H fixed.

MAX PARTIAL H -COLORING

Input: Graph G and a revenue function $\text{rev}: V(G) \times V(H) \rightarrow \mathbb{R}$

Output: A partial H -coloring ϕ of G that maximizes $\text{rev}(\phi)$

257 An *instance* of the MAX PARTIAL H -COLORING problem is a pair (G, rev) as
 258 above. A *solution* to an instance (G, rev) is a partial H -coloring of G , and it is
 259 *optimum* if it maximizes $\text{rev}(\phi)$ among solutions. By $\text{OPT}(G, \text{rev})$ we denote the
 260 maximum possible revenue of a solution to the instance (G, rev) .

261 Let us note one aspect that will be used later on. Observe that in revenue functions
 262 we allow negative revenues for some assignments. However, if we are interested in
 263 maximizing the total revenue, there is no point in using such assignments: if $u \in \text{dom } \phi$
 264 and $\text{rev}(u, \phi(u)) < 0$, then just removing u from the domain of ϕ increases the revenue.
 265 Thus, optimal solutions never use assignments with negative revenues. Note that this
 266 feature can be used to model list versions of partial coloring problems.

267 **3. Monitors in P_6 -free graphs.** In this section we prove an auxiliary result
 268 about finding useful separators in P_6 -free graphs. The desired property is expressed
 269 in the following definition.

270 **DEFINITION 3.1.** *Let G be a connected graph. A subset of vertices $M \subseteq V(G)$ is*
 271 *a monitor in G if for every connected component C of $G - M$, there exists a vertex*
 272 *$w \in M$ that is complete to C .*

273 Let us note the following property of monitors.

274 **LEMMA 3.2.** *If M is a monitor in a connected graph G , then every maximal clique*
 275 *in G intersects M . In particular, $\omega(G - M) < \omega(G)$.*

276 *Proof.* If K is a clique in $G - M$, then K has to be entirely contained in some
 277 connected component C of $G - M$. Since M is a monitor, there exists $w \in M$ that
 278 is complete to C . Then $K \cup \{w\}$ is also a clique in G , hence K cannot be a maximal
 279 clique in G . \square

280 We now prove that in P_6 -free graphs we can always find easily describable moni-
 281 tors.

282 **LEMMA 3.3.** *Let G be a connected P_6 -free graph. Then for every $u \in V(G)$ there*
 283 *exists a subset of vertices X such that $u \in X$, $|X| \leq 3$, $G[X]$ is a path whose one*
 284 *endpoint is u , and $N_G[X]$ is a monitor in G .*

285 **Lemma 3.3** follows immediately from the following statement applied for $t = 6$.

286 **LEMMA 3.4.** *Let $t \in \{4, 5, 6\}$, G be a connected P_6 -free graph, and $u \in V(G)$ be a*
 287 *vertex such that in G there is no induced P_t with u being one of the endpoints. Then*
 288 *there exists a subset X of vertices such that $u \in X$, $|X| \leq t - 3$, $G[X]$ is a path whose*
 289 *one endpoint is u , and $N_G[X]$ is a monitor in G .*

290 *Proof.* We proceed by induction on t . The base case for $t = 4$ will be proved
 291 directly within the analysis.

292 In the following, by *slabs* we mean connected components of the graph $G - N_G[u]$.
 293 We shall say that a vertex $w \in N_G(u)$ is *mixed* on a slab C if w is neither complete
 294 nor anti-complete to C . A slab C is *simple* if there exists a vertex $w \in N_G(u)$ that is
 295 complete to C , and *difficult* otherwise.

296 Note that since G is connected, for every difficult slab D there exists some vertex
 297 $w \in N_G(u)$ that is mixed on D . Then, by [Lemma 2.1](#), we can find vertices $a, b \in D$
 298 such that $u - w - a - b$ is an induced P_4 in G . If $t = 4$ then no such induced P_4
 299 can exist, so we infer that in this case there are no difficult slabs. Then $N_G[u]$ is a
 300 monitor, so we may set $X := \{u\}$. This proves the claim for $t = 4$; from now on we
 301 assume that $t \geq 5$.

302 Let us choose a vertex $v \in N_G(u)$ that maximizes the number of difficult slabs
 303 on which v is mixed. Suppose there is a difficult slab D' such that v is anti-complete
 304 to D' . As we argued, there exists a vertex $v' \in N_G(u)$ such that v' is mixed on D' ;
 305 clearly $v' \neq v$. By the choice of v , there exists a difficult slab D such that v is mixed
 306 on D and v' is anti-complete to D . By applying [Lemma 2.1](#) twice, we find vertices

307 $a, b \in D$ and $a', b' \in D'$ such that $v - a - b$ and $v' - a' - b'$ are induced P_3 s in G .
 308 Now, if v and v' were adjacent, then $a - b - v - v' - a' - b'$ would be an induced P_6
 309 in G , a contradiction. Otherwise $a - b - v - u - v' - a' - b'$ is an induced P_7 in G ,
 310 again a contradiction (see Figure 2).

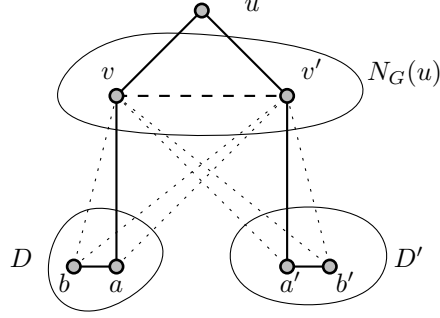


FIG. 2. The graph G in the proof of Lemma 3.4 when v anti-complete to some difficult slab D' . Dotted lines show non-edges. The edge vv' might be present.

We conclude that v is mixed on every difficult slab. Let

$$A := \{v\} \cup \bigcup_{D: \text{difficult slab}} V(D).$$

311 Then $G[A]$ is connected and P_6 -free. Moreover, in $G[A]$ there is no P_{t-1} with one
 312 endpoint being v , because otherwise we would be able to extend such an induced P_{t-1}
 313 using u , and thus obtain an induced P_t in G with one endpoint being u . Consequently,
 314 by induction we find a subset $Y \subseteq A$ such that $|Y| \leq t - 4$, $G[Y]$ is a path with one of
 315 the endpoints being v , and $N_{G[A]}[Y]$ is a monitor in $G[A]$. Let $X := Y \cup \{u\}$. Then
 316 $|X| \leq t - 3$ and $G[X]$ is a path with u being one of the endpoints.

317 We verify that $N_G[X]$ is a monitor in G . Consider any connected component
 318 C of $G - N_G[X]$. As $N_G[X] \supseteq N_G[u]$, C is contained in some slab D . If D is
 319 simple, then by definition there exists a vertex $w \in N_G[u] \subseteq N_G[X]$ that is complete
 320 to D , hence also complete to C . Otherwise D is difficult, hence C is a connected
 321 component of $G[A] - N_{G[A]}[Y]$. Since $N_{G[A]}[Y]$ is a monitor in $G[A]$, there exists a
 322 vertex $w \in N_{G[A]}[Y] \subseteq N_G[X]$ that is complete to C . This completes the proof. \square

323 We remark that no statement analogous to Lemma 3.3 may hold for P_7 -free
 324 graphs, even if from X we only require that $N_G[X]$ intersects all the maximum-size
 325 cliques in G (which is implied by the property of being a monitor, see Lemma 3.2).
 326 Consider the following example. Let G be a graph obtained from the union of $n + 1$
 327 complete graphs $K^{(0)}, \dots, K^{(n)}$, each on n vertices, by making one vertex from each
 328 of the graphs $K^{(1)}, \dots, K^{(n)}$ adjacent to a different vertex of $K^{(0)}$. Then G is P_7 -free,
 329 but the minimum size of a set $X \subseteq V(G)$ such that $N_G[X]$ intersects all maximum-size
 330 cliques in G is n .

331 **4. Branching.** We now present the core branching step that will be used by
 332 all our algorithms. This part is inspired by the approach of Hoàng et al. [32]. We
 333 will rely on the following two graph families; see Figure 3. For $t \in \mathbb{N}$, the graph S_t
 334 is obtained from the star $K_{1,t}$ by subdividing every edge once. Then $L_1 := P_3$ and
 335 for $t \geq 2$ the graph L_t is obtained from S_t by making all the leaves of S_t pairwise
 336 adjacent.


 FIG. 3. Graphs S_4 and L_4 .

337 LEMMA 4.1. Let H be a fixed irreflexive pattern graph. Suppose we are given
 338 integers s, t and an instance (G, rev) of MAX PARTIAL H -COLORING such that G is
 339 connected and $\{P_6, L_s, S_t\}$ -free, and the range of $\text{rev}(\cdot)$ contains at least one positive
 340 value. Denoting $n := |V(G)|$, one can in time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ compute a set Π of size
 341 $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ such that the following conditions hold:

- 342 (B1) Each element of Π is a pair $((G_1, \text{rev}_1), (G_2, \text{rev}_2))$, where G_1, G_2 are $\{P_6, L_s,$
 343 $S_t\}$ -free subgraphs of G satisfying $V(G) = V(G_1) \uplus V(G_2)$. Further, (G_2, rev_2)
 344 is an instance of MAX PARTIAL H -COLORING, and (G_1, rev_1) is an instance
 345 of MAX PARTIAL H' -COLORING, where H' is some proper induced subgraph
 346 of H (which may be different for different elements of Π).
 347 (B2) For each $((G_1, \text{rev}_1), (G_2, \text{rev}_2)) \in \Pi$ and every connected graph F on at least
 348 two vertices, if G_1 contains an induced F , then G contains an induced F^\bullet .
 349 Moreover, if G_2 contains an induced F , then G contains an induced $F^{\bullet\circ}$.
 350 (B3) We have

$$\begin{aligned}
 351 \quad & \text{OPT}(G, \text{rev}) = \\
 352 \quad & \max \{ \text{OPT}(G_1, \text{rev}_1) + \text{OPT}(G_2, \text{rev}_2) : ((G_1, \text{rev}_1), (G_2, \text{rev}_2)) \in \Pi \}.
 \end{aligned}$$

354 Moreover, for any pair $((G_1, \text{rev}_1), (G_2, \text{rev}_2)) \in \Pi$ for which this maximum
 355 is reached, and for every pair of optimum solutions ϕ_1 and ϕ_2 to (G_1, rev_1)
 356 and (G_2, rev_2) , respectively, the function $\phi := \phi_1 \cup \phi_2$ is an optimum solution
 357 to (G, rev) with $\text{rev}(\phi) = \text{rev}_1(\phi_1) + \text{rev}_2(\phi_2)$.

358 The remainder of this section is devoted to the proof of Lemma 4.1. We fix the
 359 irreflexive pattern graph H and consider an input instance (G, rev) . We find it more
 360 didactic to first perform an analysis of (G, rev) , and only provide the algorithm at the
 361 end. Thus, the correctness will be clear from the previous observations.

Let

$$T := \{ (x, y) \in V(G) \times V(H) : \text{rev}(x, y) > 0 \}.$$

362 By assumption T is nonempty, hence $\text{OPT}(G, \text{rev}) > 0$ and every optimum solution ϕ
 363 to (G, rev) has a nonempty domain: it sets $\phi(x) = y$ for some $(x, y) \in T$. Consequently,
 364 the final set Π will be obtained by taking the union of sets $\Pi^{x,y}$ for $(x, y) \in T$: when
 365 constructing $\Pi^{x,y}$ our goal is to capture all solutions satisfying $\phi(x) = y$. We now
 366 focus on constructing $\Pi^{x,y}$, hence we assume that we fix a pair $(x, y) \in T$.

367 Since G is connected, by Lemma 3.3 there exists $X \subseteq V(G)$ such that $x \in X$,
 368 $|X| \leq 3$, $G[X]$ is a path with x being one of the endpoints, and $N[X]$ is a monitor
 369 in G . Note that such X can be found in polynomial time by checking all subsets of
 370 $V(G) \setminus \{x\}$ of size at most 2. In case $|X| < 3$, we may add arbitrary to X so that
 371 $|X| = 3$ and $G[X]$ remains connected; note that this does not spoil the property that
 372 $G[X]$ is a monitor. We may also enumerate the vertices of X as $\{x_1, x_2, x_3\}$ so that
 373 $x = x_1$ and for each $i \in \{2, 3\}$ there exists $i' < i$ such that x_i and $x_{i'}$ are adjacent.

374 We partition $V(G) \setminus X$ into A_1, A_2, A_3, A_4 as follows:

$$\begin{aligned}
 375 \quad A_1 &:= N(x_1) \setminus X, & A_2 &:= N(x_2) \setminus (X \cup A_1), \\
 376 \quad A_3 &:= N(x_3) \setminus (X \cup A_1 \cup A_2), & A_4 &:= V(G) \setminus N[X].
 \end{aligned}$$

378 Note that $\{A_1, A_2, A_3\}$ is a partition of $N(X)$ (see Figure 4). For $i \in \{1, 2, 3\}$, denote
 379 $A_{>i} := \bigcup_{j=i+1}^4 A_j$ and observe that x_i is complete to A_i and anti-complete to $A_{>i}$.
 380 Moreover, we have the following.

381 **CLAIM 4.2.** *Let F be a connected graph. If $G[A_1]$ contains an induced F , then G
 382 contains an induced F^\bullet . If $G[A_i]$ contains an induced F for any $i \in \{2, 3, 4\}$, then G
 383 contains an induced $F^{\bullet\circ}$.*

384 *Proof of Claim.* For the first assertion observe that if $B \subseteq A_1$ induces F in G , then
 385 $B \cup \{x_1\}$ induces F^\bullet in G . For the second assertion, consider first the case when
 386 $i \in \{2, 3\}$. As we argued, there is $i' < i$ such that $x_{i'}$ and x_i are adjacent. Then if
 387 $B \subseteq A_i$ induces F in G , then $B \cup \{x_{i'}, x_i\}$ induces $F^{\bullet\circ}$ in G .

388 We are left with justifying the second assertion for $i = 4$. Suppose $B \subseteq A_4$ induces
 389 F in G . Since F is connected, B is entirely contained in one connected component C
 390 of $G[A_4]$. As $N[X]$ is a monitor in G , there exists a vertex $w \in N[X]$ that is complete
 391 to C . As $w \in N[X]$, some $x_{i'} \in X$ is adjacent to w . We now find that $B \cup \{w, x_{i'}\}$
 392 induces $F^{\bullet\circ}$ in G . ■

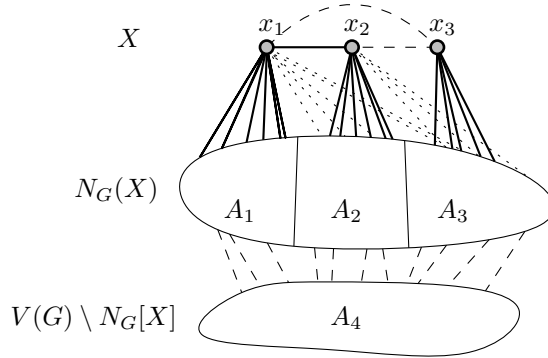


FIG. 4. The partition on $V(G)$ in the proof of Lemma 4.1. Solid and dotted lines respectively indicate that a vertex is complete or anti-complete to a set. Dashed edges might, but do not have to exist.

393 The next claim contains the core combinatorial observation of the proof.

394 **CLAIM 4.3.** *Let ϕ be a solution to the instance (G, rev) . Then for every $i \in$
 395 $\{1, 2, 3\}$ and $v \in V(H)$, there exists a set $S \subseteq A_i$ such that:*

- 396 • $|S| < \text{Ramsey}(s, t)$;
- 397 • $S \subseteq A_i \cap \phi^{-1}(v)$; and
- 398 • every vertex $u \in A_{>i}$ that has a neighbor in $A_i \cap \phi^{-1}(v)$, also has a neighbor
 399 in S .

400 *Proof of Claim.* Let S be the smallest set satisfying the second and the third condition,
 401 it exists, as these conditions are satisfied by $A_i \cap \phi^{-1}(v)$. Note that since H is
 402 irreflexive, it follows that $\phi^{-1}(v)$ is an independent set in G , hence S is independent
 403 as well.

404 Suppose for contradiction that $|S| \geq \text{Ramsey}(s, t)$. By minimality, for every $u \in S$
 405 there exists $u' \in A_{>i}$ such that u is the only neighbor of u' in S . Let $S' := \{u' : u \in S\}$

406 (see Figure 5). Since $|S'| \geq \text{Ramsey}(s, t)$, in $G[S']$ we can either find a clique K' of size
 407 s or an independent set I' of size t ; denote $K := \{u: u \in K'\}$ and $I := \{u: u \in I'\}$. In
 408 the former case, we find that $\{x_i\} \cup K \cup K'$ induces the graph L_s in G , a contradiction.
 409 Similarly, in the latter case we have that $\{x_i\} \cup I \cup I'$ induces S_t in G , again a
 410 contradiction. This completes the proof of the claim. \blacksquare

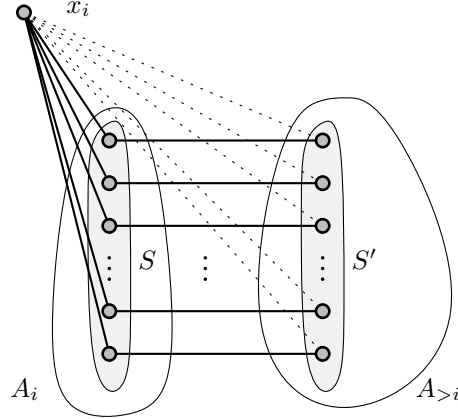


FIG. 5. Sets S and S' in the proof of Claim 4.3.

411 **Claim 4.3** suggests the following notion. A *guess* is a function $R: V(H) \rightarrow 2^{N[X]}$
 412 satisfying the following:

- 413 • for each $v \in V(H)$, $R(v)$ is a subset of $N[X]$ such that $|R(v) \cap A_i| <$
 414 $\text{Ramsey}(s, t)$ for all $i \in \{1, 2, 3\}$;
- 415 • sets $R(v)$ are pairwise disjoint for different $v \in V(H)$; and
- 416 • $x \in R(y)$.

417 Let $\mathcal{R}^{x,y}$ be the family of all possible guesses. Note that we add the pair (x, y) in the
 418 superscript to signify that the definition of $\mathcal{R}^{x,y}$ depends on (x, y) .

419 **CLAIM 4.4.** *We have that $|\mathcal{R}^{x,y}| \leq n^{\mathcal{O}(\text{Ramsey}(s,t))}$ and $\mathcal{R}^{x,y}$ can be enumerated in*
 420 *time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$.*

421 *Proof of Claim.* For each $v \in V(H)$, the number of choices for $R(v)$ in a guess
 422 R is bounded by $2^3 \cdot n^{3 \cdot \text{Ramsey}(s,t)}$: the first factor corresponds to the choice of
 423 $R(v) \cap X$, while the second factor bounds the number of choices of $R(v) \cap A_i$ for
 424 $i \in \{1, 2, 3\}$. Since the guess R is determined by choosing $R(v)$ for each $v \in V(H)$
 425 and $|V(H)|$ is considered a constant, the number of different guesses is bounded by
 426 $(2^3 \cdot n^{3 \cdot \text{Ramsey}(s,t)})^{|V(H)|} = n^{\mathcal{O}(\text{Ramsey}(s,t))}$. Clearly, they can be also enumerated in
 427 time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$. \blacksquare

428 Now, we say that a guess R is *compatible* with a solution ϕ to (G, rev) if the
 429 following conditions hold for every $v \in V(H)$:

- 430 (C1) $R(v) \subseteq \phi^{-1}(v)$;
- 431 (C2) $R(v) \cap X = \phi^{-1}(v) \cap X$; and
- 432 (C3) for all $i \in \{1, 2, 3\}$ and $u \in A_{>i}$, if u has a neighbor in $\phi^{-1}(v) \cap A_i$, then u
 433 also has a neighbor in $R(v) \cap A_i$.

434 The following statement follows immediately from Claim 4.3.

435 **CLAIM 4.5.** *For every solution ϕ to the instance (G, rev) which satisfies $\phi(x) = y$,*
 436 *there exists a guess $R \in \mathcal{R}^{x,y}$ that is compatible with ϕ .*

437 Let us consider a guess $R \in \mathcal{R}^{x,y}$. We define a set $B^R \subseteq V(G) \times V(H)$ of
 438 *disallowed pairs* for R as follows. We include a pair $(u, v) \in V(G) \times V(H)$ in B^R if
 439 any of the following four conditions holds:

- 440 (D1) $u \in X$ and $u \notin R(v)$;
- 441 (D2) $u \in R(v')$ for some $v' \in V(H)$ that is different from v ;
- 442 (D3) u has a neighbor in G that belongs to $R(v')$ for some $v' \in V(H)$ such that
 443 $vv' \notin E(H)$; or
- 444 (D4) $u \in A_i \setminus R(v)$ for some $i \in \{1, 2, 3\}$ and there exists $u' \in A_{>i}$ such that
 445 $uu' \in E(G)$ and $N_G(u') \cap A_i \cap R(v) = \emptyset$.

446 Intuitively, B^R contains assignments that contradict the supposition that R is com-
 447 patible with a considered solution. The fact that $x = x_1$ is complete to A_1 and the
 448 assumption $x \in R(y)$ directly yield the following.

449 CLAIM 4.6. *For all $u \in A_1$ and $R \in \mathcal{R}^{x,y}$, we have $(u, y) \in B^R$.*

Based on B^R , we define a new revenue functions $\text{rev}^R: V(G) \times V(H) \rightarrow \mathbb{R}$ as follows:

$$\text{rev}^R(u, v) = \begin{cases} -1 & \text{if } (u, v) \in B^R; \\ \text{rev}(u, v) & \text{otherwise.} \end{cases}$$

450 The intuition is that if a pair (u, v) is disallowed by R , then we model this in rev^R by
 451 assigning negative revenue to the corresponding assignment. This forbids optimum
 452 solutions to use this assignment.

453 We now define a subgraph $G^{x,y}$ of G as follows:

$$454 \quad V(G^{x,y}) := V(G) \text{ and } E(G^{x,y}) := \{uv \in E(G) : u, v \in A_i \text{ for some } i \in \{1, 2, 3, 4\}\}.$$

455 In other words, $G^{x,y}$ is obtained from G by removing all edges except those whose
 456 both endpoints belong to the same set A_i , for some $i \in \{1, 2, 3, 4\}$.

457 For every guess $R \in \mathcal{R}^{x,y}$, we may consider a new instance $(G^{x,y}, \text{rev}^R)$ of MAX
 458 PARTIAL H -COLORING. In the following two claims we establish the relationship
 459 between solutions to the instance (G, rev) and solutions to instances $(G^{x,y}, \text{rev}^R)$.
 460 The proofs essentially boil down to a verification that all the previous definitions
 461 work as expected. In particular, the key point is that the modification of revenues
 462 applied when constructing rev^R implies automatic satisfaction of all the constraints
 463 associated with edges that were present in G , but got removed in $G^{x,y}$.

464 CLAIM 4.7. *For every guess $R \in \mathcal{R}^{x,y}$, every optimum solution ϕ to the instance
 465 $(G^{x,y}, \text{rev}^R)$ is also a solution to the instance (G, rev) , and moreover $\text{rev}^R(\phi) = \text{rev}(\phi)$.*

466 *Proof of Claim.* Recall that ϕ is a solution to (G, rev) if and only if ϕ is a partial H -
 467 coloring of G . Hence, we need to prove that for every $uu' \in E(G)$ with $u, u' \in \text{dom } \phi$,
 468 we have $\phi(u)\phi(u') \in E(H)$. Denote $v := \phi(u)$ and $v' := \phi(u')$ and suppose for
 469 contradiction that $vv' \notin E(H)$. Since ϕ is an optimum solution to $(G^{x,y}, \text{rev}^R)$, we
 470 have $\text{rev}^R(u, v) \geq 0$, which implies that $(u, v) \notin B^R$. Similarly $(u', v') \notin B^R$. We now
 471 consider cases depending on the alignment of u and u' in G .

472 If $u, u' \in A_i$ for some $i \in \{1, 2, 3, 4\}$ then $uu' \in E(G^{x,y})$, so the supposition
 473 $vv' \notin E(H)$ would contradict the assumption that ϕ is a solution to $(G^{x,y}, \text{rev}^R)$.

474 Suppose $u \in A_i$ and $u' \in A_j$ for $i, j \in \{1, 2, 3, 4\}$, $i \neq j$; by symmetry, assume
 475 $i < j$. As $vv' \notin E(H)$, we infer that u' does not have any neighbors in $R(v)$ in G ,
 476 for otherwise we would have $(u', v') \in B^R$ by (D3). As $uu' \in E(G)$, $u \in A_i$, and
 477 $u' \in A_{>i}$, this implies that $(u, v) \in B^R$ by (D4), a contradiction.

478 Finally, suppose that $\{u, u'\} \cap X \neq \emptyset$, say $u \in X$. Since $(u, v) \notin B^R$, by (D1) we
 479 infer that $u \in R(v)$. Then, by (D3), $vv' \notin E(H)$ and $uu' \in E(G)$ together imply that
 480 $(u', v') \in B^R$, a contradiction.

481 This finishes the proof that ϕ is a solution to (G, rev) . To see that $\text{rev}^R(\phi) = \text{rev}(\phi)$
 482 note that ϕ , being an optimum solution to $(G^{x,y}, \text{rev}^R)$, does not use any assignments
 483 with negative revenues in rev^R , while $\text{rev}(u, v) = \text{rev}^R(u, v)$ for all (u, v) satisfying
 484 $\text{rev}^R(u, v) \geq 0$. ■

485 CLAIM 4.8. *If ϕ is a solution to (G, rev) that is compatible with a guess $R \in \mathcal{R}^{x,y}$,
 486 then ϕ is also a solution to $(G^{x,y}, \text{rev}^R)$ and $\text{rev}^R(\phi) = \text{rev}(\phi)$.*

487 *Proof of Claim.* As ϕ is a solution to (G, rev) , it is a partial H -coloring of G . Since
 488 $G^{x,y}$ is a subgraph of G with $V(G^{x,y}) = V(G)$, ϕ is also a partial H -coloring of $G^{x,y}$.
 489 Hence ϕ is a solution to $(G^{x,y}, \text{rev}^R)$.

490 To prove that $\text{rev}^R(\phi) = \text{rev}(\phi)$ it suffices to show that $(u, \phi(u)) \notin B^R$ for every
 491 $u \in \text{dom } \phi$, since functions rev^R and rev differ only on the pairs from B^R . Suppose
 492 otherwise, and consider cases depending on the reason for including $(u, \phi(u))$ in B^R .
 493 Denote $v := \phi(u)$.

494 First, suppose $u \in X$ and $u \notin R(v)$. By (C2) we have $u \notin R(v) \cap X = \phi^{-1}(v) \cap X \ni$
 495 u , a contradiction.

496 Second, suppose $u \in R(v')$ for some $v' \neq v$. By (C1) we have $v = \phi(u) = v'$,
 497 again a contradiction.

498 Third, suppose that u has a neighbor u' in G such that $u' \in R(v')$ for some
 499 $v' \in V(H)$ satisfying $vv' \notin E(H)$. By (C1), we have $u' \in \text{dom } \phi$ and $\phi(u') = v'$.
 500 But then $\phi(u)\phi(u') = vv' \notin E(H)$ even though $uu' \in E(G)$, a contradiction with the
 501 assumption that ϕ is a partial H -coloring of G .

502 Fourth, suppose that $u \in A_i \setminus R(v)$ for some $i \in \{1, 2, 3\}$ and there exists $u' \in A_{>i}$
 503 such that $uu' \in E(G)$ and $N_G(u') \cap R(v) \cap A_i = \emptyset$. Observe that since $u \in A_i \cap \phi^{-1}(v)$
 504 and $uu' \in E(G)$, by (C3) u' has a neighbor in $R(v) \cap A_i$ in the graph G . This
 505 contradicts the supposition that $N_G(u') \cap R(v) \cap A_i = \emptyset$.

506 As in all the cases we have obtained a contradiction, this concludes the proof of
 507 the claim. ■

We now relate the optimum solution to the instance (G, rev) to optima for in-
 stances constructed for different $(x, y) \in T$. For $(x, y) \in T$, consider a set of
 instances

$$\Lambda^{x,y} := \{(G^{x,y}, \text{rev}^R) : R \in \mathcal{R}^{x,y}\},$$

and let $\Lambda := \bigcup_{(x,y) \in T} \Lambda^{x,y}$. Note that

$$|\Lambda| \leq |T| \cdot n^{\mathcal{O}(\text{Ramsey}(s,t))} \leq (|V(H)| \cdot n) \cdot n^{\mathcal{O}(\text{Ramsey}(s,t))} \leq n^{\mathcal{O}(\text{Ramsey}(s,t))}.$$

508 We then have the following.

509 CLAIM 4.9. *We have $\text{OPT}(G, \text{rev}) = \max_{(G', \text{rev}') \in \Lambda} \text{OPT}(G', \text{rev}')$. Moreover, for
 510 every $(G', \text{rev}') \in \Lambda$ for which the maximum is reached, every optimum solution ϕ to
 511 (G', rev') is also an optimum solution to (G, rev) with $\text{rev}(\phi) = \text{rev}'(\phi)$.*

512 *Proof of Claim.* By Claim 4.7, we have that

$$513 \quad (4.1) \quad \text{OPT}(G, \text{rev}) \geq \max_{(G', \text{rev}') \in \Lambda} \text{OPT}(G', \text{rev}').$$

514 On the other hand, suppose ϕ^* is an optimum solution to (G, rev) . Since $T \neq$
 515 \emptyset by assumption, hence there exists some $(x, y) \in T$ such that $\phi^*(x) = y$. By

516 **Claim 4.5**, there exists a guess $R \in \mathcal{R}^{x,y}$ such that ϕ^* is compatible with R ; note
 517 that $(G^{x,y}, \text{rev}^R) \in \Lambda$. By **Claim 4.8**, ϕ^* is also a solution to the instance $(G^{x,y}, \text{rev}^R)$
 518 and $\text{rev}^R(\phi^*) = \text{rev}(\phi^*)$. By (4.1) we conclude that ϕ^* is an optimum solution to
 519 $(G^{x,y}, \text{rev}^R)$ and $\text{OPT}(G, \text{rev}) = \text{OPT}(G^{x,y}, \text{rev}^R)$. In particular, $\text{OPT}(G, \text{rev}) =$
 520 $\max_{(G', \text{rev}') \in \Lambda} \text{OPT}(G', \text{rev}')$. Finally, **Claim 4.7** now implies that every optimum so-
 521 lution to $(G^{x,y}, \text{rev}^R)$ is also an optimum solution to (G, rev) . ■

522 **Claim 4.9** asserts that the instance (G, rev) is suitably equivalent to the set of
 523 instances Λ . It now remains to partition each instance from Λ into two independent
 524 subinstances (G_1, rev_1) and (G_2, rev_2) with properties required in (B1) and (B2), so
 525 that the final set Π can be obtained by applying this operation to every instance in
 526 Λ .

Consider any instance from Λ , say instance $(G^{x,y}, \text{rev}^R)$ constructed for some
 $(x, y) \in T$ and $R \in \mathcal{R}^{x,y}$. We adopt the notation from the construction of $G^{x,y}$ and
 $\mathcal{R}^{x,y}$, and define

$$G_1^{x,y} := G^{x,y}[A_1] \quad \text{and} \quad G_2^{x,y} := G^{x,y}[A_2 \cup A_3 \cup A_4 \cup X].$$

527 The properties of $G_1^{x,y}$ and $G_2^{x,y}$ required in (B1) and (B2) are asserted by the following
 528 claim.

529 **CLAIM 4.10.** *The graphs $G_1^{x,y}$ and $G_2^{x,y}$ are $\{P_6, L_s, S_t\}$ -free. Moreover, for every
 530 connected graph F on at least two vertices, if $G_1^{x,y}$ contains an induced F , then G
 531 contains an induced F^\bullet , and if $G_2^{x,y}$ contains an induced F , then G contains an
 532 induced $F^{\bullet\circ}$.*

533 *Proof of Claim.* Note that $G_1^{x,y}$ is an induced subgraph of G . Moreover, $G_2^{x,y}$ is
 534 a disjoint union of $G[A_2]$, $G[A_3]$, and $G[A_4]$, plus x_1, x_2, x_3 are included in $G_2^{x,y}$ as
 535 isolated vertices, so every connected component of $G_2^{x,y}$ is an induced subgraph of
 536 G . As G is $\{P_6, L_s, S_t\}$ -free by assumption, it follows that both $G_1^{x,y}$ and $G_2^{x,y}$ are
 537 $\{P_6, L_s, S_t\}$ -free. The second part of the statement follows directly from **Claim 4.2**
 538 and the observation that every induced F in $G_2^{x,y}$ has to be contained either in $G[A_2]$,
 539 or in $G[A_3]$, or in $G[A_4]$. ■

540 Now, construct an instance $(G_1^{x,y}, \text{rev}_1^R)$ of MAX PARTIAL H' -COLORING, where
 541 $H' = H - y$, and an instance $(G_2^{x,y}, \text{rev}_2^R)$ of MAX PARTIAL H -COLORING as follows:
 542 rev_1^R is defined as the restriction of rev^R to the set $V(G_1^{x,y}) \times V(H')$, and rev_2^R is defined
 543 as the restriction of rev^R to the set $V(G_2^{x,y}) \times V(H)$. Note that by **Claim 4.6** and the
 544 construction of rev^R , we have $\text{rev}^R(u, y) = -1$ for all $u \in V(G_1^{x,y})$, so no optimum
 545 solution to $(G^{x,y}, \text{rev}^R)$ can assign y to any $u \in V(G_1^{x,y})$. Since in $G^{x,y}$ there are no
 546 edges between $V(G_1^{x,y})$ and $V(G_2^{x,y})$, we immediately obtain the following.

547 **CLAIM 4.11.** $\text{OPT}(G^{x,y}, \text{rev}^R) = \text{OPT}(G_1^{x,y}, \text{rev}_1^R) + \text{OPT}(G_2^{x,y}, \text{rev}_2^R)$. Moreover,
 548 for any optimum solutions ϕ_1 and ϕ_2 to $(G_1^{x,y}, \text{rev}_1^R)$ and $(G_2^{x,y}, \text{rev}_2^R)$, respectively,
 549 the function $\phi := \phi_1 \cup \phi_2$ is an optimum solution to $(G^{x,y}, \text{rev}^R)$.

550 Finally, we define the set Π to comprise of all the pairs $((G_1^{x,y}, \text{rev}_1^R), (G_2^{x,y}, \text{rev}_2^R))$
 551 constructed from all $(G^{x,y}, \text{rev}^R) \in \Lambda$ as described above. Now, assertion (B3) follows
 552 directly from **Claim 4.9** and **Claim 4.11**, while assertions (B1) and (B2) are verified
 553 by **Claim 4.10**.

554 It remains to argue the algorithmic aspects. There are at most $|V(H)| \cdot n = \mathcal{O}(n)$
 555 pairs $(x, y) \in T$ to consider, and for each of them we can enumerate the set of guesses
 556 $\mathcal{R}^{x,y}$ in time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$. It is clear that for each guess $R \in \mathcal{R}^{x,y}$, the instances
 557 $(G_1^{x,y}, \text{rev}_1^R)$ and $(G_2^{x,y}, \text{rev}_2^R)$ can be constructed in polynomial time. Hence the total
 558 running time of $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ follows. This completes the proof of **Lemma 4.1**.

559 *A simplified variant.* In the next section we will rely only on the following sim-
 560 plified variant of [Lemma 4.1](#). We provide it for the convenience of further use.

561 **LEMMA 4.12.** *Let H be a fixed irreflexive pattern graph. Suppose we are given*
 562 *integers s, t and an instance (G, rev) of MAX PARTIAL H -COLORING such that G is*
 563 *connected and $\{P_6, L_s, S_t\}$ -free. Denoting $n := |V(G)|$, one can in time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$*
 564 *construct a subgraph G' of G with $V(G') = V(G)$ and a set Π consisting of at most*
 565 *$n^{\mathcal{O}(\text{Ramsey}(s,t))}$ revenue functions with domain $V(G) \times V(H)$ such that the following*
 566 *conditions hold:*

- 567 (C1) *The graph G' is $\{P_6, L_s, S_t\}$ -free. Moreover, if G is F^\bullet -free for some con-*
 568 *nected graph F on at least two vertices, then G' is F -free.*
- 569 (C2) *We have $\text{OPT}(G, \text{rev}) = \max_{\text{rev}' \in \Pi} \text{OPT}(G', \text{rev}')$. Moreover, for any $\text{rev}' \in \Pi$*
 570 *for which the maximum is reached, every optimum solution ϕ to (G', rev') is*
 571 *also an optimum solution to (G, rev) with $\text{rev}(\phi) = \text{rev}'(\phi)$.*

572 *Proof.* The proof is a simplified version of the proof of [Lemma 4.1](#), hence we only
 573 highlight the differences.

574 First, we do not iterate through all the pair $(x, y) \in T$: we perform only one
 575 construction of a subgraph G' and a set of guesses \mathcal{R} , which is analogous to the
 576 construction of $G^{x,y}$ and $\mathcal{R}^{x,y}$ for a single pair (x, y) from the proof of [Lemma 4.1](#).
 577 For X we just take any set of three vertices such that $N[X]$ is a monitor in G , and we
 578 enumerate X as $\{x_1, x_2, x_3\}$ in any way. The remainder of the construction proceeds
 579 as before, resulting in a family of guesses \mathcal{R} of size $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ and a subgraph G'
 580 of G (the graph $G^{x,y}$ from the proof of [Lemma 4.1](#)). Here, in the definition of a guess
 581 we omit the condition that $\phi(x) = y$; this does not affect the asymptotic bound on
 582 the number of guesses. A subset of the reasoning presented in the proofs of [Claim 4.2](#)
 583 and [Claim 4.10](#) shows that G' is $\{P_6, L_s, S_t\}$ -free and, moreover, for every connected
 584 graph F on at least two vertices, if G' contains an induced F , then G contains an
 585 induced F^\bullet . Note that since we are interested only in finding an induced F^\bullet instead of
 586 $F^{\bullet\circ}$, we do not need edges between vertices of X for this. This verifies assertion (C1).
 587 If we now define $\Pi := \{\text{rev}^R : R \in \mathcal{R}\}$, then the same reasoning as in [Claim 4.9](#) verifies
 588 assertion (C2). Note here that [Claim 4.7](#) and [Claim 4.8](#) are still valid verbatim after
 589 replacing $G^{x,y}$ by G' and $\mathcal{R}^{x,y}$ by \mathcal{R} . \square

590 **5. Exhaustive branching.** In this section we give the first set of corollaries that
 591 can be derived from [Lemma 4.1](#). The idea is to apply this tool exhaustively, until
 592 the considered instance becomes trivial. The main point is that with each application
 593 the clique number of the graph drops, hence we naturally obtain an upper bound of
 594 the form of $n^{f(\omega(G))}$ for the total size of the recursion tree, hence also on the running
 595 time. This leads to results (R3) and (R4) promised in Section 1. In fact, we will only
 596 rely on the simplified variant of [Lemma 4.1](#), that is, [Lemma 4.12](#).

597 The following statement captures the idea of exhaustive applying [Lemma 4.12](#) in
 598 a recursive scheme. For the convenience of further use, we formulate the following
 599 statement so that s and t are given on input.

600 **THEOREM 5.1.** *Let H be a fixed irreflexive pattern graph. There exists an algo-*
 601 *rithm that given $s, t \in \mathbb{N}$ and an instance (G, rev) of MAX PARTIAL H -COLORING*
 602 *where G is $\{P_6, L_s, S_t\}$ -free, solves this instance in time $n^{\mathcal{O}(\text{Ramsey}(s,t) \cdot \omega(G))}$.*

603 *Proof.* If G is not connected, then for every connected component C of G we apply
 604 the algorithm recursively to $(C, \text{rev}|_{V(C)})$. If ϕ_C is the computed optimum solution to
 605 this instance, we may output $\phi := \bigcup_C \phi_C$. It is clear that ϕ constructed in this way
 606 is an optimum solution to the instance (G, rev) .

607 Assume then that G is connected. If G consists of only one vertex, say u , then
 608 we may simply output $\phi := \{(u, v)\}$ where v maximizes $\text{rev}(u, v)$, or $\phi := \emptyset$ if $\text{rev}(\cdot)$
 609 has no positive value in its range. Hence, assume that G has at least two vertices, in
 610 particular $\omega(G) \geq 2$. We now apply [Lemma 4.12](#) to G . Thus, in time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ we
 611 obtain a subgraph G' of G with $V(G) = V(G')$ and a suitable set of revenue functions
 612 Π satisfying $|\Pi| \leq n^{\mathcal{O}(\text{Ramsey}(s,t))}$. Recall here that G' is $\{P_6, L_s, S_t\}$ -free. Moreover,
 613 if we set $F = K_{\omega(G)}$ then G is F^\bullet -free, so [Lemma 4.12](#) implies that G' is F -free. This
 614 means that $\omega(G') < \omega(G)$.

615 Next, for every $\text{rev}' \in \Pi$ we recursively solve the instance (G', rev') . [Lemma 4.12](#)
 616 then implies that if among the obtained optimum solutions to instances (G', rev') we
 617 pick the one with the largest revenue, then this solution is also an optimum solution
 618 to (G, rev) that can be output by the algorithm.

619 We are left with analyzing the running time. Recall that every time we re-
 620 curse into subproblems constructed using [Lemma 4.12](#), the clique number of the cur-
 621 rently considered graph drops by at least one. Since recursing on a disconnected
 622 graph yields connected graphs in subproblems, we conclude that the total depth of
 623 the recursion tree is bounded by $2 \cdot \omega(G)$. In every recursion step we branch into
 624 $n^{\mathcal{O}(\text{Ramsey}(s,t))}$ subproblems, hence the total number of nodes in the recursion tree is
 625 bounded by $(n^{\mathcal{O}(\text{Ramsey}(s,t))})^{2 \cdot \omega(G)} = n^{\mathcal{O}(\text{Ramsey}(s,t) \cdot \omega(G))}$. The internal computation
 626 in each subproblem take time $n^{\mathcal{O}(\text{Ramsey}(s,t))}$, hence the total running time is indeed
 627 $n^{\mathcal{O}(\text{Ramsey}(s,t) \cdot \omega(G))}$. \square

628 Note that since both L_3 and S_2 contain P_5 as an induced subgraph, every P_5 -free
 629 graph is $\{P_6, L_3, S_2\}$ -free. Hence, from [Theorem 5.1](#) we may immediately conclude
 630 the following statement, where the setting of P_5 -free graphs is covered by the case
 631 $s = 3$ and $t = 2$.

632 **COROLLARY 5.2.** *For any fixed $s, t \in \mathbb{N}$ and irreflexive pattern graph H , MAX*
 633 *PARTIAL H -COLORING can be solved in $\{P_6, L_s, S_t\}$ -free graphs in time $n^{\mathcal{O}(\omega(G))}$.*
 634 *This in particular applies to P_5 -free graphs.*

635 Next, we observe that the statement of [Theorem 5.1](#) can be also used for non-
 636 constant s to obtain an algorithm for the case when the graph L_s is not excluded.

637 **COROLLARY 5.3.** *For any fixed $t \in \mathbb{N}$ and irreflexive pattern graph H , MAX PAR-*
 638 *TIAL H -COLORING can be solved in $\{P_6, S_t\}$ -free graphs in time $n^{\mathcal{O}(\omega(G)^t)}$.*

Proof. Observe that since the graph L_s contains a clique of size s , every graph
 G is actually $L_{\omega(G)+1}$ -free. Therefore, we may apply the algorithm of [Theorem 5.1](#)
 for $s := \omega(G) + 1$. Note here that $\omega(G)$ can be computed in time $n^{\omega(G)+\mathcal{O}(1)}$ by
 verifying whether G has cliques of size $1, 2, 3, \dots$ up to the point when the check
 yields a negative answer. Since for $s = \omega(G) + 1$ and fixed t we have

$$\text{Ramsey}(s, t) = \binom{s+t-2}{t-1} \leq \mathcal{O}(\omega(G)^{t-1}),$$

639 the obtained running time is $n^{\mathcal{O}(\text{Ramsey}(s,t) \cdot \omega(G))} \leq n^{\mathcal{O}(\omega(G)^t)}$. \square

640 Let us note that an algorithm with running time $n^{\mathcal{O}(\omega(G)^\alpha)}$, for some constant
 641 α , can be used within a simple branching strategy to obtain a subexponential-time
 642 algorithm.

643 **LEMMA 5.4.** *Let H be a fixed irreflexive graph and suppose MAX PARTIAL H -*
 644 *COLORING can be solved in time $n^{\mathcal{O}(\omega(G)^\alpha)}$ on \mathcal{F} -free graphs, for some family of*

645 graphs \mathcal{F} and some constant $\alpha \geq 1$. Then MAX PARTIAL H -COLORING can be solved
 646 in time $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$ on \mathcal{F} -free graphs.

647 *Proof.* Let (G, rev) be the input instance, where G has n vertices. We define
 648 threshold $\tau := \lfloor n^{\frac{1}{\alpha+1}} \rfloor$. We assume that $\tau > |V(H)|$, for otherwise the instance has
 649 constant size and can be solved in constant time.

650 The algorithm first checks whether G contains a clique on τ vertices. This can
 651 be done in time $n^{\tau + \mathcal{O}(1)} \leq n^{\mathcal{O}(n^{1/(\alpha+1)})}$ by verifying all subsets of τ vertices in G . If
 652 there is no such clique then $\omega(G) < \tau$, so we can solve the problem using the assumed
 653 algorithm in time $n^{\mathcal{O}(\omega(G)^\alpha)} \leq n^{\mathcal{O}(\tau^\alpha)} \leq n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$. Hence, suppose that we have
 654 found a clique K on τ vertices.

655 Observe that since H is irreflexive, in any partial H -coloring ϕ of G only at
 656 most $|V(H)|$ vertices of K can be colored, that is, belong to $\text{dom } \phi$. We recurse into
 657 $\binom{\tau}{\leq |V(H)|} \leq n^{|V(H)|}$ subproblems: in each subproblem we fix a different subset $A \subseteq K$
 658 with $|A| \leq |V(H)|$ and recurse on the graph $G_A := G - (K \setminus A)$ with revenue function
 659 $\text{rev}_A := \text{rev}|_{V(G_A)}$. Note here that G_A is \mathcal{F} -free. From the above discussion it is clear
 660 that $\text{OPT}(G, \text{rev}) = \max_{A \subseteq K, |A| \leq |V(H)|} \text{OPT}(G_A, \text{rev}_A)$. Therefore, the algorithm
 661 may return the solution with the highest revenue among those obtained in recursive
 662 calls.

663 As for the running time, observe that in every recursive call, the algorithm either
 664 solves the problem in time $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$, or recurses into $n^{|V(H)|} = n^{\mathcal{O}(1)}$ subcalls,
 665 where in each subcall the vertex count is decremented by at least $\lfloor n^{\frac{1}{\alpha+1}} \rfloor - |V(H)|$.
 666 It follows that the depth of the recursion is bounded by $\mathcal{O}(n^{\alpha/(\alpha+1)})$, hence the total
 667 number of nodes in the recursion tree is at most $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$. Since the time used for
 668 each node is bounded by $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$, the total running time of $n^{\mathcal{O}(n^{\alpha/(\alpha+1)})}$ follows. \square

669 By combining Corollary 5.2 and Corollary 5.3 with Lemma 5.4 we conclude the
 670 following.

671 COROLLARY 5.5. For any fixed $s, t \in \mathbb{N}$ and irreflexive pattern graph H , MAX
 672 PARTIAL H -COLORING can be solved in $\{P_6, L_s, S_t\}$ -free graphs in time $n^{\mathcal{O}(\sqrt{n})}$. This
 673 in particular applies to P_5 -free graphs.

674 COROLLARY 5.6. For any fixed $t \in \mathbb{N}$ and irreflexive pattern graph H , MAX PAR-
 675 TIAL H -COLORING can be solved in $\{P_6, S_t\}$ -free graphs in time $n^{\mathcal{O}(n^{t/(t+1)})}$.

676 **6. Excluding a threshold graph.** We now present the next result promised
 677 in Section 1, namely result (R1): the problem is polynomial-time solvable on $\{P_5, F\}$ -
 678 free graphs whenever F is a threshold graph. For this, we observe that a constant
 679 number of applications of Lemma 4.1 reduces the input instance to instances that can
 680 be solved trivially. Thus, the whole recursion tree has polynomial size, resulting in a
 681 polynomial-time algorithm. Note that here we use the full, non-simplified variant of
 682 Lemma 4.1.

683 We have the following statement.

684 THEOREM 6.1. Fix $s, t \in \mathbb{N}$. Suppose F is a connected graph on at least two
 685 vertices such that for every fixed irreflexive pattern graph H , the MAX PARTIAL H -
 686 COLORING problem can be solved in polynomial time in $\{P_6, L_s, S_t, F\}$ -free graphs.
 687 Then for every fixed irreflexive pattern graph H , the MAX PARTIAL H -COLORING
 688 problem can be solved in polynomial time in $\{P_6, L_s, S_t, F^{\bullet\circ}\}$ -free graphs.

689 *Proof.* We proceed by induction on $|V(H)|$, hence we assume that for all proper

690 induced subgraphs H' of H , MAX PARTIAL H' -COLORING admits a polynomial-time
 691 algorithm on $\{P_6, L_s, S_t, F^{\bullet\circ}\}$ -free graphs. Here, the base case is given by H being
 692 the empty graph; then the empty function is the only solution.

693 Let (G, rev) be an input instance (G, rev) of MAX PARTIAL H -COLORING, where
 694 G is $\{P_6, L_s, S_t, F^{\bullet\circ}\}$ -free. We may assume that G is connected, as otherwise we may
 695 apply the algorithm to each connected component of G separately, and output the
 696 union of the obtained solutions. Further, if the range of rev contains only non-positive
 697 numbers, then the empty function is an optimum solution to (G, rev) ; hence assume
 698 otherwise.

699 We may now apply Lemma 4.1 to (G, rev) to construct a suitable list of instances
 700 Π . Note that since s and t are considered fixed, Π has polynomial size and can be
 701 computed in polynomial time. Consider any pair $((G_1, \text{rev}_1), (G_2, \text{rev}_2)) \in \Pi$. On
 702 one hand, (G_1, rev_1) is a $\{P_6, L_s, S_t, F\}$ -free instance of MAX PARTIAL H' -COLORING
 703 where H' is some proper induced subgraph of H , so we can apply an algorithm from
 704 the inductive assumption to solve it in polynomial time. On the other hand, as G
 705 is $F^{\bullet\circ}$ -free, from Lemma 4.1 it follows that G_2 is $\{P_6, L_s, S_t, F\}$ -free. Therefore, by
 706 assumption, the instance (G_2, rev_2) can be solved in in polynomial time.

707 Finally, by Lemma 4.1, to obtain an optimum solution to (G, rev) it suffices to take
 708 the highest-revenue solution obtained as the union of optimum solutions to instances
 709 in some pair from Π . As the size of Π is polynomial and each of the instances involved
 710 in Π can be solved in polynomial time, we can output an optimum solution to (G, rev)
 711 in polynomial time. \square

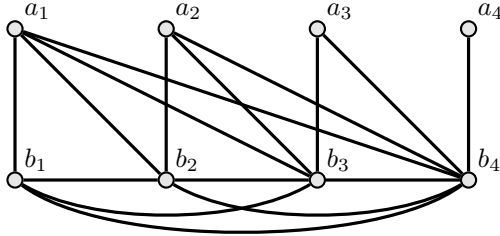


FIG. 6. The graph Q_4 .

712 Let us define a graph Q_k as follows, see Figure 6. The vertex set consists of two
 713 disjoint sets $A := \{a_1, \dots, a_k\}$ and $B := \{b_1, \dots, b_k\}$. The set A is independent in Q_k ,
 714 while B is turned into a clique. The adjacency between A and B is defined as follows:
 715 for $i, j \in \{1, \dots, k\}$, we make a_i and b_j adjacent if and only if $i \leq j$. Note that Q_k is
 716 a threshold graph.

717 We now use Theorem 6.1 to prove the following.

718 COROLLARY 6.2. For every fixed $k, s, t \in \mathbb{N}$ and irreflexive pattern graph H , the
 719 MAX PARTIAL H -COLORING problem can be solved in polynomial time in $\{P_6, L_s, S_t,$
 720 $Q_k\}$ -free graphs. This in particular applies to $\{P_5, Q_k\}$ -free graphs.

721 *Proof.* It suffices to observe that $Q_{k+1} = (Q_k)^{\bullet\circ}$ and apply induction on k . Note
 722 that the base case for $k = 1$ holds trivially, because $Q_1 = K_2$, so in this case we
 723 consider the class of edgeless graphs. As before, the last point of the statement
 724 follows by taking $s = 3$ and $t = 2$ and noting that both L_3 and S_2 contain an induced
 725 P_5 . \square

726 It is straightforward to observe that for every threshold graph F there exists

727 $k \in \mathbb{N}$ such that F is an induced subgraph of H_k . Therefore, from [Corollary 6.2](#) we
 728 can derive the following.

729 **COROLLARY 6.3.** *For every fixed threshold graph F and irreflexive pattern graph*
 730 *H , MAX PARTIAL H -COLORING can be solved in polynomial time in $\{P_5, F\}$ -free*
 731 *graphs.*

732 We now note that in [Corollary 6.2](#) we started the induction with $Q_1 = K_2$, how-
 733 ever we could also apply the reasoning starting from any other graph F for which
 734 we know that MAX PARTIAL H -COLORING can be solved in polynomial time in
 735 $\{P_6, L_s, S_t, F\}$ -free graphs. One such example is $F = P_4$, for which we can derive
 736 polynomial-time solvability using a different argument.

737 **LEMMA 6.4.** *For every fixed irreflexive pattern graph H , the MAX PARTIAL H -*
 738 *COLORING problem in P_4 -free graphs can be solved in polynomial time.*

739 *Proof.* It is well-known that P_4 -free graphs are exactly *cographs*, which in partic-
 740 ular have cliquewidth at most 2 (and a suitable clique expression can be computed in
 741 polynomial time). Therefore, we can solve MAX PARTIAL H -COLORING in cographs
 742 in polynomial time using the meta-theorem of Courcelle, Makowsky, and Rotics [18]
 743 for MSO_1 -expressible optimization problems on graphs of bounded cliquewidth. This
 744 is because for a fixed H , it is straightforward to express MAX PARTIAL H -COLORING
 745 as such a problem. Alternatively, one can write an explicit dynamic programming
 746 algorithm, which is standard. \square

747 By applying the same reasoning as in [Corollary 6.2](#), but starting the induction
 748 with P_4 , we conclude:

749 **COROLLARY 6.5.** *Suppose F is a graph obtained from P_4 by a repeated application*
 750 *of the $(\cdot)^{\bullet\circ}$ operator. Then for every fixed irreflexive pattern graph H , MAX PARTIAL*
 751 *H -COLORING can be solved in polynomial time in $\{P_5, F\}$ -free graphs.*



FIG. 7. *The gem and the graph $(P_4)^{\bullet\circ}$.*

752 We note here that $(P_4)^{\bullet\circ}$ is the graph obtained from the *gem* graph by adding a
 753 degree-one vertex to the center of the gem; see [Figure 7](#). It turns out that $\{P_5, \text{gem}\}$ -
 754 free graphs have bounded cliquewidth [6], hence the polynomial-time solvability of
 755 MAX PARTIAL H -COLORING on these graphs follows from the same argument as that
 756 used for P_4 -free graphs in [Lemma 6.4](#). However, this argument does not apply to
 757 any of the cases captured by [Corollary 6.5](#). Indeed, as shown in [8, Theorem 25(v)],
 758 $\{F_1, F_2\}$ -free graphs have unbounded cliquewidth (and even mim-width) whenever
 759 both F_1 and F_2 contain an independent set of size 3, and both P_5 and $(P_4)^{\bullet\circ}$ enjoy
 760 this property. Note that this argument can be also applied to infer that $\{P_5, \text{bull}\}$ -
 761 free graphs have unbounded cliquewidth and mim-width, which is the setting that we
 762 explore in the next section.

763 **7. Excluding a bull.** In this section we prove result (R2) promised in Section
 764 1. The technique is similar in spirit to that used in Section 6. Namely, we apply
 765 Lemma 4.1 twice to reduce the problem to the case of P_4 -free graphs, which can be
 766 handled using Lemma 6.4. However, these applications are interleaved with a reduc-
 767 tion to the case when the input graph is *prime*: it does not contain any non-trivial
 768 *module* (equivalently, *homogeneous set*). This allows us to use some combinatorial
 769 results about the structure of prime bull-free graphs [16, 14].

770 **7.1. Reduction to prime graphs.** In order to present the reduction to the
 771 case of prime graphs it will be convenient to work with a *multicoloring* generalization
 772 of the problem. In this setting, we allow mapping vertices of the input graph G to
 773 nonempty subset of vertices of H , rather than to single vertices of H .

Multicoloring variant. For a graph H , by $\text{Pow}^*(H)$ we denote the set of all
 nonempty subsets of $V(H)$. Let H be an irreflexive pattern graph and G be a graph.
 A *partial H -multicoloring* is a partial function $\phi: V(G) \rightarrow \text{Pow}^*(H)$ that satisfies
 the following condition: for every edge $uu' \in E(G)$ such that $u, u' \in \text{dom } \phi$, the sets
 $\phi(u), \phi(u') \subseteq V(H)$ are disjoint and complete to each other in H ; that is, $vv' \in E(G)$
 for all $v \in \phi(u)$ and $v' \in \phi(u')$. We correspondingly redefine the measurement of
 revenue. A *revenue function* is a function $\text{rev}: V(G) \times \text{Pow}^*(H) \rightarrow \mathbb{R}$ and the revenue
 of a partial H -multicoloring ϕ is defined as

$$\text{rev}(\phi) := \sum_{u \in \text{dom } \phi} \text{rev}(u, \phi(u)).$$

774 The MAX PARTIAL H -MULTICOLORING problem is then defined as follows.

MAX PARTIAL H -MULTICOLORING

775 **Input:** Graph G and a revenue function $\text{rev}: V(G) \times \text{Pow}^*(H) \rightarrow \mathbb{R}$

Output: A partial H -multicoloring ϕ of G that maximizes $\text{rev}(\phi)$

Clearly, MAX PARTIAL H -MULTICOLORING generalizes MAX PARTIAL H -COLORING,
 as given an instance (G, rev) of MAX PARTIAL H -COLORING, we can turn it
 into an equivalent instance (G, rev') of MAX PARTIAL H -MULTICOLORING by defining
 rev' as follows: for $u \in V(G)$ and $Z \subseteq V(H)$, we set

$$\text{rev}'(u, Z) := \begin{cases} \text{rev}(u, v) & \text{if } Z = \{v\} \text{ for some } v \in V(H); \\ -1 & \text{otherwise.} \end{cases}$$

776 However, there is actually also a reduction in the other direction. For an irreflexive
 777 pattern graph H , we define another pattern graph \hat{H} as follows: $V(\hat{H}) = \text{Pow}^*(H)$
 778 and we make $X, Y \in \text{Pow}^*(H)$ adjacent in \hat{H} if and only if X and Y are disjoint
 779 and complete to each other in H . Note that \hat{H} is again irreflexive and since we
 780 consider H fixed, \hat{H} is a constant-sized graph. Then it is easy to see that the set of
 781 instances of MAX PARTIAL H -MULTICOLORING is exactly equal to the set of instances
 782 of MAX PARTIAL \hat{H} -COLORING, and the definitions of solutions and their revenues
 783 coincide. Thus, we may solve instances of MAX PARTIAL H -MULTICOLORING by
 784 applying algorithms for MAX PARTIAL \hat{H} -COLORING to them. Let us remark that
 785 expressing MAX PARTIAL H -MULTICOLORING as MAX PARTIAL \hat{H} -COLORING is
 786 similar to expressing k -tuple coloring (or fractional coloring) as homomorphisms to
 787 Kneser graphs, see e.g. [31, Section 6.2].

788 *Modular decompositions.* We are mostly interested in MAX PARTIAL H -MULTI-
 789 COLORING because in this general setting, it is easy to reduce the problem once
 790 we find a non-trivial *module* (or *homogeneous set*) in an instance. For clarity, we
 791 choose to present this approach by performing dynamic programming on a modular
 792 decomposition of the input graph, hence we need a few definitions. The following
 793 standard facts about modular decompositions can be found for instance in the survey
 794 of Habib and Paul [30].

795 A *module* (or a *homogeneous set*) in a graph G is a subset of vertices B such that
 796 every vertex $u \notin B$ is either complete or anti-complete to B . A module B is *proper* if
 797 $2 \leq |B| < |V(G)|$. A graph G is called *prime* if it does not have any proper modules.

798 A module B in a graph G is *strong* if for any other module B' , we have either
 799 $B \subseteq B'$, or $B \supseteq B'$, or $B \cap B' = \emptyset$. It is known that if among proper strong modules
 800 in a graph G we choose the (inclusion-wise) maximal ones, then they form a partition
 801 of the vertex set of G , called the *modular partition* $\text{Mod}(G)$. The *quotient graph*
 802 $\text{Quo}(G)$ is the graph with $\text{Mod}(G)$ as the vertex set where two maximal proper strong
 803 modules $B, B' \in \text{Mod}(G)$ are adjacent if they are complete to each other in G , and
 804 non-adjacent if they are anti-complete to each other in G . It is known that for every
 805 graph G , the quotient graph $\text{Quo}(G)$ is either edgeless, or complete, or prime. Note
 806 that the quotient graph $\text{Quo}(G)$ is always an induced subgraph of G : selecting one
 807 vertex from each element of $\text{Mod}(G)$ yields a subset of vertices that induces $\text{Quo}(G)$
 808 in G .

809 The *modular decomposition* of a graph is a tree \mathcal{T} whose nodes are modules of
 810 G , which is constructed by applying modular partitions recursively. First, created a
 811 root node $V(G)$. Then, as long as the current tree has a leaf B with $|B| \geq 2$, attach
 812 the elements of $\text{Mod}(G[B])$ as children of B . Thus, the leaves of \mathcal{T} exactly contain all
 813 single-vertex modules of G ; hence \mathcal{T} has n leaves and at most $2n - 1$ nodes in total. It
 814 is known that the set of nodes of the modular decomposition of G exactly comprises
 815 of all the strong modules in G . Moreover, given G , the modular decomposition of G
 816 can be computed in linear time [19, 36].

817 *Dynamic programming on modular decomposition.* The following lemma shows
 818 that given a graph G , MAX PARTIAL H -MULTICOLORING in G can be solved by
 819 solving the problem for each element of $\text{Mod}(G)$, and combining the results by solving
 820 the problem on $\text{Quo}(G)$. Here, H is an irreflexive pattern graph that we fix from this
 821 point on.

LEMMA 7.1. *Let (G, rev) be an instance of MAX PARTIAL H -MULTICOLORING, where H is irreflexive. For $B \in \text{Mod}(G)$ and $W \in \text{Pow}^*(H)$, define $\text{rev}_{B,W}: B \times \text{Pow}^*(H) \rightarrow \mathbb{R}$ as follows: for $u \in B$ and $Z \in \text{Pow}^*(H)$, set*

$$\text{rev}_{B,W}(u, Z) := \begin{cases} \text{rev}(u, Z) & \text{if } Z \subseteq W; \\ -1 & \text{otherwise.} \end{cases}$$

Further, define $\text{rev}': \text{Mod}(G) \times \text{Pow}^*(H) \rightarrow \mathbb{R}$ as follows: for $B \in \text{Mod}(G)$ and $W \in \text{Pow}^*(H)$, set

$$\text{rev}'(B, W) := \text{OPT}(G[B], \text{rev}_{B,W}).$$

Then $\text{OPT}(G, \text{rev}) = \text{OPT}(\text{Quo}(G), \text{rev}')$. Moreover, for every optimum solution ϕ' to $(\text{Quo}(G), \text{rev}')$ and optimum solutions ϕ_B to respective instances $(G[B], \text{rev}_{B, \phi'(B)})$, for $B \in \text{Mod}(G) \cap \text{dom } \phi'$, the function

$$\phi := \bigcup_{B \in \text{Mod}(G) \cap \text{dom } \phi'} \phi_B$$

822 *is an optimum solution to (G, rev) .*

Proof. We first argue that $\text{OPT}(G, \text{rev}) \leq \text{OPT}(\text{Quo}(G), \text{rev}')$. Take an optimum solution ϕ to (G, rev) . For every $B \in \text{Mod}(G)$, let

$$\phi'(B) := \bigcup_{u \in B \cap \text{dom } \phi} \phi(u),$$

823 unless the right hand side is equal to \emptyset , in which case we do not include B in the
824 domain of ϕ' . Observe that ϕ' defined in this manner is a solution to the instance
825 $(\text{Quo}(G), \text{rev}')$. Indeed, if for some $BB' \in E(\text{Quo}(G))$ we did not have that $\phi'(B)$ and
826 $\phi'(B')$ are disjoint and complete to each other in H , then there would exist $u \in B$
827 and $u' \in B'$ such that $\phi(u)$ and $\phi(u')$ are not disjoint and complete to each other in
828 H , contradicting the assumption that ϕ is a solution to (G, rev) .

Note that for each $B \in \text{dom } \phi'$, $\phi|_B$ is a solution to the instance $(G[B], \text{rev}_{B, \phi'(B)})$. Observe that

$$\text{OPT}(G, \text{rev}) = \text{rev}(\phi) = \sum_{B \in \text{dom } \phi'} \text{rev}_{B, \phi'(B)}(\phi|_B) \leq \sum_{B \in \text{dom } \phi'} \text{OPT}(G[B], \text{rev}_{B, \phi'(B)}),$$

829 where the second equality follows from the fact that rev and $\text{rev}_{B, \phi'(B)}$ agree on all
830 pairs $(u, \phi(u))$ for $u \in B \cap \text{dom } \phi$. On the other hand, since ϕ' is a solution to
831 $(\text{Quo}(G), \text{rev}')$, we have

$$\begin{aligned} 832 \sum_{B \in \text{dom } \phi'} \text{OPT}(G[B], \text{rev}_{B, \phi'(B)}) &= \sum_{B \in \text{dom } \phi'} \text{rev}'(B, \phi'(B)) \\ 833 &= \text{rev}'(\phi') \leq \text{OPT}(\text{Quo}(G), \text{rev}'). \end{aligned}$$

834 This proves that $\text{OPT}(G, \text{rev}) \leq \text{OPT}(\text{Quo}(G), \text{rev}')$.

Next, we argue that $\text{OPT}(G, \text{rev}) \geq \text{OPT}(\text{Quo}(G), \text{rev}')$ and that the last assertion from the lemma statement holds. Let ϕ' be an optimum solution to the instance $(\text{Quo}(G), \text{rev}')$. Further, for each $B \in \text{dom } \phi'$, let ϕ_B be any optimum solution to the instance $(G[B], \text{rev}_{B, \phi'(B)})$. Consider

$$\phi := \bigcup_{B \in \text{dom } \phi'} \phi_B$$

836 We verify that ϕ is a solution to (G, rev) . The only non-trivial check is that for
837 any $B, B' \in \text{dom } \phi'$ with $BB' \in E(\text{Quo}(G))$, $u \in \text{dom } \phi_B$, and $u' \in \text{dom } \phi_{B'}$, we
838 have that $\phi(u)$ and $\phi(u')$ are disjoint and complete to each other in H . However,
839 ϕ_B , as an optimal solution to $(G[B], \text{rev}_{B, \phi'(B)})$, does not use any assignments with
840 negative revenues, which implies that $\phi(u) = \phi_B(u) \subseteq \phi'(B)$. Similarly, we have
841 $\phi(u') = \phi_{B'}(u') \subseteq \phi'(B')$. Since $\phi'(B)$ and $\phi'(B')$ are disjoint and complete to each
842 other, due to the assumption that ϕ' is a solution to $(\text{Quo}(G), \text{rev}')$, the same can be
843 also claimed about $\phi(u)$ and $\phi(u')$.

844 Finally, observe that

$$\begin{aligned} 845 \text{rev}(\phi) &= \sum_{B \in \text{dom } \phi'} \text{rev}_{B, \phi'(B)}(\phi|_B) \\ 846 &= \sum_{B \in \text{dom } \phi'} \text{OPT}(G[B], \text{rev}_{B, \phi'(B)}) = \text{rev}'(\phi') = \text{OPT}(\text{Quo}(G), \phi'), \end{aligned}$$

847

848 where the first equality follows from the fact that rev and $\text{rev}_{B,\phi'(B)}$ agree on all
 849 assignments used by ϕ , for all $B \in \text{dom } \phi'$. This proves that

$$850 \quad \text{OPT}(G, \text{rev}) \geq \text{OPT}(\text{Quo}(G), \text{rev}').$$

851 Combining this inequality with the with the reverse one proved before, we conclude
 852 that $\text{OPT}(G, \text{rev}) = \text{OPT}(\text{Quo}(G), \text{rev}')$ and ϕ is an optimum solution to (G, rev) . \square

853 **Lemma 7.1** enables us to perform dynamic programming on a modular decom-
 854 position, provided the problem can be solved efficiently on prime graphs from the
 855 considered graph class. This leads to the following statement.

856 **LEMMA 7.2.** *Let H be a fixed irreflexive pattern graph. Let \mathcal{F} be a set of graphs
 857 such that MAX PARTIAL H -MULTICOLORING can be solved in time $T(n)$ on prime
 858 \mathcal{F} -free graphs. Then MAX PARTIAL H -MULTICOLORING can be solved in time $n^{\mathcal{O}(1)} \cdot$
 859 $T(n)$ on \mathcal{F} -free graphs.*

860 *Proof.* First, in linear time we compute the modular decomposition \mathcal{T} of G . Then,
 861 for every strong module B of G and every $W \in \text{Pow}^*(H)$, we will compute an optimum
 862 solution $\phi_{B,W}$ to the instance $(G[B], \text{rev}_{B,W})$, where the revenue function $\text{rev}_{B,W}$
 863 is defined as in **Lemma 7.1**. At the end, we may return $\phi_{V(G),V(H)}$ as the optimum
 864 solution to (G, rev) .

865 The computation of solutions $\phi_{B,W}$ is organized in a bottom-up manner over the
 866 decomposition \mathcal{T} . Thus, whenever we compute solution $\phi_{B,W}$ for a strong module B
 867 and $W \in \text{Pow}^*(H)$, we may assume that the solutions $\phi_{B',W'}$ for all $B' \in \text{Mod}(G[B])$
 868 and $W' \in \text{Pow}^*(H)$ have already been computed.

869 When B is a leaf of \mathcal{T} , say $B = \{u\}$ for some $u \in V(G)$, then for every
 870 $W \in \text{Pow}^*(W(H))$ we may simply output $\phi_{B,W} := \{(u, Z)\}$ where Z maximizes
 871 $\text{rev}_{B,W}(u, Z)$, or $\phi_{B,W} := \emptyset$ if $\text{rev}_{B,W}$ has no positive values in its range.

Now suppose B is a non-leaf node of \mathcal{T} and $W \in \text{Pow}^*(W(H))$. Construct
 an instance $(\text{Quo}(G[B]), \text{rev}')$ similarly as in the statement of **Lemma 7.1**: for $B' \in$
 $\text{Mod}(G[B])$ and $Z \in \text{Pow}^*(H)$, we put

$$\text{rev}'(B, W) := \text{OPT}(G[B'], \text{rev}_{B',W \cap Z}).$$

872 Note here that the values $\text{OPT}(G[B'], \text{rev}_{B',W \cap Z})$ have already been computed, as
 873 they are equal to $\text{rev}_{B',W \cap Z}(\phi_{B',W \cap Z})$. From **Lemma 7.1** applied to the instance
 874 $(G[B], \text{rev}_{W,B})$ it follows that if ϕ' is an optimum solution to $(\text{Quo}(G[B]), \text{rev}')$, then
 875 the union of solutions $\phi_{B',\phi'(B')}$ over all $B' \in \text{dom } \phi'$ is an optimum solution to
 876 $(G[B], \text{rev}_{B,W})$. Therefore, it remains to solve the instance $(\text{Quo}(G[B]), \text{rev}')$. We
 877 make a case distinction depending on whether $\text{Quo}(G[B])$ is edgeless, complete, or
 878 prime.

879 It is very easy to argue that MAX PARTIAL H -MULTICOLORING can be solved in
 880 polynomial time both in edgeless graphs and in complete graphs. For instance, one
 881 can equivalently see the instance as an instance of MAX PARTIAL \widehat{H} -COLORING, and
 882 apply the algorithm for P_4 -free graphs given by **Lemma 6.4**.

883 On the other hand, if $\text{Quo}(G[B])$ is prime, then by assumption we can solve the
 884 instance $(\text{Quo}(G[B]), \text{rev}')$ in time $T(n)$. Recall here that $\text{Quo}(G[B])$ is an induced
 885 subgraph of $G[B]$, hence it is also \mathcal{F} -free.

886 This concludes the description of the algorithm. As for the running time, observe
 887 that since H is considered fixed, the computation for each node of the decomposition
 888 take time $n^{\mathcal{O}(1)} \cdot T(n)$. Since \mathcal{T} has at most $2n - 1$ nodes, the total running time of
 889 $n^{\mathcal{O}(1)} \cdot T(n)$ follows. \square

890 We can now conclude the following statement. Note that it speaks only about
891 the standard variant of the MAX PARTIAL H -COLORING problem.

892 **THEOREM 7.3.** *Let \mathcal{F} be a set of graphs such that for every fixed irreflexive pattern*
893 *graph H , the MAX PARTIAL H -COLORING problem can be solved in polynomial time*
894 *in prime \mathcal{F} -free graphs. Then for every fixed irreflexive pattern graph H , the MAX*
895 *PARTIAL H -COLORING problem can be solved in polynomial time in \mathcal{F} -free graphs.*

896 *Proof.* As instances of MAX PARTIAL H -MULTICOLORING can be equivalently
897 regarded as instances of MAX PARTIAL \widehat{H} -COLORING, we conclude that for every
898 fixed H , MAX PARTIAL H -MULTICOLORING is polynomial-time solvable in prime
899 \mathcal{F} -free graphs — just apply the algorithm for MAX PARTIAL \widehat{H} -COLORING. By
900 [Lemma 7.2](#) we infer that for every fixed H , MAX PARTIAL H -MULTICOLORING is
901 polynomial-time solvable in \mathcal{F} -free graphs. As MAX PARTIAL H -MULTICOLORING
902 generalizes MAX PARTIAL H -COLORING, this algorithm can be used to solve MAX
903 PARTIAL H -COLORING in \mathcal{F} -free graphs in polynomial time. \square

904 **7.2. Algorithms for bull-free classes.** We now move to our algorithmic re-
905 sults for subclasses of bull-free graphs. For this, we need to recall some definitions
906 and results.

907 For graphs F and G , we say that G contains an *induced F with a center and an*
908 *anti-center* if there exists $A \subseteq V(G)$ such that $G[A]$ is isomorphic to F , and moreover
909 there are vertices $x, y \notin A$ such that x is complete to A and y is anti-complete to A .
910 Observe that if a graph G contains an induced $F^{\bullet\circ}$, then G contains an induced F
911 with a center and an anti-center. We will use the following.

912 **THEOREM 7.4** ([\[16\]](#)). *Let G be a $\{\text{bull}, C_5\}$ -free graph. If G contains an induced*
913 *P_4 with a center and an anti-center, then G is not prime.*

914 **THEOREM 7.5** ([\[14\]](#)). *Let G be a bull-free graph. If G contains an induced C_5*
915 *with a center and an anti-center, then G is not prime.*

916 We now combine [Lemma 4.12](#), [Theorem 7.3](#), and [Theorem 7.4](#) to show the fol-
917 lowing.

918 **LEMMA 7.6.** *For every fixed $t \in \mathbb{N}$ and irreflexive pattern graph H , the MAX*
919 *PARTIAL H -COLORING problem in $\{P_6, C_5, S_t, \text{bull}\}$ -free graphs can be solved in poly-*
920 *nomial time.*

921 *Proof.* As in the proof of [Theorem 6.1](#), we proceed by induction on $|V(H)|$.
922 Hence, we assume that for all proper induced subgraphs H' of H , MAX PARTIAL
923 H' -COLORING can be solved in polynomial-time on $\{P_6, C_5, S_t, \text{bull}\}$ -free graphs. By
924 [Theorem 7.3](#), it suffices to give a polynomial-time algorithm for MAX PARTIAL H -
925 COLORING working on prime $\{P_6, C_5, S_t, \text{bull}\}$ -free graphs. By [Theorem 7.4](#), such
926 graphs do not contain any induced P_4 with a center and an anti-center, so in partic-
927 ular they do not contain any induced $(P_4)^{\bullet\circ}$.

928 Consider then an input instance (G, rev) of MAX PARTIAL H -COLORING, where G
929 is $\{P_6, C_5, S_t, \text{bull}\}$ -free and prime, hence also connected. If the range of rev consists
930 only of non-positive numbers, then the empty function is an optimum solution to
931 (G, rev) , hence assume otherwise. Note that L_3 contains an induced bull, hence we
932 may apply [Lemma 4.12](#) for $s = 3$ to compute a suitable set Π of pairs of instances.
933 This takes polynomial time due to t being considered a constant.

934 Consider any pair $((G_1, \text{rev}_1), (G_2, \text{rev}_2)) \in \Pi$. On one hand, (G_1, rev_1) is an
935 instance of MAX PARTIAL H' -COLORING for some proper induced subgraph H' of
936 H , hence we can apply an algorithm from the inductive assumption to solve it in

937 polynomial time. On the other hand, note that the graph G_2 is P_4 -free, for if it
 938 had an induced P_4 , then by Lemma 4.12 we would find an induced $(P_4)^{\bullet\circ}$ in G , a
 939 contradiction to G being prime by Theorem 7.4. Hence, we can solve the instance
 940 (G_2, rev_2) in polynomial time using the algorithm of Lemma 6.4.

941 Finally, Lemma 4.12 implies that to obtain an optimum solution to (G, rev) ,
 942 it suffices to take the highest-revenue solution obtained as the union of optimum
 943 solutions to instances in some pair from Π . Since the size of Π is polynomial and each
 944 of the instances involved in Π can be solved in polynomial time, we can output an
 945 optimum solution to (G, rev) in polynomial time as well. \square

946 Finally, it remains to combine Lemma 7.6 with Lemma 4.12 again to derive the
 947 main result of this section.

948 **THEOREM 7.7.** *For every fixed $t \in \mathbb{N}$ and irreflexive pattern graph H , the MAX*
 949 *PARTIAL H -COLORING problem in $\{P_6, S_t, \text{bull}\}$ -free graphs can be solved in poly-*
 950 *nomial time.*

951 *Proof.* We follow exactly the same strategy as in the proof of Lemma 7.6. The
 952 differences are that:

- 953 • Instead of using Theorem 7.4, we apply Theorem 7.5 to argue that the graph
- 954 G_2 is C_5 -free.
- 955 • Instead of using Lemma 6.4 to solve P_4 -free instances, we apply Lemma 7.6
- 956 to solve $\{P_6, C_5, S_t, \text{bull}\}$ -free instances.

957 The straightforward application of these modifications is left to the reader. \square

958 Finally, since $S_2 = P_5$, from Theorem 7.7 we immediately conclude the following.

959 **COROLLARY 7.8.** *For every fixed irreflexive pattern graph H , the MAX PARTIAL*
 960 *H -COLORING problem in $\{P_5, \text{bull}\}$ -free graphs can be solved in polynomial time.*

961 **8. Hardness for patterns with loops.** Recall that the assumption that H is
 962 irreflexive is crucial in our approach in Lemma 4.1. However, while H -COLORING
 963 becomes trivial if H has loops, this is no longer the case for generalizations of the
 964 problem, including LIST H -COLORING and MAX PARTIAL H -COLORING. See e.g.
 965 [22, 29, 39].

966 Here, LIST H -COLORING is the list variant of the H -COLORING problem: an
 967 instance of LIST H -COLORING is a pair (G, L) , where G is a graph and $L: V(G) \rightarrow$
 968 $2^{V(H)}$ assigns a list to every vertex. We ask whether G admits an H -coloring ϕ that
 969 respects lists L , i.e., $\phi(v) \in L(v)$ for every $v \in V(G)$.

970 Note that that LIST H -COLORING is a special case of MAX PARTIAL H -COLO-
 971 RING: for any instance (G, L) of LIST H -COLORING, define the revenue function
 972 $\text{rev}: V(G) \times V(H) \rightarrow \mathbb{R}$ as follows:

$$973 \quad \text{rev}(v, u) = \begin{cases} -1 & \text{if } u \notin L(v); \\ 1 & \text{if } u \in L(v). \end{cases}$$

974 It is straightforward to observe that solving the instance (G, L) of LIST H -COLORING
 975 is equivalent to deciding if the instance (G, rev) of MAX PARTIAL H -COLORING has
 976 a solution of revenue at least (in fact, equal to) $|V(G)|$. Thus any positive result
 977 for MAX PARTIAL H -COLORING can be applied to LIST H -COLORING, while any
 978 hardness result for LIST H -COLORING carries over to MAX PARTIAL H -COLORING.

979 Let us point out that if we only aim for solving LIST H -COLORING, a simple
 980 adaptation of the algorithm of Hoàng et al. [32] shows that the problem is polynomial-

981 time solvable in P_5 -free graphs, provided H has no loops. In this section we show
 982 that there is little hope to extend this positive result to graphs H with loops allowed.

983 A graph G is a *split graph* if $V(G)$ can be partitioned into a clique and an inde-
 984 pendent set (that we call the *independent part*). It is well-known that split graphs
 985 are precisely $\{P_5, C_4, 2P_2\}$ -free graphs.

986 Let H_0 be the graph on the vertex set $\bigcup_{i \in \{1,2,3\}} \{a_i, b_i, c_i, d_i\}$ (see Figure 8). The
 987 edge set $E(H_0)$ consists of the edges:

- 988 • all edges with both endpoints in $\bigcup_{i \in \{1,2,3\}} \{a_i, b_i\}$ (including loops),
- 989 • all edges with both endpoints in $\bigcup_{i \in \{1,2,3\}} \{c_i, d_i\}$ (including loops),
- 990 • for each $i \in \{1, 2, 3\}$, the edges $a_i c_i$ and $b_i c_i$,
- 991 • for each $i \in \{1, 2, 3\}$ and $j \in \{1, 2, 3\} \setminus \{i\}$, the edges $d_i a_j$ and $d_i b_j$.

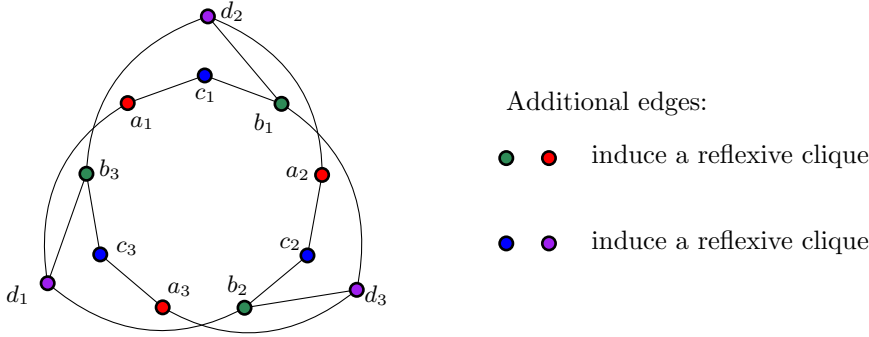


FIG. 8. The graph H_0 used in Theorem 8.1.

992 THEOREM 8.1. The LIST H_0 -COLORING problem (and thus MAX PARTIAL H_0 -
 993 COLORING) is NP-hard and, under the ETH, cannot be solved in time $2^{o(n)}$:

- 994 (a) in split graphs, even if each vertex of the independent part is of degree 2; and
- 995 (b) in complements of bipartite graphs (in particular, in $\{P_5, \text{bull}\}$ -free graphs).

996 *Proof.* We partition the vertices of H_0 into sets A, B, C, D , where $A := \{a_1, a_2, a_3\}$
 997 and the remaining sets are defined analogously.

998 We reduce from 3-COLORING, which is NP-complete and cannot be solved in
 999 time $2^{o(n+m)}$ unless the ETH fails, where n and m respectively denote the number of
 1000 vertices and of edges [20]. Let G be an instance of 3-COLORING with n vertices and
 1001 m edges. Let $V(G) = \{v_1, v_2, \dots, v_n\}$ and let $[n] := \{1, \dots, n\}$.

1002 First, let us build a split graph G' with lists L , which admits an H_0 -coloring
 1003 respecting L if and only if G is 3-colorable. For each $i \in [n]$, we add to G' two vertices
 1004 x_i and y_i . Let $X := \{x_i : i \in [n]\}$ and $Y := \{y_i : i \in [n]\}$. We make $X \cup Y$ into a
 1005 clique in G' . We set $L(x_i) := \{a_1, a_2, a_3\}$ and $L(y_i) := \{b_1, b_2, b_3\}$ for every $i \in [n]$.

1006 The intended meaning of an H_0 -coloring of G' is that for any $i \in [n]$ and $j \in$
 1007 $\{1, 2, 3\}$, coloring x_i with color a_j and y_i with color b_j corresponds to coloring v_i with
 1008 color j . So we need to ensure the following two properties:

- 1009 (P1) for every $i \in [n]$ and $j \in \{1, 2, 3\}$, the vertex x_i is colored a_j if and only if the
 1010 vertex y_i is colored b_j ,
- 1011 (P2) for every edge $v_i v_j$ of G , the vertices x_i and x_j get different colors (and, by
 1012 Item P1, so do y_i and y_j).

1013 In order to ensure property Item P1, for each $i \in [n]$ we introduce a vertex w_i , adjacent
 1014 to x_i and y_i , whose list is $\{c_1, c_2, c_3\}$. By W we denote the set $\{w_i : i \in [n]\}$. To ensure
 1015 property Item P2, for each edge $v_i v_j$ of G , where $i < j$, we introduce a vertex $z_{i,j}$

1016 adjacent to x_i and y_j . The list of $z_{i,j}$ consists of $\{d_1, d_2, d_3\}$. By Z we denote the set
 1017 $\{z_{i,j} : v_i v_j \in E(G) \text{ and } i < j\}$.

1018 It is straightforward to verify that the definition of the neighborhoods of vertices
 1019 c_i, d_i in H_0 forces **Item P1** and **Item P2**, which implies that G is 3-colorable if and
 1020 only if G' admits an H_0 -coloring that respects lists L . The number of vertices of G'
 1021 is

$$1022 \quad |X| + |Y| + |W| + |Z| = n + n + n + m = \mathcal{O}(n + m).$$

1023 Hence, if the obtained instance of the LIST H_0 -COLORING problem could be solved in
 1024 time $2^{o(|V(G')|)}$, then this would imply the existence of a $2^{o(n+m)}$ -time algorithm for
 1025 3-COLORING, a contradiction with the ETH. Furthermore, $X \cup Y$ is a clique, $W \cup Z$
 1026 is independent, and every vertex from $W \cup Z$ has degree 2. Thus the statement (a)
 1027 of the theorem holds.

1028 We observe that the set $\{L(v) : v \in W \cup Z\} = C \cup D$ forms a reflexive clique in H_0 .
 1029 Thus we can turn the set $W \cup Z$ into a clique, obtaining an equivalent instance (G'', L)
 1030 of LIST H_0 -COLORING. As the vertex set of G'' can be partitioned into two cliques,
 1031 G'' is the complement of a bipartite graph, so the statement (b) of the theorem holds
 1032 as well. \square

1033 **9. Open problems.** The following question, which originally motivated our
 1034 work, still remains unresolved.

1035 *Question 9.1.* Is there a polynomial-time algorithm for ODD CYCLE TRANSVER-
 1036 SAL in P_5 -free graphs?

1037 Note that our work stops short of giving a positive answer to this question: we
 1038 give an algorithm with running time $n^{\mathcal{O}(\omega(G))}$, a subexponential-time algorithm, and
 1039 polynomial time algorithms for the cases when either a threshold graphs or a bull is
 1040 additionally forbidden. Therefore, we are hopeful that the answer to the question is
 1041 indeed positive.

1042 One aspect of our work that we find particularly interesting is the possibility of
 1043 treating the clique number $\omega(G)$ as a progress measure for an algorithm, which en-
 1044 ables bounding the recursion depth in terms of $\omega(G)$. This approach naturally leads
 1045 to algorithms with running time of the form $n^{f(\omega(G))}$ for some function f , that is,
 1046 polynomial-time for every fixed clique number. By **Lemma 5.4**, having a polynomial
 1047 function f in the above implies the existence of a subexponential-time algorithm, at
 1048 least in the setting of MAX PARTIAL H -COLORING for irreflexive H . However, look-
 1049 ing for algorithms with time complexity $n^{f(\omega(G))}$ seems to be another relaxation of
 1050 the goal of polynomial-time solvability, somewhat orthogonal to subexponential-time
 1051 algorithms [4, 7, 27] or approximation schemes [13]. Note that our work and the re-
 1052 cent work of Brettell et al. [9] actually show two different methods of obtaining such
 1053 algorithms: using direct recursion, or via dynamic programming on branch decomposi-
 1054 tions of bounded mim-width. It would be interesting to investigate this direction in
 1055 the context of MAXIMUM INDEPENDENT SET in P_t -free graphs. A concrete question
 1056 would be the following.

1057 *Question 9.2.* Is there a polynomial-time algorithm for MAXIMUM INDEPENDENT
 1058 SET in $\{P_t, K_t\}$ -free graphs, for every fixed t ?

1059 In all our algorithms, we state the time complexity assuming that the pattern
 1060 graph H is fixed. This means that the constants hidden in the $\mathcal{O}(\cdot)$ notation in the
 1061 exponent may — and do — depend on the size of H . In the language of parameterized
 1062 complexity, this means that we give XP algorithms for the parameterization by the size

1063 of H . It is natural to ask whether this state of art can be improved to the existence
 1064 of FPT algorithms, that is, with running time $f(H) \cdot n^c$ for some computable function
 1065 f and universal constant c , independent of H . This is not known even for the case of
 1066 k -COLORING P_5 -free graphs, so let us re-iterate the old question of Hoàng et al. [32]
 1067 (see also [11, Problem 4.1]).

1068 *Question 9.3.* Is there an FPT algorithm for k -COLORING in P_5 -free graphs pa-
 1069 rameterized by k ?

1070 While the above question seems hard, it is conceivable that FPT results could be
 1071 derived in some more restricted settings, for instance for $2P_2$ -free graphs of $\{P_5, \text{bull}\}$ -
 1072 free graphs.

1073 Finally, recall that LIST H -COLORING in P_5 -free graphs is polynomial-time solv-
 1074 able for irreflexive H , but might become NP-hard when loops on H are allowed (see
 1075 Theorem 8.1). We believe that it would be interesting to obtain a full complexity
 1076 dichotomy.

1077 *Question 9.4.* For what pattern graphs H (with possible loops) is LIST H -COLO-
 1078 RING polynomial-time solvable in P_5 -free graphs?

1079 We think that solving all problems listed above might require obtaining new
 1080 structural results, and thus may lead to better understanding of the structure of
 1081 P_5 -free graphs.

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