# Towards Fast and Quantitative Modification of Large Gold Nanoparticles by Thiolated DNA: Scaling of Nanoscale Forces, Kinetics and the Need for Thiol Reduction

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**Abstract**. We have recently reported on the fast and quantitative adsorption of DNA to 13 nm gold nanoparticles (AuNPs) at pH 3. This is in contrast to most traditional methods at neutral pH, where the adsorption is both slow and requires high excess of DNA. Direct application of our protocol to large particles in many cases did not result in particles that are stable at high (0.3 M) salt, and high excess of DNA was still required for the formation of stable particles. In this work we investigate the reasons for this limitation on the basis of kinetics and colloidal stability. Based on our investigation, fast and quantitative modification of large AuNPs is still possible, either by working at high particle concentration, or by using sonication. As we have shown that fast quantitative modification of large particles is possible, the preparation step of reduction and purification of the thiolated DNA becomes the rate limiting step in the whole AuNP-DNA conjugate protocol. However we show that this step is unnecessary when using our current protocol.

Keywords: Adsorption, colloid, nucleic acids, pH, ionic strength

#### Introduction

DNA-functionalized gold nanoparticles (DNA-AuNPs) have inspired and enabled many aspects of nanobiotechnology ranging from precise control of interparticle distance,<sup>1,2</sup> directed materials synthesis,<sup>3</sup> colorimetric biosensor development,<sup>4,5</sup> surface enhanced spectroscopy,<sup>6</sup> to drug delivery.<sup>7</sup> Since the structural and molecular recognition property of DNA is coupled to the optical and electric property of AuNPs, this conjugate is also useful for answering fundamental nanoscale problems.<sup>8-13</sup> Since its first report in 1996, many aspects of this conjugate have been extensively studied, including structure,<sup>14,15</sup> assembly kinetics,<sup>16</sup> thermodynamics,<sup>17,18</sup> melting property,<sup>13,19</sup> electrophoresis,<sup>20,21</sup> and DNA conformation.<sup>22,23</sup>

Attaching thiolated DNA to AuNPs to achieve a stable conjugate is the first step for all downstream applications. This seemingly simple reaction based on the well-known thiol/gold chemistry turns out to be not very straightforward since both DNA and AuNPs are negatively charged.<sup>24,25</sup> Normally citrate-capped AuNPs are dispersed in a very low salt buffer (e.g. with ~10 mM or lower Na<sup>+</sup>), resulting in a long Debye screening length and strong DNA repulsion. DNA adsorption can be promoted by adding salt. However, salt has three main effects. First, it diminishes the repulsion between DNA and AuNPs, thus leading to faster adsorption kinetics. Second, it diminishes the repulsion between DNA strands on the particle, leading to a higher loading of DNA. Third, it also diminishes the repulsion between the nanoparticles and thus it promotes AuNP aggregation. If a high concentration of NaCl is added all at once, AuNPs aggregate before a high density of DNA is attached. To solve this problem, Mirkin and co-workers invented a 'salt aging' method, where NaCl was gradually added over 1-2 days.<sup>26</sup> At each NaCl concentration, a certain DNA density can be reached, allowing the AuNPs to survive the next small increment of salt. The DNA conformation is also changed from being parallel to the gold surface to an upright arrangement, providing more effective steric stabilization.<sup>27</sup> It is interesting to note that planar gold surfaces (e.g. gold electrodes) have no colloidal stability problems and DNA loading is usually performed in 1 M salt.<sup>28-30</sup>

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While the direct salt aging method works well for 13 nm AuNPs, it often fails to work for larger AuNPs (e.g. 40-50 nm or larger). Even with very small increments of NaCl concentration, large AuNPs still aggregate sometimes.<sup>9</sup> In recent years, a number of improvements have been made to achieve stable large AuNP conjugates. For example, surfactants were used to increase the salt stability of AuNPs although the slow salt aging was still performed.<sup>31,32</sup> Alternatively, AuNPs can be stabilized by crowded polymers such as polyethylene glycol, where DNA can be attached.<sup>11</sup> It is interesting to note that most of the procedures still required a large excess of DNA. For 13 nm AuNPs, only ~30% of added DNA was eventually attached and for 50 nm AuNPs, only ~5% of DNA was attached. In other words, more than 90% of DNA was discarded for large AuNPs.<sup>31</sup>

Recently, it was reported that thiolated DNA can be attached to AuNPs in a few minutes at pH 3.<sup>12,33</sup> With this method, quantitative DNA adsorption was achieved for 13 nm AuNPs. For example, with a DNA:AuNP ratio of below 100:1, most DNA were adsorbed by AuNPs. The same procedure was not successful at pH 7 using salt aging and AuNPs aggregated if the DNA concentration was low. This suggests that at pH 3, the rate of DNA adsorption is enhanced such that the AuNPs have enough DNA on them to prevent AuNP aggregation at the salt addition/pH adjustment step. At pH 7, a high DNA concentration is required for DNA adsorption to be fast enough to prevent AuNP aggregation. Herein, we explore whether fast and quantitative attachment of DNA to large AuNPs can be achieved at low pH. This study has revealed an interesting scaling effect based on the size of AuNPs and offered insights into the fundamental reaction kinetics of DNA adsorption and thermodynamics of AuNP aggregation. As we have shown that fast quantitative modification of large particles is possible, the preparation step of reduction and purification of the thiolated DNA becomes the rate limiting step in AuNP-DNA conjugate preparation. However we show that this step is unnecessary when using the low pH modification protocol.

# **Materials and Methods**

**Chemicals.** DNA2 and DNA3 were purchased from IBA Lifesciences (Göttingen, Germany). All the other DNA samples were purchased from Integrated DNA Technologies (Coralville, IA) and were purified by standard de-salting (see Table 1 for sequences). HAuCl<sub>4</sub>, tris(2-carboxyethyl) phosphine hydrochloride (TCEP) and KCN were from Sigma-Aldrich. AuNPs (13 nm) were synthesized based on the standard citrate reduction procedures and its concentration was estimated to be ~10 nM. AuNPs of 40 nm size were purchased from BBI international (Cardiff, UK). AuNPs of other sizes (20, 30, 50 and 100 nm diameter) were purchased from Ted Pella (Redding, CA), which distributes BBI AuNPs. Hydrochloric acid was purchased from VWR (Mississauga, ON). Sodium citrate, sodium chloride and 4-(2-hydroxyethyl) piperazine-1-ethanesulfonate (HEPES) were purchased from Mandel Scientific (Guelph, ON). Milli-Q water was used for all experiments.

DNA	DNA sequences (from 5' to 3')
name	
DNA1	SH-CCCAGGTTCTCT-FAM
DNA2a	SH-TTTTTGGTGGATAACGTCTTTCA
DNA2b	SH-AAAAAAAAGGTGGATAACGTCTT
DNA3	ACGCCTTCTTGTTGGAAAAAAAA -SH
DNA4	FAM-TTTTTTTTTT-SH
DNA5	SH-CCCAGGTTCTCT
DNA6	TTGTCGTTGCGT-SH
DNA7	ACGCAACGACAAAGAGAACCTGGG
DNA8	CCCAGGTTCTCTTTGTCGTTGCGT
DNA9	TCACAGATGCGT-Alexa Fluor 488
DNA10	ACGCCTTCTTGTTGGAAAAAAAAAAA
DNA11	CCAACAAGAAGGCGT AAGACGTTAT CCACC
DNA12	AGACGCGCTGGCTTCAGGTGCCAGTGTCCTTCGGGACGTGG

Table 1. DNA sequences and modifications in this work.

The effect of AuNP size on DNA adsorption and AuNP stability. Two hundred  $\mu$ L of AuNPs of various sizes and concentrations were mixed with 1.5× and 10× times of the theoretical capacity of DNA1 (see Table 2 for 1.5×) and incubated for 30 min prior to the addition of pH 3 citrate·HCl buffer

(final citrate concentration: 10 mM) to enhance the DNA adsorption. The color change of the AuNP solutions was recorded with a digital camera (Canon PowerShot SD1200 IS), as shown in Figure 1A of the paper.

Table 2: the exp	periment	design	for	$1.5 \times$	DNA.

AuNP size (nm)	13	20	30	50	100
AuNP concentration: (nM)	10	1.2	0.33	0.075	0.0093
AuNP (µL)	100	100	100	300	300
DNA/AuNP ratio	120	300	1000	1500	5000
Final DNA (nM)	1200	360	330	112.5	46.5
DNA volume (µL)	2.2	0.7	0.6	0.6	0.3
DNA stock concentration (µM)	54	54	54	54	54
pH 3 buffer (µL)	2	2	2	6	6

**Quantification of the DNA loading capacity.** Since the adsorbed DNA was labeled with FAM (6-carboxyfluorescein) fluorophore, the quantification of DNA loading was obtained by measuring the fluorescence of FAM-DNA released from AuNP with a small amount of KCN solution (final concentration: 20 mM KCN in the DNA-AuNP solution). KCN completely dissolved AuNPs to generate a clear solution. The quantification was performed using a fluorescence microplate reader (Infinite F200 PRO, Tecan) with the calibration curve developed by plotting the fluorescence intensity of each standard over the concentration series.

**DNA adsorption kinetics**. To measure DNA adsorption kinetics onto 50 nm AuNPs, 1 mL 50 nm AuNPs from BBI was concentrated 10 times by centrifugation at 6000 rpm for 7 min (AuNP = 0.75 nM after concentration). DNA9 (18  $\mu$ L, 250 nM) was added to 70  $\mu$ L water and 10  $\mu$ L AuNPs (0.75 nM, so DNA:AuNP = 600:1) with a brief vortex. 2  $\mu$ L of the mixture was transferred into 98  $\mu$ L of 50 mM HEPES (pH 7.6) in a 96-well plate to measure the initial fluorescence. Afterwards, 2  $\mu$ L citrate buffer (500 mM, either pH 3 or pH 7) was added into the DNA-AuNP solution. At designated time points, 2  $\mu$ L of solution was transferred to a fresh plate-well for fluorescence measurement.

The rate of salt induced aggregation of different sized AuNPs. A UV-Vis spectrometer (Agilent 8453A) was used to record the aggregation kinetics of AuNPs of various sizes (13, 20, 30, 50, and 100 nm) in the presence of 50 mM NaCl. Herein, the aggregation rate was depicted as the rate of color change, i.e., the change of extinction ratio at 650 nm/520 nm for the AuNPs smaller than 100 nm and 800 nm/570 nm for 100 nm AuNPs. For each measurement, 100  $\mu$ L of AuNP was added in a quartz micro-cuvette and the light absorbance at 520 and 650 nm (or 570 and 800 nm) was measured before and after addition of NaCl. The extinction ratios obtained at each time point were plotted against the time, as shown in Figure 2D of the paper.

**Table 3**: Experiment design for studying the effect of DNA concentration.

DNA	$0.5 \times$	$1 \times$	1.5×	$2 \times$	3×	$5 \times$	$10 \times$	$20 \times$	30×
DNA/AuNP ratio:	320	640	960	1280	1920	3200	6400	12800	19200
DNA final con (nM)	24	48	72	96	144	240	480	960	1440
AuNP volume (µL)	200	200	200	200	200	200	200	200	200

Effect of DNA concentration and sonication. The effect of DNA initial concentration (or initial DNA/AuNP ratios) on the AuNP (50 nm) stability during pH 3 buffer assisted DNA functionalization was evaluated (Figure 3). Herein, the initial DNA/AuNP ratios were set from low  $(0.5\times)$  to high  $(30\times)$  as illustrated in Table 3. To slow down the aggregation rate of AuNPs, pH 3 buffer was added in multiple steps instead of once. For a 200-µL AuNP-DNA1 mixture, a total of 4 µL of pH 3 citrate buffer (500 mM) was added in 4 steps. In each step, 1 µL of pH 3 buffer was added into the AuNP-DNA solution with a brief vortex to mix it; there was 5-min incubation time between any two consecutive additions of pH 3 buffer. Finally, the mixture was spun down at 5000 rpm for 4 min and the supernatant (190 µL) was removed. Afterwards, 190 µL of 5 mM HEPES buffer (pH 7.6) was added into each tube for a 3-5 min sonication (1510-MT, Bransonic sonicator, 70w, 42 kHz). The sample color was recorded by the digital camera and UV-Vis spectrometer.

Effect of TECP treatment and purification. In this experiment, we compared the adsorption kinetics and capacity of DNA1 with and without TCEP treatment. For the TCEP treated DNA, we further studied if the purification is critical. The TCEP treatment of DNA was performed under pH 5 (50 mM acetate buffer) for 1 hr at room temperature to cleave the disulfide bond (TCEP:DNA1 = 50:1). To remove TCEP and cleave the small thiol compound, the DNA was purified with a C<sub>18</sub> Sep-Pak column and lyophilized. The lyophilized powder was then dissolved in 5 mM HEPES buffer (pH 7.6) and the UV absorbance at 260 nm was used to measure the DNA concentration. Afterwards, AuNPs (13 and 50 nm, respectively) were mixed with DNA1 (non-TCEP treatment, TCEP-treated-DNA1 without purification and purified TCEP-treated-DNA1). The initial ratios between DNA1 and 13 nm or 50 nm AuNPs were 100:1 and 960:1, respectively. At each time point (the time point was designated as 0 before adding the pH 3 buffer into the mixture),  $2-\mu$ L mixture was transferred and mixed with 98  $\mu$ L of HEPES buffer (20 mM, pH 7.6) for fluorescence measurement with the microplate reader. The normalized fluorescence data over time were plotted to demonstrate the adsorption kinetics. Quantification of the capacity was performed as described above.

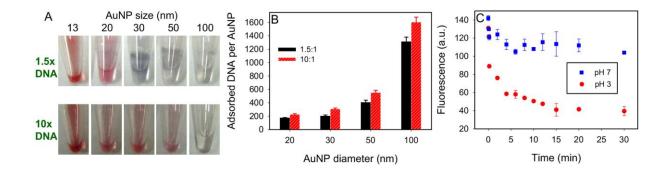
#### **Results and Discussion**

Effect of DNA and AuNP concentration. First, to have a systematic understanding of the AuNP size effect, we mixed AuNPs from 13 nm to 100 nm with thiolated DNA (DNA1) at ~ $1.5\times$  or 10× of the theoretical capacity. The theoretical capacity was taken from the literature based on the surfactant-assisted salt-aging method.<sup>10</sup> For example, each 50 nm AuNP is reported to load ~640 thiolated DNA ( $8.1\times10^{12}$  DNA/cm<sup>2</sup>), and  $1.5\times$  means a DNA-to-AuNP ratio of 960:1. On the other hand,  $1.5\times$  for 13 nm AuNPs equals to a ratio of 135:1. Next, a final of 10 mM citrate buffer (pH 3) was added to accelerate DNA attachment. Only the color of 13 nm AuNPs remained red regardless of the DNA concentration but all the larger AuNPs aggregated with color changing to purple/blue for the  $1.5\times$  DNA

samples (Figure 1A). Stable conjugate for 20 and 30 nm AuNPs were obtained with 10× excess of the DNA, while 50 and 100 nm AuNPs still aggregated even with a 10× DNA. Therefore, a large excess of DNA is needed to stabilize larger AuNPs. Similar observations have been made with the salt aging method at pH 7 after 2-day incubation, where non-aggregated AuNPs were achieved only at DNA2a-to-AuNP (40 nm) ratios of 60,000:1 and above (~150× excess of DNA, see Figure S1 of Supporting Information).

To quantitatively understand the effect of AuNP size, we quantified the adsorbed DNA after centrifugation, removal of the supernatant DNA, repeated washing, and dissolving the AuNPs with KCN. As shown in Figure 1B, the number of attached DNA was still quite high even with 1.5× DNA concentration (Figure 1B). For example, 50 nm AuNPs adsorbed 406 DNA at 1.5× and 553 DNA at  $10 \times$  (Figure 1B), where the full capacity after long-term and high concentration salt aging is 640.<sup>10</sup> This is true for all sized AuNPs that increasing the initial DNA concentration from  $1.5 \times$  to  $10 \times$  of the capacity only moderately increased the final loading but the effect on AuNP stability was quite pronounced. Based on the above experiments, two possible reasons were proposed to explain the need of a large excess of DNA for large AuNPs. First, larger AuNPs might be more susceptible to salt and they aggregate faster than the smaller ones upon salt addition. Second, we noticed that in the literature, a similar DNA concentration (e.g.  $\sim$ 1-6  $\mu$ M) was used regardless of AuNP size. It might be that certain DNA adsorption kinetics are required to prevent AuNP aggregation, regardless of AuNP size. These hypotheses were individually tested. To confirm the effect of low pH loading on large AuNPs, we measured the DNA adsorption kinetics onto 50 nm AuNPs (Figure 1C). A fluorophore-labeled DNA (DNA9) was mixed with AuNPs at a ratio of 600:1. We observe two main effects of low pH adsorption. One is that the initial adsorption kinetics is faster. The second is that the final DNA concentration on the surface is higher (we see about  $3 \times$  as much reduction in fluorescence, indicating that about  $3 \times$  as much DNA was adsorbed on the particle). We attribute both effects to the fact that at low pH, the DNA

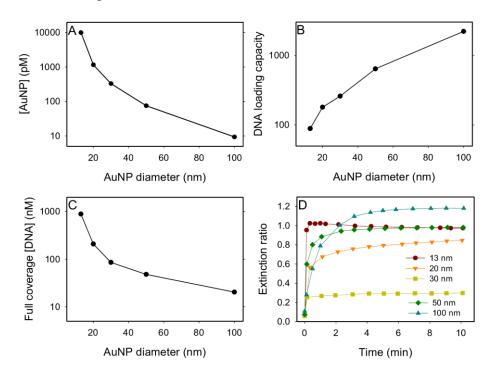
is less negatively charged because some of the bases (A and C) are protonated, which reduced DNAparticle repulsion and DNA-DNA repulsion on the particle surface.



**Figure 1**. (A) Photographs of AuNPs of various sizes incubated with DNA1 of either  $1.5 \times$  or  $10 \times$  of the full capacity at each AuNP size followed by adding 10 mM pH 3 citrate buffer and 70 mM NaCl. (B) DNA adsorption capacity of AuNPs for the samples in (A). (C) Fluorescence intensity of dye-labeled DNA (DNA9) as a function of incubation time with 50 nm AuNPs, at pH=7 (squares) and pH=3 (spheres). The DNA to particle ratio was 600:1.

It needs to be pointed out that the concentration of larger AuNPs from commercial sources are usually much lower compared to that of the smaller ones (Figure 2A). For example, the 50 nm AuNPs from BBI are 0.075 nM while our 13 nm AuNPs are ~10 nM. At the same time, the extinction coefficient of larger AuNPs are much higher (e.g.  $2.7 \times 10^8$  and  $1.5 \times 10^{10}$  M<sup>-1</sup>cm<sup>-1</sup> for 13 and 50 nm AuNPs, respectively), resulting in a similar optical density. In order to follow the aggregation kinetics, we used the ratio between the plasmon-plasmon interaction peak (at 650 nm for <100 nm particles) and the single particle plasmon peak (at 520 nm for the smaller particles and 570 nm for the 100 nm particles). The rise of the plasmon-plasmon interaction peak as particles aggregate is the cause of the apparent blue color which is usually seen during aggregation. To compare the rate of aggregation, AuNPs of different sizes were challenged with 50 mM NaCl and their UV-vis

spectra were followed as a function of time. As shown in Figure 2D, addition of salt induced an immediate color change at all particle sizes. The rate of change appeared to be just slightly slower for larger AuNPs, which might be related to their very low concentrations. It is interesting to notice that 30 nm AuNPs did not reach the high ratio as the other AuNP sizes did. Overall, with the practical AuNP concentrations from the commercial source, the rate of salt-induced change in extinction is comparable for AuNPs of various sizes. Therefore, our first hypothesis that large particles require a large excess of DNA because larger AuNPs aggregate faster was not supported. It is important to re-emphasize here that while extinction shift can give an indication on the aggregation, they are not direct measurement of the aggregation, as the extinction shift depend also on the size of the particles and the size of the aggregate. For example, it may take many 13 nm AuNPs to aggregate before a similar extinction change can be achieved compared to a dimer formation with 100 nm AuNPs.<sup>34</sup>



**Figure 2**. (A) AuNP concentration from BBI (other than 13 nm AuNPs) as a function of size; (B) the DNA loading capacity on each sized AuNP, and (C) the calculated DNA concentration required to fully cover AuNP surface (red line). The units for each curve are in the figure legend. (D) Rate of AuNP

color change for different sized AuNPs after addition of 50 mM NaCl (added at time zero). The extinction ratios are at 650 nm/520 nm for all the AuNPs except for 100 nm, which used 800 nm/570 nm.

For a successful conjugation reaction, the rate of DNA adsorption needs to significantly surpass that of AuNP aggregation. Based on the concentration of each sized AuNP (Figure 2A) and the loading capacity (Figure 2B), we calculated the DNA concentration for quantitative adsorption (Figure 2C). To achieve quantitative adsorption, the DNA added needs to be ~20 fold lower for 50 nm AuNPs compared to that for 13 nm AuNPs. Based on the mass action law, the initial DNA adsorption kinetics is linearly proportional to the concentration of DNA. Therefore, under the quantitative DNA adsorption condition, DNA adsorption is twenty times slower for the 50 nm AuNPs. Since we estimated from our extinction data that the speed of aggregation is similar in all AuNP sizes, the low initial DNA concentration could explain that large AuNPs tend to aggregate under quantitative DNA adsorption conditions. Note that it is difficult to quantitatively compare the rate of DNA adsorption with the rate of AuNP aggregation since only extinction changes are experimentally measured and it is difficult to correlate directly such changes with the rate of aggregation. Ideally, we aim to completely suppress the aggregation of AuNPs to achieve high quality conjugates.

By performing the adsorption reaction at pH 3, the rate of DNA adsorption is faster and this allows quantitative adsorption for 13 nm AuNPs (e.g.  $\sim 1 \mu$ M DNA with 10 nM AuNPs).<sup>12</sup> However, it is still not fast enough if the initial DNA concentration is too low. If this hypothesis is true, one way to solve this problem is to increase the concentration of large AuNPs so that a similar initial DNA concentration for quantitative DNA adsorption. To test this, 40 nm AuNPs were centrifuged at 6000 g for 10 min. After removal of the supernatant solution, the AuNPs were concentrated by 100 times. With a DNA2b:AuNP

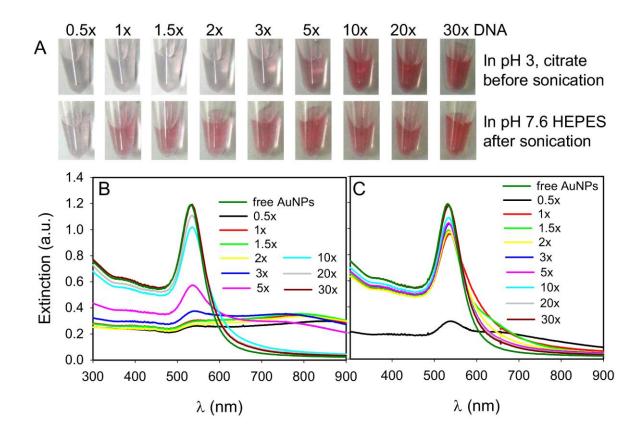
ratio of 666:1 (~1.5× of full capacity), stable conjugates were obtained, which can survive in 300 mM NaCl (Figure S2, green curve). Under this condition, the initial DNA concentration was 10  $\mu$ M, which is similar to that typically used for DNA attachment to 13 nm AuNPs (e.g. ~3  $\mu$ M DNA). This experiment also suggests that colloidal AuNP aggregation is less dependent on its own concentration, but DNA adsorption kinetics is highly related to the DNA concentration.

**Sonication assisted DNA attachment**. While the AuNP concentrating method works, it remains intriguing that large AuNPs tend to aggregate even with a relatively high DNA loading capacity as shown in Figure 1. From the surface force perspective, the van der Waals force is the main attractive force initially since it is relatively long-ranged. If a high DNA density cannot be quickly achieved to force DNA to adopt an upright conformation, AuNPs can approach each other with the help of the added salt to a very short distance, where van der Waals force dominates to induce aggregation. Since van der Waals force scales linearly with AuNP size,<sup>35</sup> this may explain that larger AuNPs are more difficult to functionalize in general.

We already know from Figure 1B that the DNA loading capacity is quite high with even only 1.5× DNA. With the above analysis, we reason that it is possible that on the surface of the particles there are enough DNA molecules that charge repulsion is strong enough to prevent irreversible aggregation. However, considering the interplay between electrostatic repulsion and van der Waals attraction according to DLVO theory, there can be a secondary minimum in the energy distance curve, which can be a source for flocculation (i.e. reversible aggregation). Since this secondary minimum depth increases with increasing particle size,<sup>36</sup> this can explain the higher tendency of flocculation with increasing particle size. In our hypothesis is true, and this is actually flocculation, we should be able to redisperse the particles by moving them to an lower salt solution (as this reduced the depth of the energy well) and use sonication to give the particle enough energy to redisperse. To test this, we mixed DNA and 50 nm AuNPs at various ratios followed by adding the pH 3 buffer and salt. As shown in

Figure 3A, the color of AuNPs remained red only with higher than  $10 \times DNA$  (e.g. DNA1:AuNP = 6400:1). After centrifugation and removal of the supernatant, the aggregates were dispersed in 5 mM HEPES (pH 7.6). After sonication for 2-5 min, the AuNPs turned red for most of the samples. The UVvis spectra of these samples were measured (Figure 3B, C). After sonication, good stability was achieved when more than 3× DNA was used. It deserves noting that DNA could continue to be attached to AuNPs even after the samples were aggregated. Therefore, the AuNPs are likely to have higher stability after sonication because of the higher DNA density. Mirkin and co-workers have used sonication in surfactant-assisted DNA attachment and they also observed that sonication was more effective on large AuNPs.<sup>31</sup> We reason that sonication might be playing a similar role in both systems. For the case of high excess of DNA, or using highly concentrated particles with quantitative  $(1.5\times)$ DNA amounts, we expect that DNA adsorption would be so fast as to create a dense layer of standing DNA strands. Under this condition there is both a stronger electrostatic repulsion and a steric hindrance effect which together lead to a very shallow secondary minimum that might be within the thermal noise (kT) and therefore no flocculation is observed. We have also confirmed that DNA attachment using highly concentrated particles lead to functional particles (Figure S3, S4).

It needs to be pointed out that the pH 3 method relies on the protonation of DNA bases (e.g. A and C). Therefore if the sequence is rich in T or G, this method might not work. For example, we challenged 50 nm AuNPs with  $1.5 \times$  and  $10 \times$  SH-T<sub>15</sub> DNA and AuNPs stayed blue even after sonication. At pH 3 the adsorption kinetics were slightly improved for this DNA but the DNA loading capacity was low. To achieve high loading capacity, a very high initial DNA concentration is required (e.g. 50×, see Figure S5). Even under such conditions, however, AuNPs remained unstable. Therefore, the traditional 'salt aging' method needs to be used. So far, we have provided two solutions that may achieve quantitative DNA adsorption for large AuNPs. One is to enrich AuNPs to a much higher concentration and the other is sonication and these two methods can be used at the same time.



**Figure 3**. (A) Photographs of 50 nm AuNPs mixed with various concentrations of DNA at pH 3 (10 mM citrate buffer with 70 mM NaCl), and the same samples after centrifugation, re-dispersing in 5 mM HEPES, pH 7.6 and sonication. UV-vis spectra of the samples in (A) before (B) and after (C) the buffer exchange and sonication treatment.

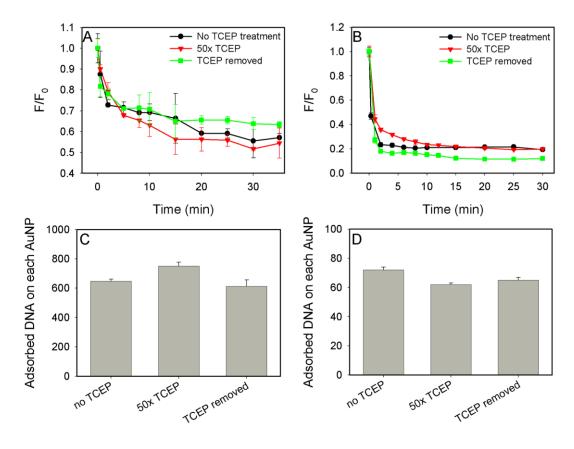
Effect of TCEP treatment. In our quest to find a method to create stable DNA-AuNP conjugates based on large particles, we have shown that a fast modification step is possible. However, once the modification step itself can be rapid, the preparation of the thiolated DNA, which usually involved a reduction step and a purification step becomes the rate-limiting step in the preparation of the DNA-AuNP conjugates. Commercial thiolated DNAs are capped by small alkanethiol molecules and typically before mixing with AuNPs the disulfide is cleaved by adding a reducing agent such as

dithiothreitol (DTT) or Tris(2-carboxyethyl) phosphine hydrochloride (TCEP).<sup>26,37,38</sup> Such reducing agents, especially DTT, are usually used in excess to achieve complete reduction of the DNA. All the non-DNA compounds in the system can be considered as impurities. A purification step is often followed to remove the reducing agents and the cleaved alkanethiol compound. This reducing protocol has been well established since 1996 and has been faithfully followed by most groups working on this system. It is interesting to note that no report was found in the literature to understand the effect of this reducing reaction in the context of AuNP functionalization. Surprisingly, we found it is actually not necessary for most applications as described below.

We consider that most of the impurities could compete with DNA for AuNP surface. In particular, small thiol compounds diffuse faster than DNA and they are charge neutral. Since they are not repelled by AuNPs, small thiol compounds are likely to reach the surface of AuNPs before DNA. We compared the adsorption kinetics and capacity of non-reduced thiolated DNA, and TCEP-reduced DNA with and without downstream purification (i.e. TCEP and thiol removed). For both 13 nm and 50 nm AuNPs, we observed a similar adsorption rate (Figure 4A, B). Next the adsorption capacity was compared and again similar results were obtained (Figure 4C, D). TCEP itself does not adsorb to gold surface, as reported by Georgiadis *et al.*<sup>39</sup> Therefore, it should not affect the DNA adsorption kinetics or capacity.

Based on the classical SAM structure of Au(111)( $\sqrt{3}x\sqrt{3}$ )R30°-CH<sub>3</sub>(CH<sub>2</sub>)<sub>n</sub>SH, the selfassembled monolayer (SAM) overlayer forms a hexagonal 2D lattice with the lattice constant being  $\sqrt{3}a = \sqrt{3} \times 0.408$  nm = 0.707 nm. Therefore, each 13 nm diameter AuNP can adsorb 1225 small thiol molecules. Since the typical DNA density on AuNP surface is below one hundred, there should be sufficient room to accommodate small thiolated compounds from the reaction.

It is well-known in the early studies of self-assembled monolayers (SAMs) that disulfides can also be cleaved by gold surfaces,<sup>40,41</sup> and therefore it is not surprising that there is little effect if not performing the reducing reaction. We postulate that the real function of the reducing reaction in the early days was the purification step that removes other impurities from the DNA synthesis reactions. If not carefully desalted, those impurities might cause AuNP aggregation. To achieve the highest possible quality of DNA-AuNP conjugates, a thorough reduction of the disulfide bond and a careful removal of the impurities might still be helpful to eliminate any possible interference.



**Figure 4**. The effect of TCEP treatment. Fluorescence quenching indicating DNA adsorption onto 50 nm (A) and 13 nm (B) AuNPs. DNA adsorption capacity for 50 nm (C) and 13 nm (D) AuNPs.

## Conclusions

In summary, while the DNA-AuNP system has been studied by many groups for more than 15 years, there are still many questions to be answered. In this study, we have addressed the kinetics of DNA adsorption versus AuNP aggregation as a function of the size of AuNPs. While the apparent

aggregation rate of AuNPs is quite independent of their sizes as judged by the color change, quantitative DNA adsorption becomes more difficult to achieve for larger AuNPs due to their extremely low concentrations. Intermolecular force scaling with AuNP size appears to also be playing an important role. These understandings have resulted in two types of solutions to achieve quantitative DNA adsorption. Finally, we found that the DNA activation step typically performed is unnecessary.

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**Supporting Information Available**. Additional UV-vis spectra, DNA-directed AuNP assembly, poly-T DNA attachment. This information is available free of charge via the Internet at http://pubs.acs.org

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